

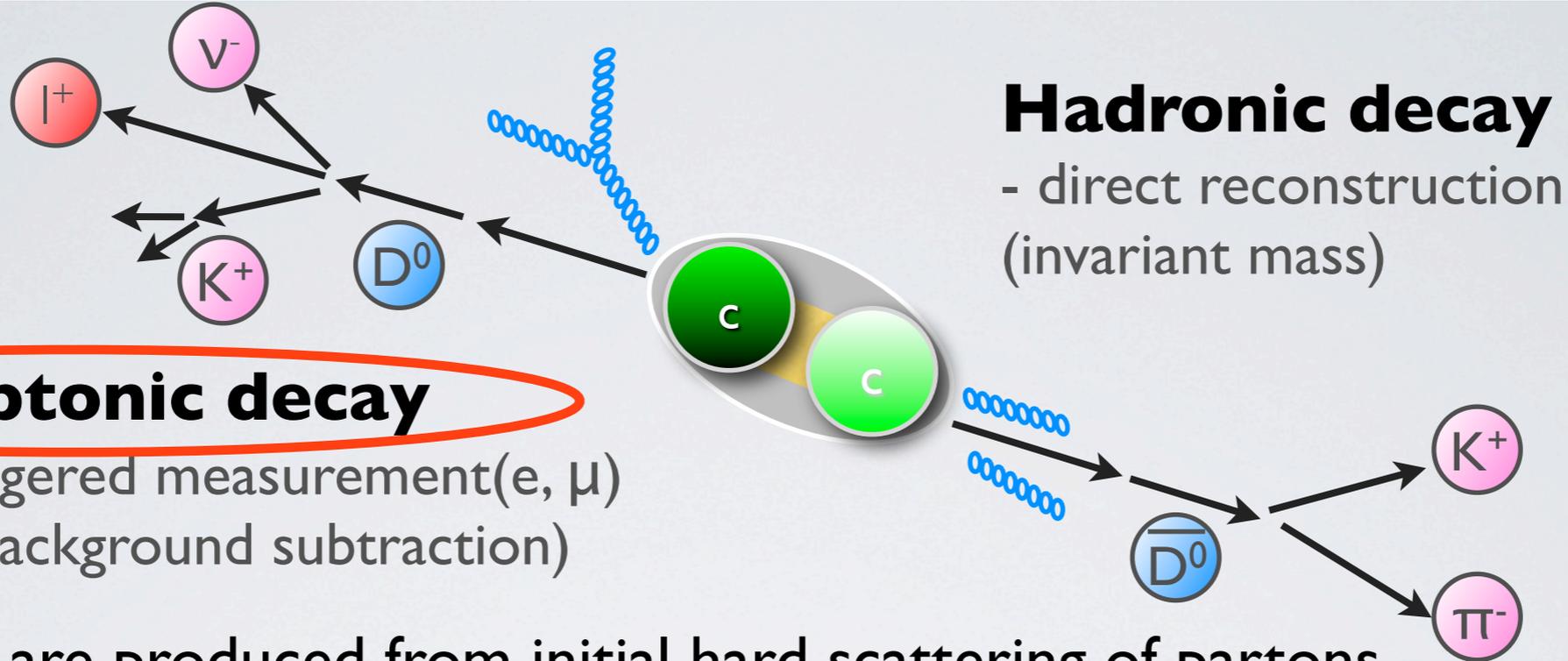
# **Heavy quark production at forward rapidity in d+Au collisions & CNM effects**

**Sanghoon Lim  
Yonsei University**

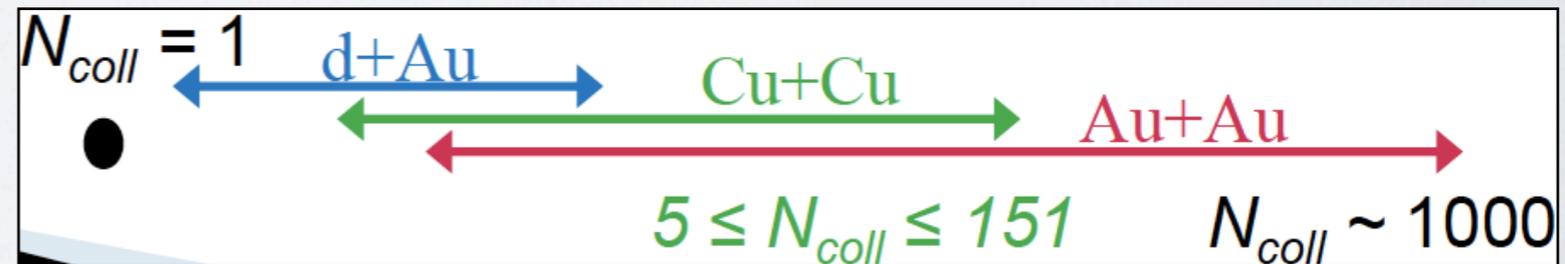
**Japan-Korea PHENIX workshop  
Nov. 5th 2013/SKKU**

# Introduction

# Heavy-quark production



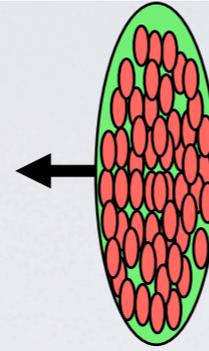
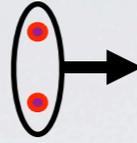
- Heavy-quarks are produced from initial hard scattering of partons
  - good for studying evolution of medium
  - leading-order process is **gluon fusion** => sensitive to initial nPDF modification
- p+p collisions
  - test pQCD, provide baseline measurements
- d+Au collisions
  - study cold nuclear matter effects
- Heavy-ion collisions
  - probe effects of hot and dense medium



PHENIX backward rapidity

\*Au-going side

\* $x_1 < x_2$



PHENIX forward rapidity

\*d-going side

\* $x_1 > x_2$

parton of  $x_1$  in d

parton of  $x_2$  in Au

$$x_2 = \frac{Q}{\sqrt{s_{NN}}} e^{-y}$$

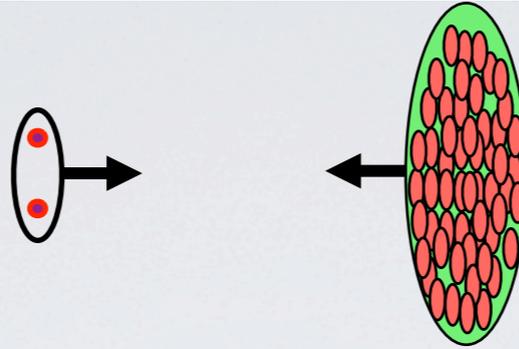
- d+Au collisions as a **control experiment**
  - in heavy-ion collisions, both HNM & CNM effects are combined
  - another baseline to interpret and understand the heavy-ion results

# d+Au collisions

PHENIX backward rapidity

\*Au-going side

\* $x_1 < x_2$



PHENIX forward rapidity

\*d-going side

\* $x_1 > x_2$

parton of  $x_1$  in d

parton of  $x_2$  in Au

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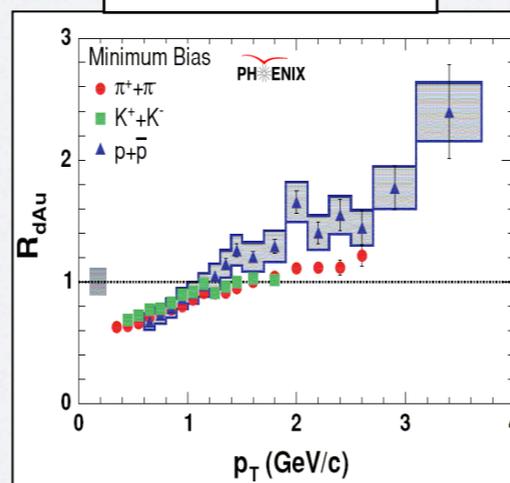
- d+Au collisions as a **control experiment**

- in heavy-ion collisions, both HNM & CNM effects are combined
- another baseline to interpret and understand the heavy-ion results

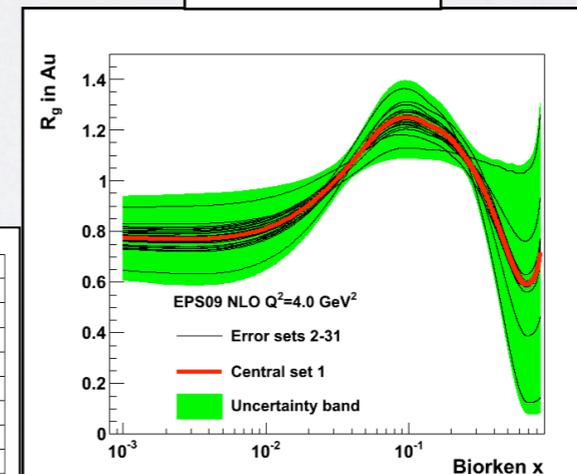
- Cold Nuclear Matter (CNM) effects

- modification of parton distribution function
- initial parton energy loss
- $p_T$  ( $k_T$ ) broadening (Cronin effect)
- nuclear break-up (absorption)

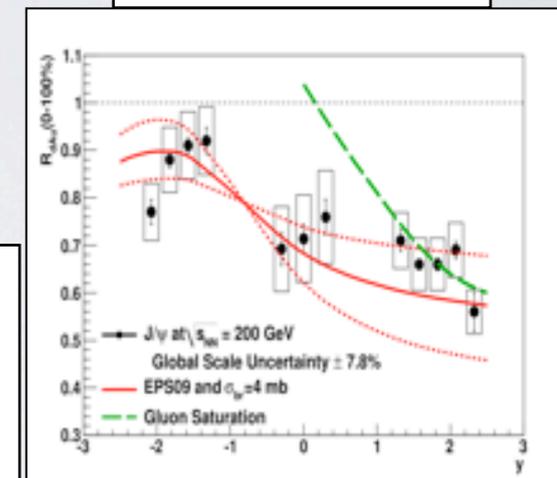
$p_T$  broadening



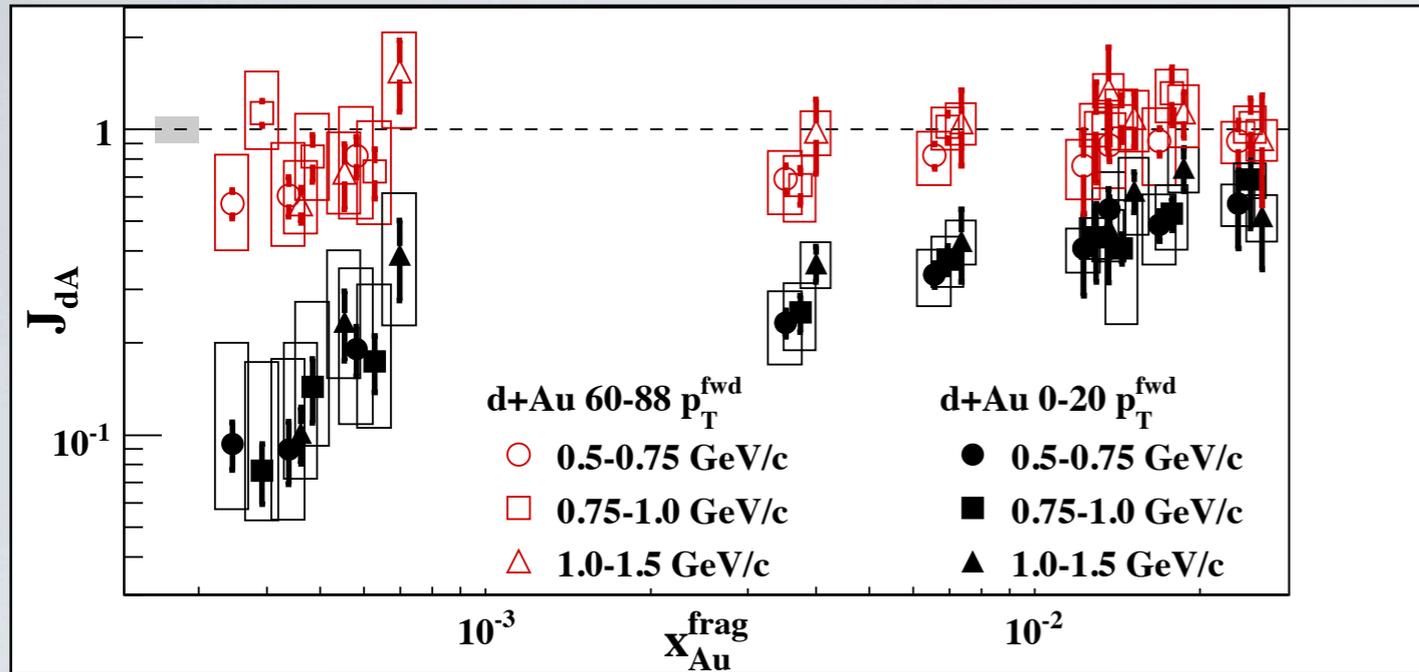
shadowing



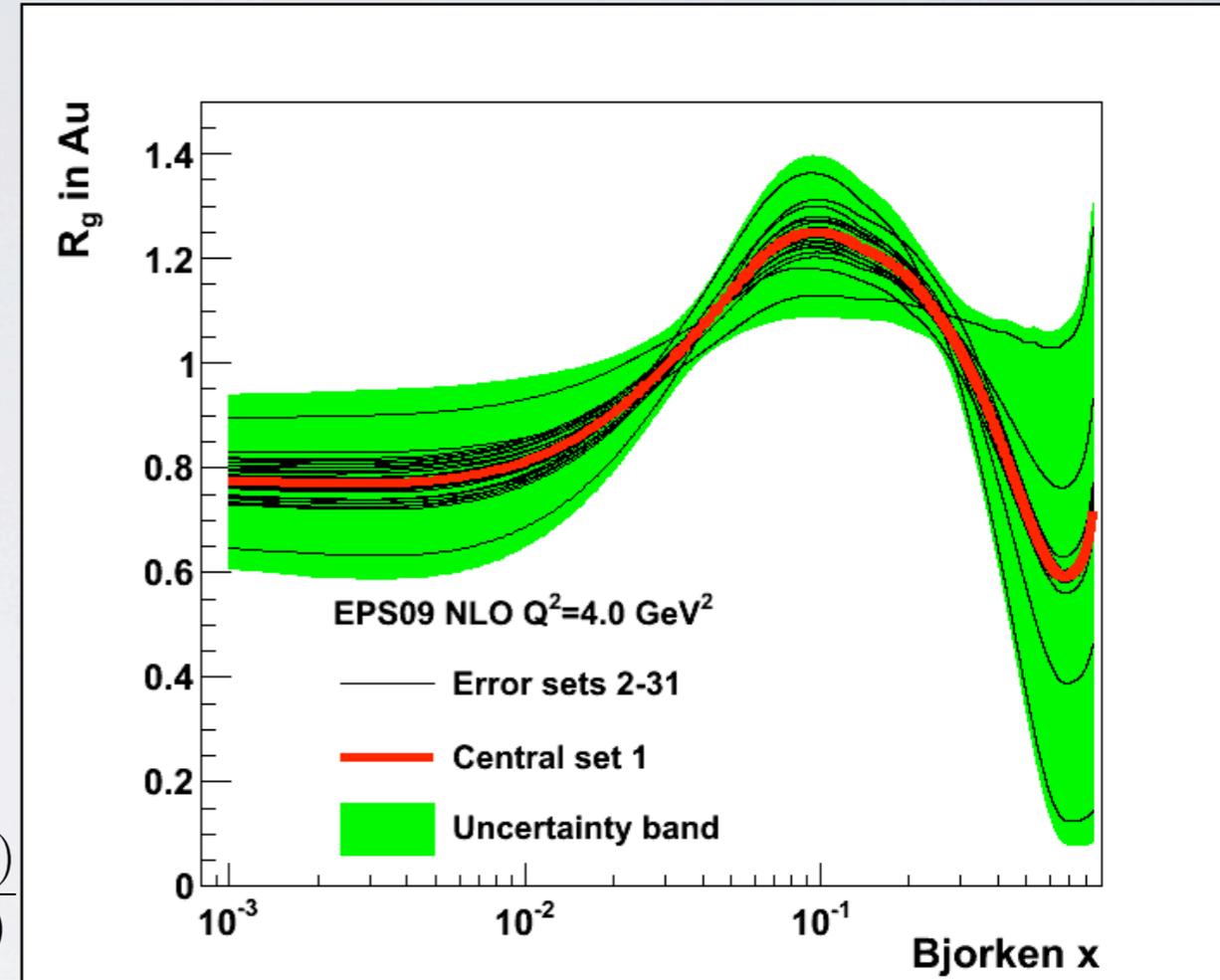
nuclear breakup



# CNM effects - Nuclear shadowing



Phys. Rev. Lett. 107, 172301 (2011)



JHP04 (2009)065

$$J_{dA} = \frac{\sigma_{dA}^{pair} / \sigma_{dA}}{\langle N_{coll} \rangle \sigma_{pp}^{pair} / \sigma_{pp}} \propto \frac{f_d^a(x_d) \otimes f_A^b(x_A) \otimes \sigma^{ab \rightarrow cd} \otimes D(z_c, z_d)}{f_p^a(x_p) \otimes f_p^b(x_p) \otimes \sigma^{ab \rightarrow cd} \otimes D(z_c, z_d)}$$

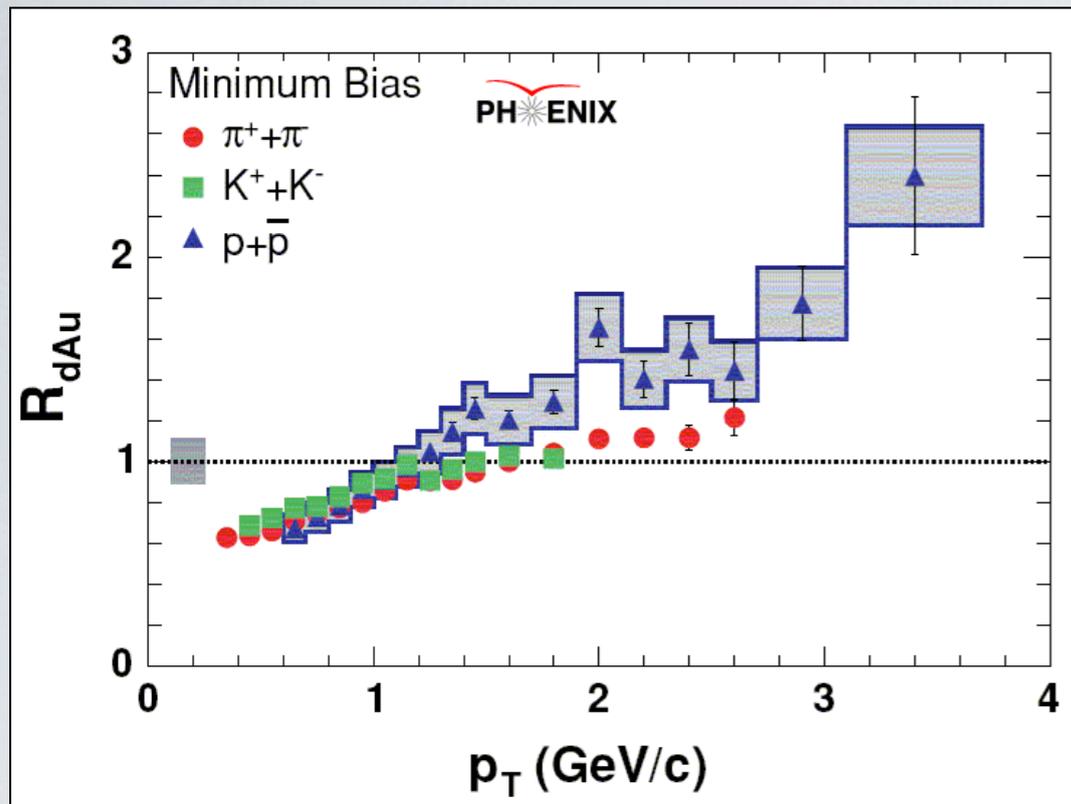
High  $x$  in d  
mostly valance quarks  
weak modification expected

Low  $x$  in Au  
mostly gluon  
strong modification (suppression) expected

$$J_{dA} \sim R_G^A$$

- modification of parton distribution in nuclei
  - shadowing
  - anti-shadowing
  - EMC

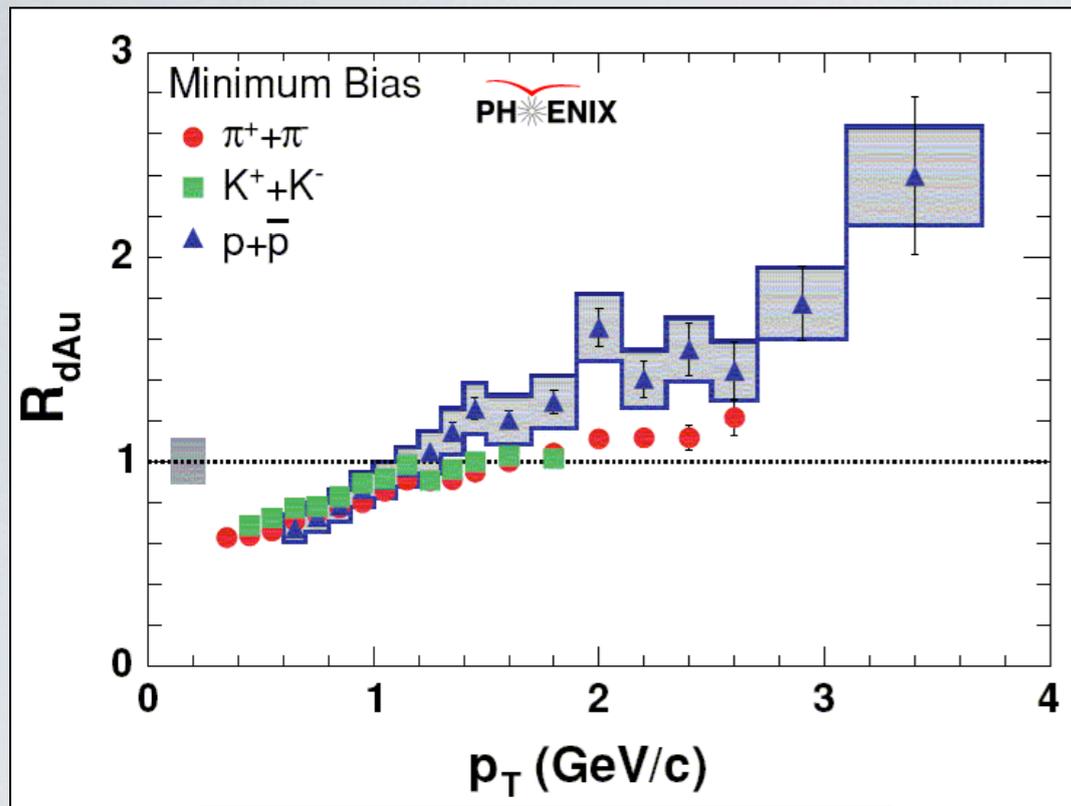
# CNM effects - Cronin effect & Nuclear absorption



Phys. Rev. C 74, 024904 (2006)

- Cronin effect
  - $p_T$  broadening due to multiple inelastic scattering of incoming parton before hard scattering
  - baryon enhancement can be explained by recombination model
    - \*(R. Hwa, et al. nucl-th/0404066)

# CNM effects - Cronin effect & Nuclear absorption



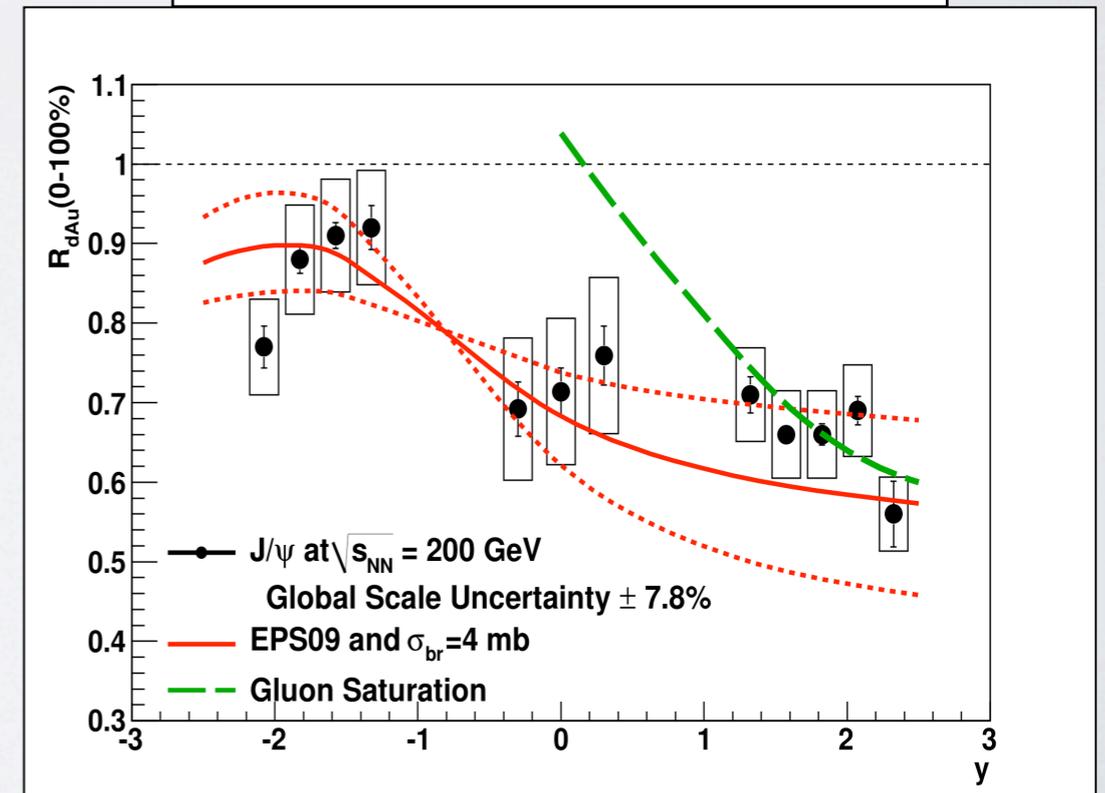
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## Cronin effect

- $p_T$  broadening due to multiple inelastic scattering of incoming parton before hard scattering
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Phys. Rev. Lett. 107, 142301 (2011)



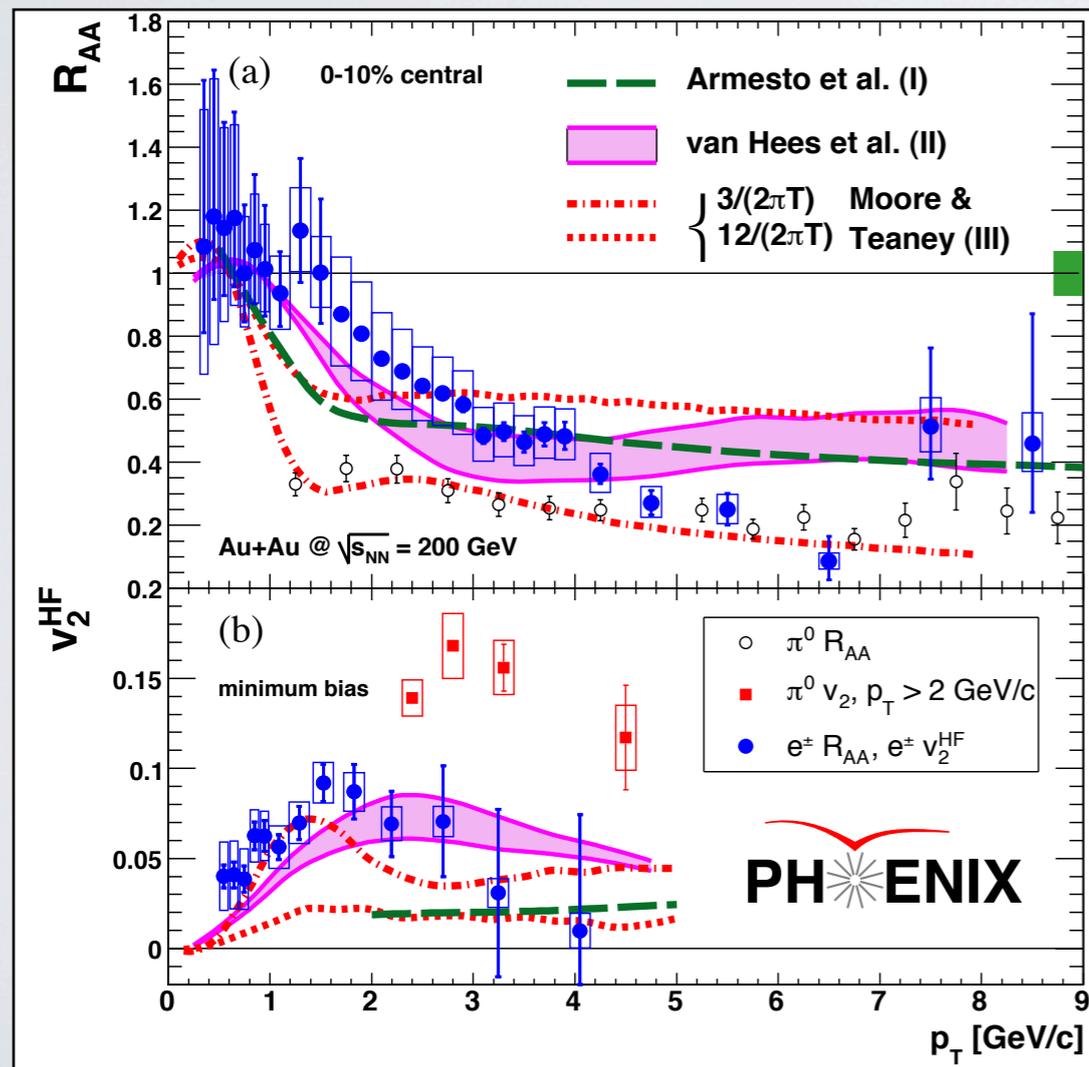
## Nuclear Breakup (Absorption)

- breaking up quarkonia with CNM
  - \*nucleus during the crossing
  - \*co-mover
- $J/\psi$  are suppressed at all rapidity and in all centrality ranges
- large difference from open heavy flavor production

# Review of heavy-quark results

- In central Au+Au collisions
  - **large suppression** of high  $p_T$  heavy-flavor electron
  - **significant  $v_2$**

$$R_{AB} = \frac{dN_{AB}}{\langle N_{coll} \rangle dN_{pp}}$$

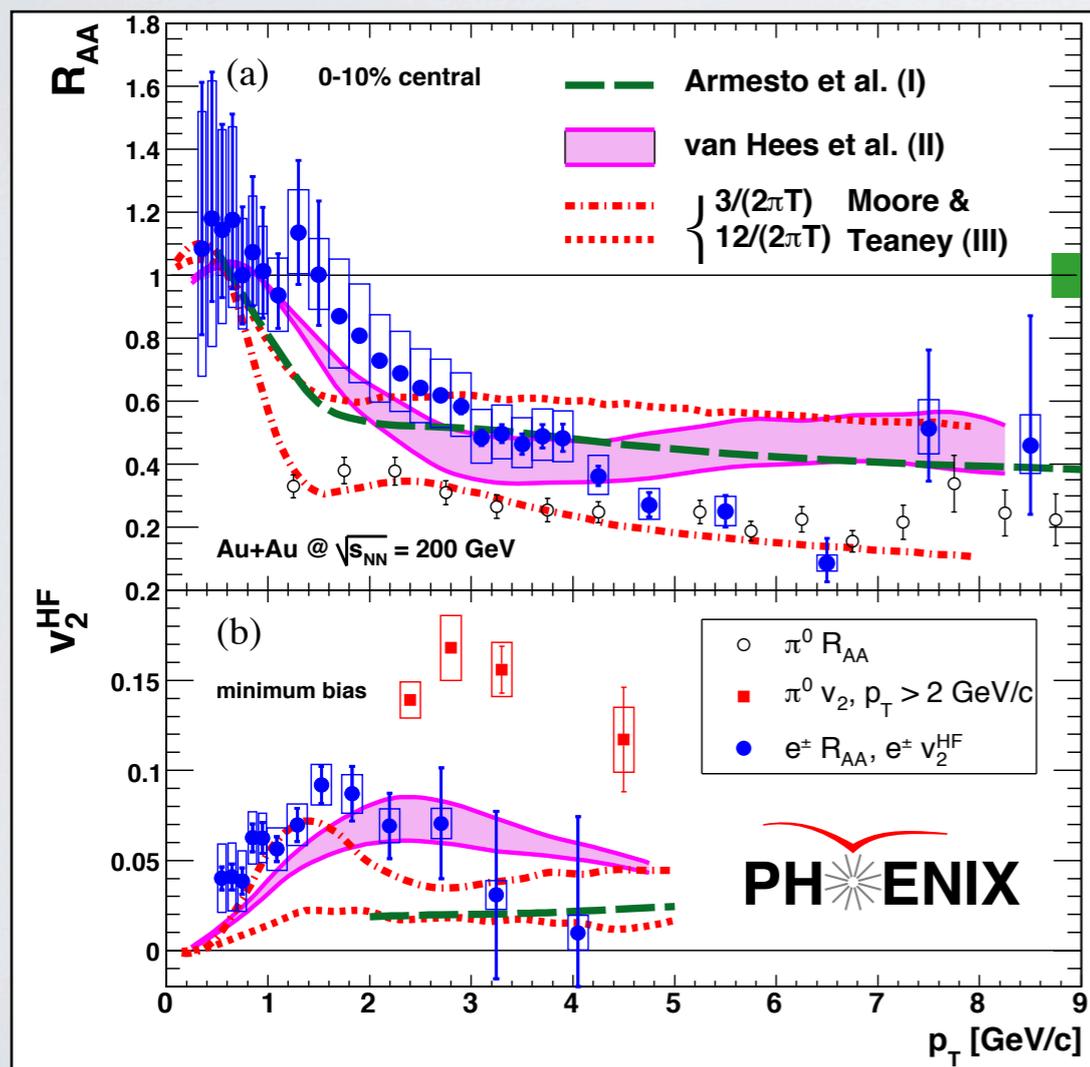


Phys. Rev. Lett. 98, 172301 (2007)

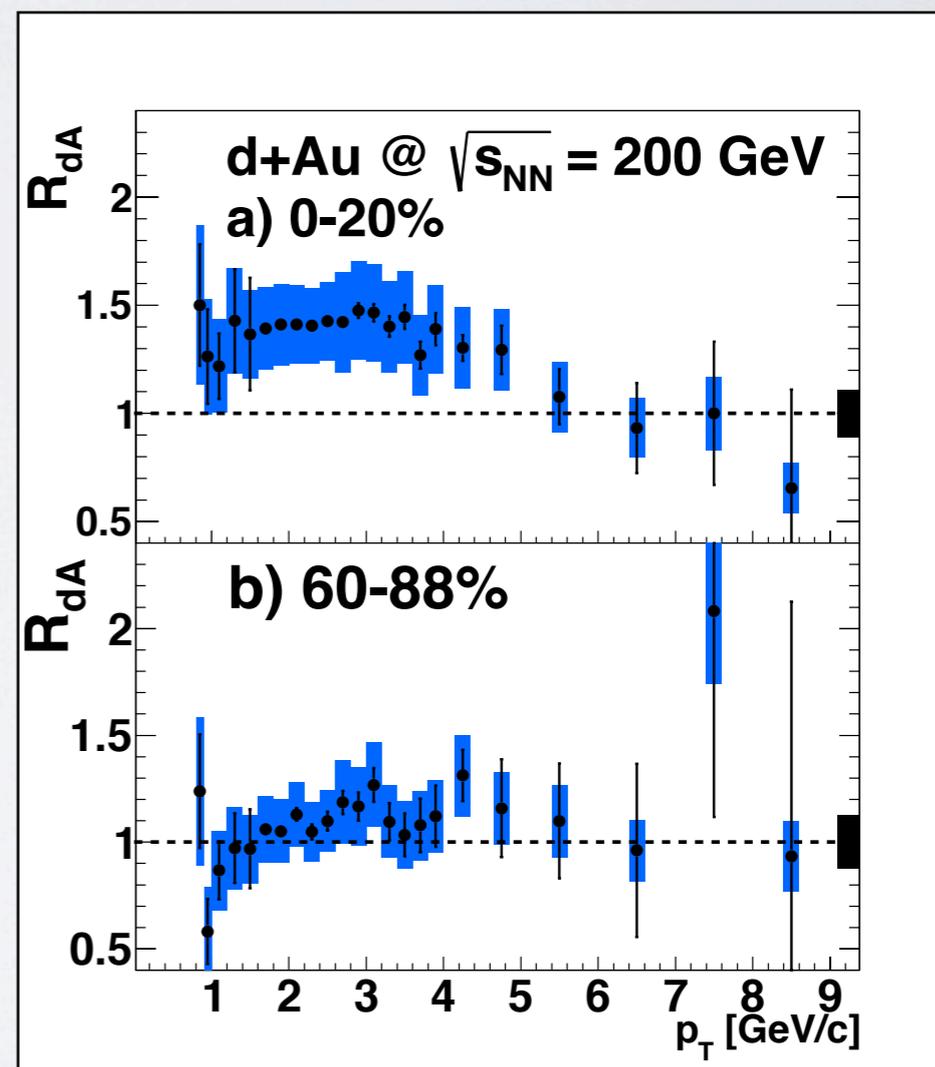
# Review of heavy-quark results

- In central Au+Au collisions
  - **large suppression** of high  $p_T$  heavy-flavor electron
  - **significant  $v_2$**
- In d+Au collisions
  - **clear enhancement** in central d+Au collisions at mid-rapidity  
 → suppression at mid-rapidity is due to HNM effects

$$R_{AB} = \frac{dN_{AB}}{\langle N_{coll} \rangle dN_{pp}}$$



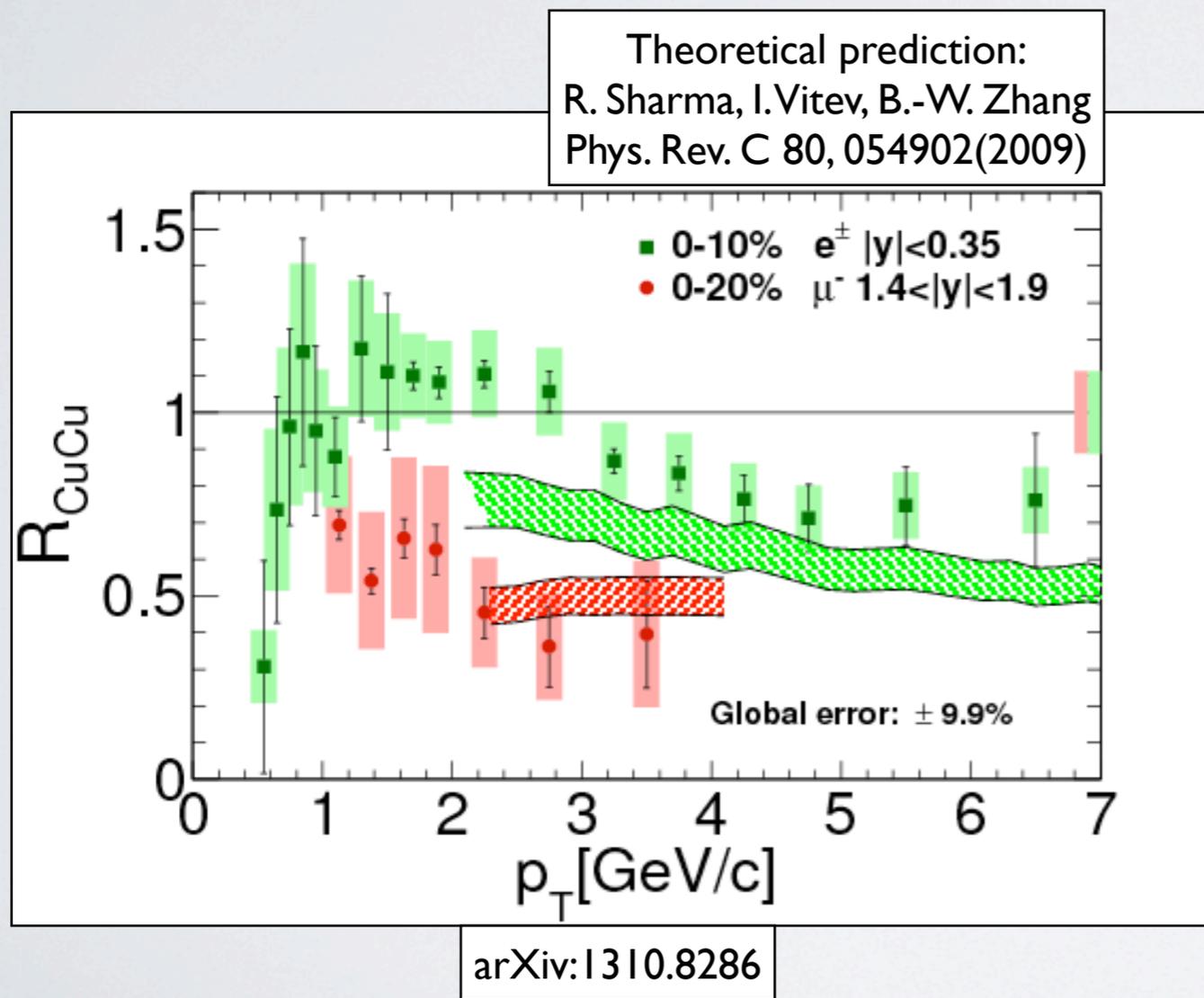
Phys. Rev. Lett. 98, 172301 (2007)



Phys. Rev. Lett. 100, 242301 (2012)

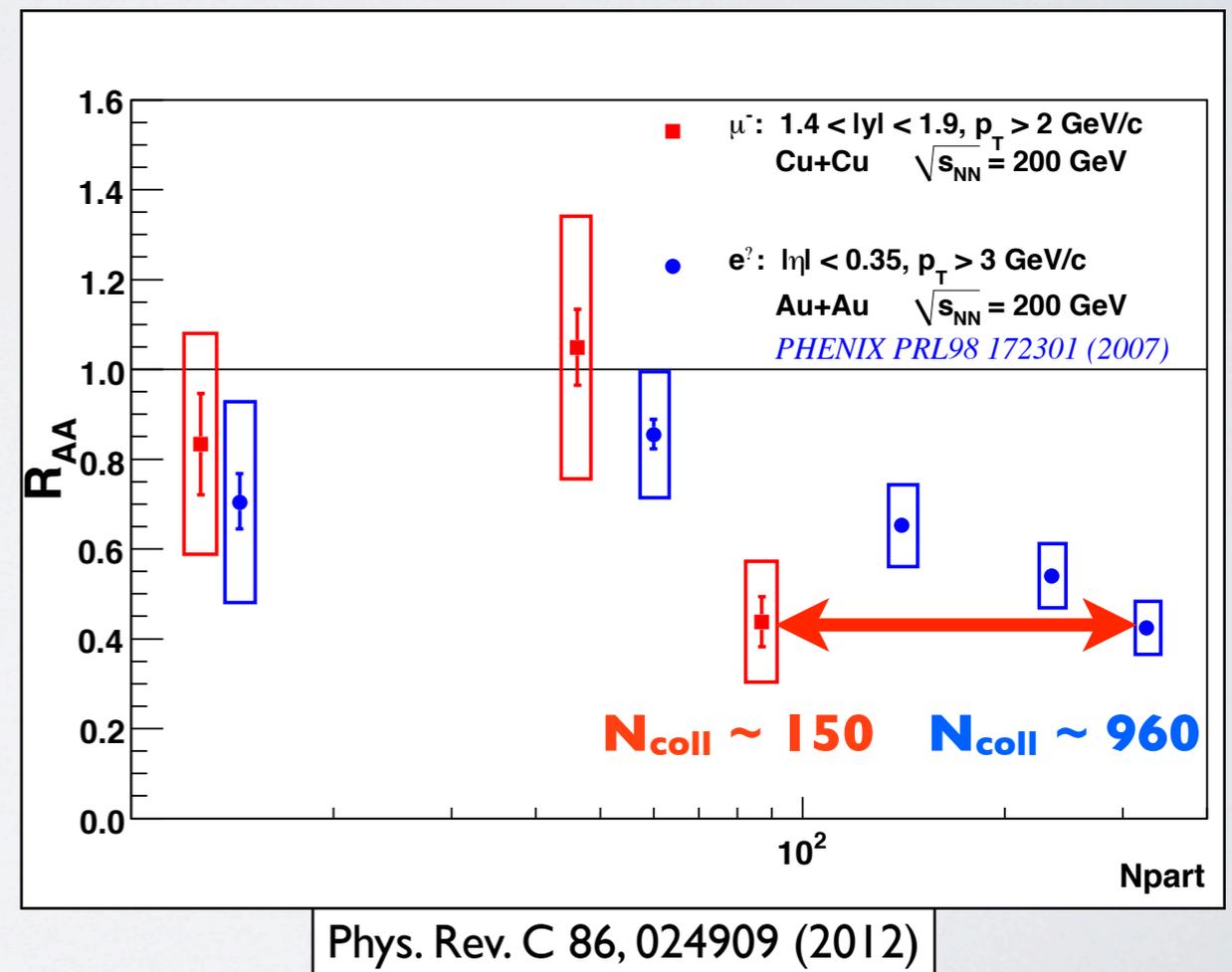
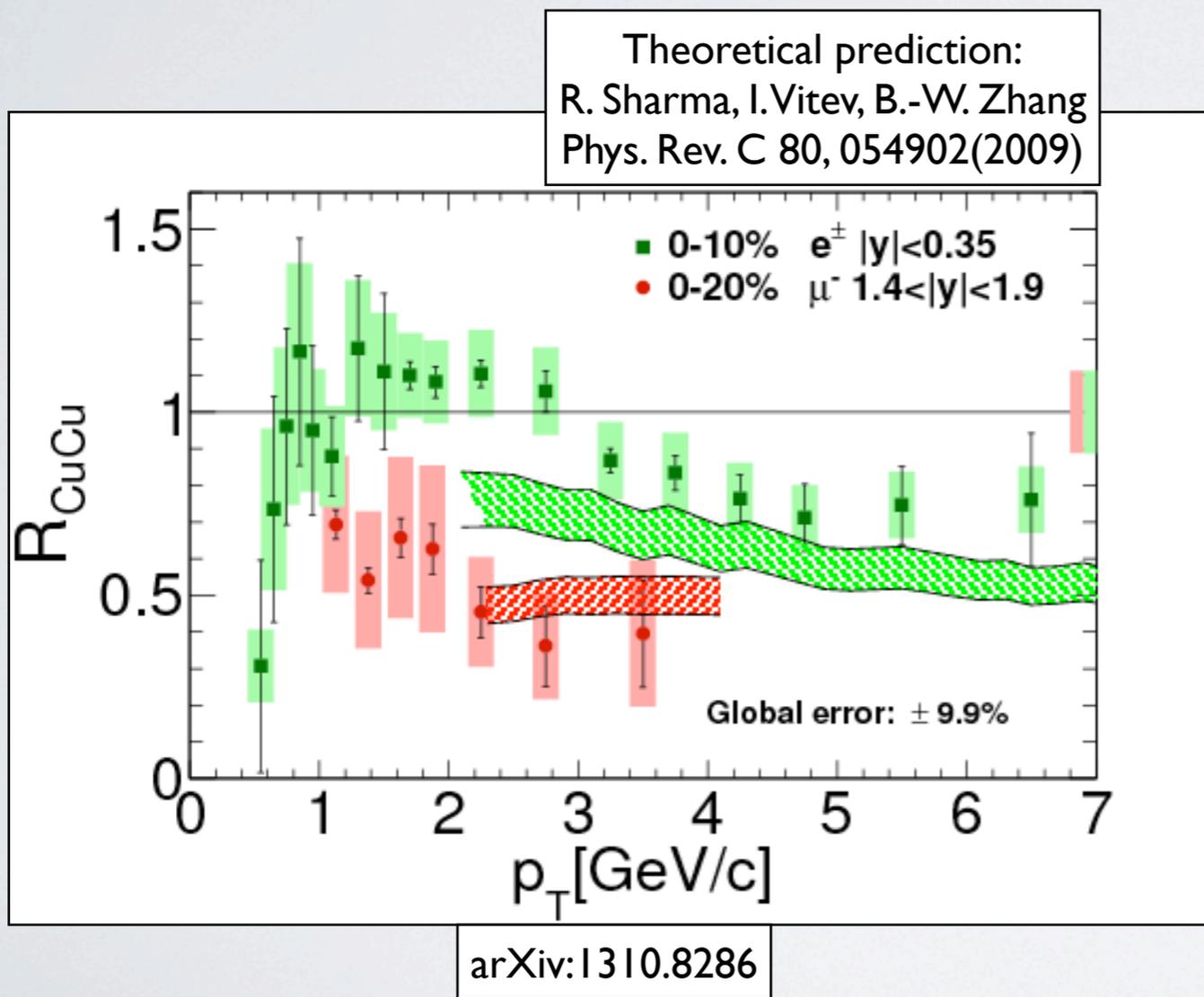
# Review of heavy-quark results

- In central Cu+Cu collisions
  - **small suppression** at mid-rapidity → **CNM & HNM are competing**
  - whereas, **large suppression** at forward rapidity



# Review of heavy-quark results

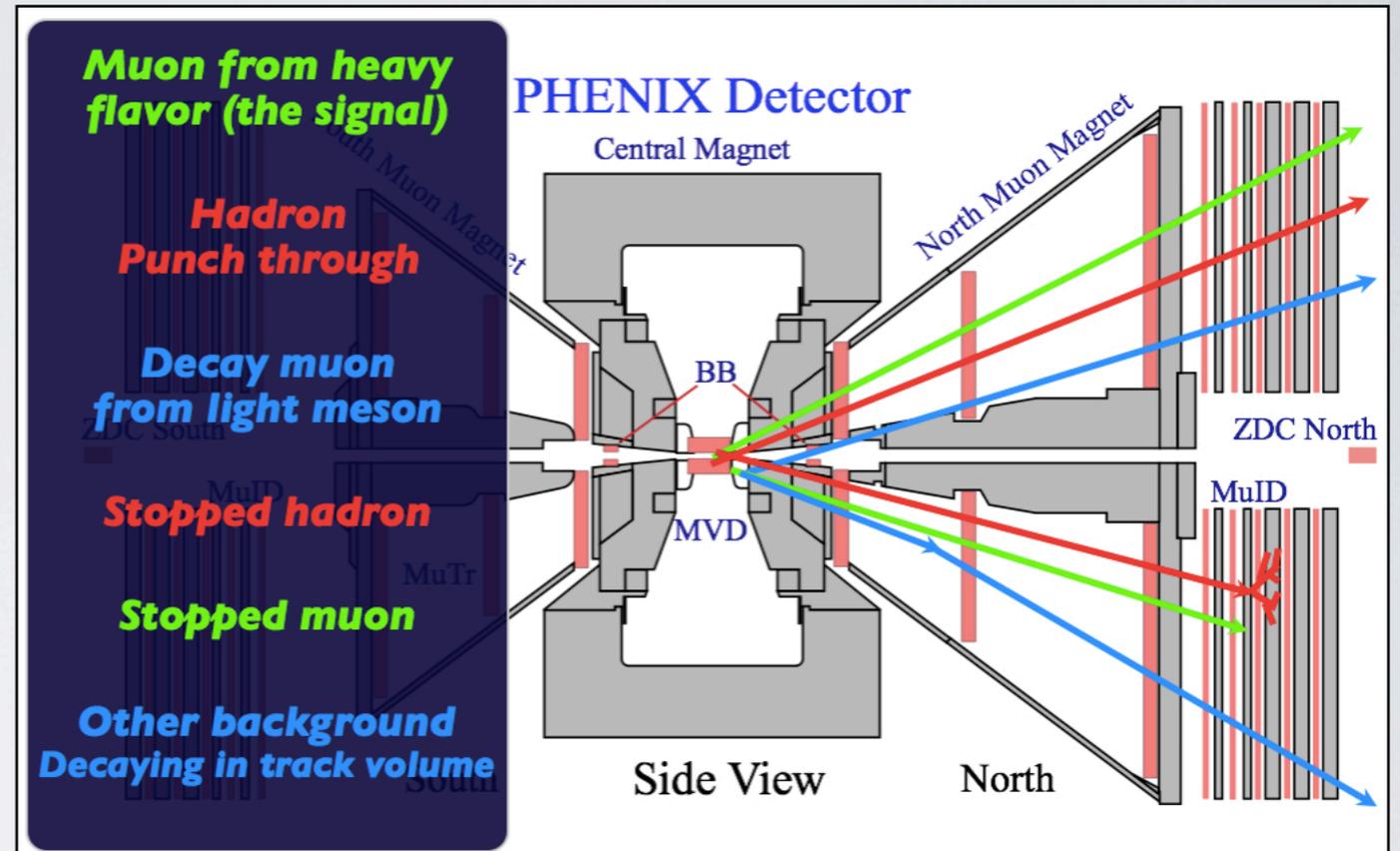
- In central Cu+Cu collisions
  - **small suppression** at mid-rapidity → **CNM & HNM are competing**
  - whereas, **large suppression** at forward rapidity
  - similar level of suppressions in **central Cu+Cu at forward** and in **central Au+Au at mid-rapidity** → **large CNM effects at forward?**



# Single muon analysis

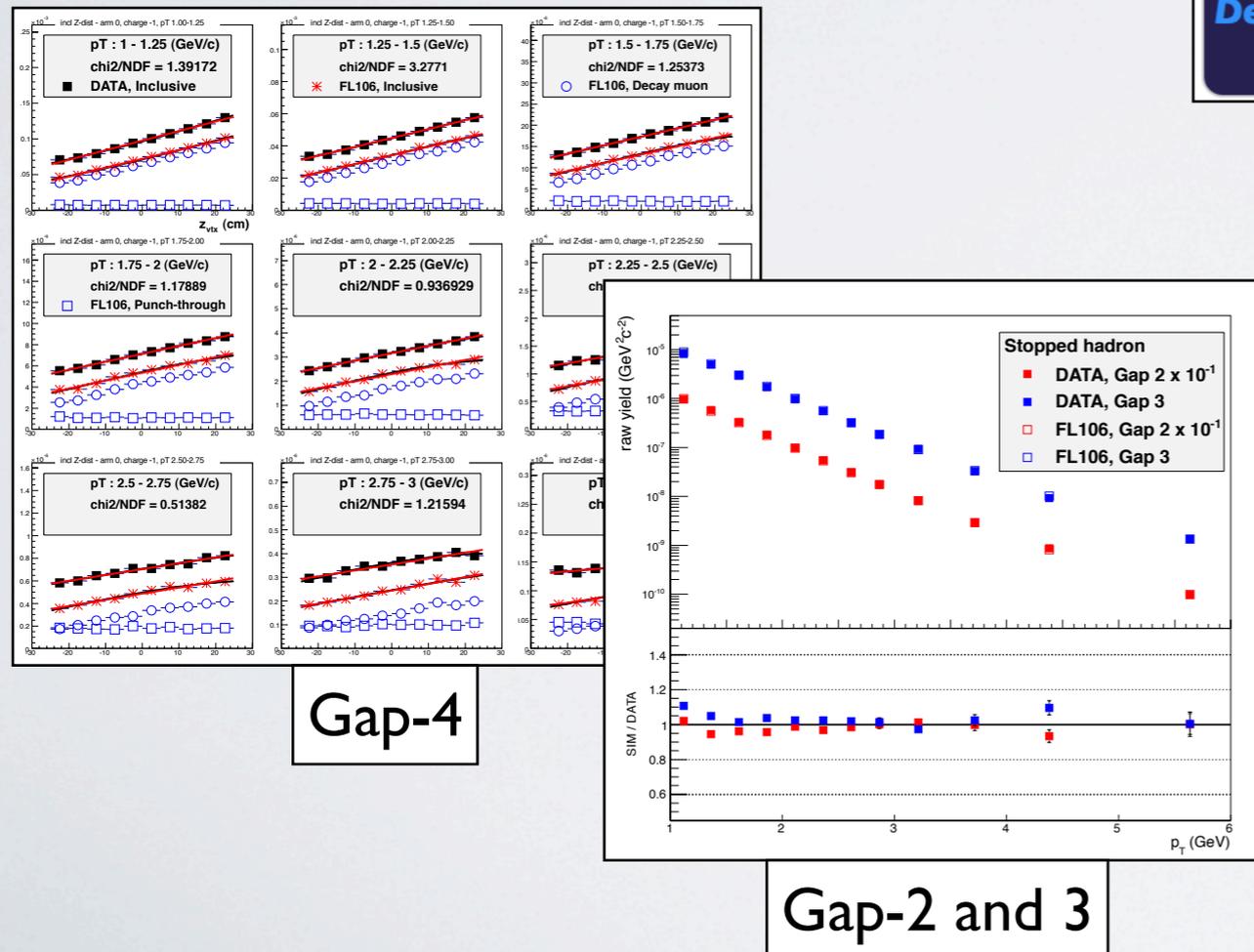
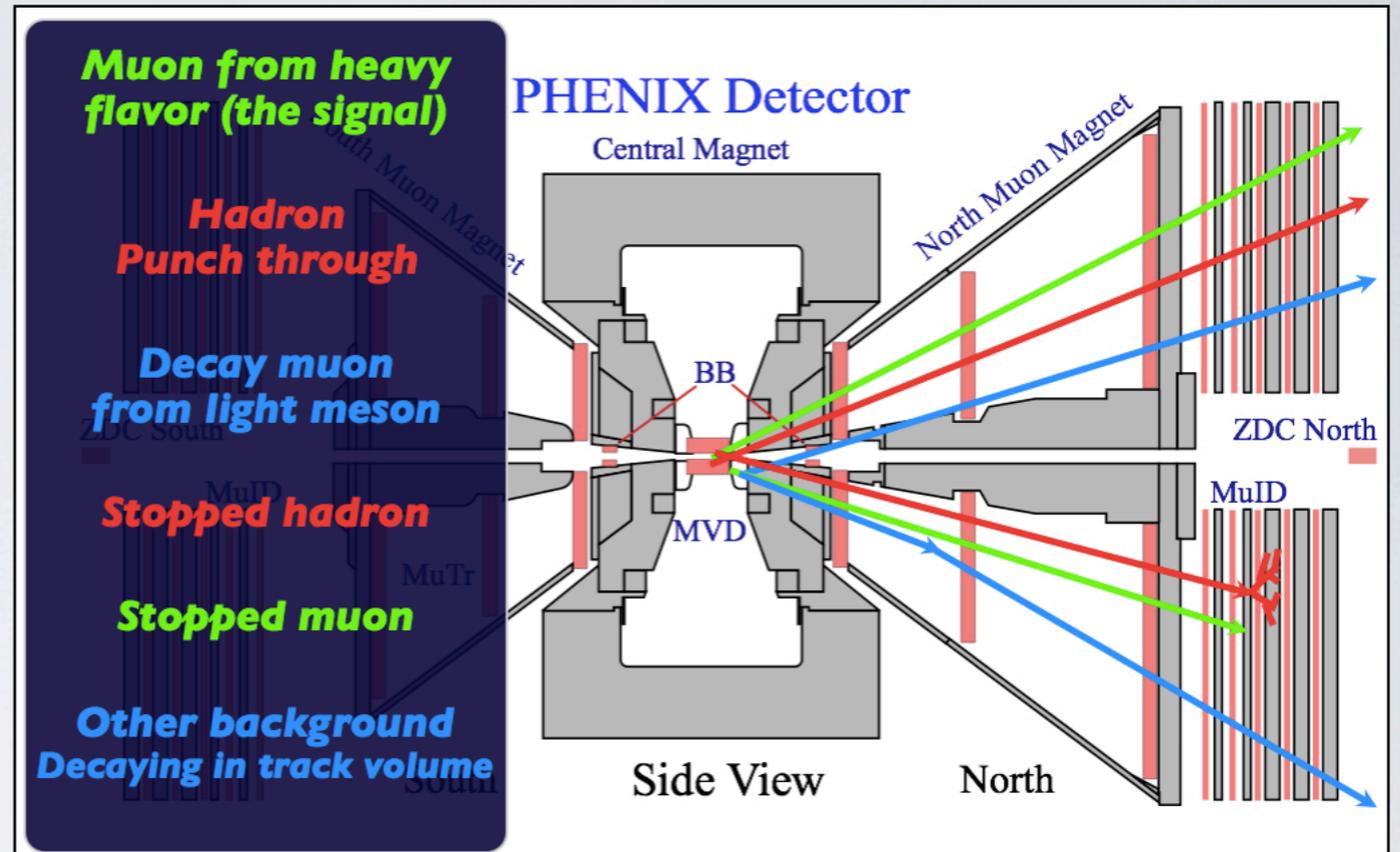
# Heavy-flavor muon analysis

- kinematic range
  - $1.2 < |\eta| < 2.2$  at forward
  - $\Delta\phi = 2\pi$
- Absorber to reject hadrons
- Muon Tracker for momentum
- Muon identifier for hadron/muon separation



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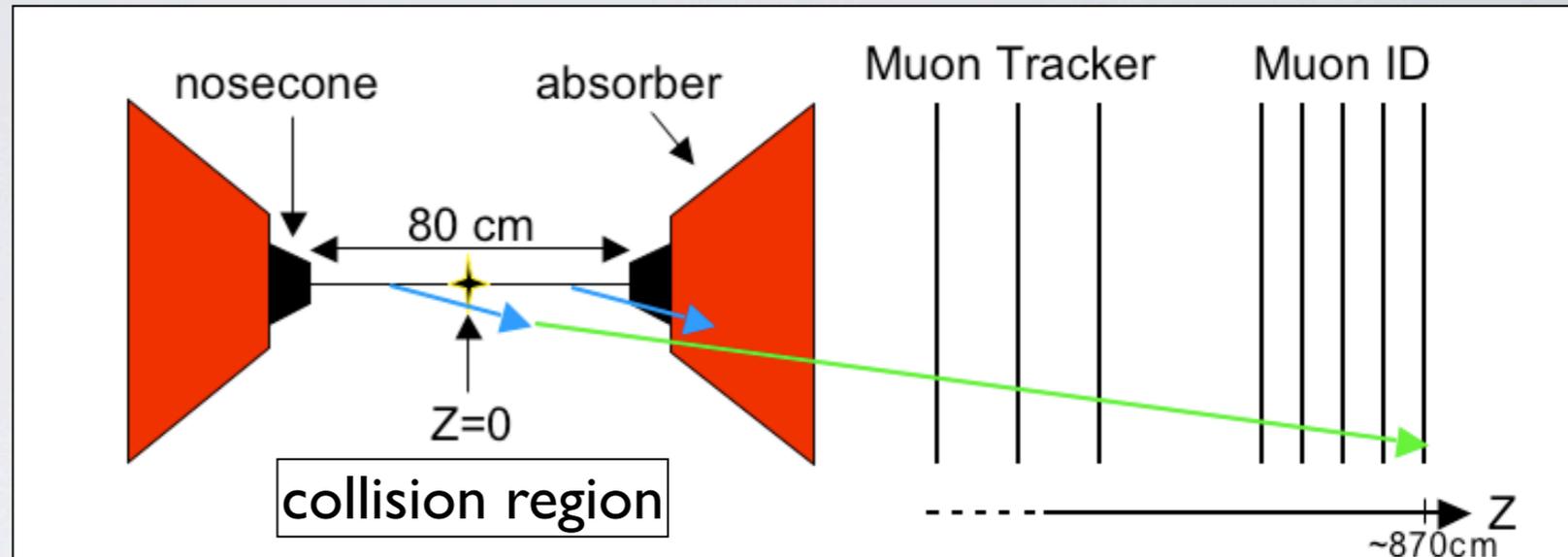
- Main background sources are **decay muons** from light hadrons and **punch-through hadrons**
  - Full MC simulation of hadron cocktail ( $\pi$ ,  $K$ ,  $p$ )
  - Tune MC to data
    - normalized z-vertex slopes at MuID Gap-4
    - stopped hadrons at MuID Gap-2 and 3

# Source of tracks - Decay muon from light hadron

$\pi$ 's :  $c\tau = 780$  cm

K's :  $c\tau = 371$  cm

muons from hadronic decay exhibit a characteristic linear vertex dependence.

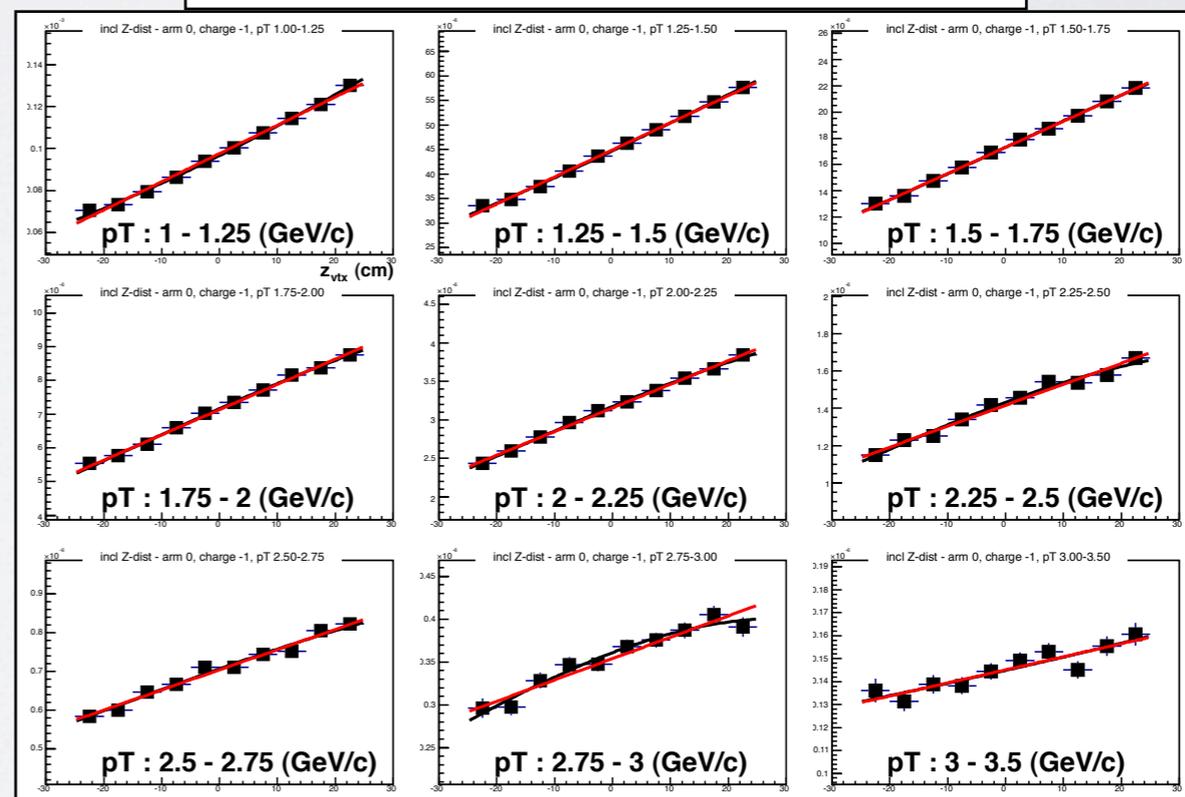


probability of the hadron decay

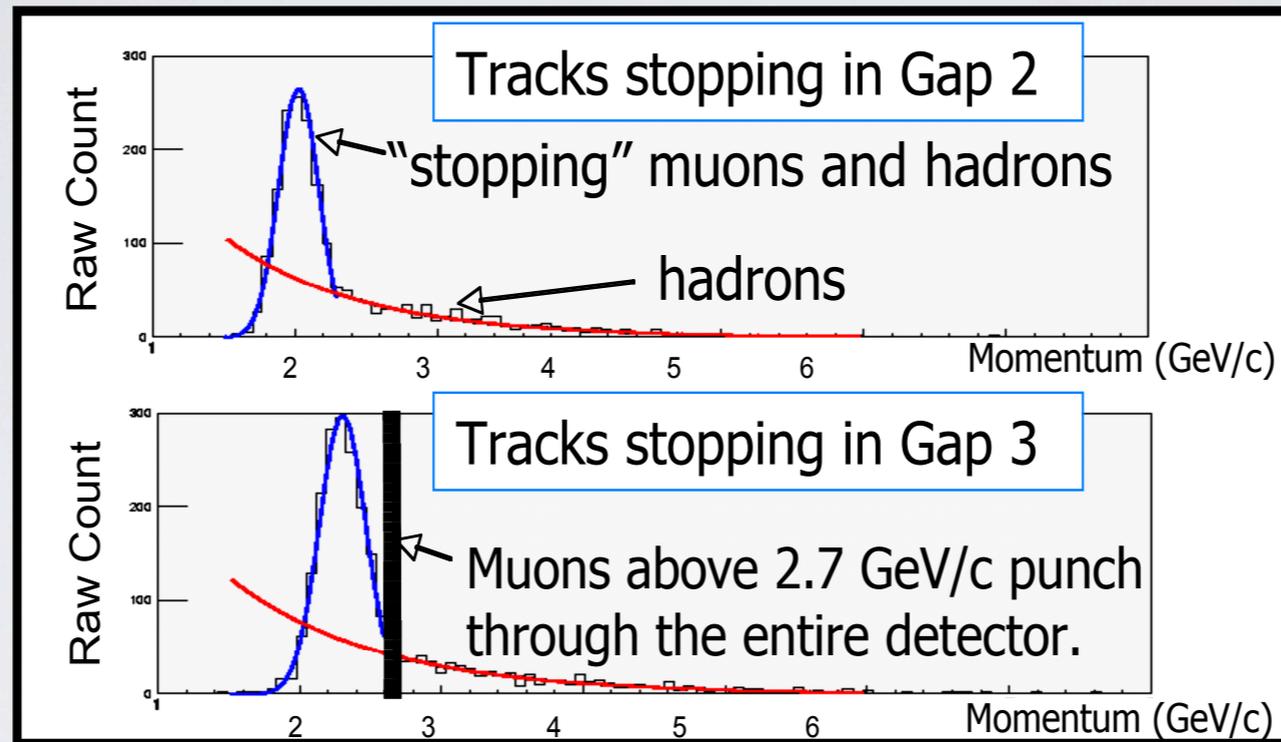
$$P(\Delta z) = 1 - e^{-\frac{\Delta z}{\gamma c\tau}} \approx \frac{\Delta z}{\gamma c\tau}$$

**fit well with linear function**

## Normalized vertex distribution



# Source of tracks - Stopped & punch-through hadrons



- Stopped hadron at MuID Gap 2 and 3
  - with  $p_z$  cut, pure stopped hadrons are collected
  - hadrons at Gap 2 and Gap 3 are important components for matching simulation to data
- Punch-through hadron at MuID Gap 4
  - passing through  $\sim 10\lambda$  absorber
  - dominant background source at  $p_T > 3$  GeV/c (\*w/o additional absorber installed in 2010)
  - predictable only by simulation

# Background estimation method

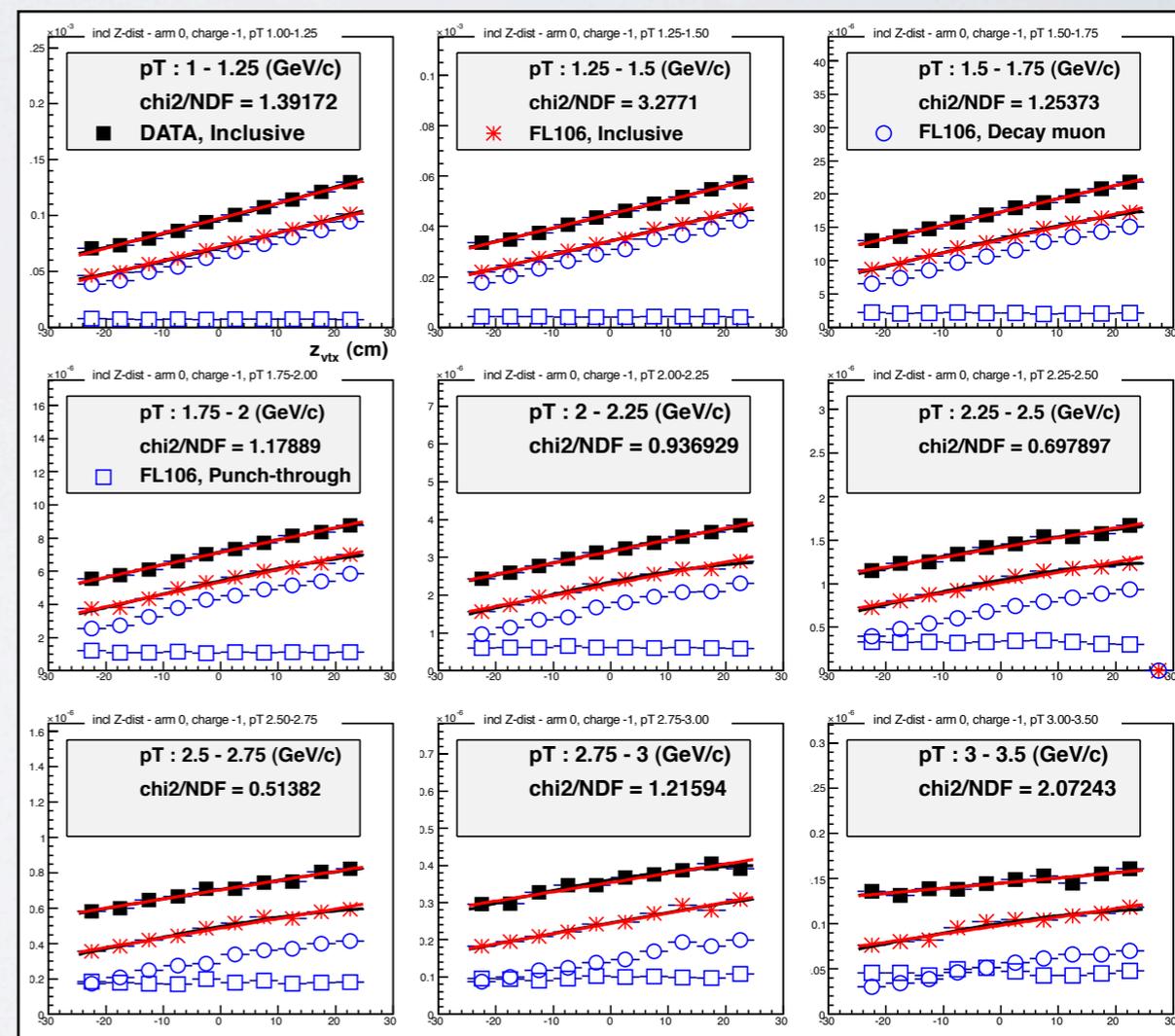
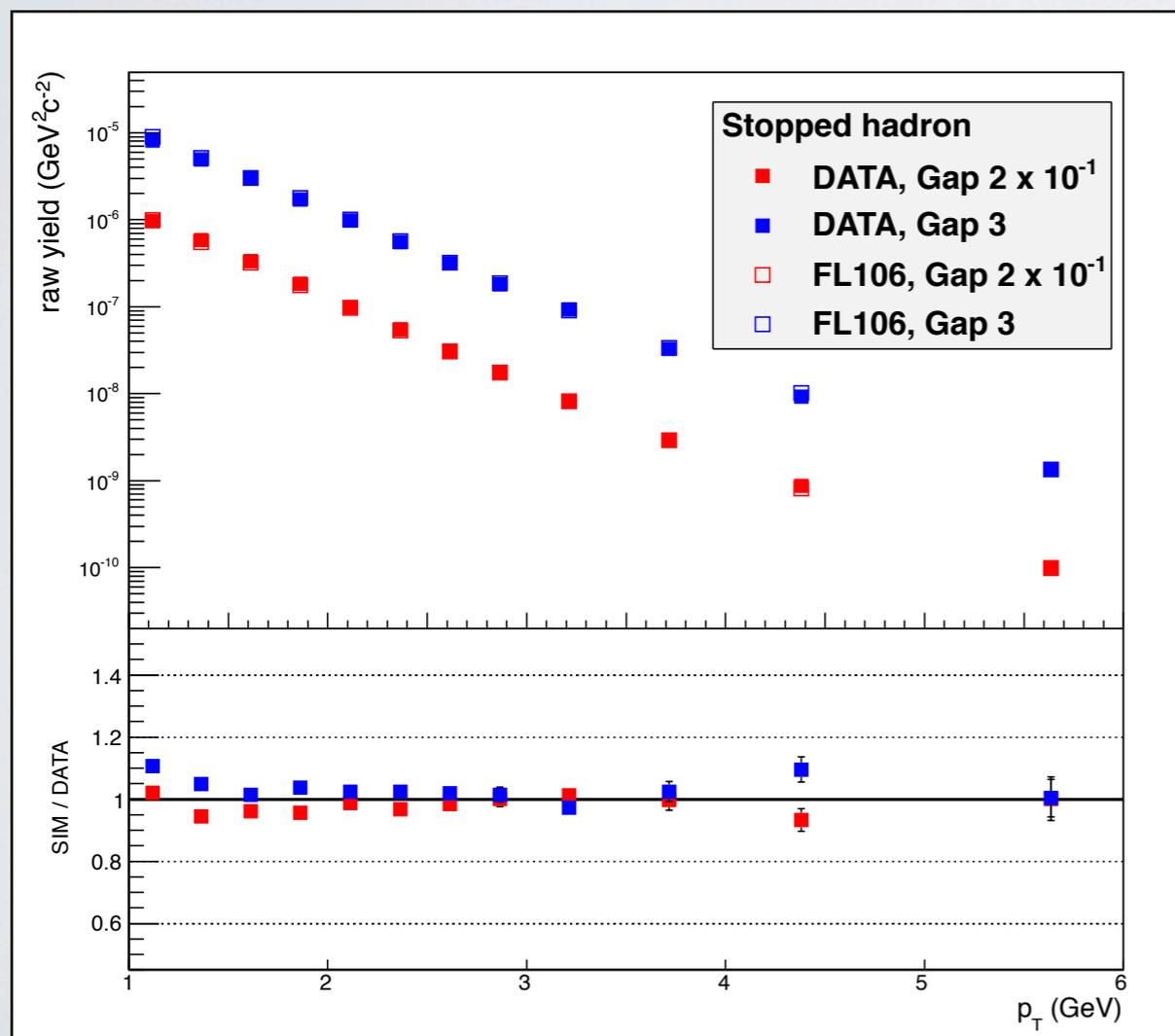
- Hadron cocktail
  - limited hadron measurement at forward and backward region
  - estimation of light hadron( $\pi$ ,  $K$ ,  $p$ ) production
    - \*PYTHIA for  $p+p$ , HIJING for  $d+Au$
    - \*tune with measured data at mid-rapidity
  - hadron simulation with modified hadron shower code
  - matching reconstructed cocktail to data
    - \*stopped hadron at Gap-2 and 3, slope at Gap 4
    - \* $p_T$  dependent matching for adjustment of incomplete input  $p_T$  spectra
  - combined several hadron packages(various nuclear cross section in shower codes)
- Details in PHENIX analysis notes
  - ANI047, ANI079, ANI111



**+**  
**FLUKA**  
**GHEISHA**

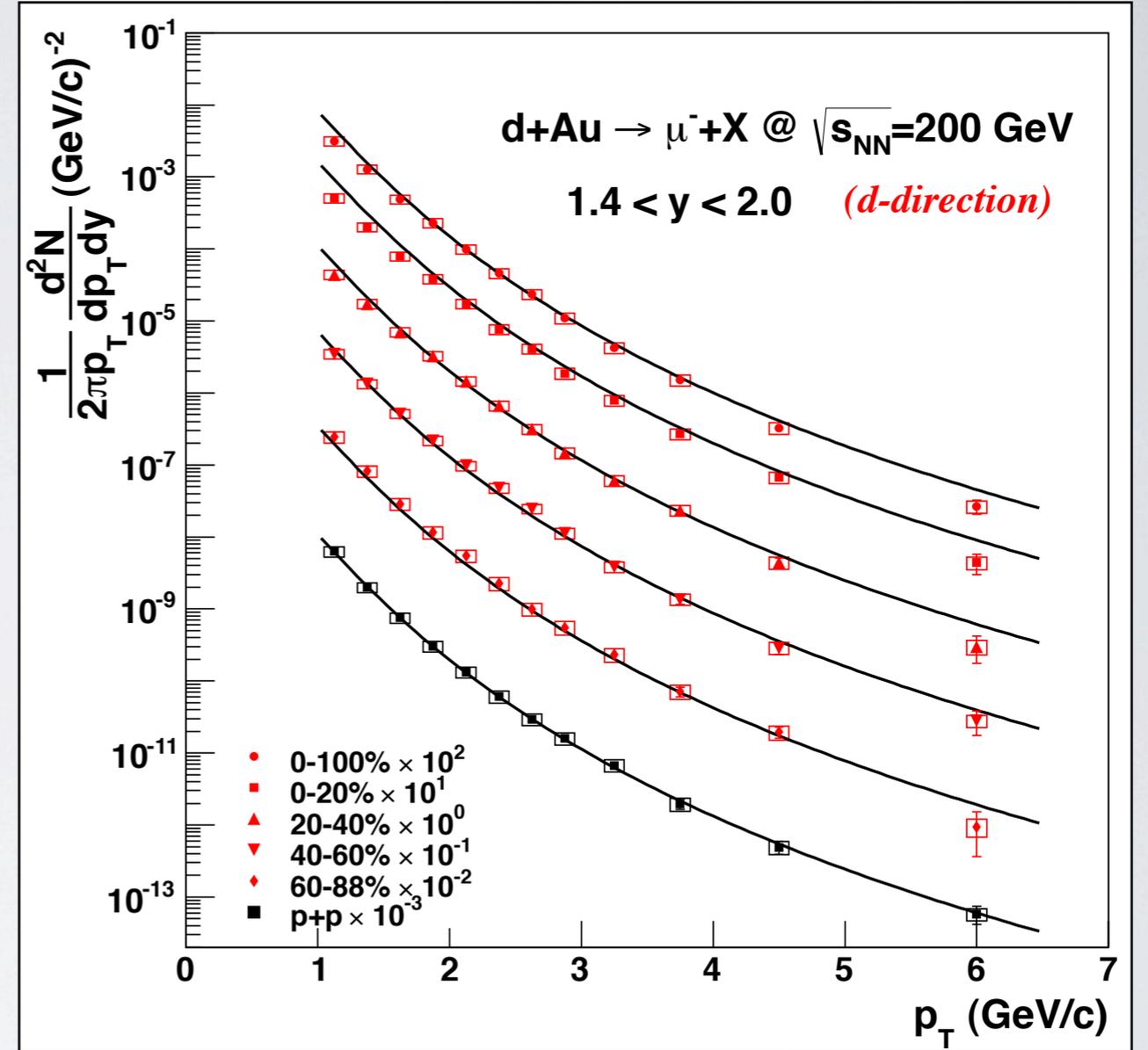
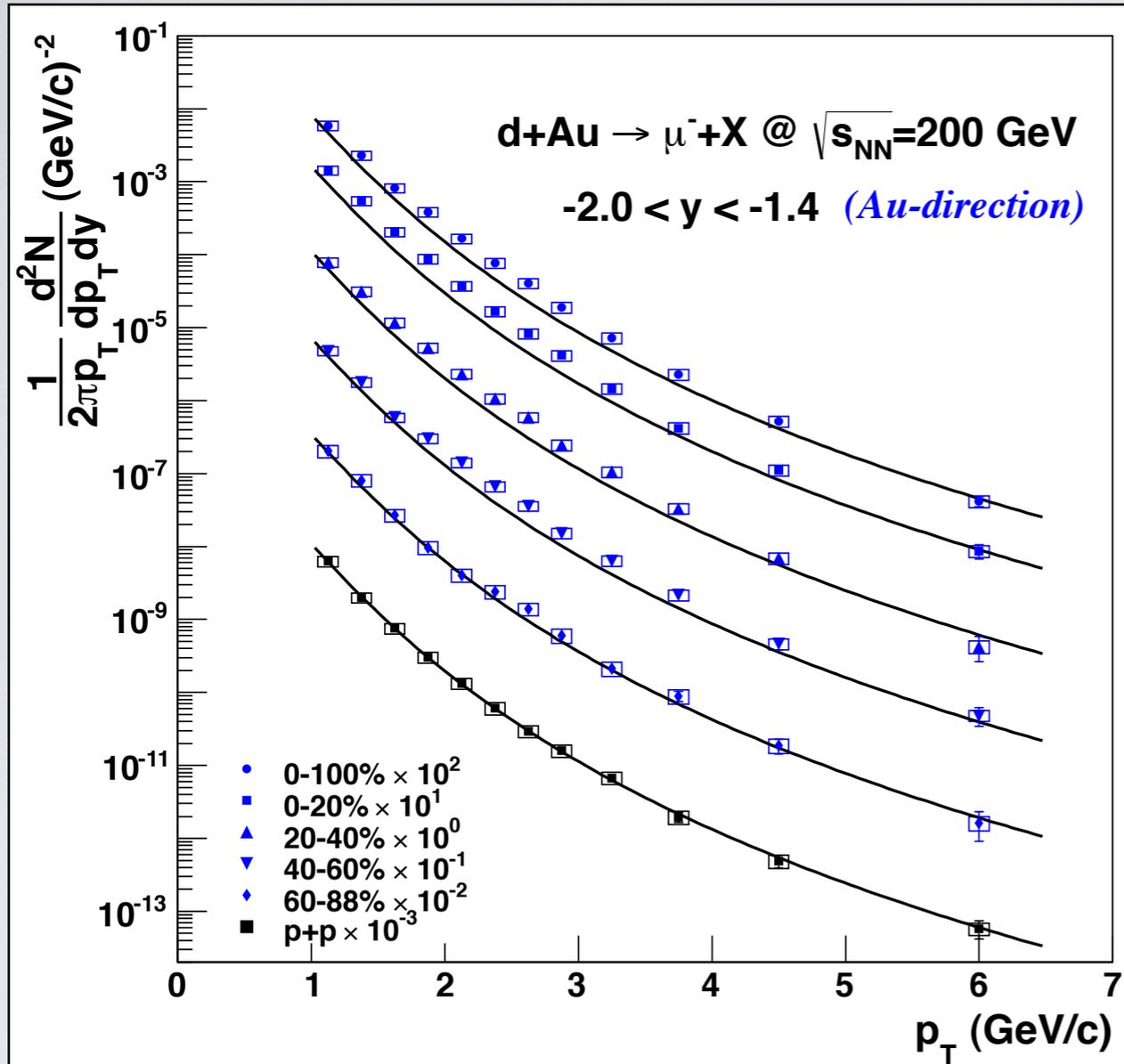
# Tuning the cocktail

- Tune the input hadron information by matching cocktail simulation to data at MuID Gap-2, 3 and 4
  - Qualified hadron packages which show good agreement with data at MuID gaps simultaneously have been used to background estimation
  - \* (package : a hadron shower code with modified nuclear cross section by a certain fraction)



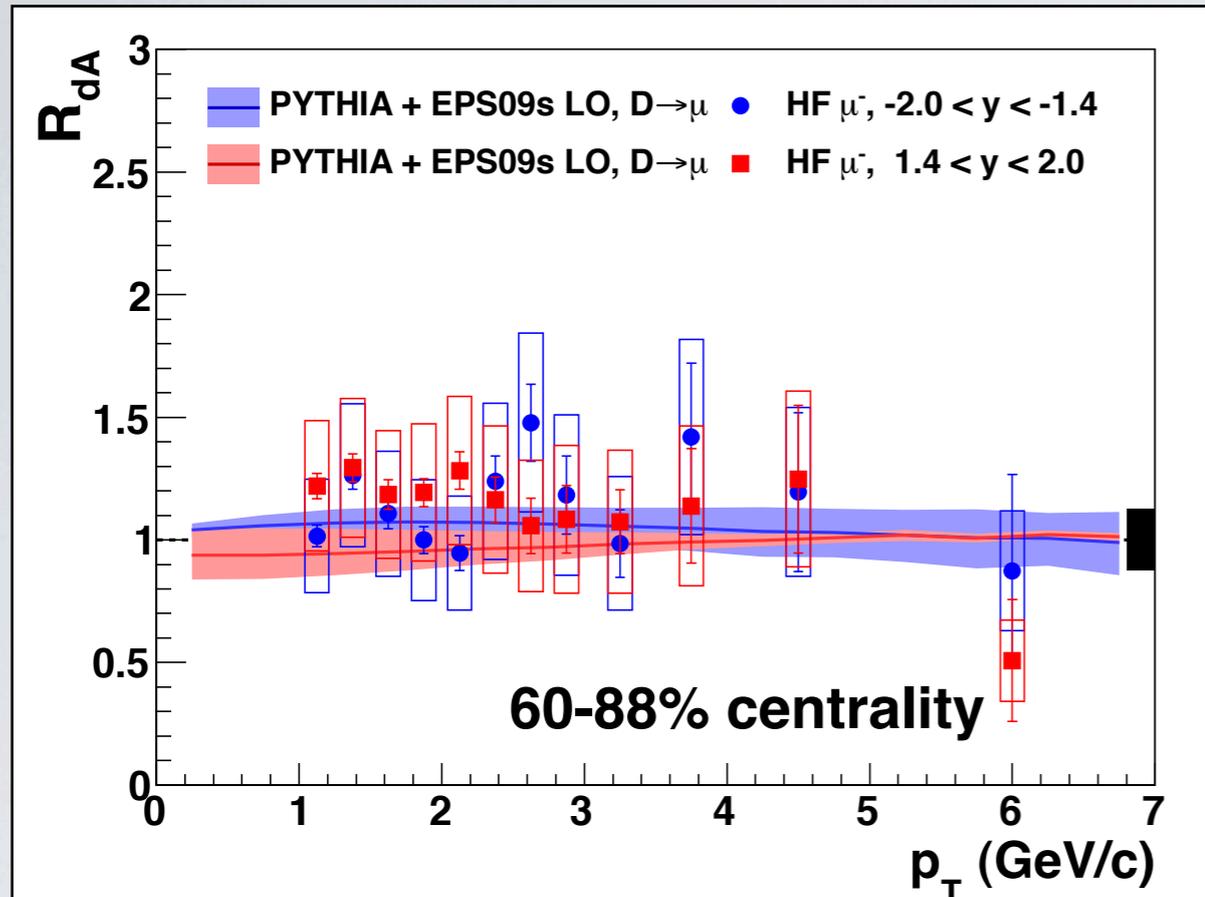
# Results

# HF muon $p_T$ spectra



arXiv:1310.1005

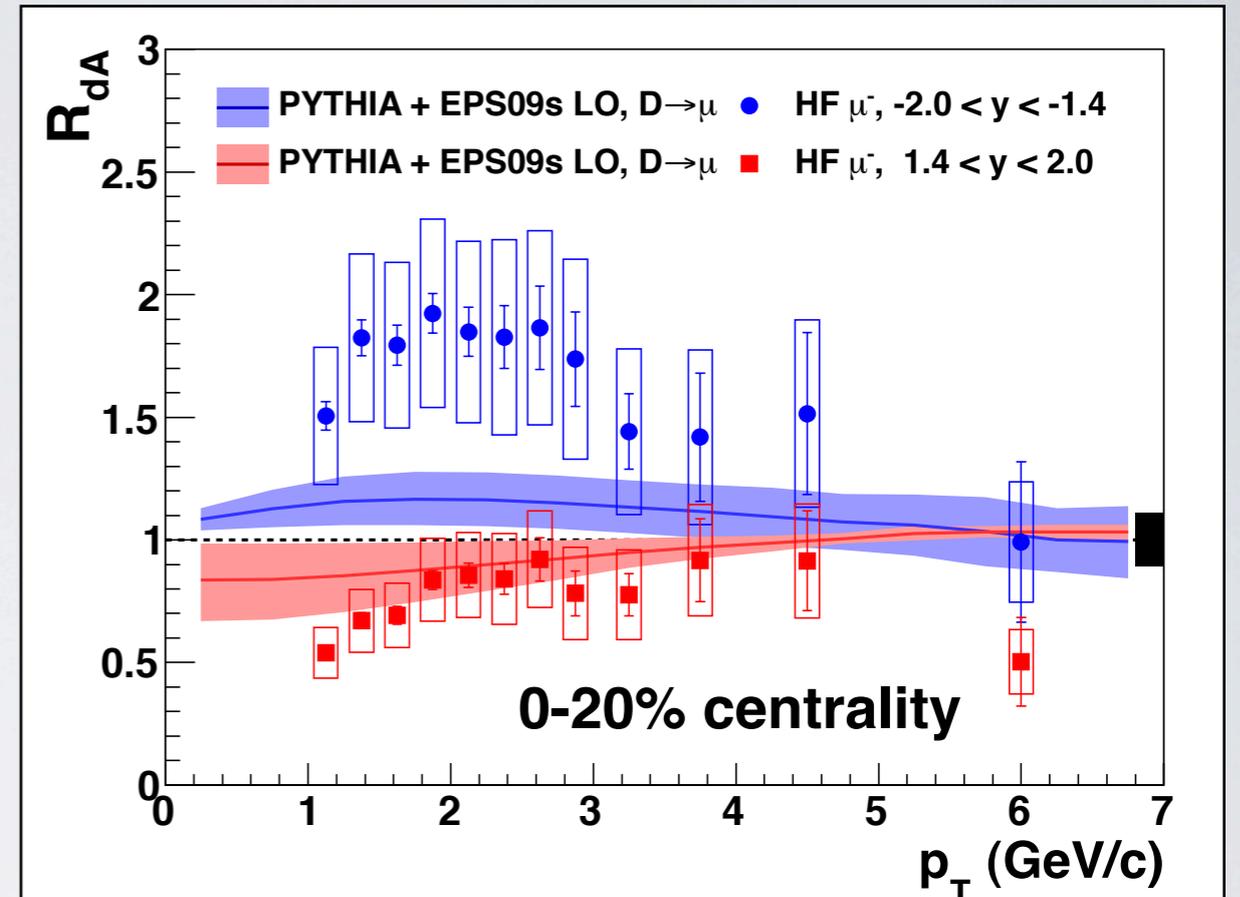
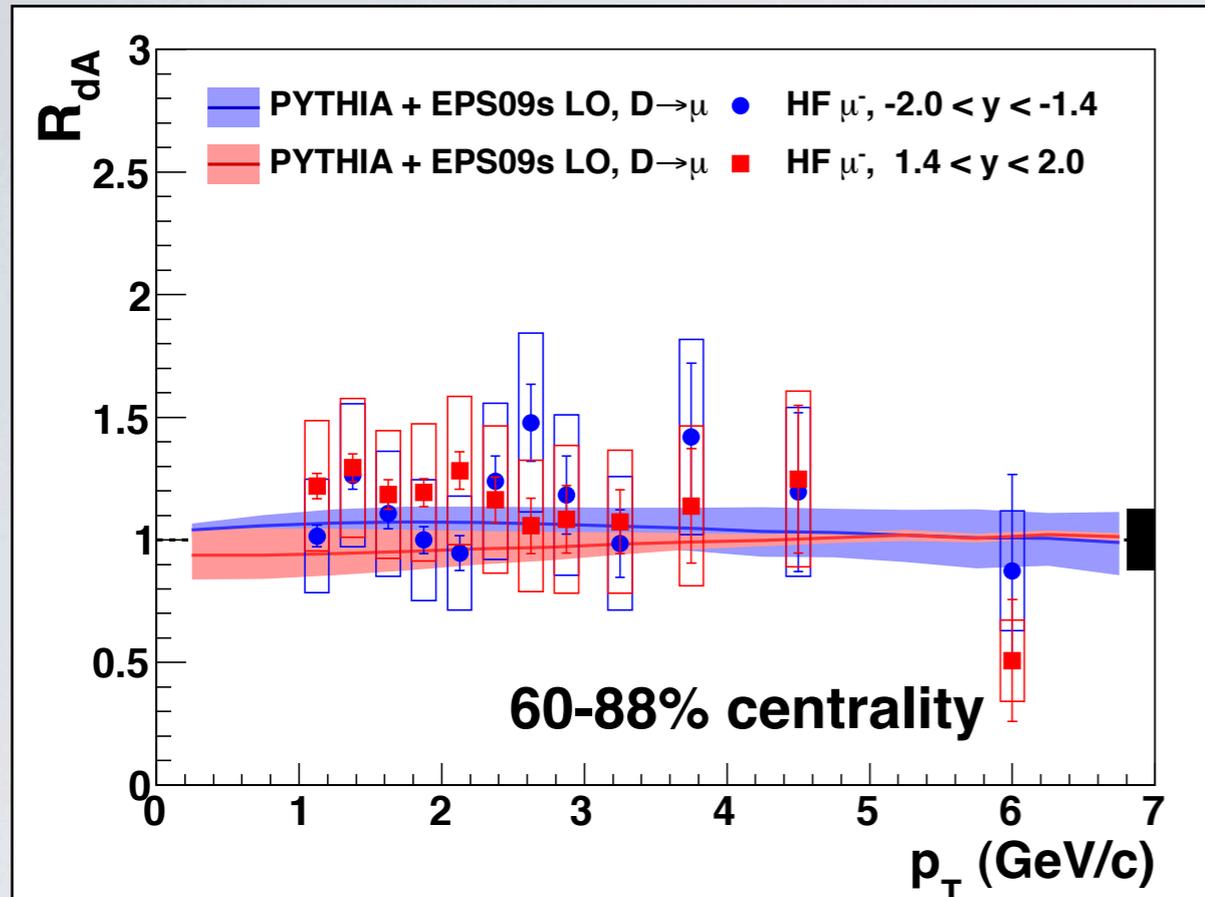
- Invariant yield of heavy-flavor muon in d+Au collisions at  $\sqrt{s_{NN}}=200$  GeV
  - lines are scaled fit functions of the p+p results by the average number of binary collision corresponding centrality class



arXiv:1310.1005

- No modification at both rapidity ranges in most peripheral collisions

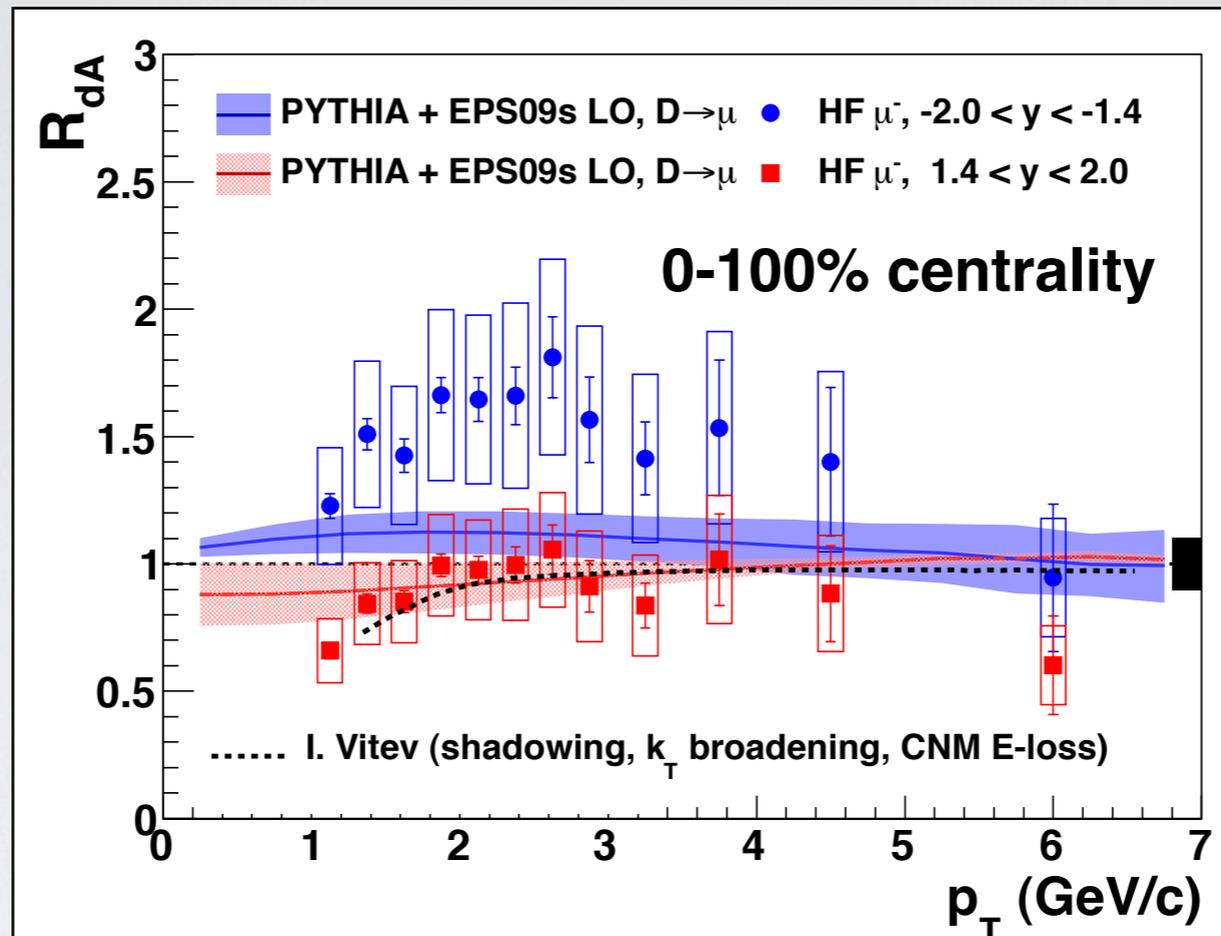
$$R_{dA} = \frac{dN_{dAu}^{\mu}}{\langle N_{coll} \rangle dN_{pp}^{\mu}}$$



arXiv:1310.1005

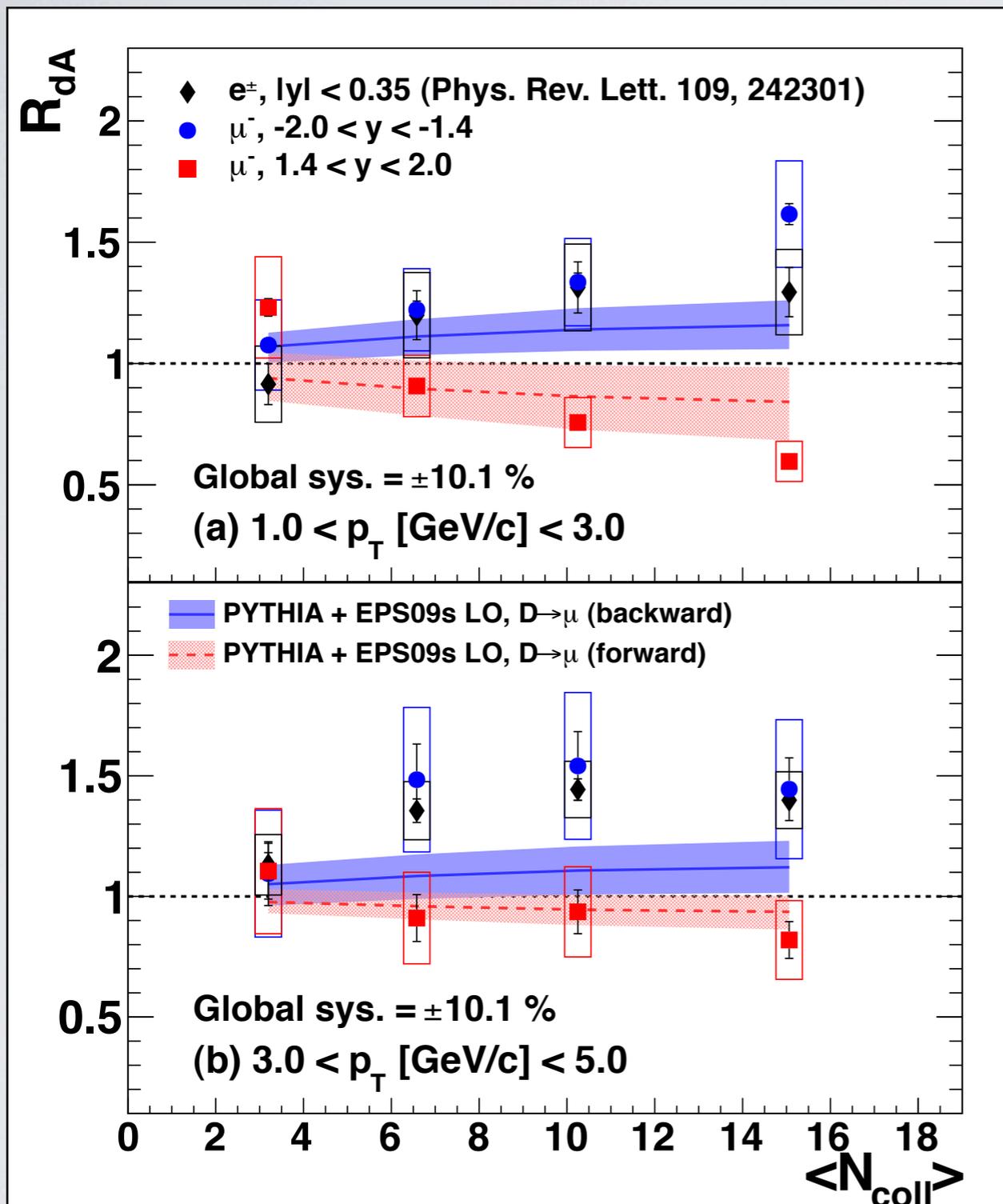
- No modification at both rapidity ranges in most peripheral collisions
- **Enhancement at backward** rapidity and **suppression at forward** rapidity in most central collisions

$$R_{dA} = \frac{dN_{dAu}^{\mu}}{\langle N_{coll} \rangle dN_{pp}^{\mu}}$$



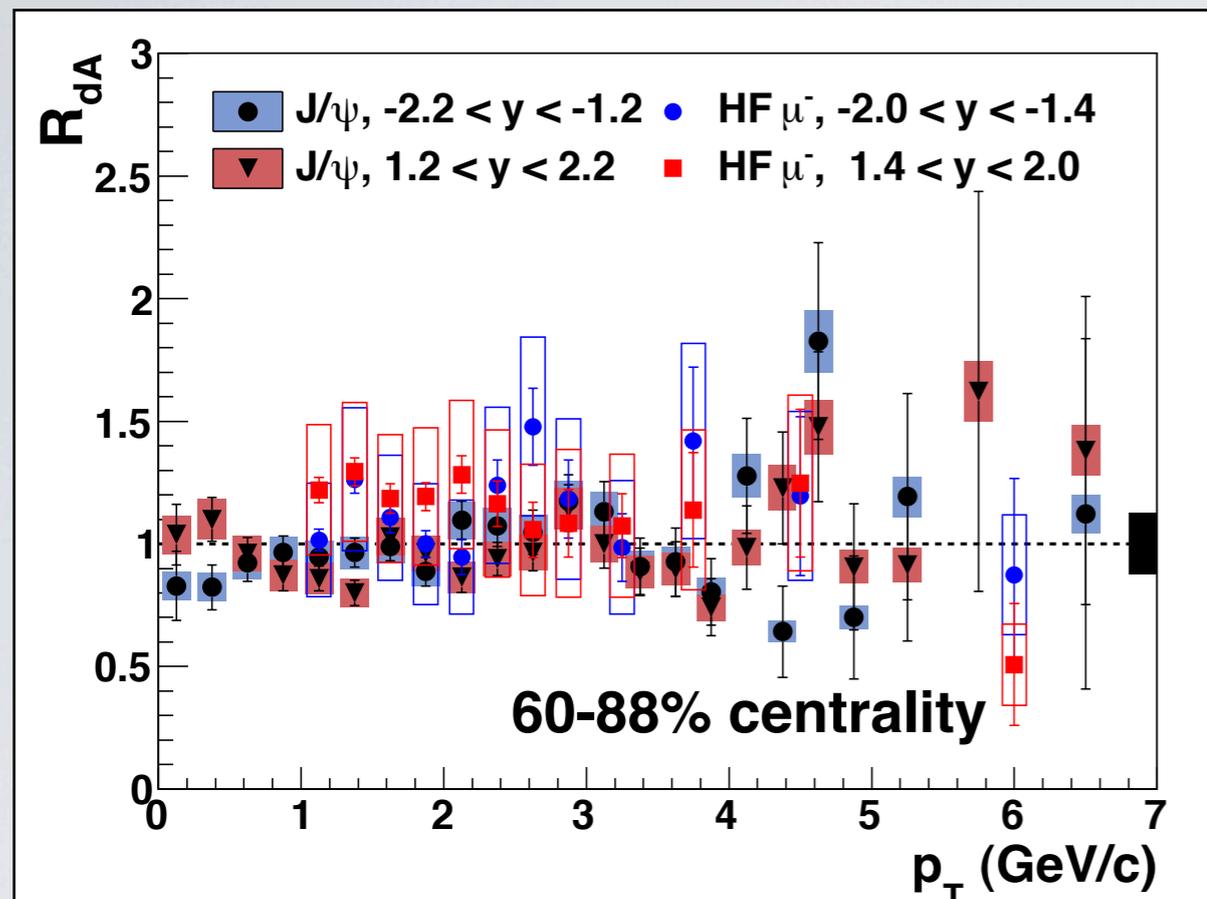
arXiv:1310.1005

- pQCD calculation
  - CNM effects including shadowing,  $p_T$  broadening, and energy loss
  - good agreement with the data at forward rapidity
- prediction based on EPS09s (spatial dependent) nPDF set
  - calculate modification with  $x$  and  $Q^2$  of  $D \rightarrow \mu$  from PYTHIA
  - well describe the data at forward rapidity
  - but fail to reproduce the results at backward rapidity



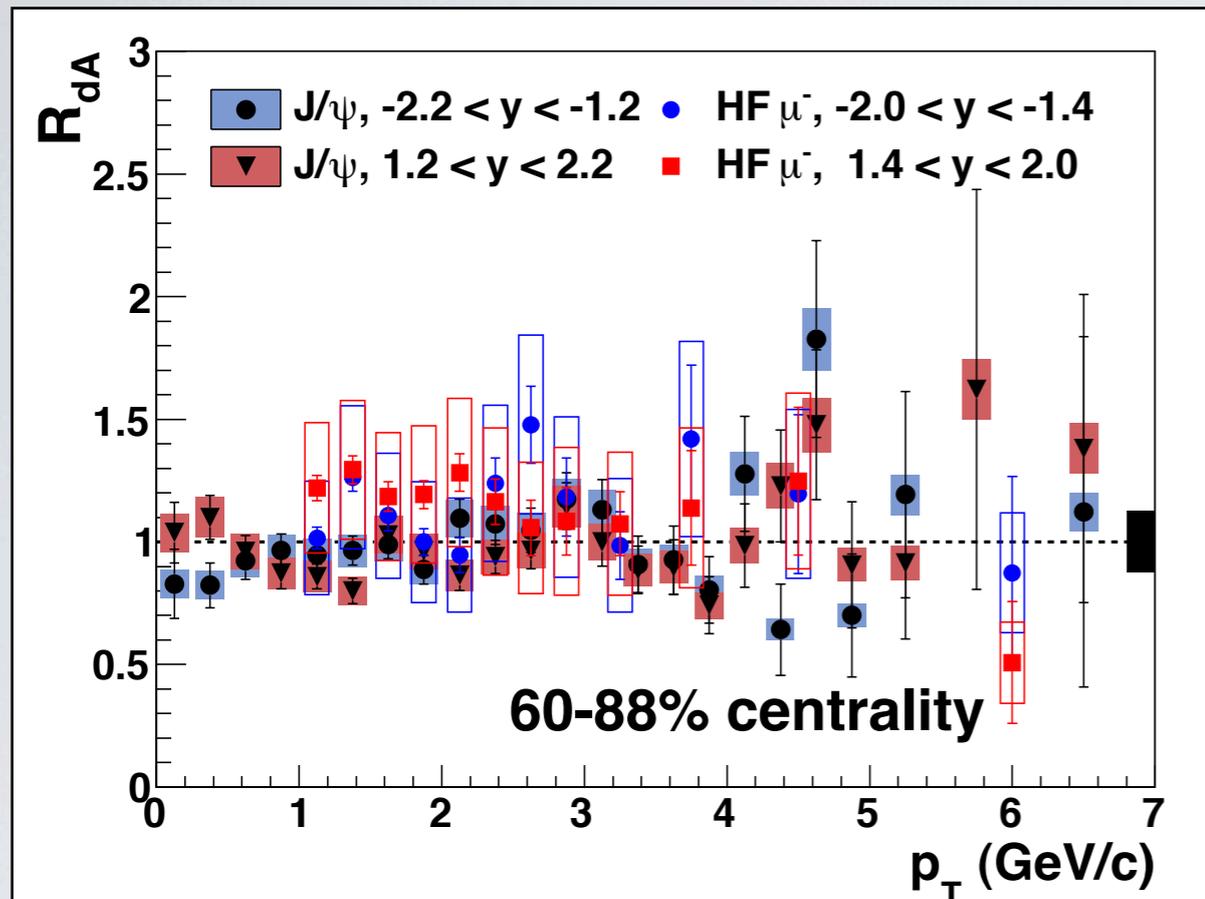
arXiv:1310.1005

- $R_{dA}$  as a function of  $\langle N_{coll} \rangle$  in two  $p_T$  ranges
  - stronger CNM effects with increasing centrality at both rapidity ranges
  - enhancement at backward rapidity is similar to that in HF electron at mid-rapidity
  - EPS09s calculation shows similar trends, but underestimate the difference between forward and backward rapidity

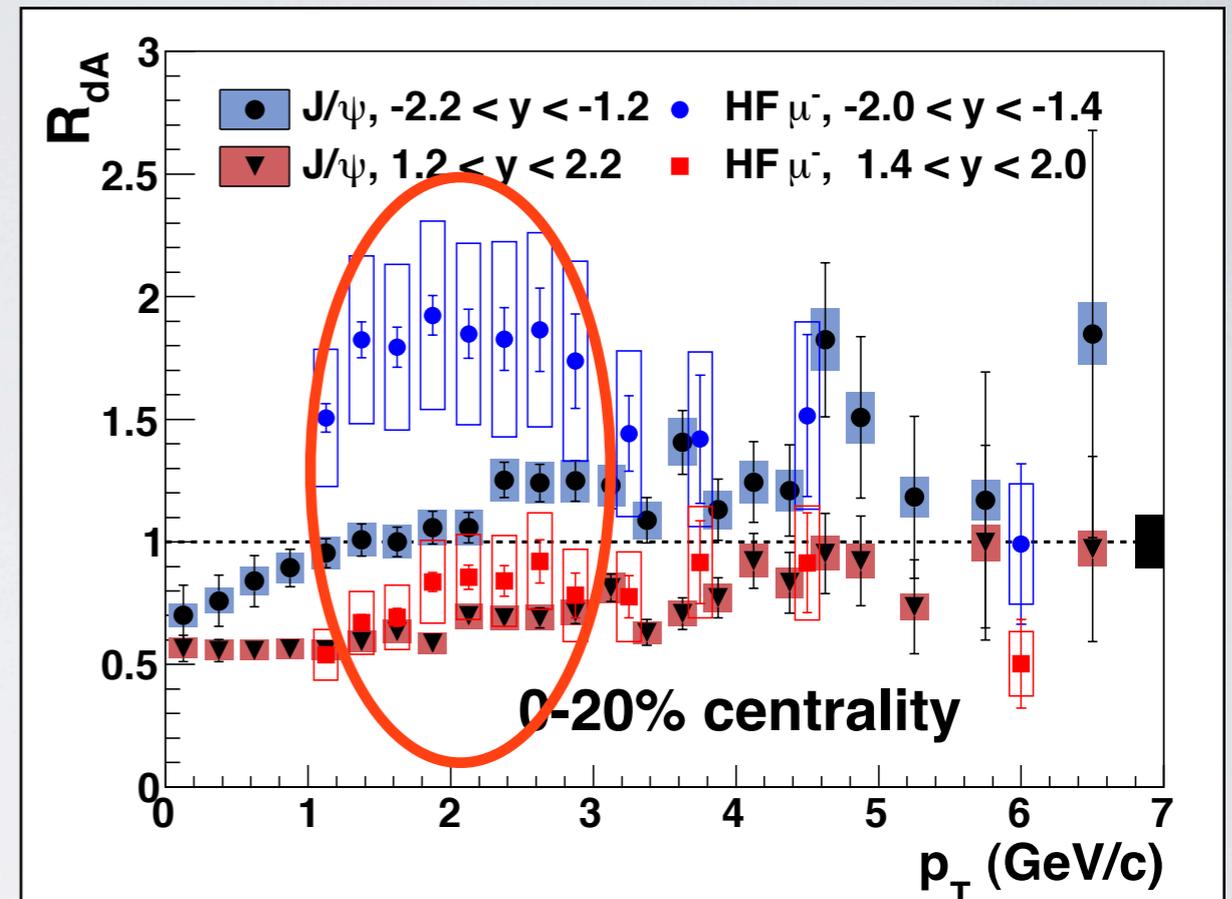


$J/\psi$  : Phys. Rev. C 87, 034904 (2013)

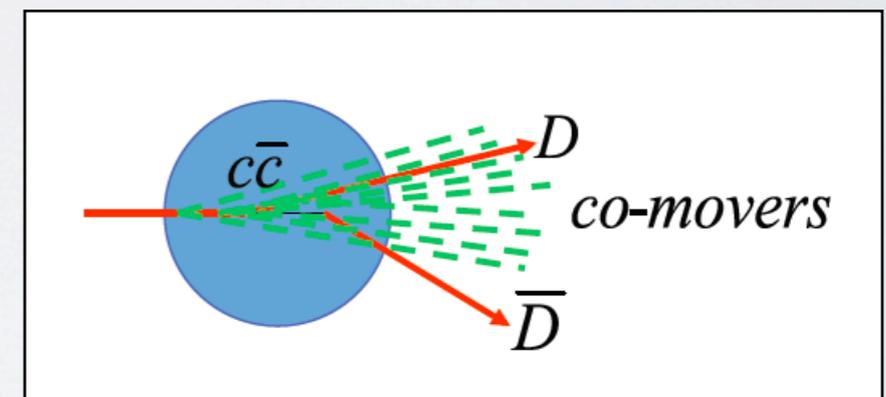
- In the most peripheral collision  
- **all  $R_{dA} \sim 1$**



$J/\psi$  : Phys. Rev. C 87, 034904 (2013)



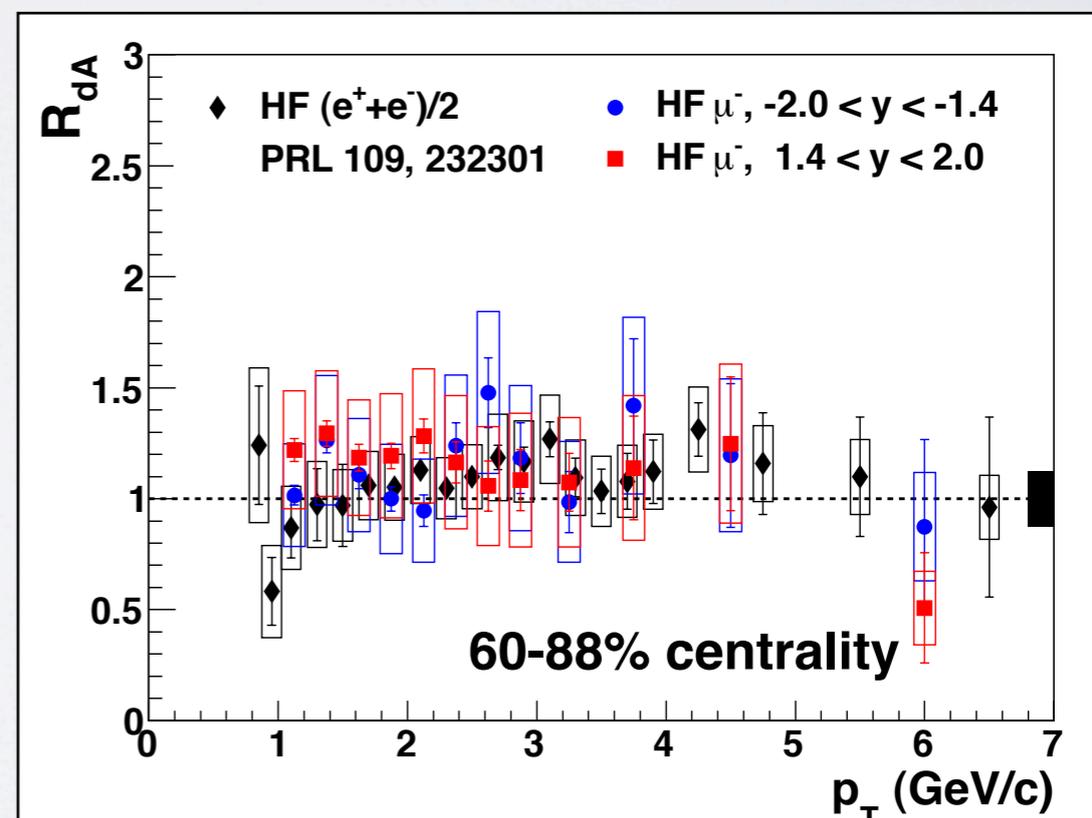
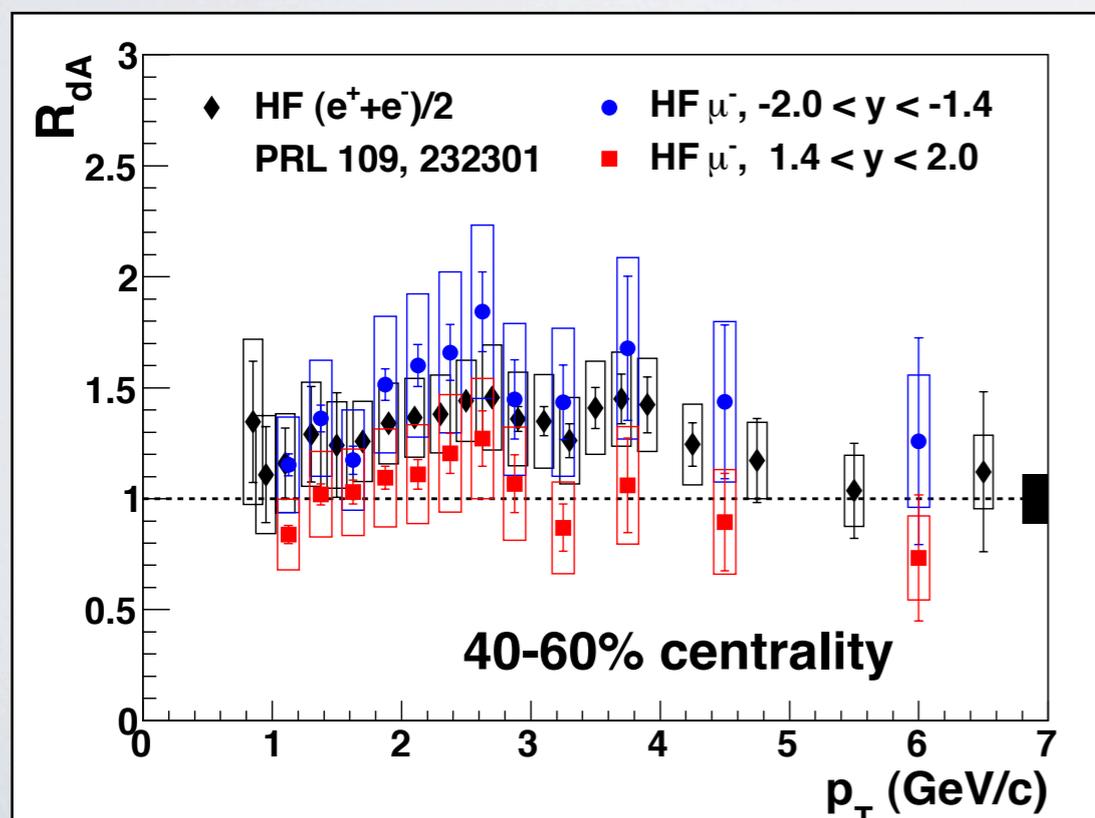
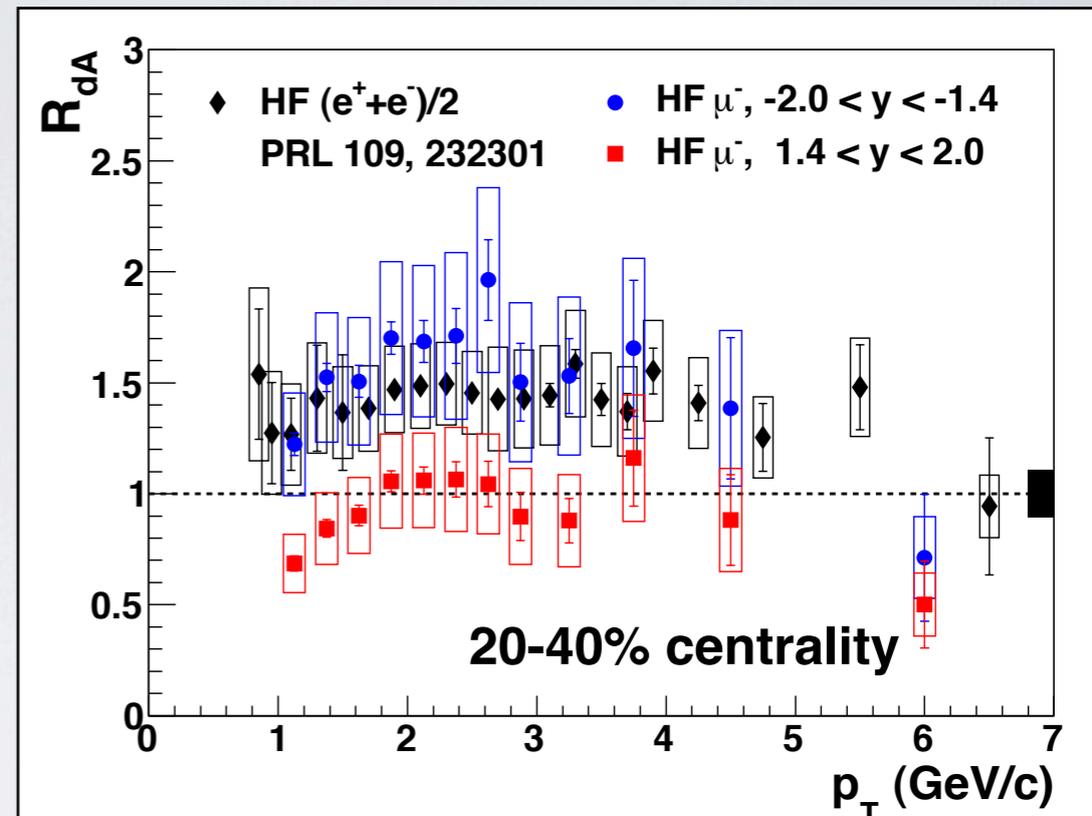
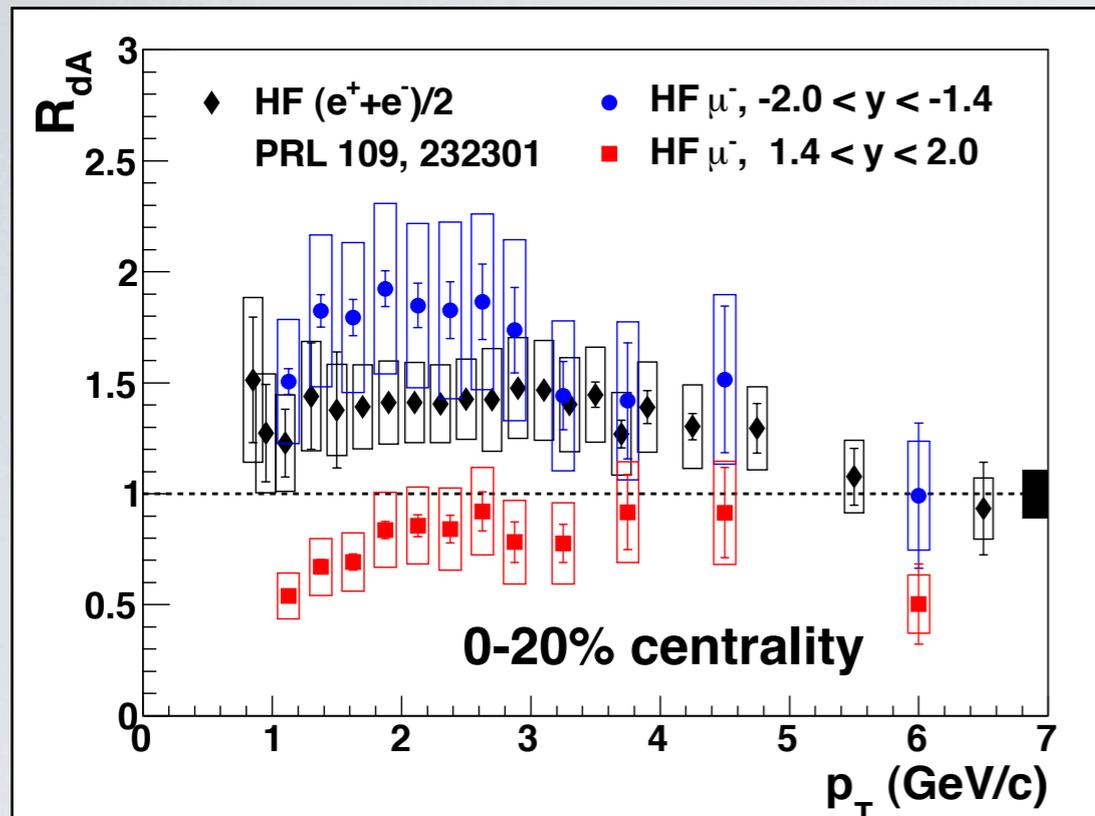
- In the most peripheral collision
  - **all  $R_{dA} \sim 1$**
- In the most central collision
  - $R_{dA}$  of HF muon and  $J/\psi$  are still consistent
  - However, **large difference at backward rapidity**
  - charm production is enhanced but  **$J/\psi$  is significantly absorbed due to nuclear breakup** inside dense co-movers at backward rapidity



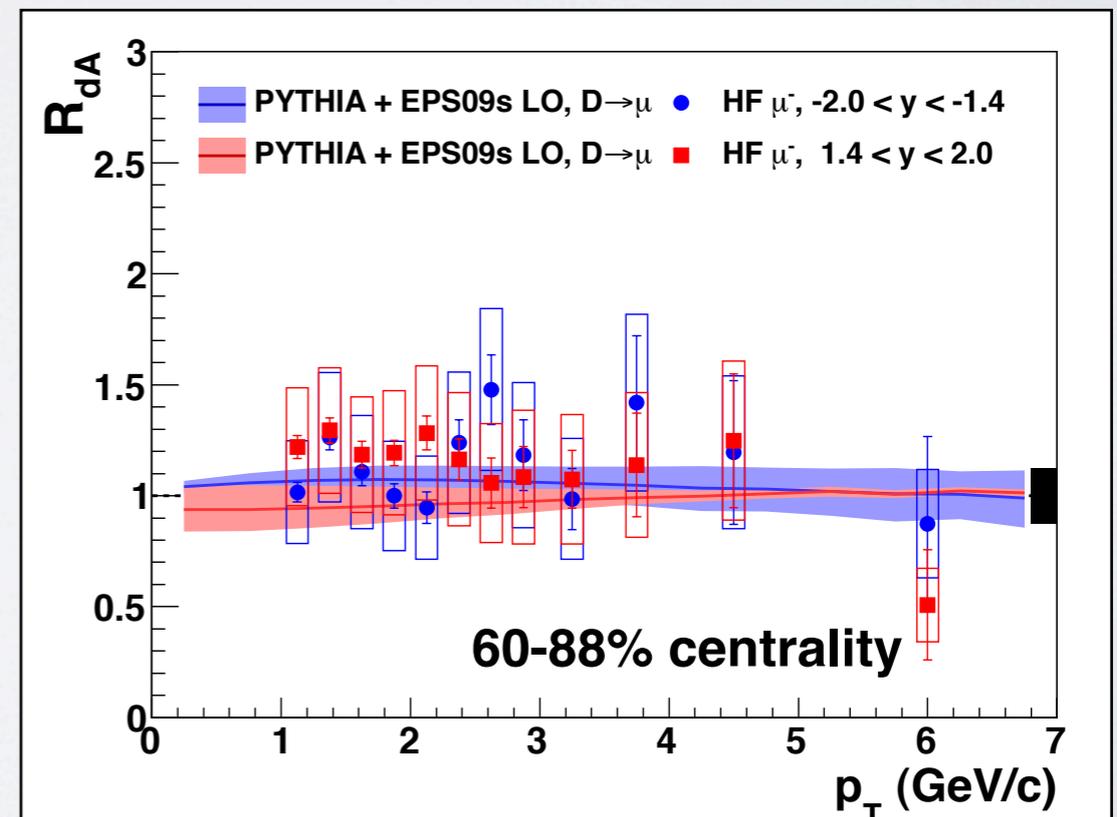
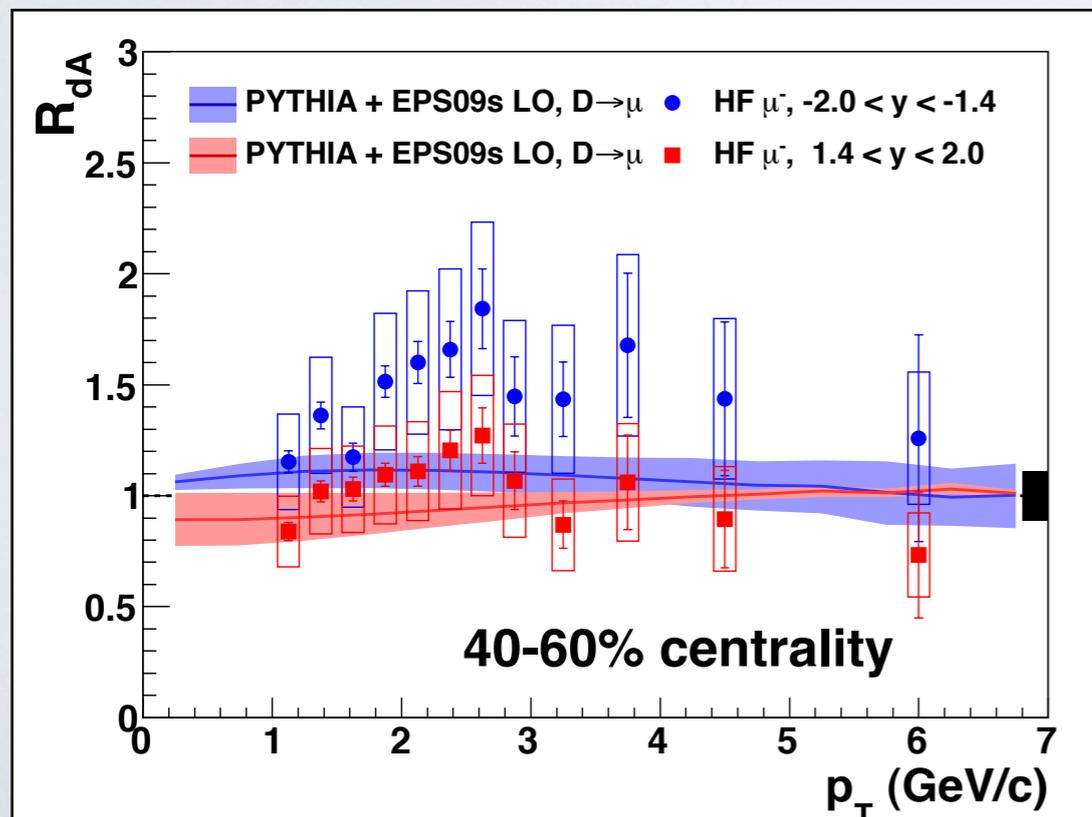
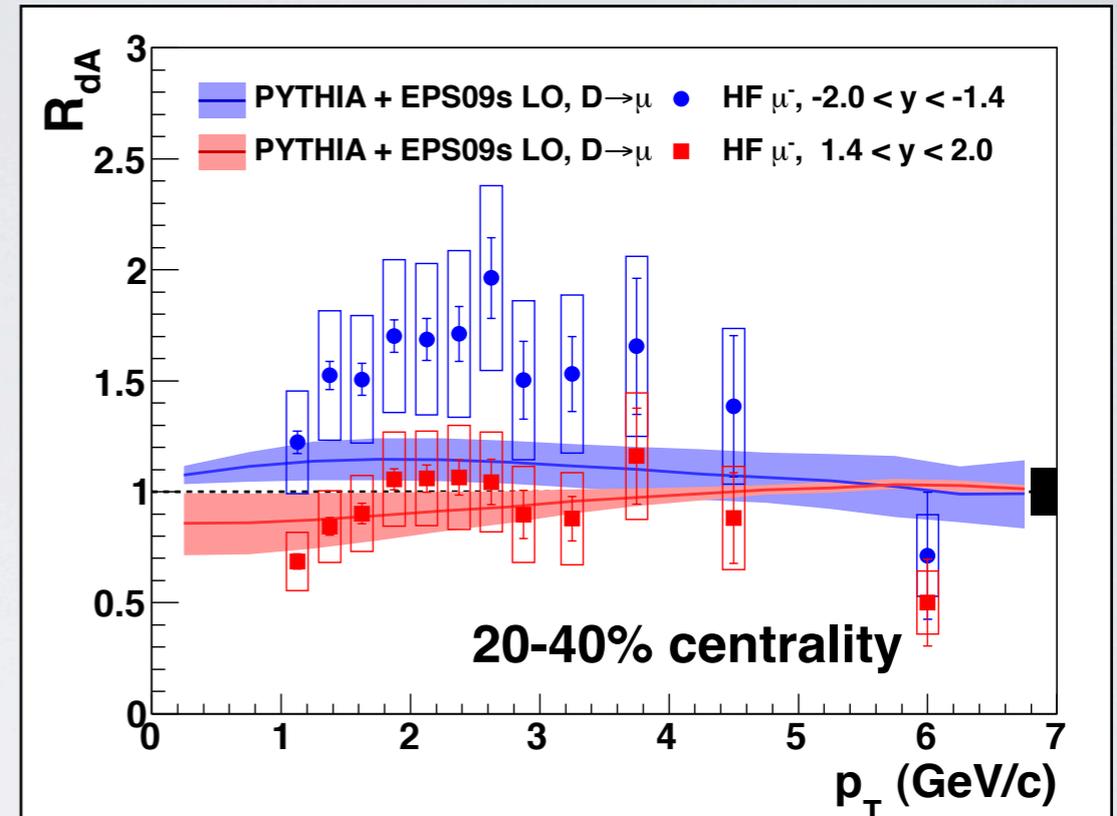
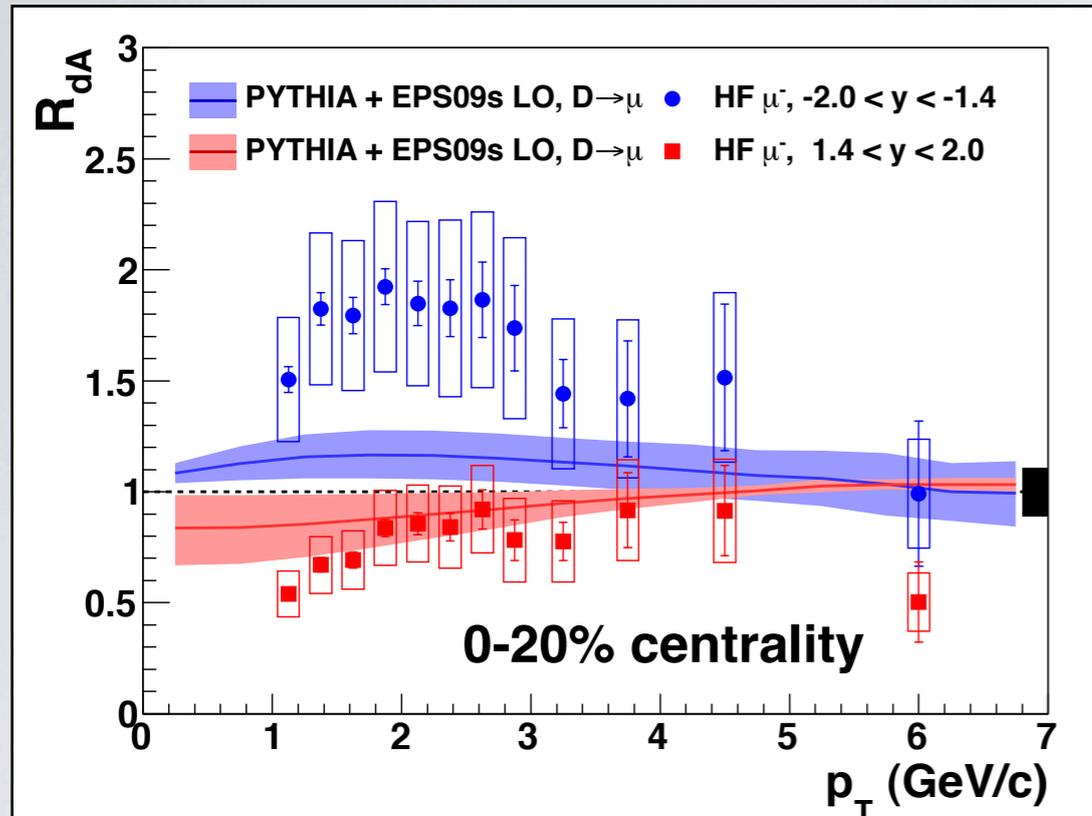
- Heavy-flavor muon production in d+Au collisions
  - **suppression at forward** rapidity
  - **enhancement at backward** rapidity
  - indicate **important role of nuclear break-up in  $J/\psi$**  production
  - pQCD calculation well reproduce the forward data
  - EPS09s nPDF prediction underestimate the difference between forward and backward rapidity
  - these results were submitted to Phys. Rev. Lett. (arXiv:1310.1005)
- Further analysis are going on
  - asymmetric heavy-ion collisions (Cu+Au)
  - low energy Au+Au collisions at 39 & 62 GeV
- New PHENIX inner silicon vertex tracker system (VTX & FVTX) provides precise vertex position and allows to separate charm and bottom meson.

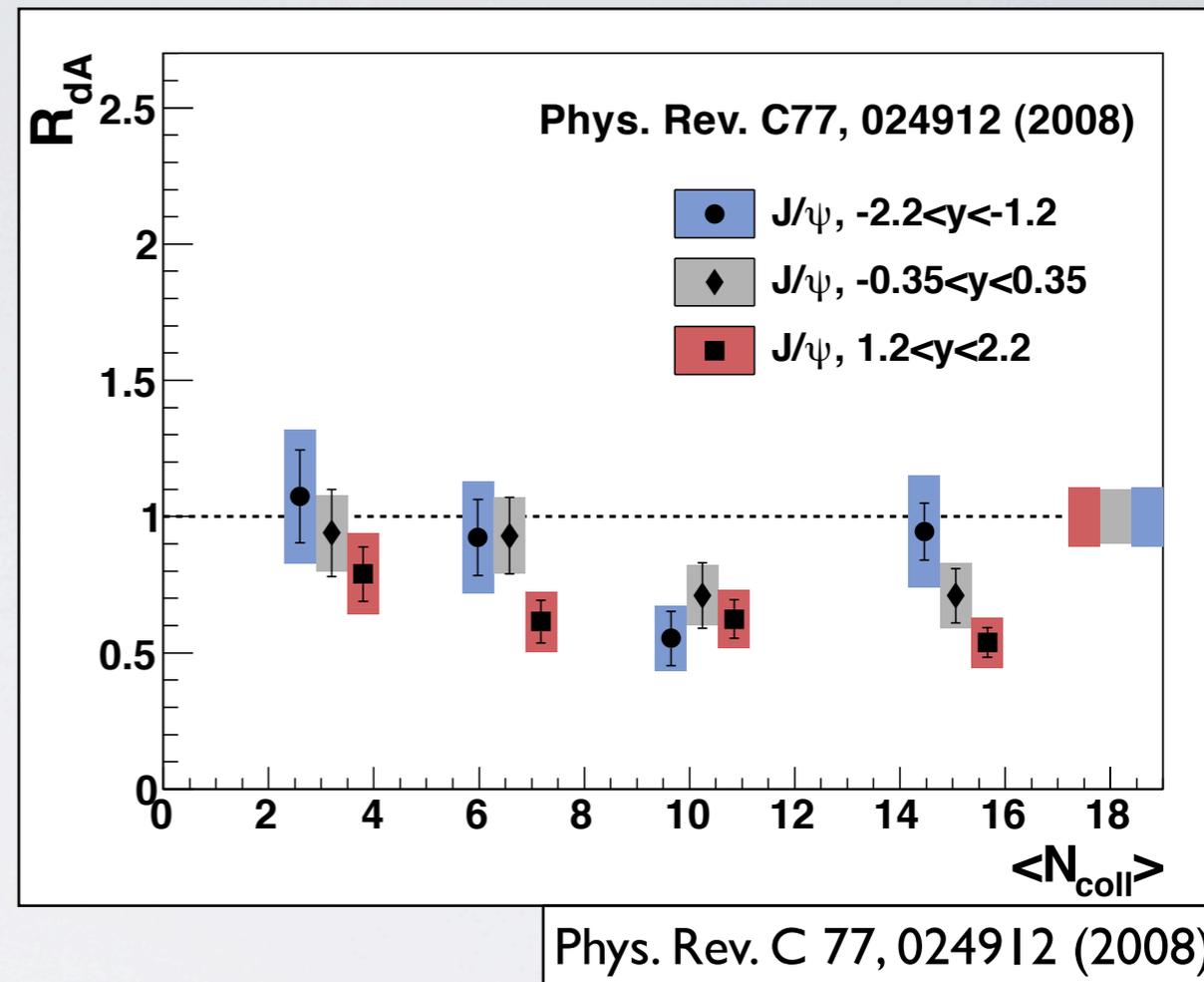
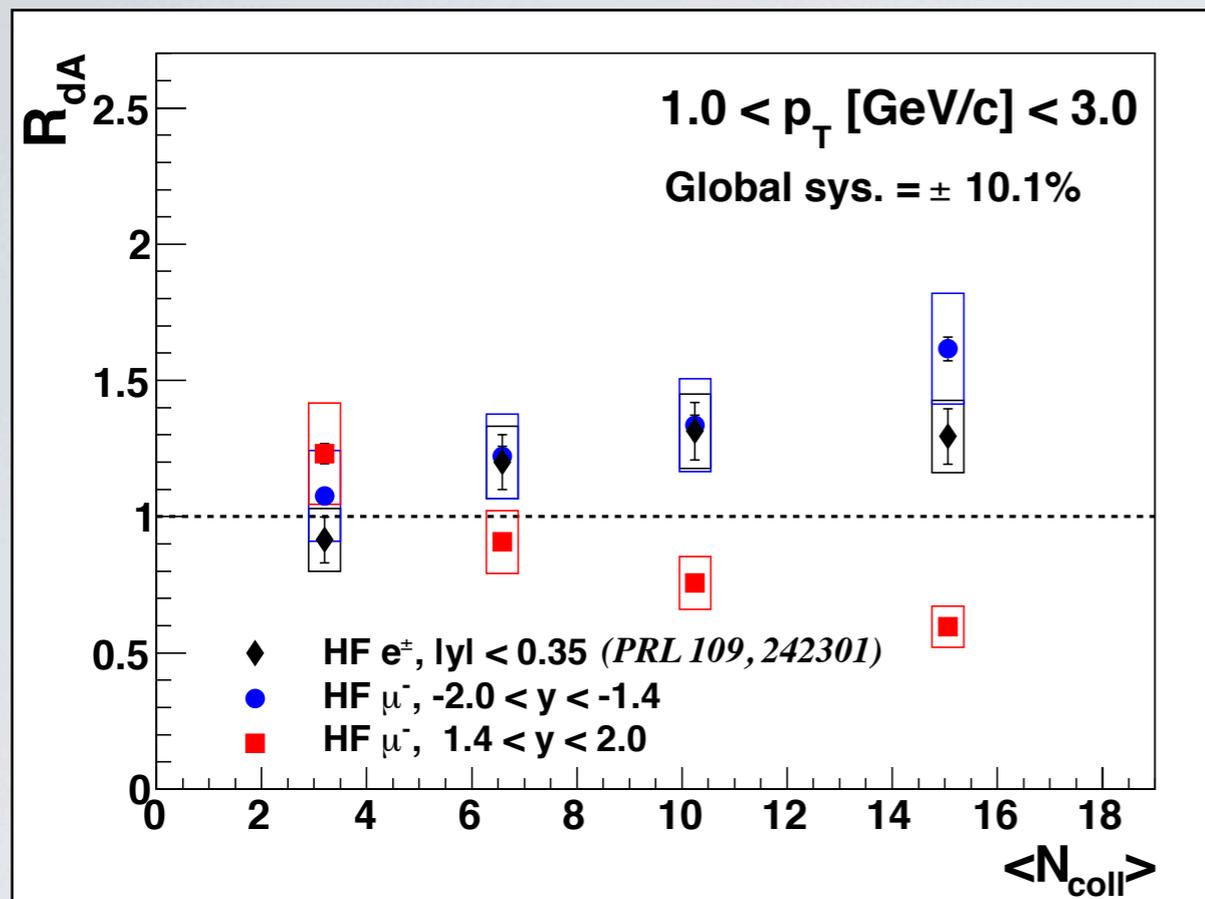
**Back up**

# Comparison of $R_{dA}(p_T)$ with HF e at $y=0$



# Comparison to EPS09s calculations





- $R_{dA}$  as a function of  $\langle N_{coll} \rangle$

- $R_{dA}$  of  $J/\psi$  are suppressed at all rapidity ranges

- $\Leftrightarrow$  only  $R_{dA}$  at forward rapidity are suppressed in case of HF muon

- \*caveat :  $J/\psi$  integrated over the entire  $p_T$  range

- $J/\psi$  suppression at forward probably is related to the suppression of charm production predicted by nuclear shadowing

- at mid- and backward rapidity imply large effects of nuclear breakup