



# ALICE Experiment

-- *Topics & upgrade plan* --

*Hideki Hamagaki*  
*for the ALICE Collaboration*

*Institute for Nuclear Study*  
*Graduate School of Science*  
*The University of Tokyo*



# Contents

- Introduction
- Heavy flavor in pp & Pb-Pb collisions
  - Production cross section of heavy quarks in pp
  - $J/\psi$  yield versus  $N_{ch}$  in pp collisions
  - $R_{AA}$  for D mesons
  - $R_{AA}$  for  $J/\psi$  -- recombination
  - $v_2$  for D and  $J/\psi$  -- charm thermalization
- Results from p-A collisions
  - Ridge in p-A collisions
- ALICE upgrade plan
- Summary



# INTRODUCTION

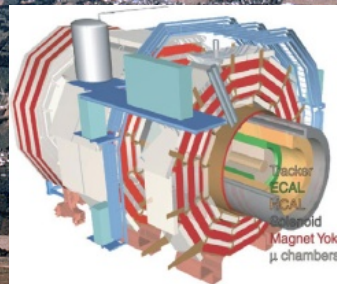
# LHC at CERN

circumference = 27 km (Yamanote-line = 35 km)

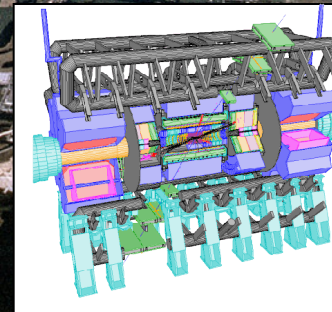
$\sqrt{s} = 14 \text{ TeV}$  for p + p       $\sqrt{s_{NN}} = 5.5 \text{ TeV}$  for Pb + Pb

$\sqrt{s_{NN}}$  at LHC = 28 x RHIC = 320 x SPS = 1000 x AGS

CMS



LHC-b



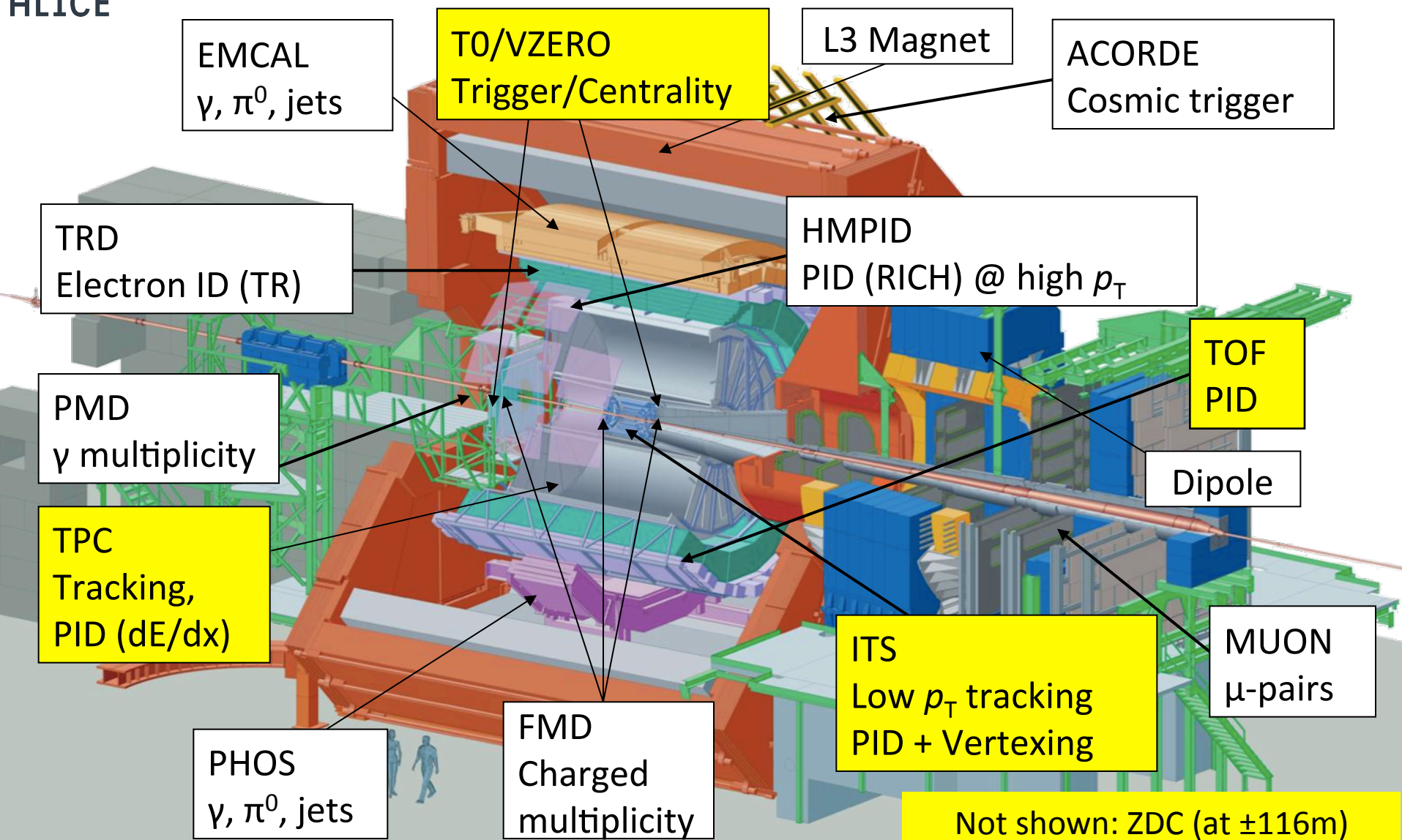
ATLAS

ALICE





# A Large Ion Collider Experiment

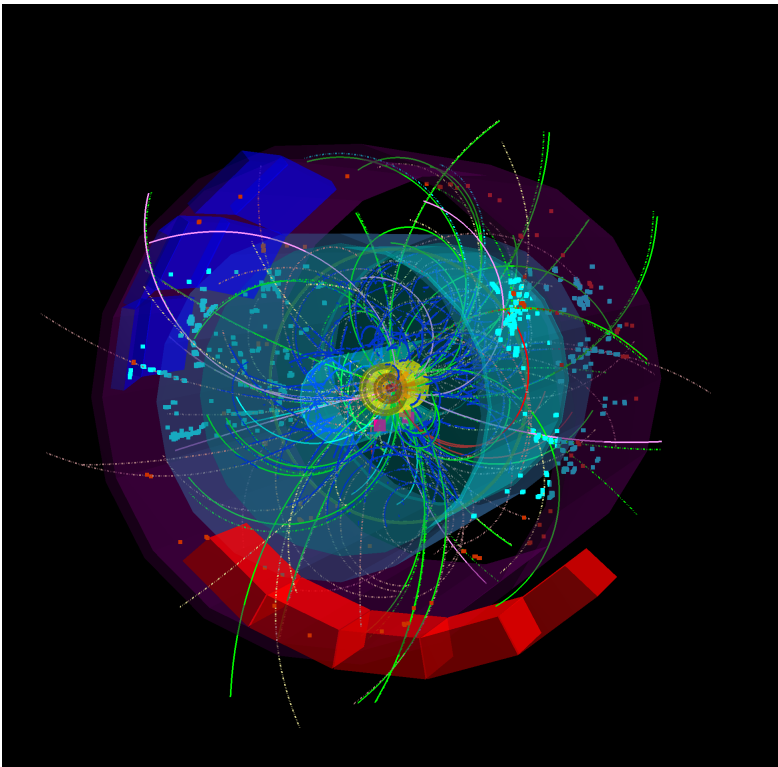




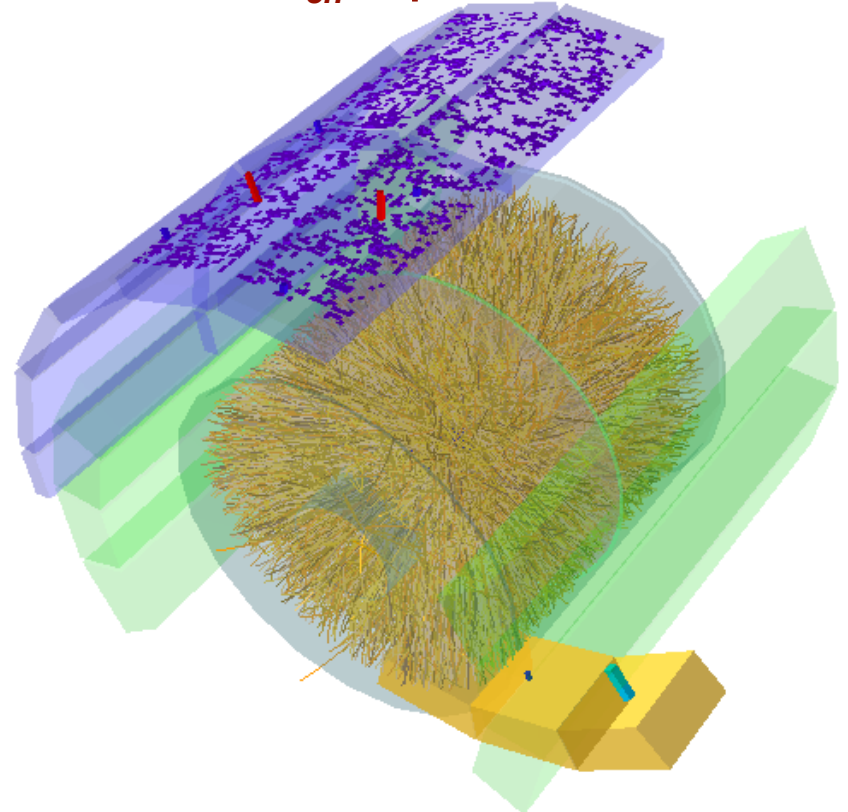
# Startup of ALICE

- 2009/11/23: First pp collisions at 900 GeV
- 2010/3/31: pp collisions at 7 TeV
- 2010/11/8: Pb-Pb collisions at 2.76 TeV/A

$$dN_{ch}/d\eta \sim 6.0$$



$$dN_{ch}/d\eta \sim 1600$$





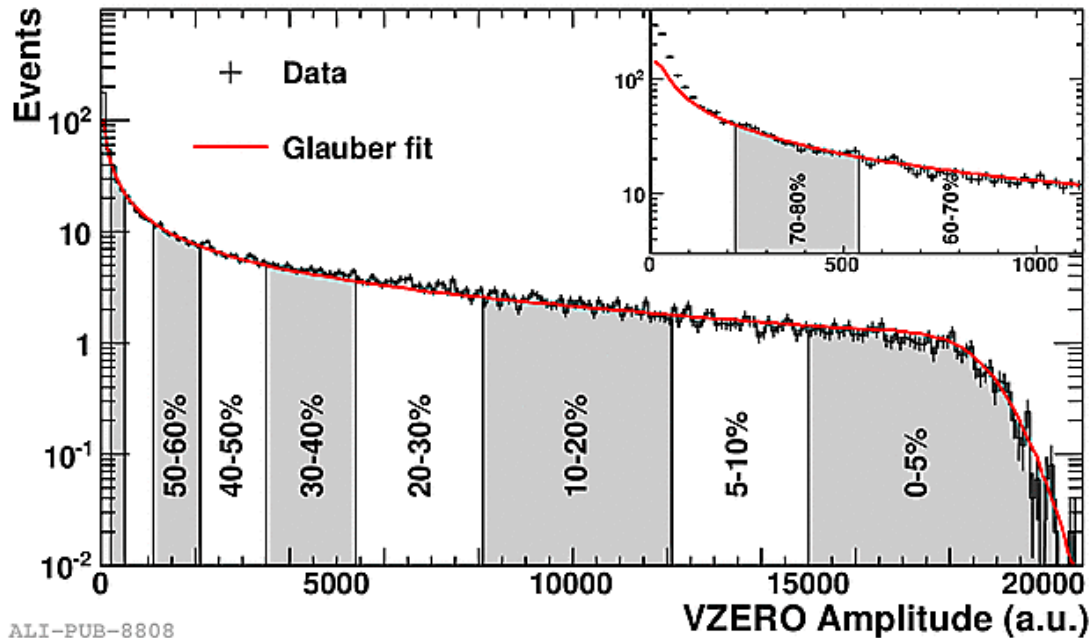
# ALICE Run Summary

- pp collisions at  $\sqrt{s} = 7$  TeV in 2010– 2011
  - Int L =  $16 \text{ nb}^{-1}$  (with INT trigger)
  - Int L =  $4.9 \text{ pb}^{-1}$  for rare-event triggers with EMCAL, PHOS and MUON
- pp collisions at  $\sqrt{s} = 0.9$  and 2.76 TeV
  - Int L = 0.14 and  $1.3 \text{ nb}^{-1}$ , respectively.
- Pb-Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in 2010 and 2011
  - Int L =  $10 \mu\text{b}^{-1}$  in 2010
  - An order of magnitude higher in 2011
  - Triggers in 2011
    - Most central and semi-central events, and rare event selection with EMCAL, MUON, and ultra-peripheral collisions
- p-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV in 2013
  - 4 TeV for the proton beam and 1.58 TeV per nucleon for *Pb* beam
  - $1.7 \times 10^8$  events



# Centrality Determination

- In Pb-Pb, clear correlation between collision geometry (impact parameter) and experimental observables
  - Centrality measure, based on forward detectors
- ALICE
  - VZERO scintillator detectors
  - VZERO-A:  $2.8 < \eta < 5.1$  and VZERO-B:  $-3.7 < \eta < -1.7$



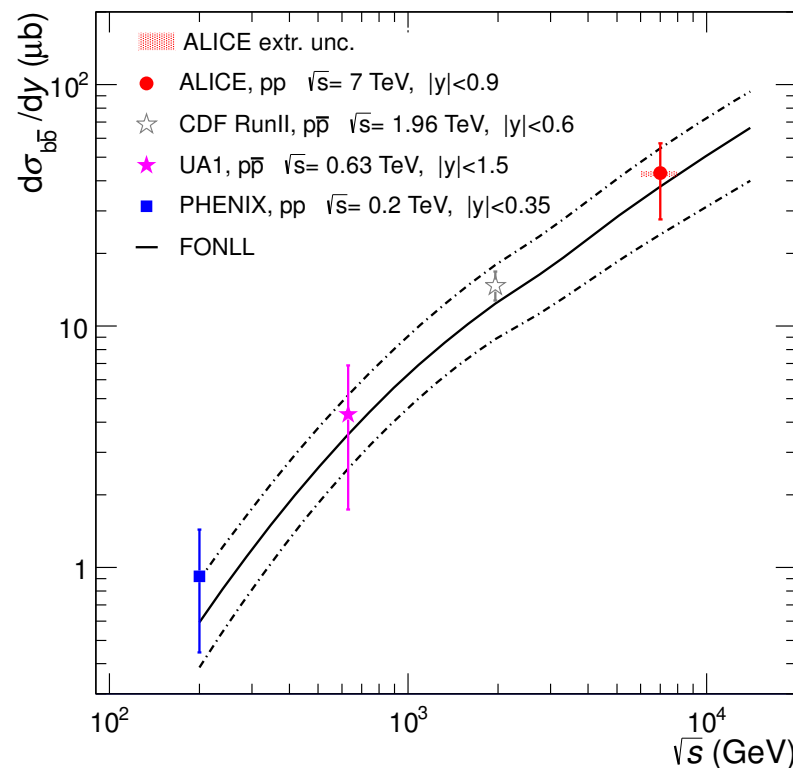
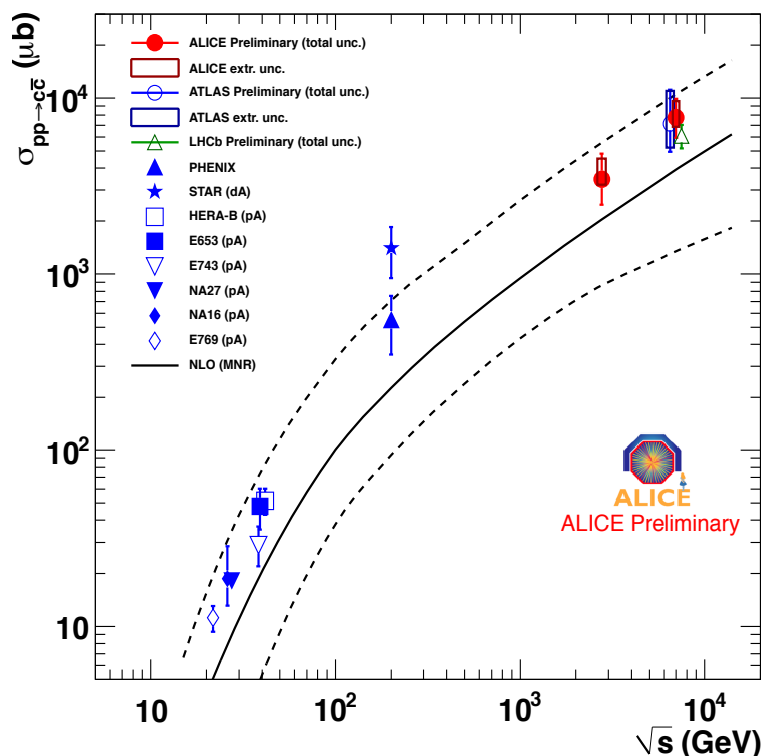
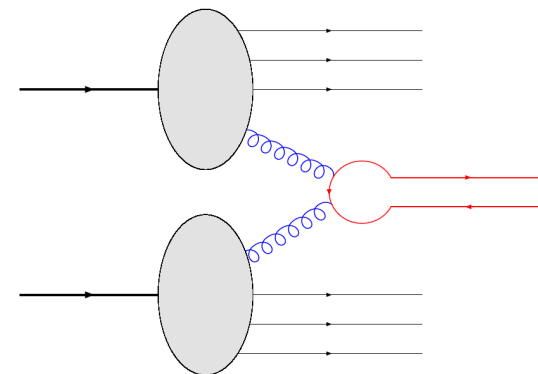




# HEAVY FLAVOR IN PP & PB-PB COLLISIONS

# Heavy Quark Production in pp

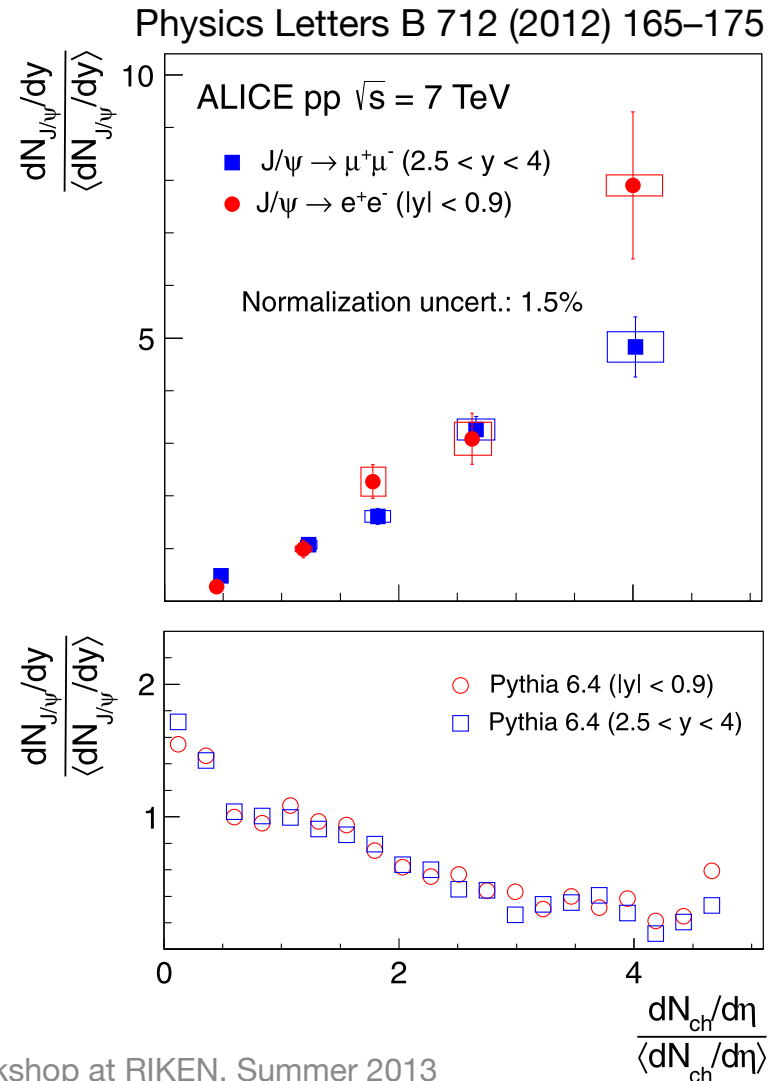
- Two-gluon fusion process
- Copious production at LHC
  - Charm:  $\sim 5\text{mb}@2.76\text{TeV}$  ( $8.5\text{mb}@7\text{TeV}$ )
  - Bottom:  $\sim 0.3\text{mb}@7\text{TeV}$





# J/ψ production as a function of $dN_{ch}/dy$ in pp collisions at $\sqrt{s} = 7$ TeV

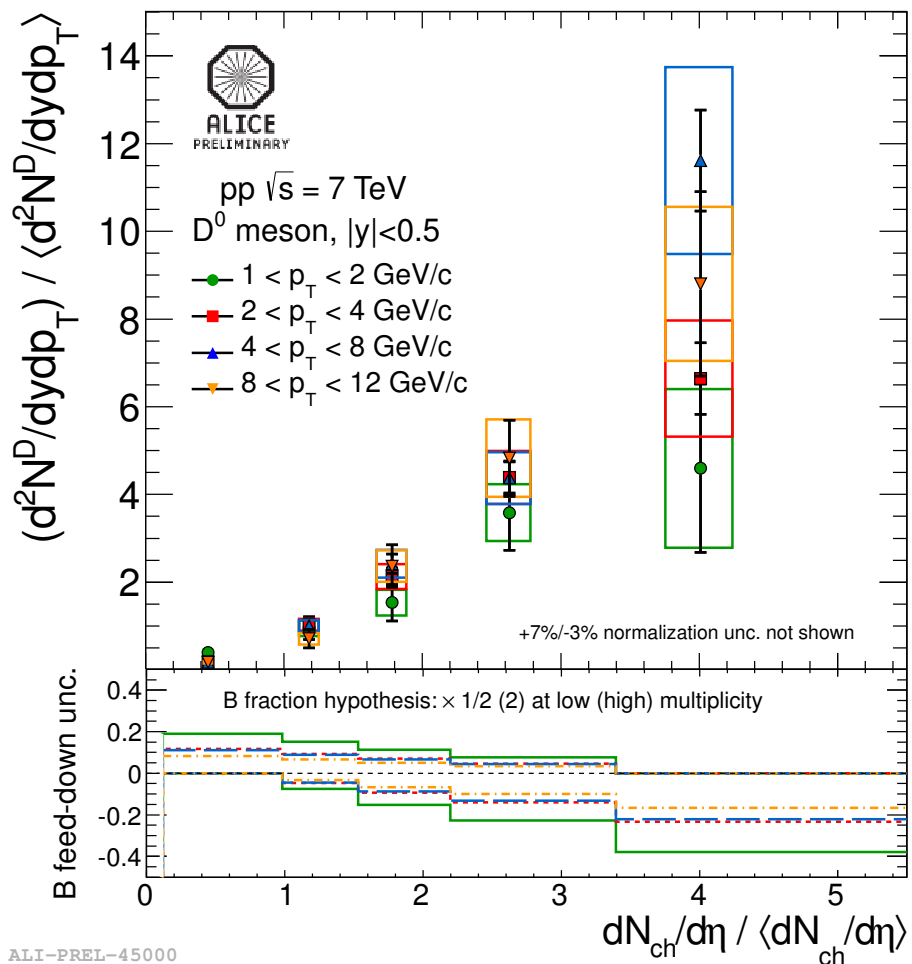
- $dN_{J/\psi}/dy$  depends almost linearly on  $dN_{ch}/dy$
- Not accounted for by the direct hard process, as implemented in Pythia 6.4
- Suggesting the effect of MPI (multi parton interaction) in c-cbar production
  - c-cbar production is *NOT* be a very hard process at LHC?
- Further studies are interesting for Y, open charm, ...





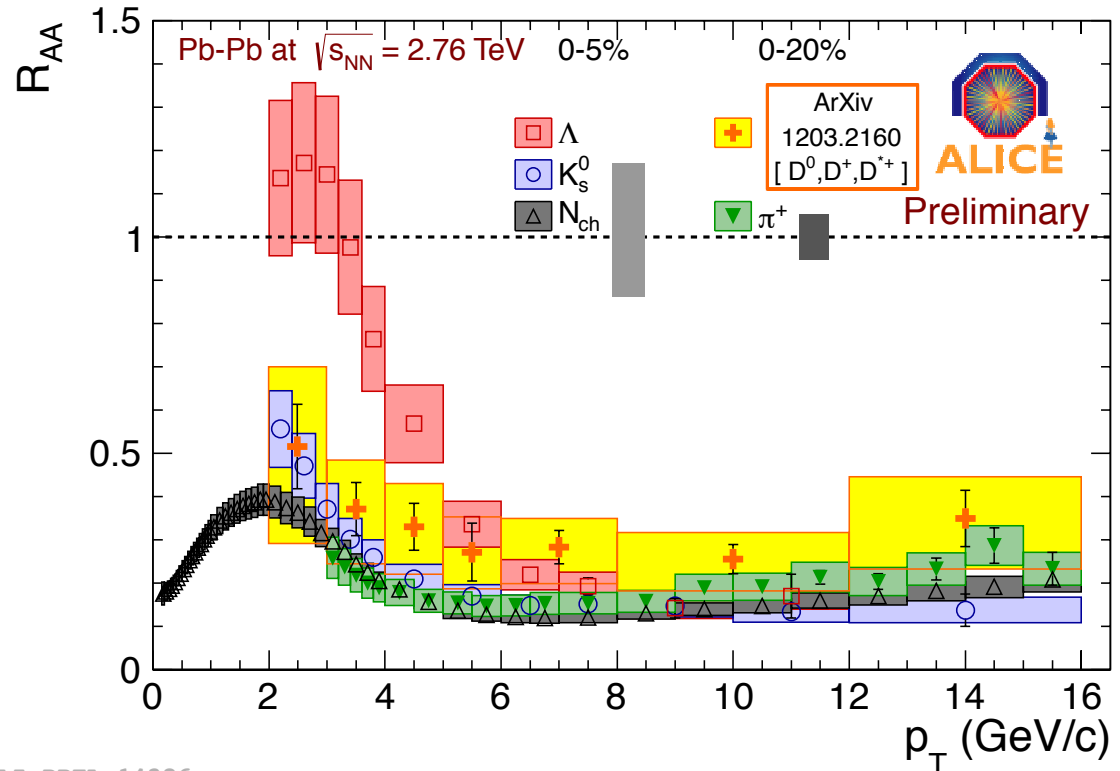
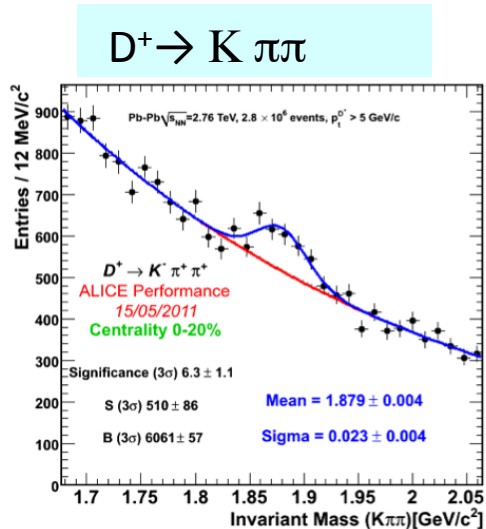
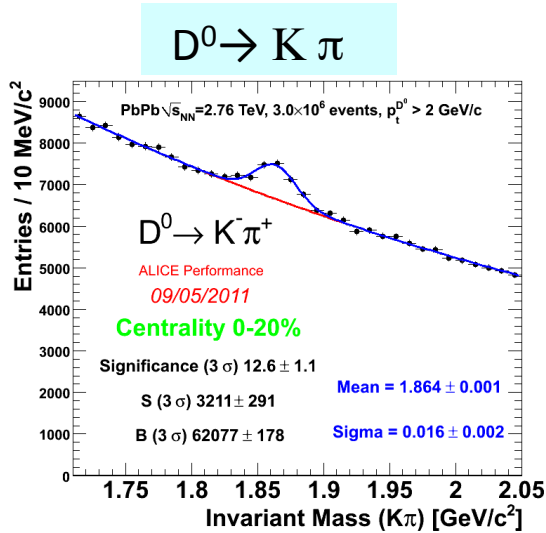
# D Yield in pp Collisions

- $dN_D/dy$  is almost linearly dependent on  $dN_{ch}/dy$
- Similar trend to that for  $J/\psi$





# Exclusive D Meson Measurement



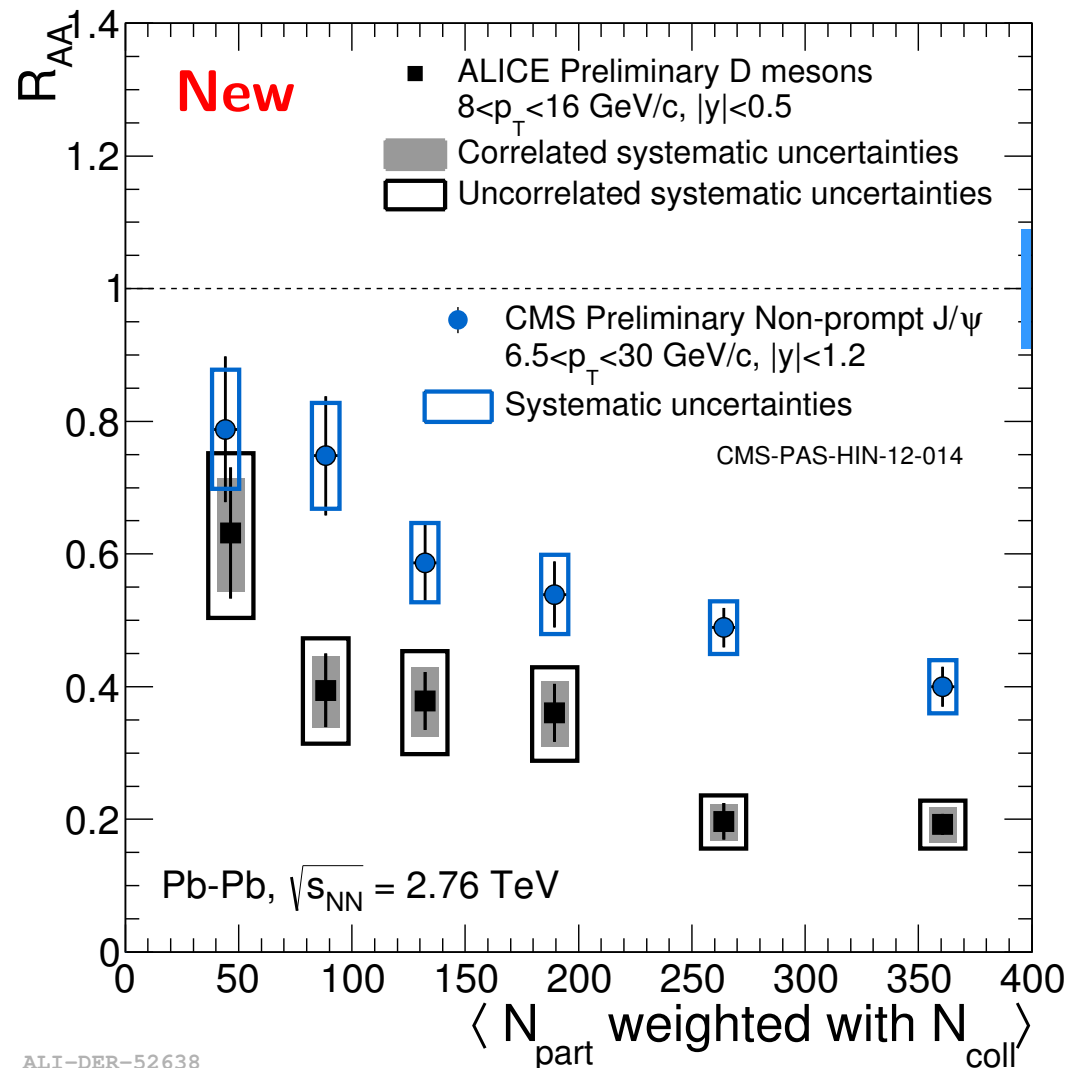
ALI-PREL-14286

- D has slightly larger  $R_{AA}$  over  $\pi$  and K in all  $p_T$  range, while behavior is similar
- Different behavior between  $\Lambda$  and mesons at  $p_T < 8$  GeV/c, consistent with the quark recombination picture



# $R_{AA}$ of D and non-prompt J/ $\psi$

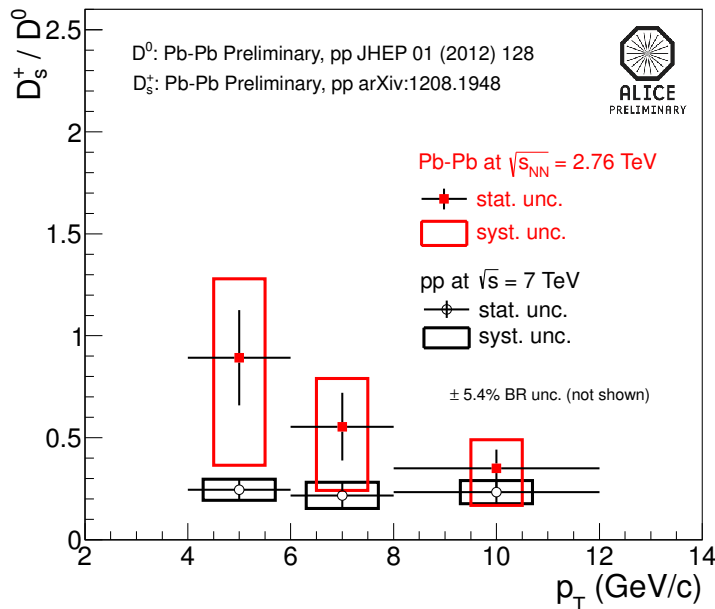
- Non-prompt J/ $\psi$  from B decay
- Previous comparison in 2012:  $\langle p_T^D \rangle \neq \langle p_T^{B(\rightarrow J/\psi)} \rangle$
- New comparison: compatible  $p_T$  range for D and the parent B of non-prompt J/ $\psi$
- $R_{AA}(\text{np-J}/\psi) > R_{AA}(\text{D})$ 
  - Getting clear in the new comparison
  - Mass hierarchy in energy loss process



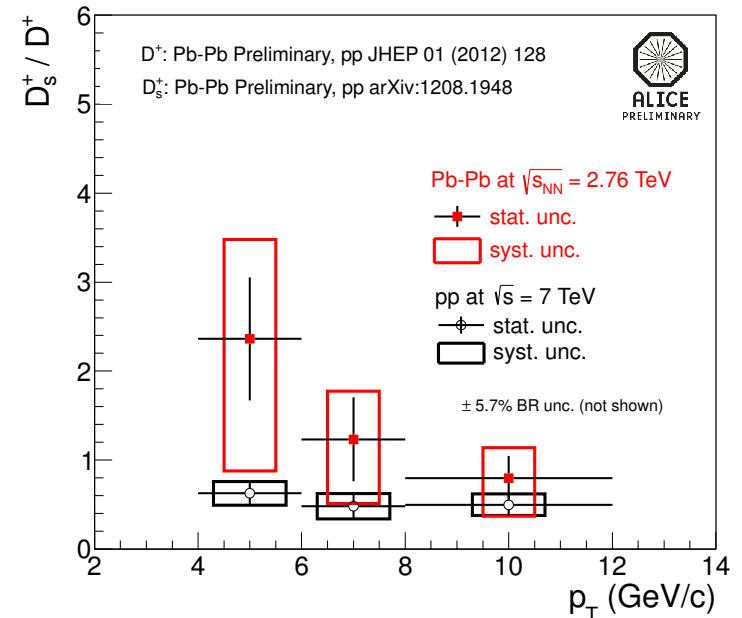
ALI-DER-52638

# $D_s^+$ vs $D^{0,+}$

- Larger  $D_s/D$  ratio in Pb-Pb than in pp for  $p_T < 8$  GeV/c
- Qualitatively consistent with the **quark recombination picture**; additional  $D_s$  production in Pb-Pb collisions



ALI-DER-44038

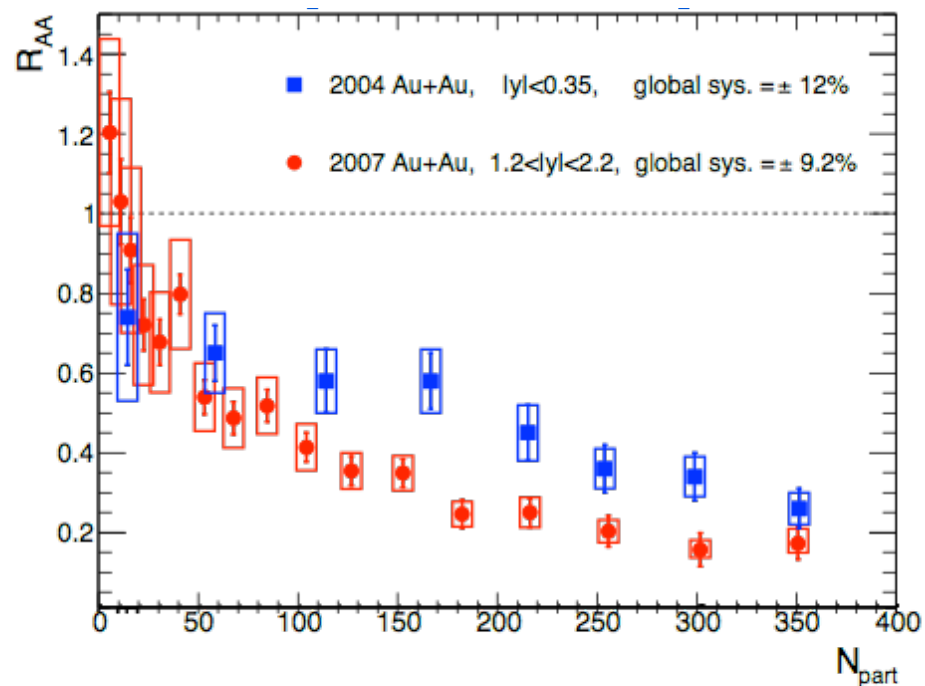
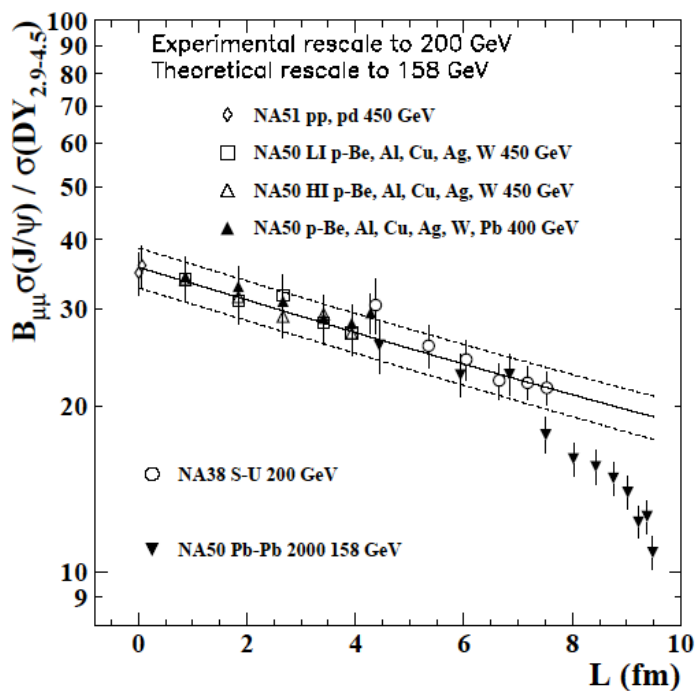


ALI-DER-44042



# $J/\psi$ at SPS & RHIC

- $J/\psi$  suppression; proposed as a probe of deconfinement by T. Matsui and H. Satz (1985)
- Anomalous suppression was observed in Pb-Pb at SPS
- Suppression with a similar magnitude to SPS was observed at RHIC

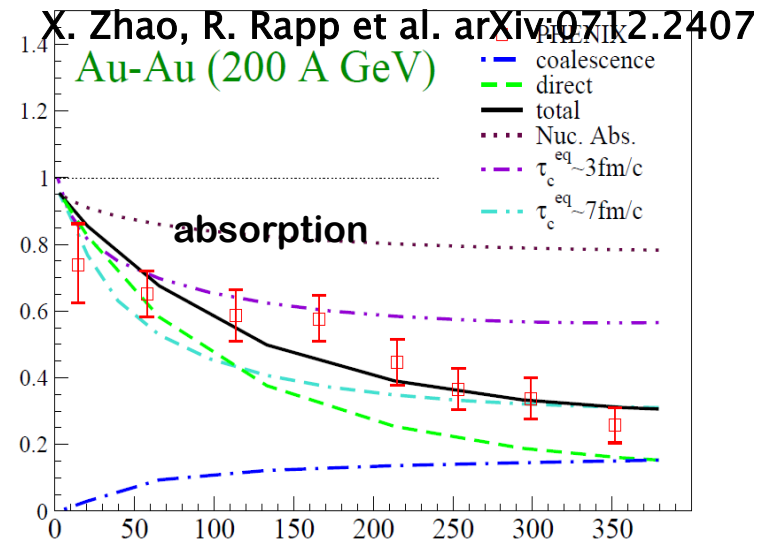
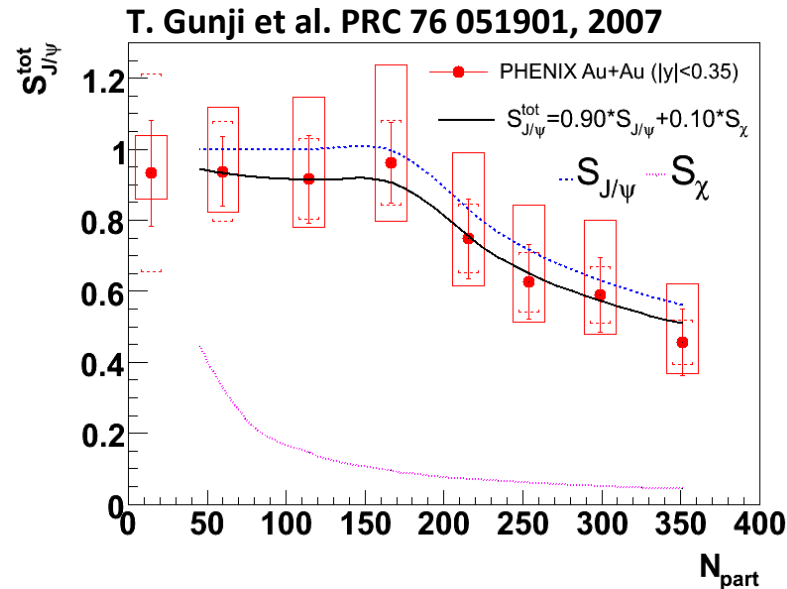
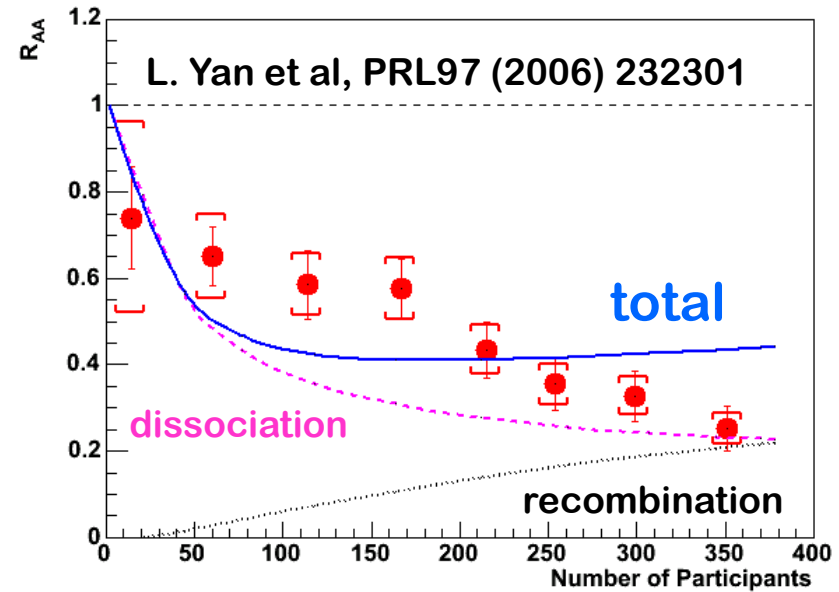






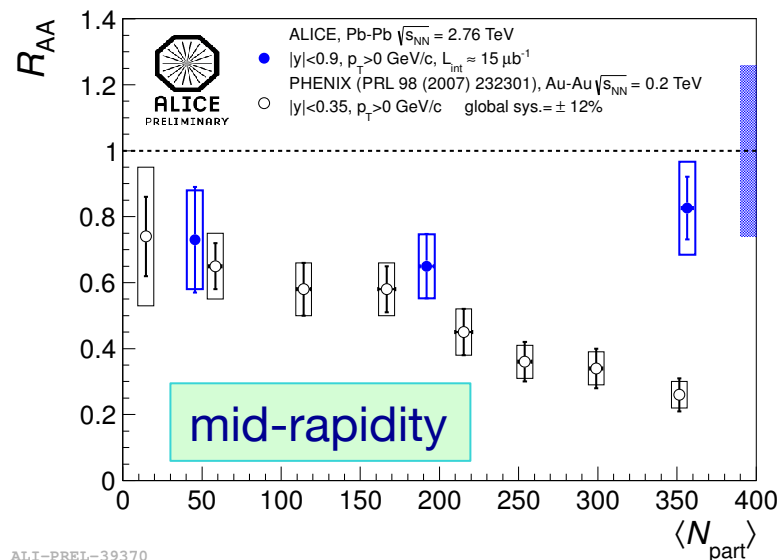
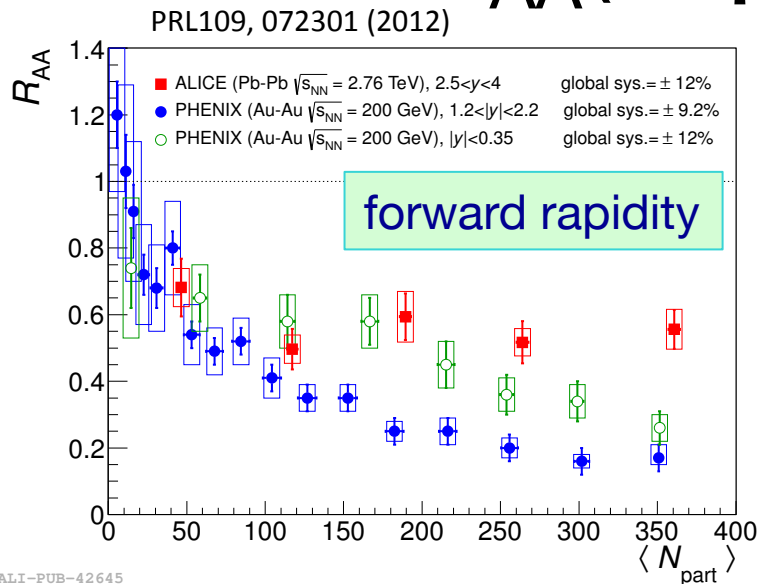
# Comparison with Models

- Sequential melting
- Dynamical dissociation
- Quark recombination
- Not significant contribution from recombination process at RHIC



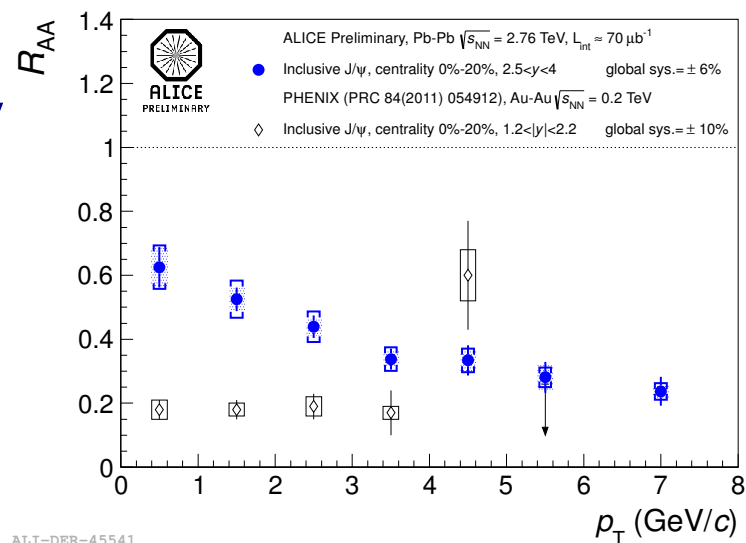


# $R_{AA}(J/\psi)$ at LHC



- $R_{AA}(J/\psi)$  is large at LHC
  - $R_{AA}(J/\psi)$  at mid- $y > R_{AA}(J/\psi)$  at forward- $y$
- $R_{AA}(J/\psi)$  is large at low  $p_T$ 
  - is small at high  $p_T$

*Consistent with large contribution from recombination process*

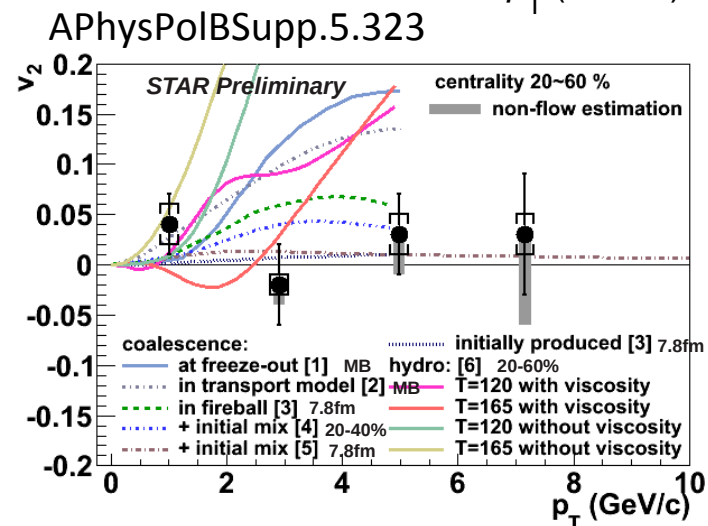
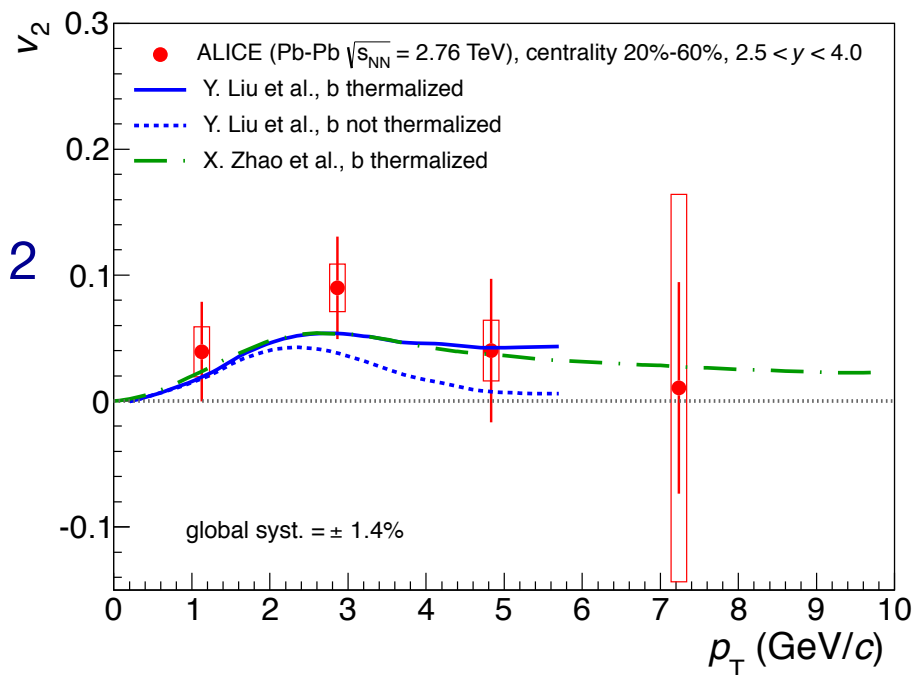




# $v_2(\text{J}/\psi)$ at LHC

arXiv:1303.5880

- Sizable  $v_2(\text{J}/\psi)$  at LHC, in contrast to the case at RHIC
    - Non-prompt- $\text{J}/\psi$  is  $\sim 7\%$  for  $p_T < 12$  GeV/c from bottom decay
  - Condition for finite  $v_2(\text{J}/\psi) =$  Recombination of charms with finite  $v_2$
  - Consistent with the two model calculations
    - Both models assume charm is thermalized (and flows)
    - Two cases for bottoms: thermalized, and NOT thermalized
- > need more statistics to judge



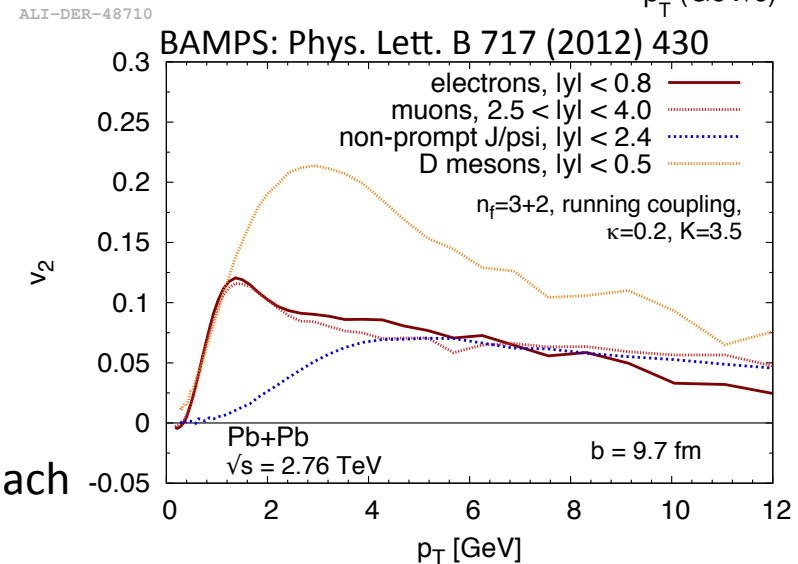
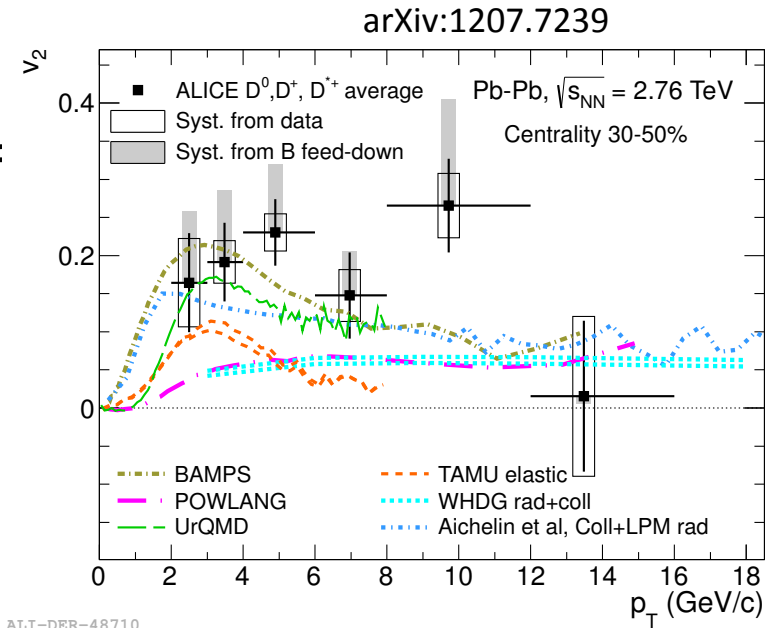


# Open Charm $v_2$

- Sizable  $v_2$  of D mesons at LHC, suggesting rapid thermalization of charm
  - It also serves as a strong backup of the finite  $v_2(J/\psi)$

- *Non-prompt  $J/\psi$  and D for investigating bottom thermalization will be the key measurement*

BAMPS: transport model, named as Boltzmann Approach to Multi-Parton Scatterings





# RESULTS FROM P-PB COLLISIONS



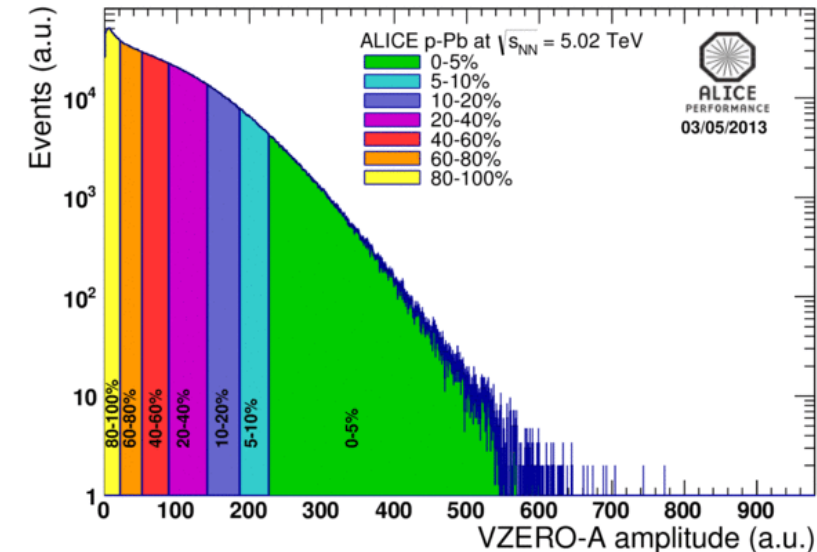
# p-A Collisions

- Reference of the initial state of A-A collisions
  - Cold nuclear matter (CNM) effect
  - nPDF; possible gluon saturation
- Recent findings indicate that p-A may provide *a unique circumstance to study early stage, the least known stage, in heavy ion collisions*
  - Origin of fluctuations
  - Thermalization process; highly non-perturbative & non-equilibrium process
  - *TO MY SURPRISE: Space-time evolution as an thermalized system??*



# p-Pb Runs at LHC

- $\sqrt{s_{NN}} = 5.02$  TeV
  - 4 TeV p beam / 1.58 TeV per nucleon Pb beam
  - Rapidity shift of 0.465 (in proton-going direction)
- 2013 Feb./March run with both beam directions (p-Pb and Pb-p)
  - Low luminosity running;  $\sim 130$  million MB events ( $50 \mu\text{b}^{-1}$ )
  - High luminosity running;  $\sim 30 \text{ nb}^{-1}$  (muon trigger  $\rightarrow$   $J/\psi$ )
- A straightforward correlation between collision geometry and multiplicity is not found in p-Pb collisions
- Event classes are defined as percentiles of the measured sample
  - VZERO scintillator detectors to measure particle multiplicity;
  - $2.8 < \eta < 5.1$  and  $-3.7 < \eta < -1.7$
  - Only Pb direction (2013 analysis)



ALI-PERF-51387

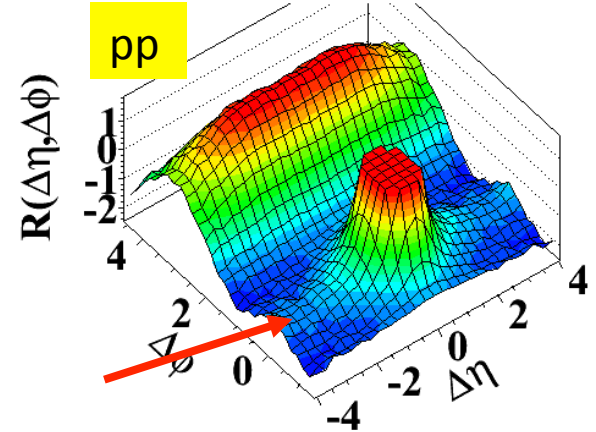


# The Near-Side Ridge

- Well known feature in Pb-Pb collisions ( $\rightarrow$  collective flow)
- Observed in extremely high-multiplicity pp collisions by CMS
- Somehow expected in p-Pb, but still surprising, in particular, because of its amplitude

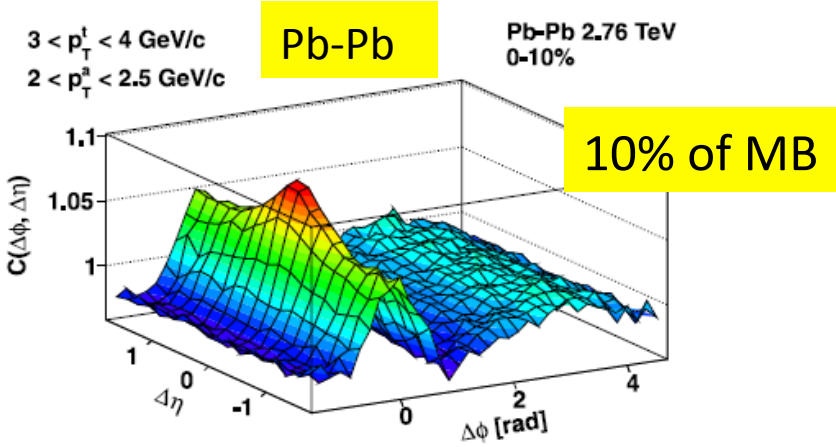
0.0005% of MB

(d) CMS  $N \geq 110$ ,  $1.0 \text{ GeV}/c < p_T < 3.0 \text{ GeV}/c$

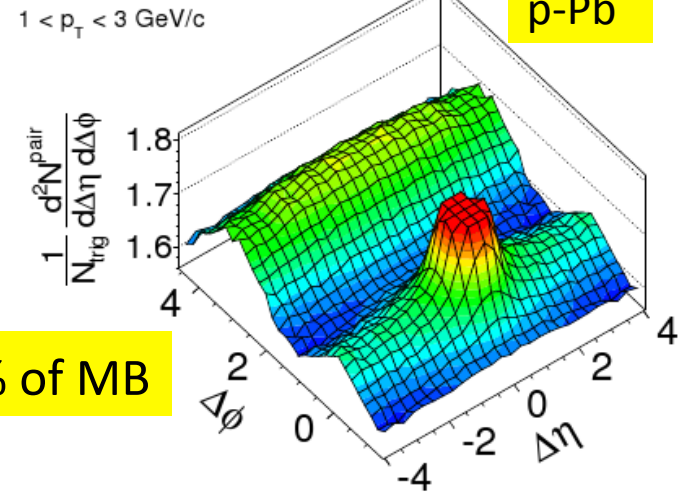


CMS, JHEP09(2010)091

ALICE, PLB708 (2012) 249



CMS pPb  $\sqrt{s_{NN}} = 5.02 \text{ TeV}$ ,  $N_{\text{offline, trk}} > 110$ ,  $N_{\text{trk}}^{\text{offline}} \geq 110$

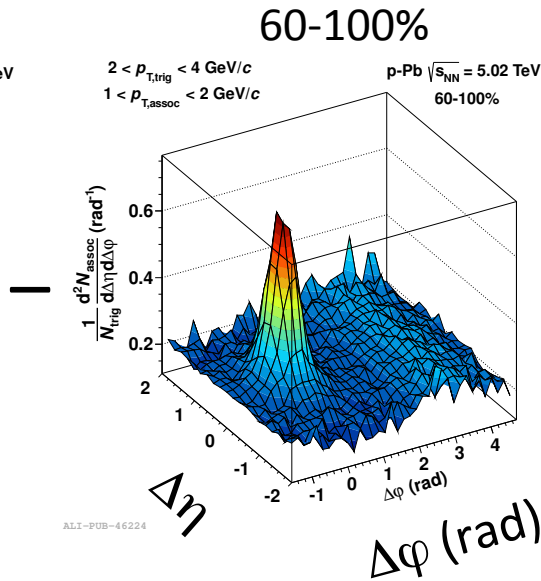
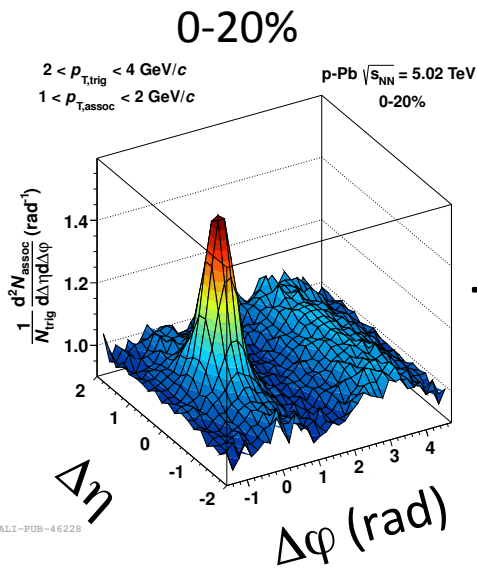


CMS, PLB718 (2013) 795

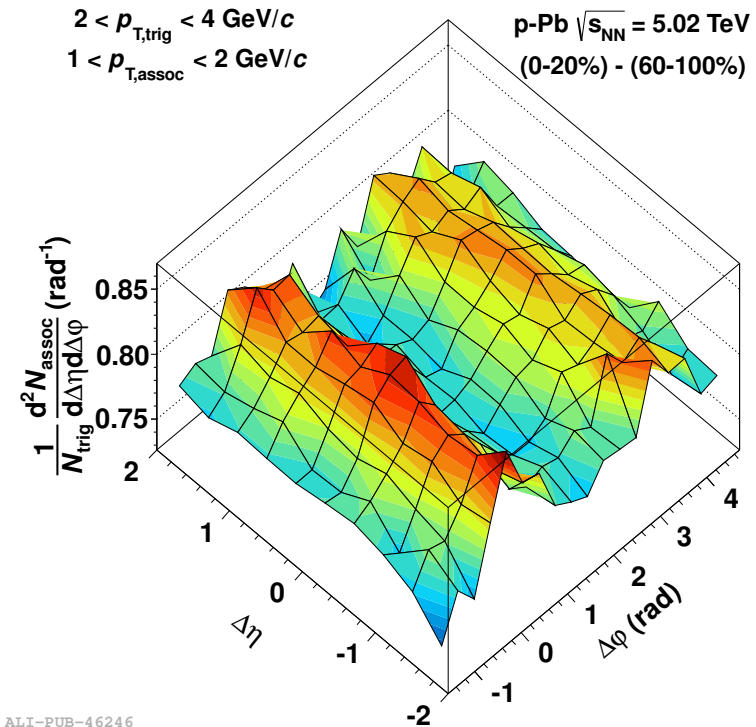


# The Double Ridge

- No ridge seen in 60-100% and similar to MB pp collisions
- Subtraction of (peripheral yield) from (central yield) to “isolate” ridge contribution from jet correlations



=



ALICE, PLB719 (2013) 29

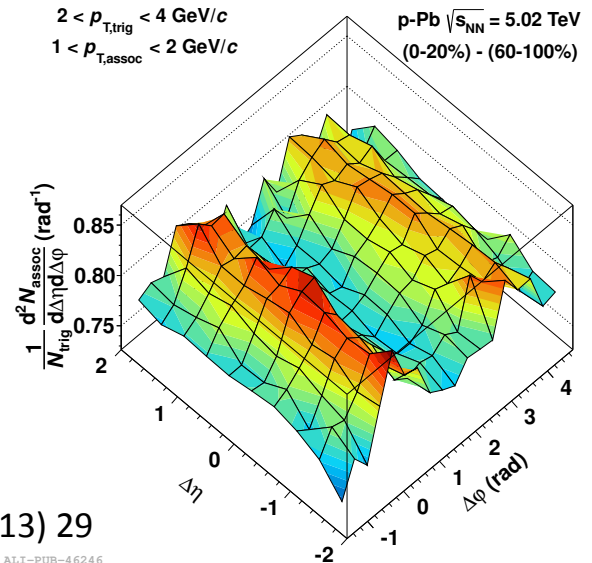


# Projections to $\Delta\varphi$

- Modulation mostly of  $\cos 2\Delta\varphi$  type
- Small but significant  $\cos 3\Delta\varphi$  term needed

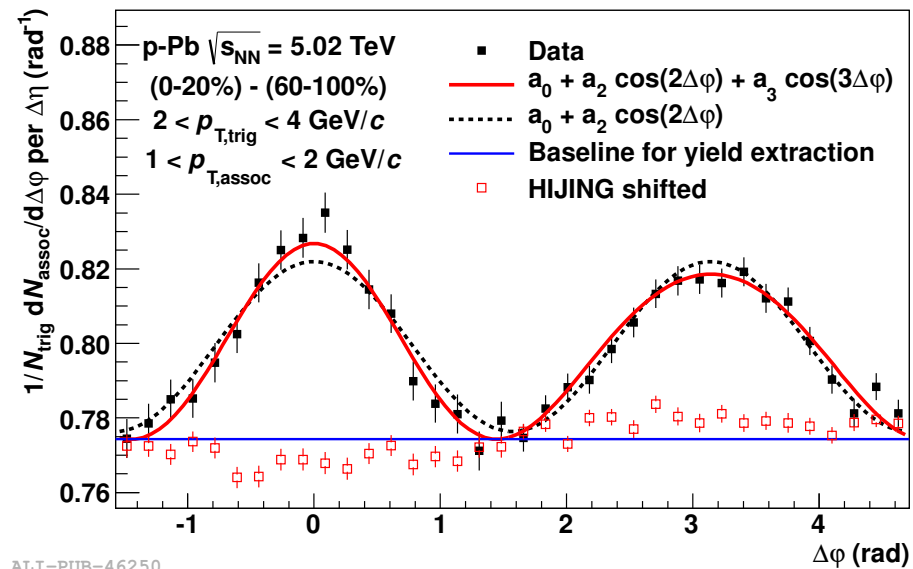
$$v_n = \sqrt{a_n/b}$$

- Same procedure applied on HIJING simulated events  $\rightarrow$  no significant modulation remains



ALICE, PLB719 (2013) 29

ALI-PUB-46246

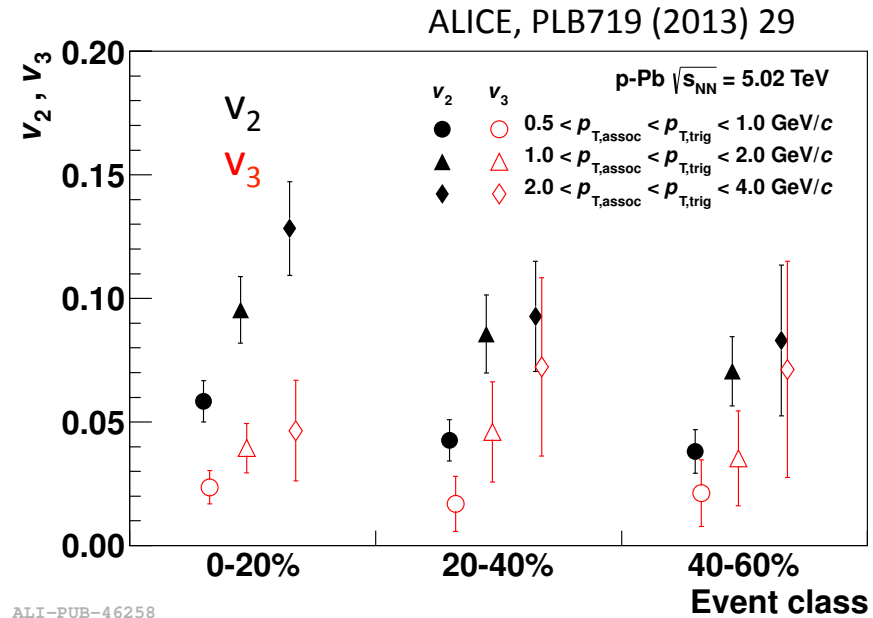


ALI-PUB-46250

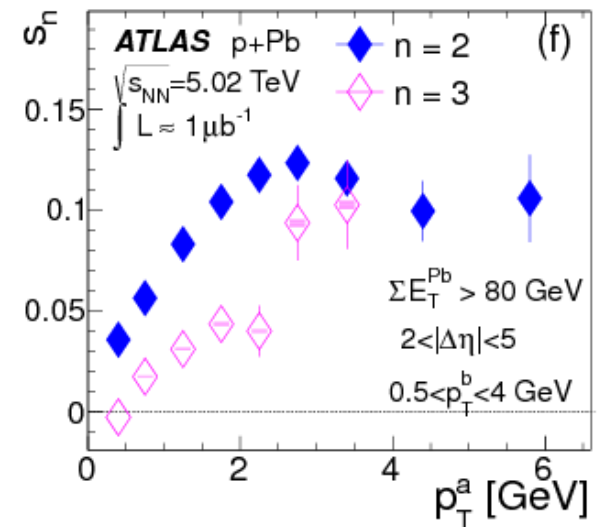


# $v_2$ and $v_3$ Coefficients

- $v_2$  and  $v_3$  as a function of  $p_T$  for different event classes (each 60-100% subtracted)
- $v_2$ 
  - Strong increase with  $p_T$
  - Mild increase with multiplicity
- $v_3$ 
  - Increase with  $p_T$  within large uncertainties
- Similar results by ATLAS
- PHENIX reports similar  $v_2$  values in d-Au at 200 GeV (arXiv: 1303.1794)



ALI-PUB-46258

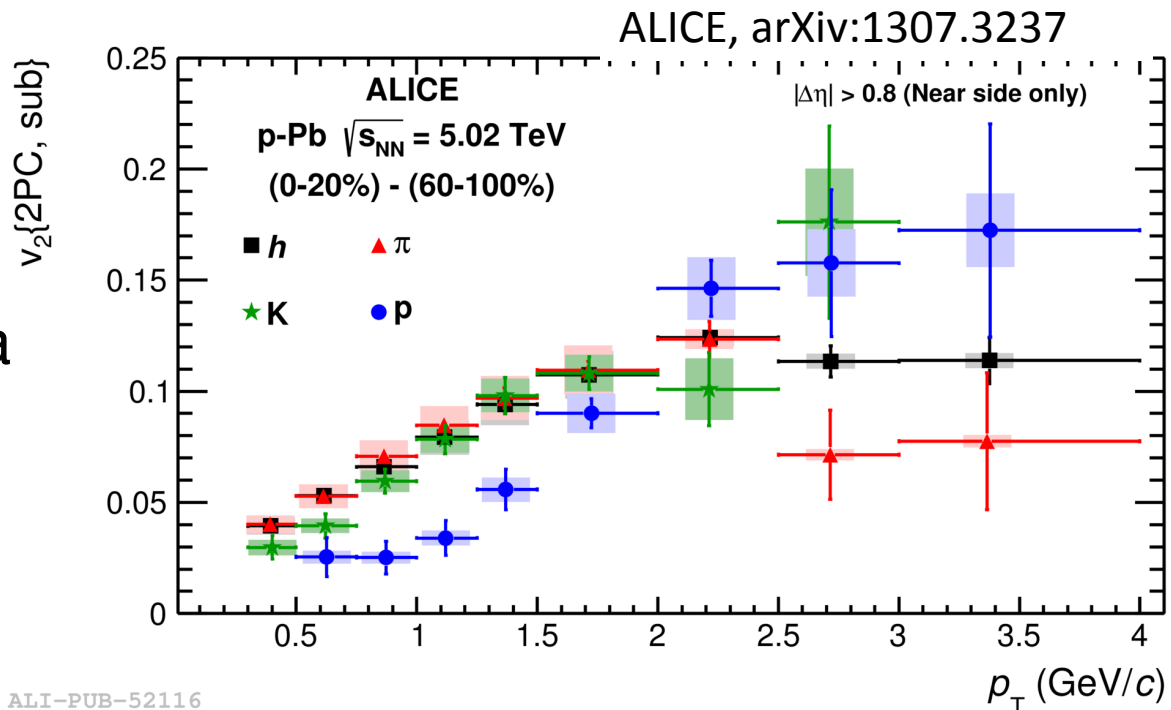


ATLAS, PRL110, 182302 (2013)



# $v_2$ of $\pi$ , $K$ , $p$

Mass ordering in  $v_2$  suggests collective flow in the hadronic phase, where mass is a factor to make  $v_2$  different



ALI-PUB-52116

- Question is whether hydro is still applicable in a system with a transverse extension of a few fm?
  - Maybe so, since the effective interacting range in sQGP is less than 1 fm
  - Maybe NOT, since surface effect should be dominant ...



# ALICE UPGRADE PLAN



# Present ALICE program

## 2013–14: Long Shutdown 1 (LS1)

- completion of TRD and CALs
- 2015: Pb–Pb at  $\sqrt{s_{NN}} = 5.1$  TeV
- 2016–17: (maybe combined in one year) Pb–Pb at  $\sqrt{s_{NN}} = 5.5$  TeV

## 2018: Long Shutdown 2 (LS2)

- 2019: probably Ar–Ar high-luminosity run
- 2020: p–Pb comparison run at full energy
- 2021: Pb–Pb run to complete initial ALICE program

## 2022 Long Shutdown 3 (LS3)

- Physics reach extended by the new energy and completion of TRD and CALs
- Improvement of statistical significance of our main results by a factor about 3 --- ***this is enough?***



# Scope of Upgrade

- Run ALICE at high rate, and inspect all events, in accord with the LHC luminosity upgrade; targeting 50 kHz minimum bias rate for Pb–Pb
- Upgrade detectors and electronics by the end of LS2 (2018), and run with upgraded program after LS2
  - Target: more than  $10 \text{ nb}^{-1}$  of integrated luminosity, which implies running with heavy ions a few years after LS3 (2022)
  - A factor of  $>100$  increase in statistics; (maximum readout with present ALICE  $\sim 500 \text{ Hz}$ ) for core physics programs
  - For triggered probes increase in statistics by factor  $> 10$



# Core physics motivation

- Main physics topics, uniquely accessible with the ALICE detector:
- Heavy-flavor transport parameters:
  - diffusion coefficient – azimuthal anisotropy and  $R_{AA}$
  - in-medium thermalization and hadronization – meson-baryon
  - mass dependence of energy loss –  $R_{AA}$
- Low-mass and low-pt di-leptons
  - chiral symmetry restoration – vector-meson spectral function
  - space-time evolution of the QGP – radial and elliptic flow of emitted radiation
- $J/\psi$ ,  $\psi'$ , and cc states down to zero  $p_T$  in wide rapidity range
  - charm and bottom thermalization –  $R_{AA}$ , elliptic flow
  - regeneration process – central vs. forward production
- Jet quenching and fragmentation
- Heavy-nuclei, hyper-nuclei & exotics





# ALICE detector upgrade

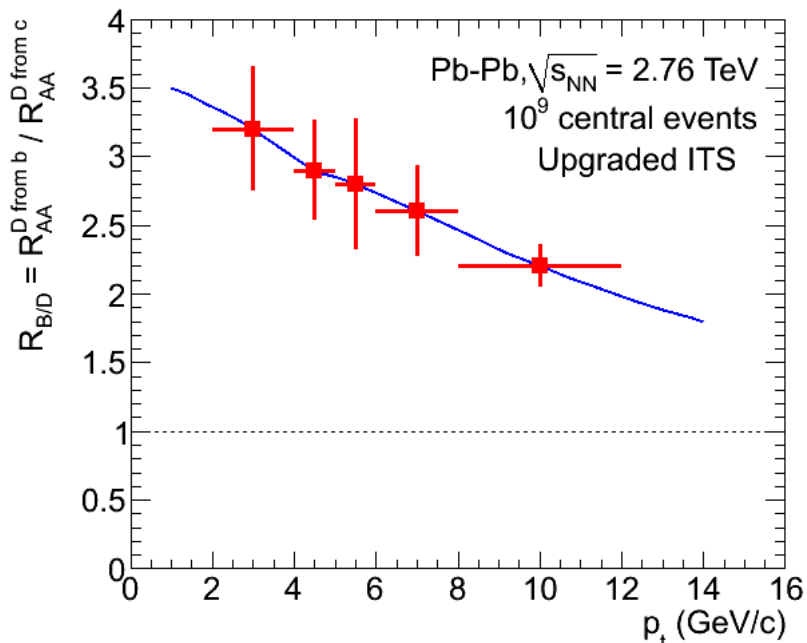
- High-luminosity operation without dead-time
  - Improved vertexing and tracking at low  $p_T$
  - Preserve particle-identification capability
- Target for installation and commissioning LS2 (2018)
- LOI approved by LHCC, TDR in preparation:
  - New, smaller radius, beam pipe
  - Performance and rate upgrade of inner tracker (ITS)
  - Non-stop operation of TPC without gating grid; MWPC --> GEM
  - High-rate upgrade for the readout of the TPC, TRD, TOF, CALs, DAQ-HLT, Muon-Arm and Trigger detectors
- LOI in preparation:
  - MFT: b-tagging for  $J/\psi$ , low-mass di-muons



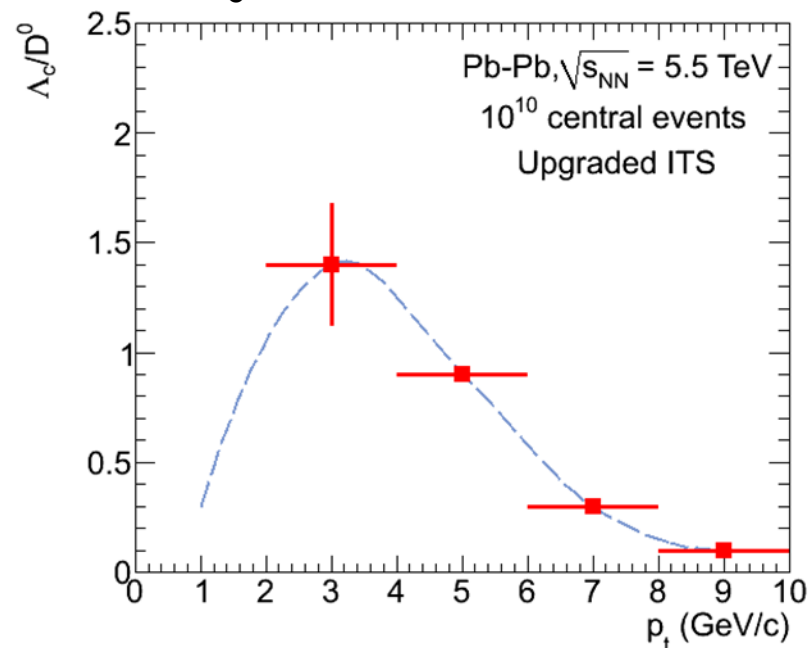
# Example: Heavy flavor production

- Bottom via non-prompt  $D^0 \rightarrow K + \pi$  = mass dependence of energy loss
  - needs precision of the new ITS
- $\Lambda_c$  = hadronization process; baryon-meson universality
  - needs both: the new ITS and luminosity  $\sim 10 \text{ nb}^{-1}$

B/D  $R_{AA}$  suppression



$\Lambda_c/D$  enhancement

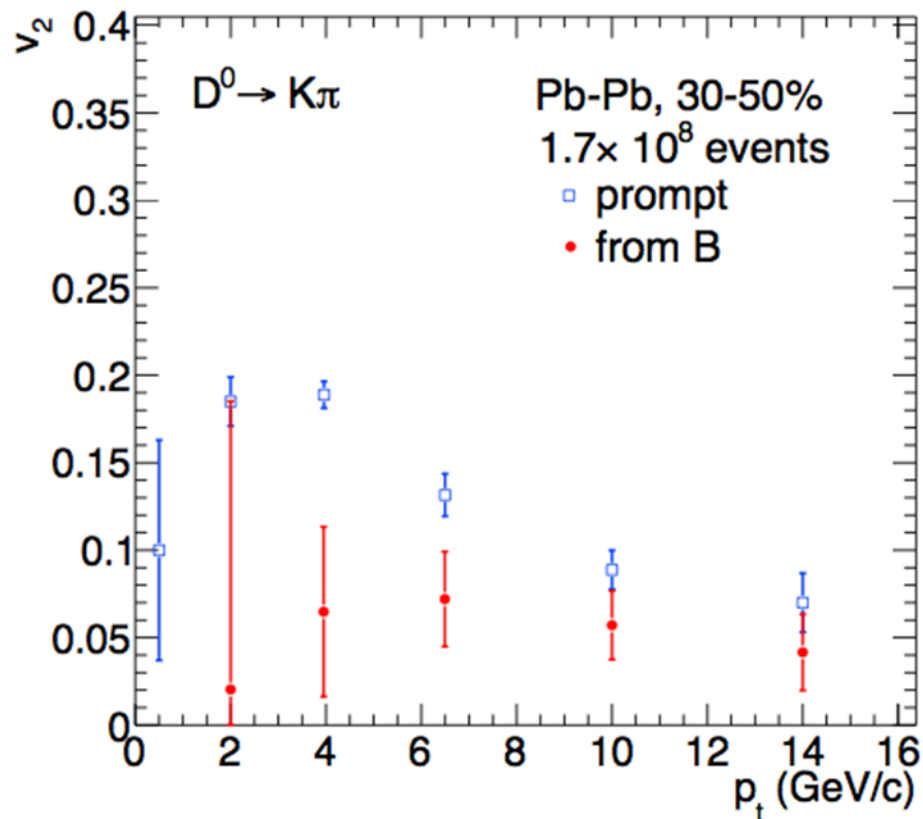
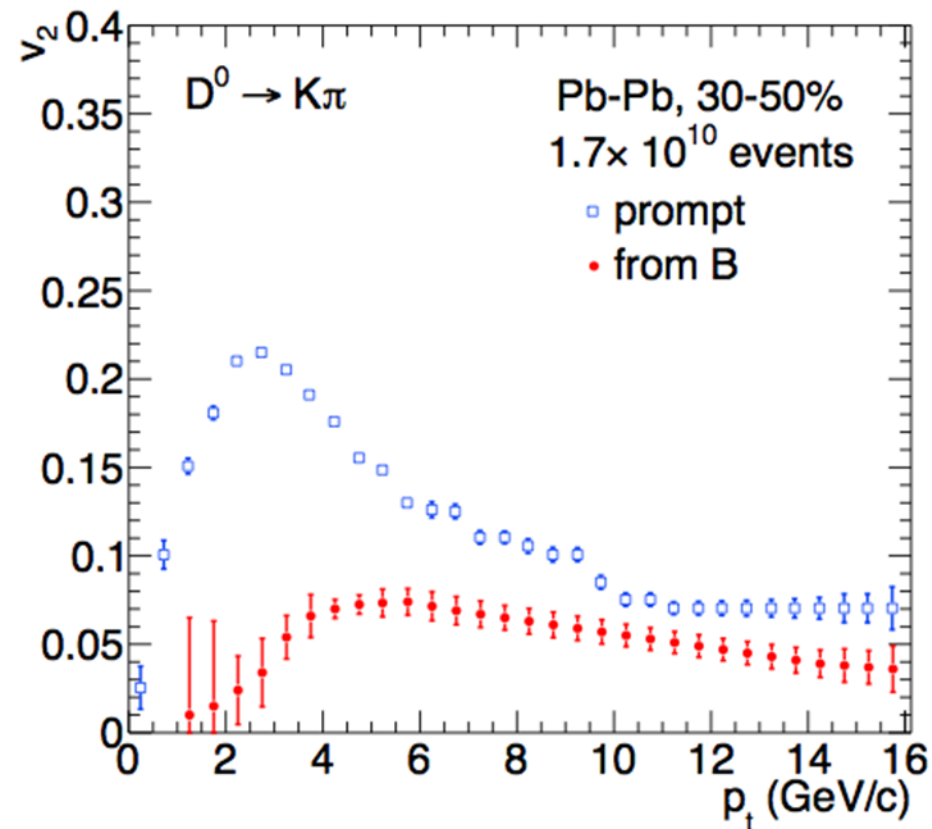




# Example: Heavy flavor $v_2$

$L_{\text{int}} = 10 \text{ nb}^{-1}$

$L_{\text{int}} = 0.1 \text{ nb}^{-1}$



- Need  $\gg 1 \text{ nb}^{-1}$  for precise measurement of charm and beauty  $v_2$
- Other key measurements:  $\Lambda_b$ ,  $\Xi_c$ , B decays, virtual  $\gamma$ ,  $\psi'$ ,  $\chi_c$ , tagged jets...



# SUMMARY & OUTLOOK



# Summary & Outlook

- ALICE has been producing rich results at full speed
- Various results on Open heavy flavor and Quarkonia
  - Exclusive D meson reconstruction
  - Energy loss and thermalization of heavy quarks in Pb-Pb
  - Recombination process for  $J/\psi$  production in Pb-Pb
- Interesting results in p-Pb collisions
  - p-Pb May NOT be a mere reference of initial state in Pb-Pb
  - Double ridge structure is an example
- Importance of data with higher statistics
- A major upgrade
  - Pb-Pb data with 50 kHz (= MB Pb-Pb rate after LHC intensity upgrade); ~100 times more than current (-500Hz)
  - New ITS (inner tracking system), GEM TPC with no gating grid, faster electronics and wider bandwidth ...



# BACKUP

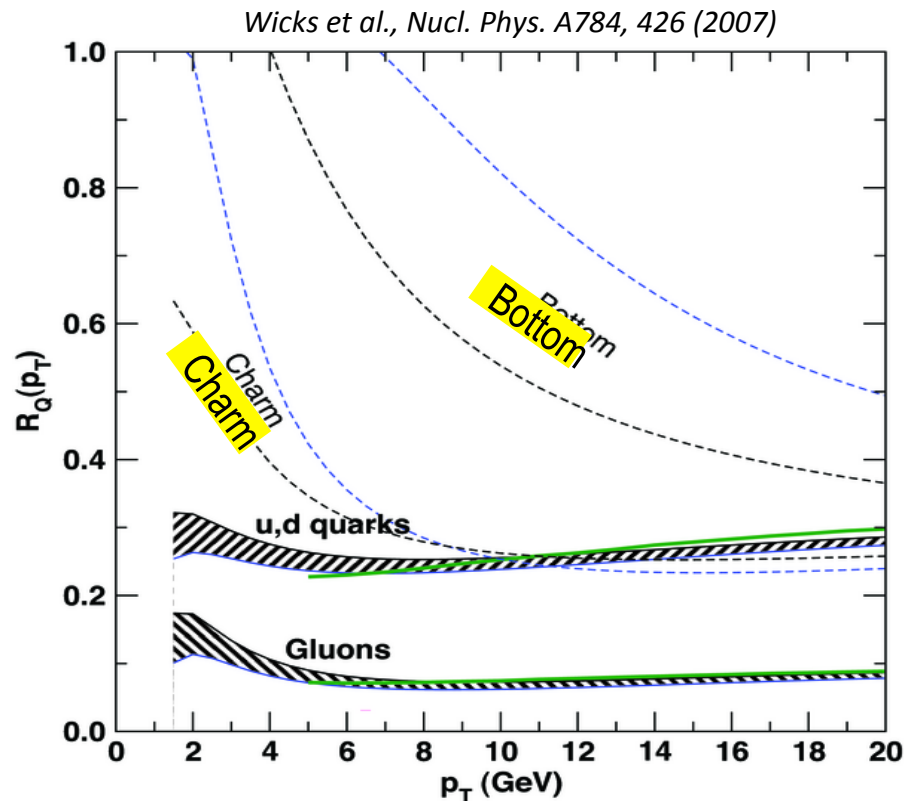
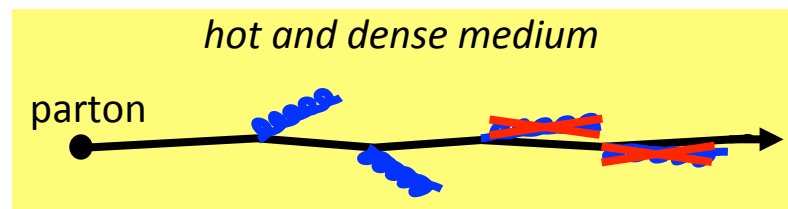


# Heavy Flavor as Probes

- Production = initial stage; pQCD
- High  $p_T \rightarrow$  energy loss
  - Dead-cone effect  
gluon radiation suppressed at small angles ( $q < m_Q/E_Q$ )  
Y. Dokshitzer, D. Kharzeev, PLB 519, 199 (2001), hep-ph/0106202
- Low to medium  $p_T \rightarrow$  thermalization

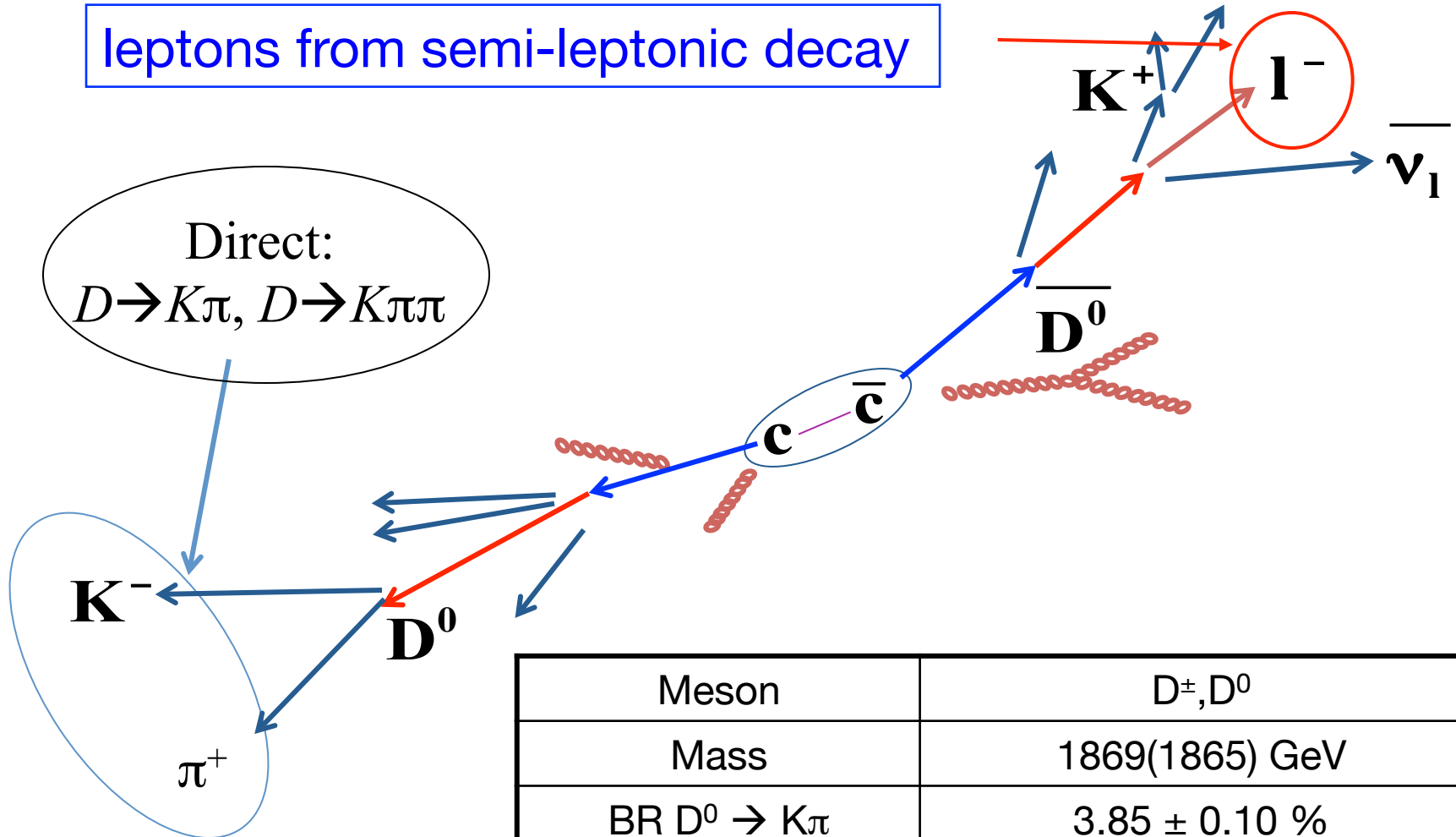
Gluon radiation probability:

$$\omega \frac{dI}{d\omega} \Big|_{HEAVY} = \frac{\omega \frac{dI}{d\omega} \Big|_{LIGHT}}{\left( 1 + \left( \frac{m_Q}{E_Q} \right)^2 \frac{1}{\theta^2} \right)^2}$$



# How to Measure Heavy Quarks?

leptons from semi-leptonic decay



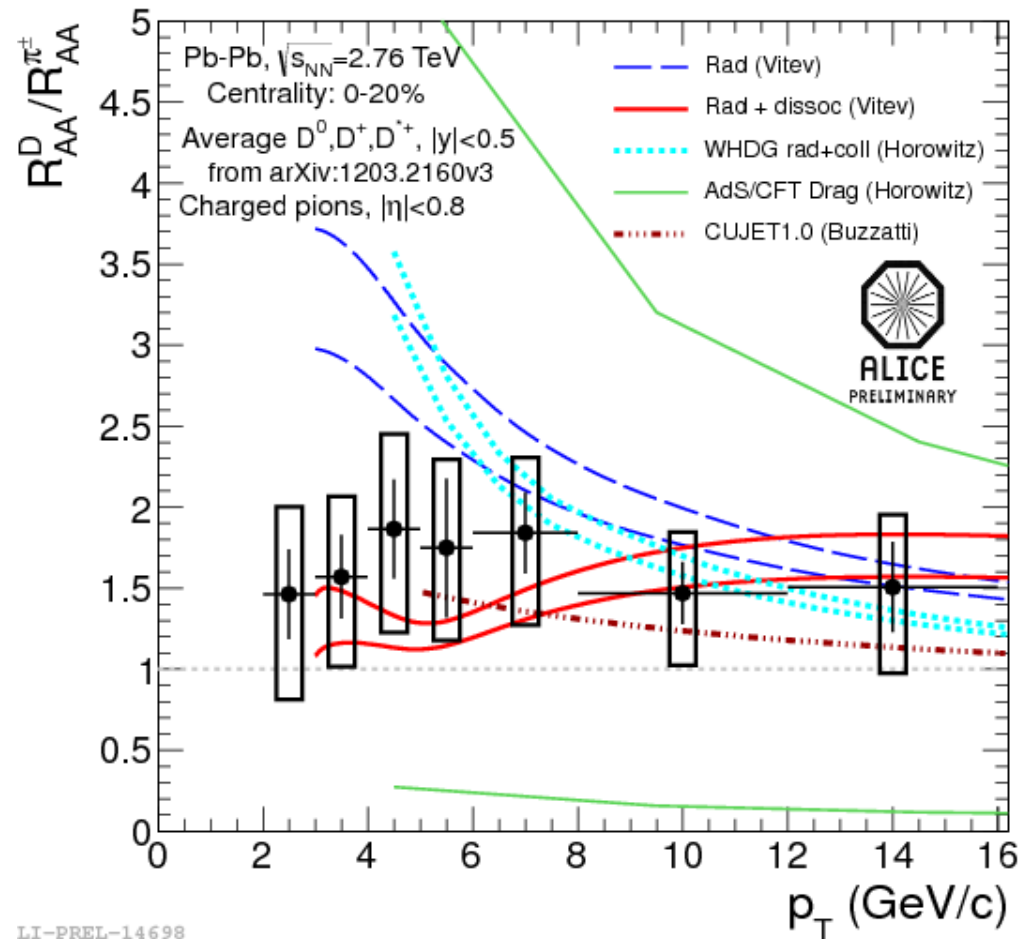
Meson	$D^\pm, D^0$
Mass	1869(1865) GeV
BR $D^0 \rightarrow K\pi$	$3.85 \pm 0.10 \%$
BR $D \rightarrow e + X$	$D^\pm: 17.2, D^0: 6.7 \%$





# $R_{AA}^D/R_{AA}^\pi$ at LHC

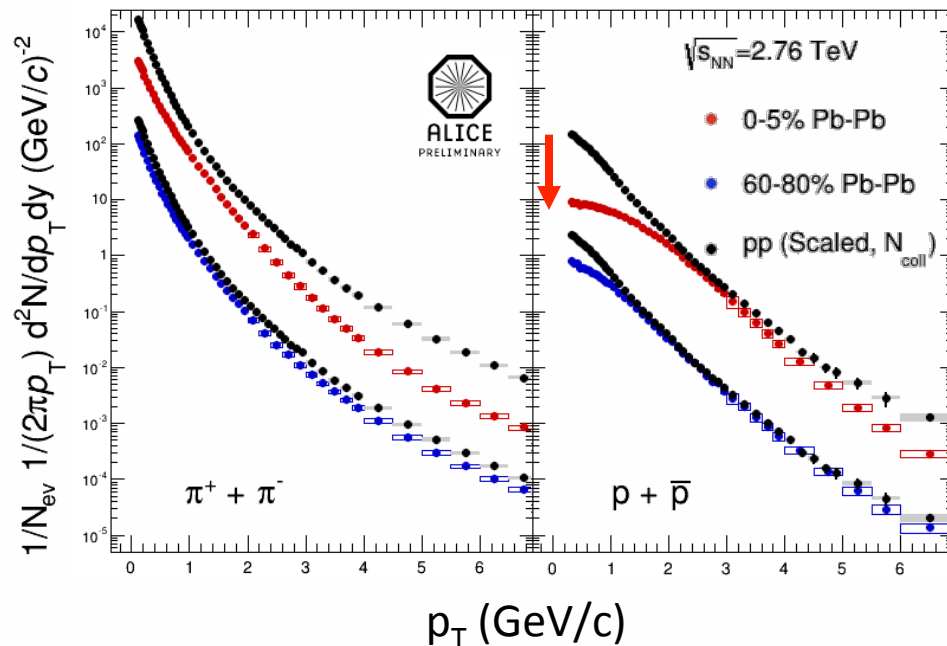
- No large variation with  $p_T$
- $\delta p_T/p_T$  will be interesting to see



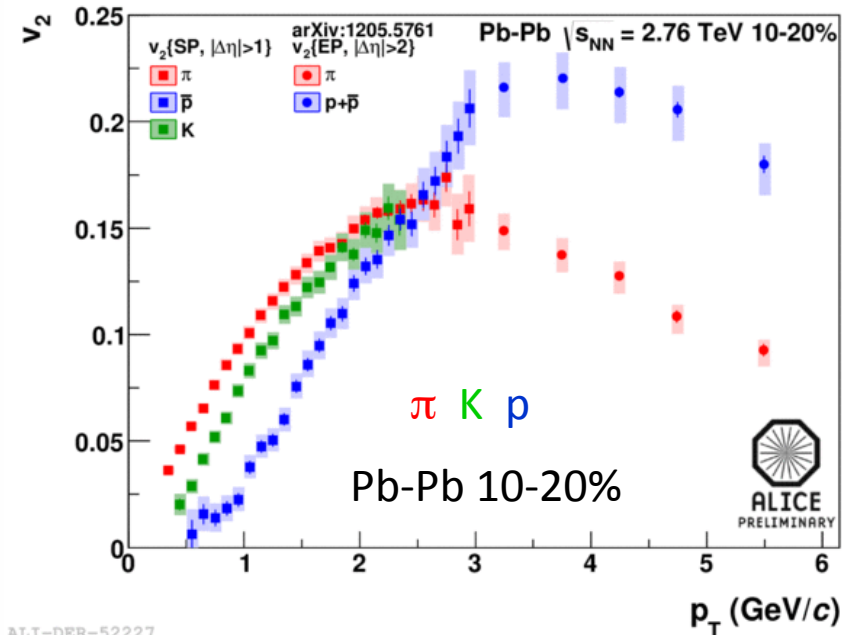
# Flow Patterns in Pb-Pb

- For many it smells like flow, but does it flow?
- Particle identification allows further tests
- Particle-mass dependent effects emerge

Radial Flow



Elliptic Flow



ALI-DER-52227



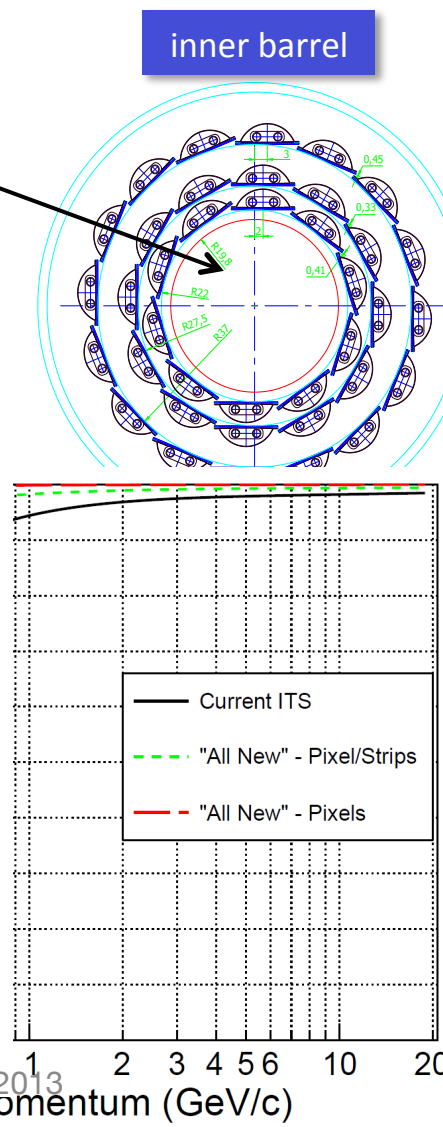
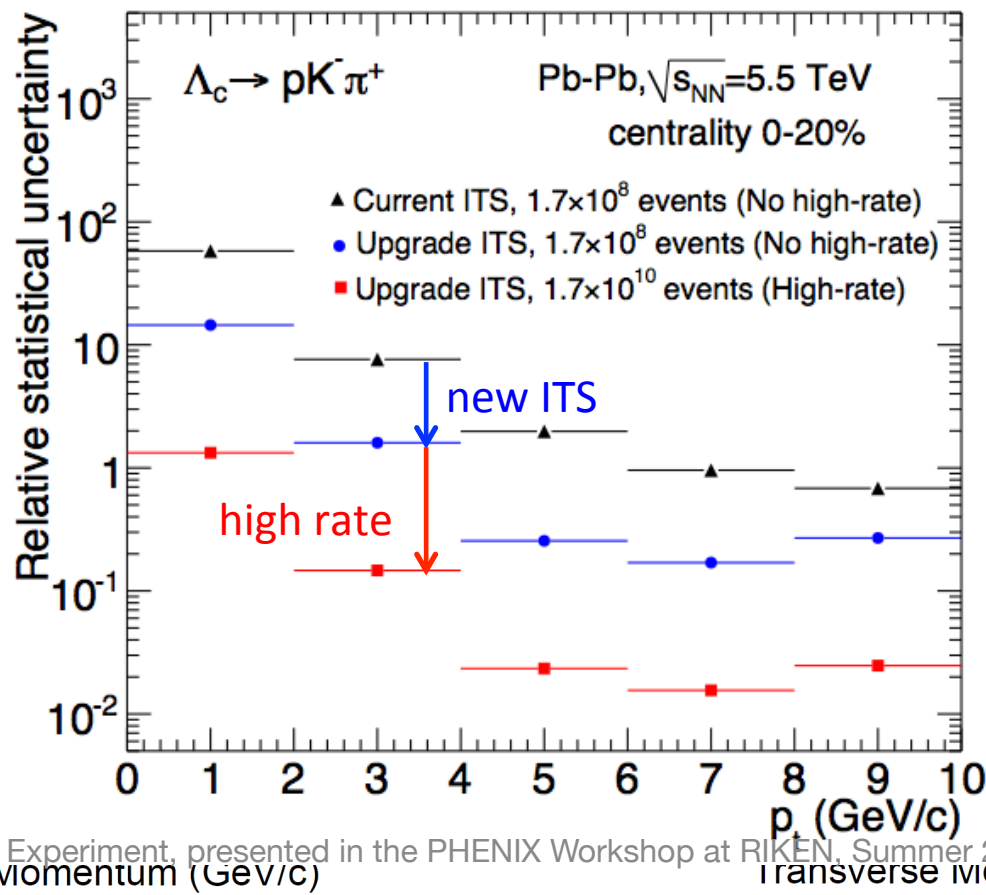
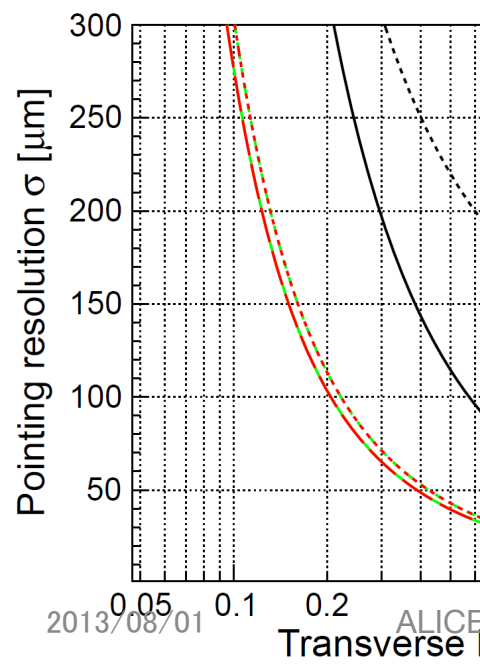
# New Inner Tracking

## seven layers silicon tracker

pointing resolution improved  $\sim 3$  times

- very close to the interaction point – innermost layer 22 mm

low material budget  
substantially improved  
and  $p_t$  resolution

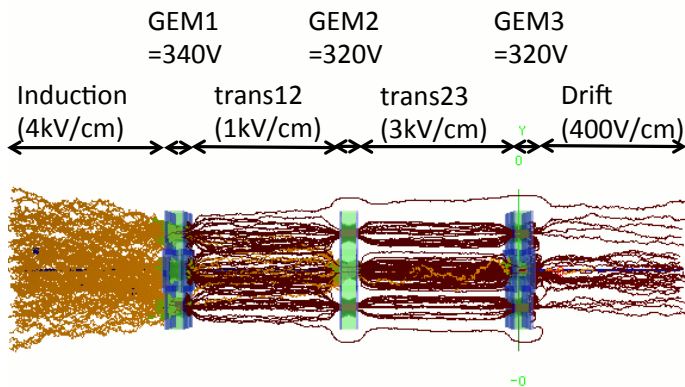


# TPC upgrade

- TPC continuous readout without gating grid
  - minimize ion feedback from amplification region
  - **change MWPC readout to GEM readout**
  - preserving tracking and particle identification capabilities
  - online calibration and data reduction in HLT
  - at 50 kHz of Pb–Pb interaction: reduction factor of  $\sim 25$ , event rate tape 25 kHz, throughput to mass storage 20 GB/s

- Event display

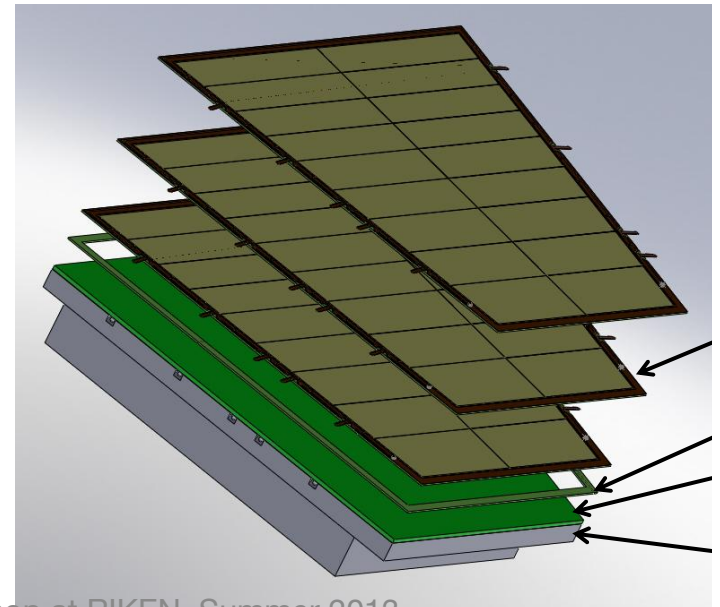
Ar(70%):CO<sub>2</sub>(30%)



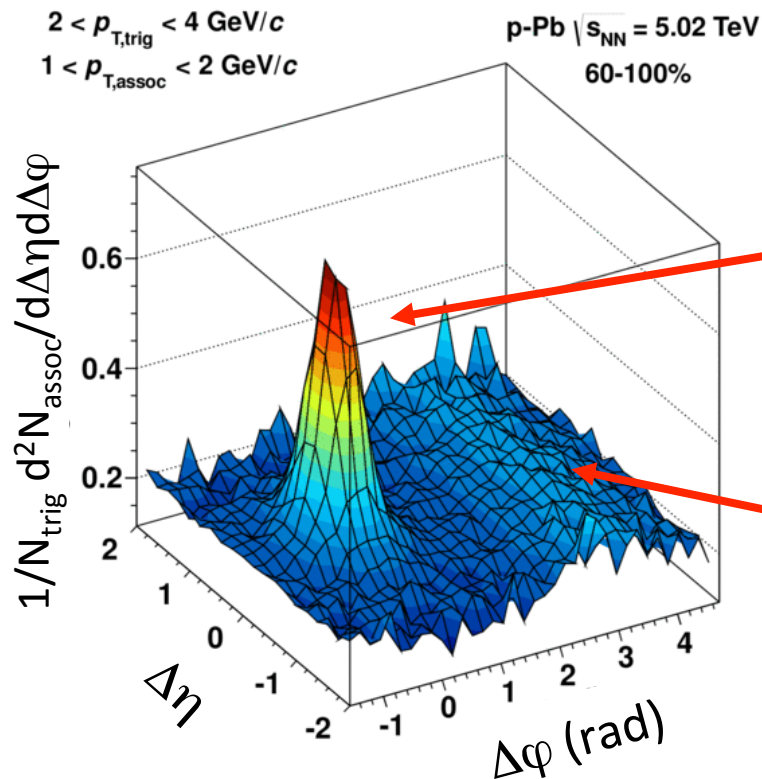
- 7 holes/layer are drawn for display (TGeoManager).

```

---- Event Summary 0 ----
*** ElectronsTotal = 238
**** ElectronsDrift = 0
**** ElectronsGEM3UpperMetal = 0
**** ElectronsGEM3Plastic = 1
**** ElectronsGEM3LowerMetal = 15
**** ElectronsTransfer23 = 0
**** ElectronsGEM2UpperMetal = 0
**** ElectronsGEM2Plastic = 2
**** ElectronsGEM2LowerMetal = 17
**** ElectronsTransfer12 = 0
**** ElectronsGEM1UpperMetal = 0
**** ElectronsGEM1Plastic = 14
**** ElectronsGEM1LowerMetal = 87
**** ElectronsInduction = 102
*** IonsTotal = 238
**** IonsDrift = 33
**** IonsGEM3UpperMetal = 85
**** IonsGEM3Plastic = 9
**** IonsGEM3LowerMetal = 2
**** IonsTransfer23 = 0
**** IonsGEM2UpperMetal = 1
**** IonsGEM2Plastic = 3
**** IonsGEM2LowerMetal = 0
**** IonsTransfer12 = 0
**** IonsGEM1UpperMetal = 76
**** IonsGEM1Plastic = 29
**** IonsGEM1LowerMetal = 0
**** IonsInduction = 0
  
```



# Typical Two-Particle Correlation in p-Pb Collisions



$2 < p_{T,\text{trig}} < 4 \text{ GeV}/c$   
 $1 < p_{T,\text{assoc}} < 2 \text{ GeV}/c$   
 40% lowest multiplicity p-Pb

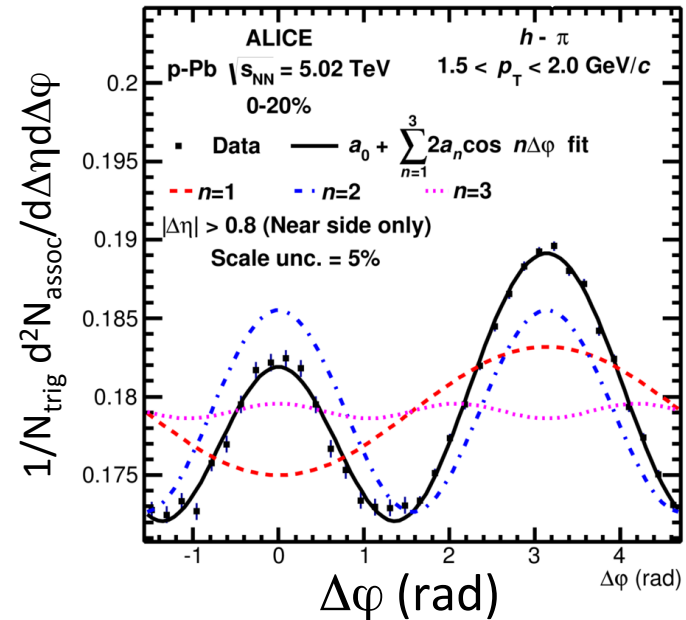
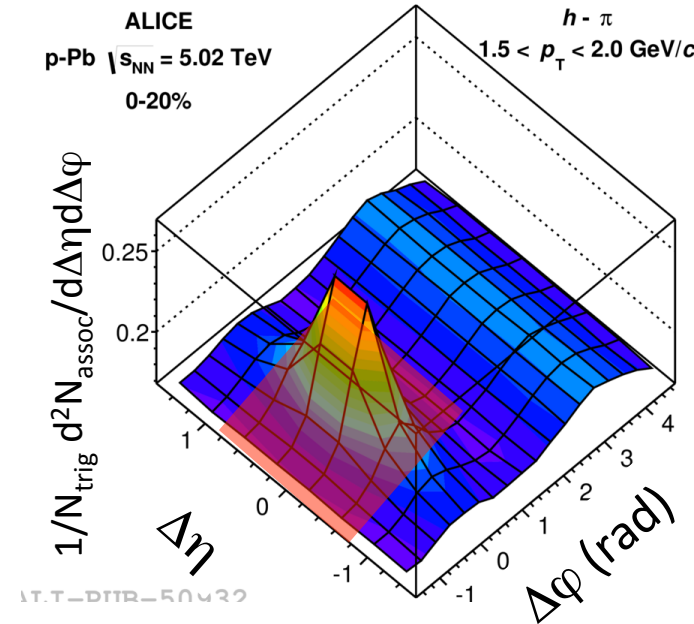
Near-side jet  
 + resonances, ...  
 $(\Delta\phi \sim 0, \Delta\eta \sim 0)$

Away-side jet  
 $(\Delta\phi \sim \pi, \text{elongated in } \Delta\eta)$

There is some thing more ... interesting

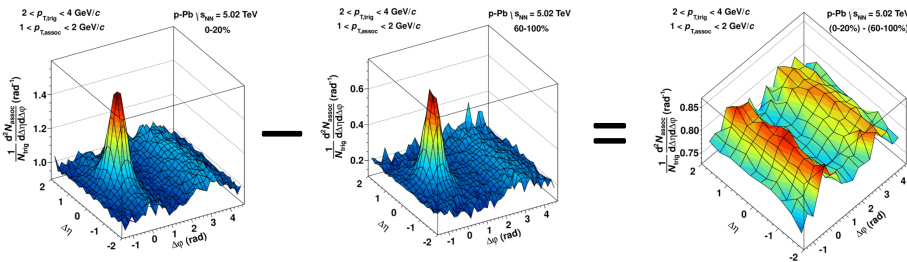
# h-ID Correlations

- Typical features (NS + AS jet, ridge) also in h- $\pi$ , h-K, h-p correlations
- Project to  $\Delta\varphi$  with  $\eta$ -gap on NS
- Fit with 3 Fourier coefficients gives good description
  - Large first component due to recoil jet
- Near side: mostly ridge
- Away side: jet + ridge
  - affects also  $v_2$
- Allows to extract  $v_n$  coefficients



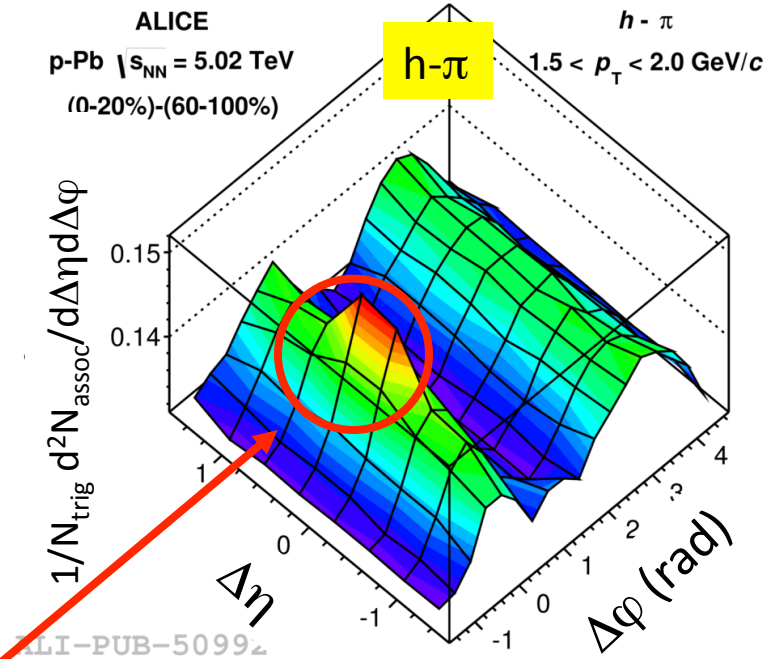
# Subtraction

- Subtract jet component by (0-20%) – (60-100%)

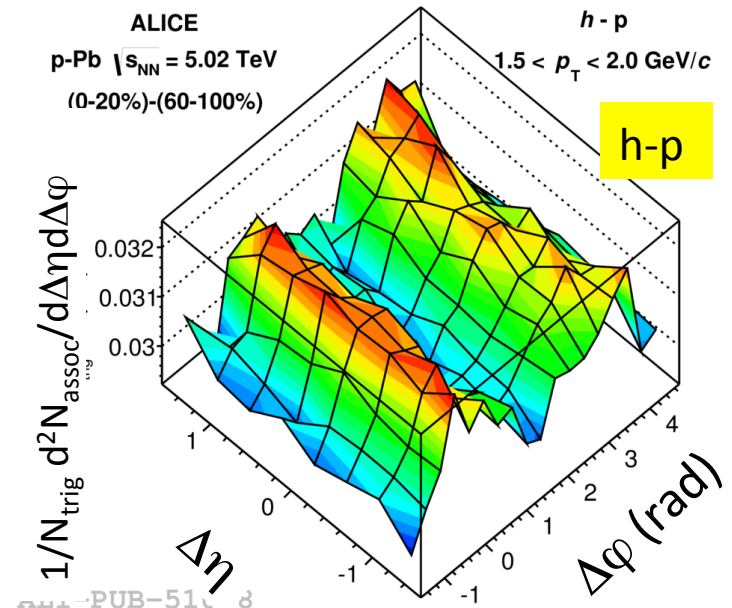


- Residue of jet, in particular for pions

- Most likely event-selection bias on jet fragmentation
- Excluded due to near-side  $\eta$ -gap
- Away-side contribution assessed in various ways (ask for details)



ALICE-PUB-50992



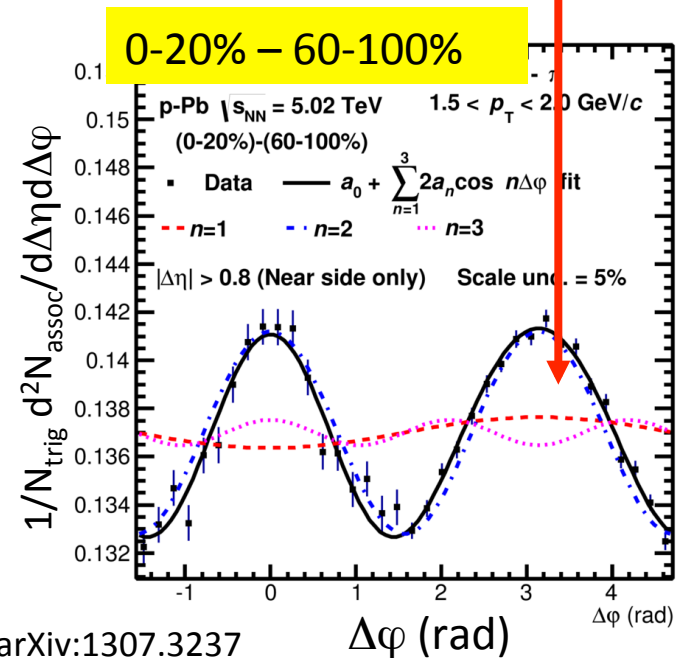
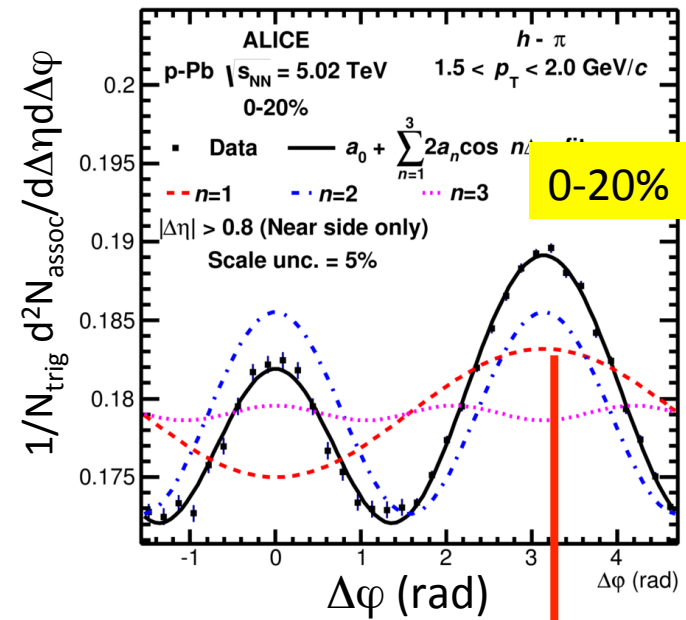
ALICE-PUB-51108

ALICE, arXiv:1307.3237

# $v_n$ Coefficients

- First Fourier coefficients is mainly driven by recoil jet
  - Significant change in magnitude with subtraction (up to 10 times smaller)
- Second Fourier coefficient changes with subtraction by 20-40%
- NB. Subtraction removes also baseline (b) from 60-100%

$$v_2 = \sqrt{a_2 / (a_0 + b)}$$



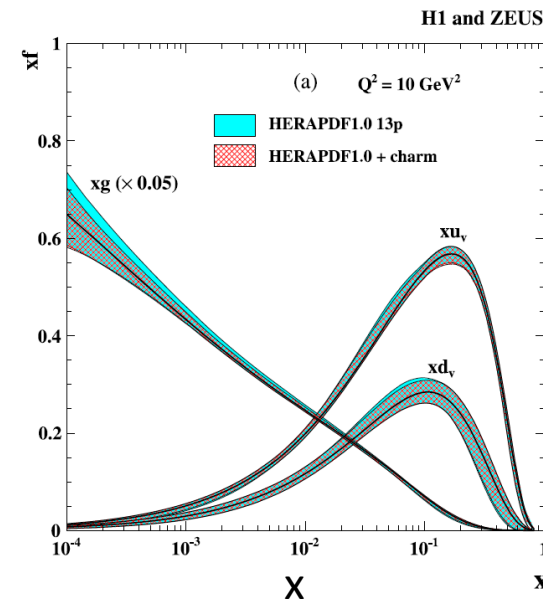
ALICE, arXiv:1307.3237



# Color Glass Condensate



- Gluon saturation at low x
  - New scale: saturation scale
- Enhancement of "glasma" graph
- Calculation for p-Pb LHC by Dusling and Venugopalan (PRD 87, 094034 (2013))
  - Good agreement, no  $v_3$  component, though



HERA, EPJC (2013) 73:2311

