

# ***Forward sPHENIX upgrade***

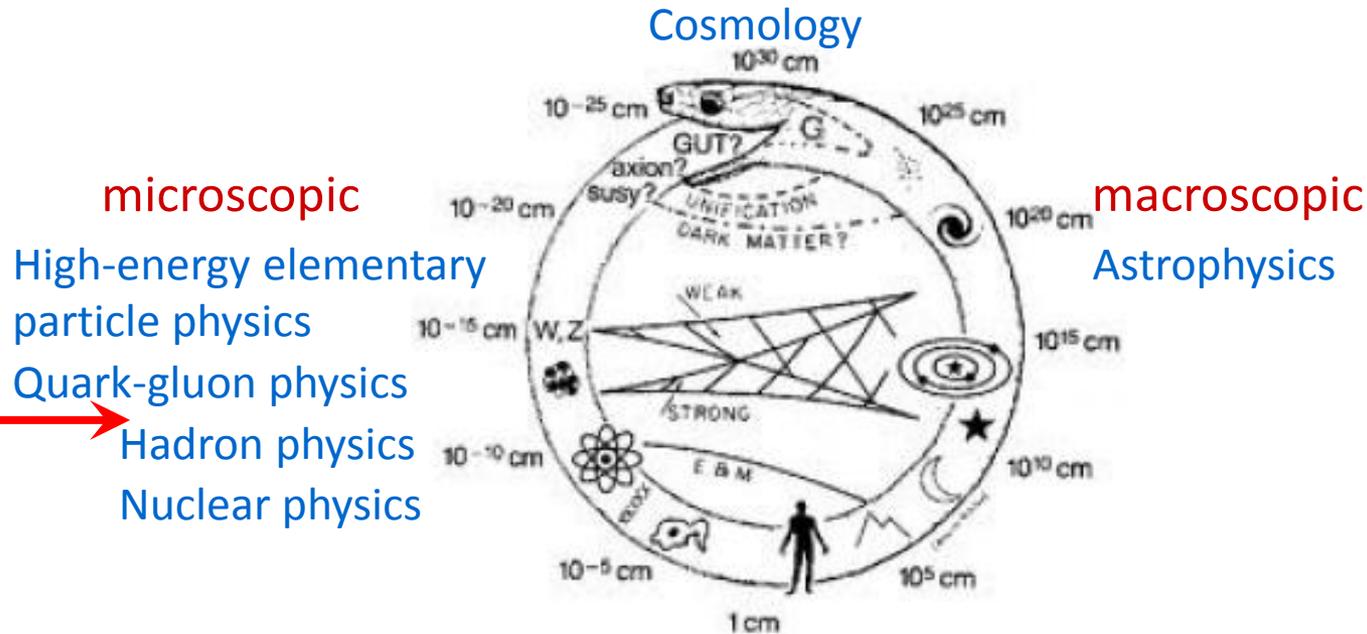
Japan-Korea PHENIX collaboration workshop  
at Sungkyunkwan University in Suwon

November 4<sup>th</sup>, 2013

Yuji Goto (RIKEN)

# Hierarchy in Nature

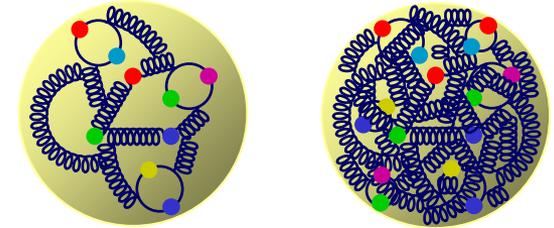
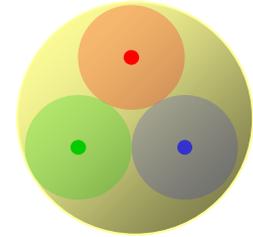
- Glashow's ouroboros



- Quark-gluon physics
  - State and structure of matter
  - Interaction and symmetry (breaking)
- Gap between "quark-gluon" and "hadron"

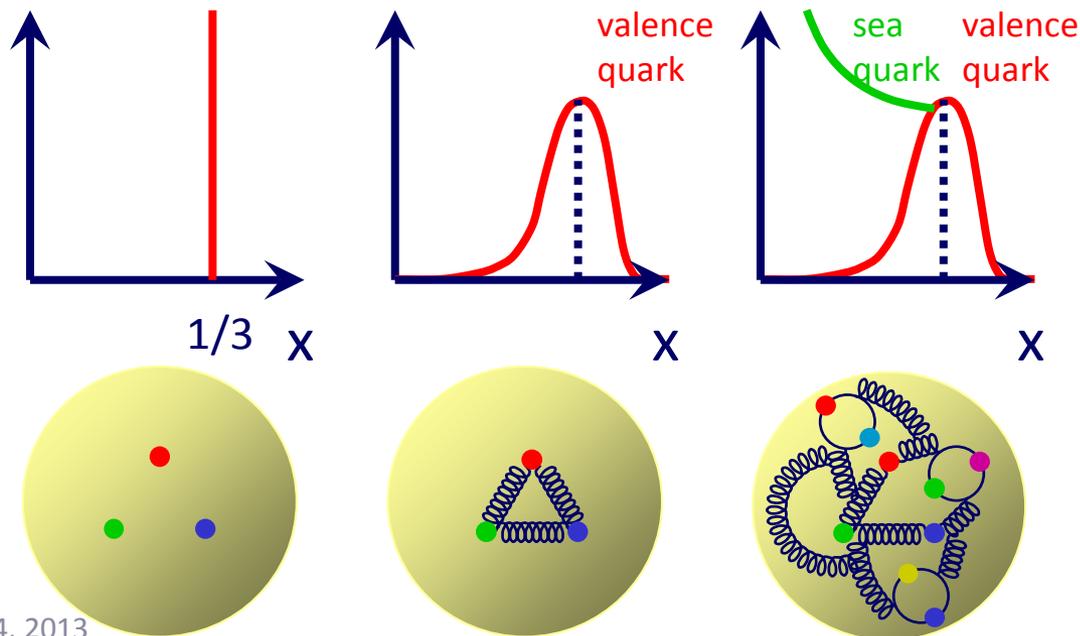
# *Nucleon structure*

- Constituent-quark model
  - Quarks with the effective mass (caused by the gluon)
  - Explains the magnetic moment of the nucleons
  - But, the quark spin cannot explain the nucleon spin (“spin puzzle”)
- Quark-gluon model
  - Current quarks and gluon interaction
  - Initial state of high-energy hadron colliders
- Understanding the differences (or gap) of these models
  - Chiral symmetry (breaking)
  - Confinement



# Nucleon structure

- Nucleon: the simplest multi-body system for studying dynamics of confined quarks and gluons
- Simple parton picture
  - 1-dimensional picture: in “longitudinal” direction
  - The nucleon consists of incoherent quarks and gluons
  - Described by the parton distribution functions (PDF)



$x$ : Bjorken's  $x$   
"longitudinal"  
momentum fraction  
(1-dimensional picture)

# Origin of the nucleon spin 1/2

- Expected to be explained by the quark spin (from the constituent quark model)
- Experiments
  - CERN-EMC experiment (polarized DIS experiment)
    - Quark-spin contribution
$$\Delta\Sigma = \Delta u + \Delta d + \Delta s = 12 \pm 9(\text{stat}) \pm 14(\text{syst})\%$$
    - Combining with neutron and hyperon decay data
    - Total quark spin constitutes a small fraction of the nucleon spin
    - Integration in  $x = 0 \sim 1$  makes uncertainty
  - SLAC/CERN/DESY/JLAB experiments
    - More data to cover wider  $x$  region with more precision
- Based on the quark-gluon model

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta g + L$$

Quark spin contribution      Gluon spin contribution      Orbital angular momentum

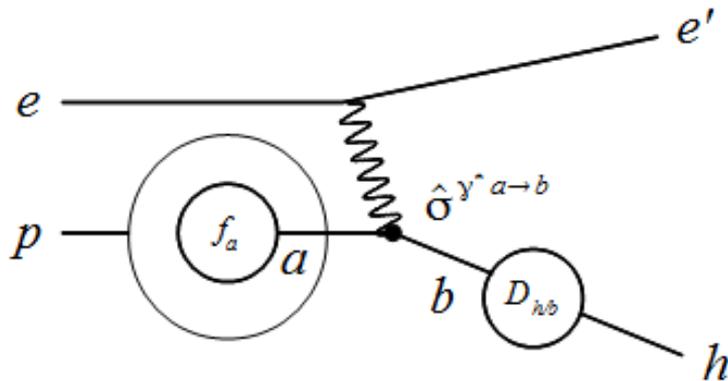
# Helicity structure of the nucleon

- Longitudinally polarized experiment
  - Polarized in beam or collision direction

$$A_{LL} = \frac{d\sigma_{++} - d\sigma_{+-}}{d\sigma_{++} + d\sigma_{+-}}$$

- $f_a(x)$  or  $q(x)$ : parton distribution function (PDF)
  - “universal” property of the nucleon – same in all reactions

## Deep Inelastic Scattering (DIS)



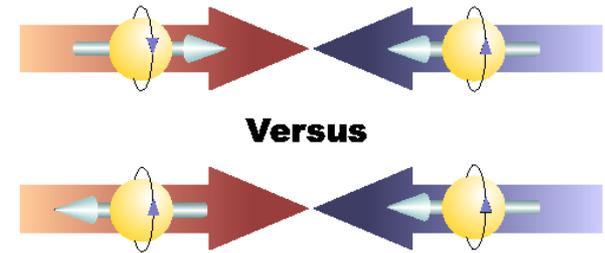
unpolarized  
structure function

$$F_2(x) = x \sum_a e_a^2 f_a(x)$$

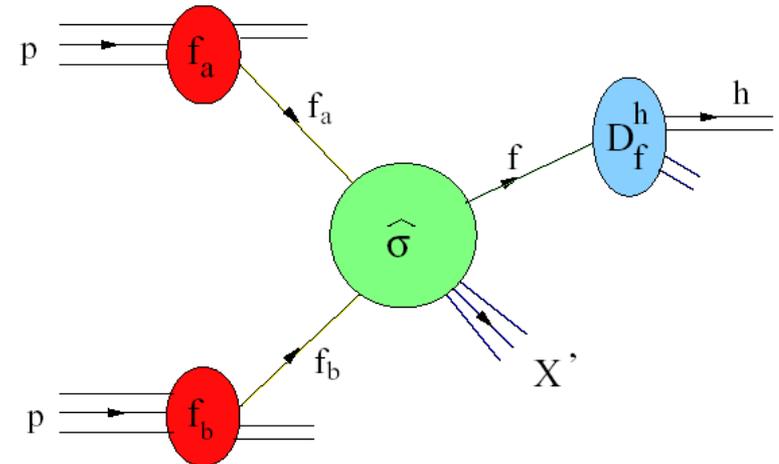
polarized  
structure function

$$g_1(x) = \sum_a e_a^2 \Delta f_a(x)$$

November 4, 2013



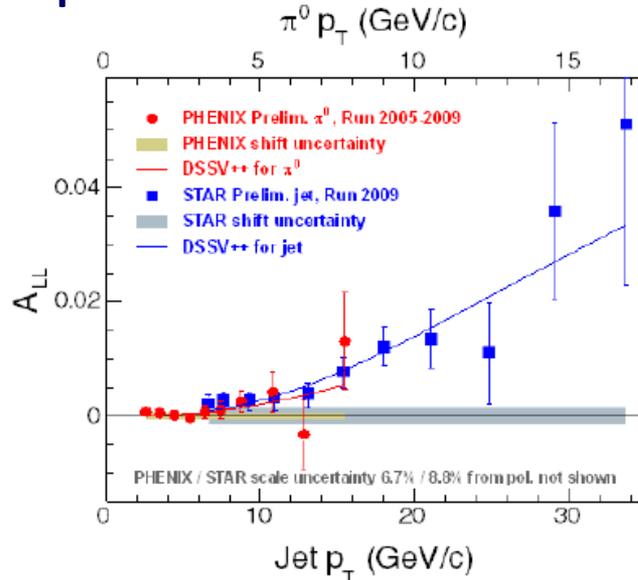
## p+p Collision



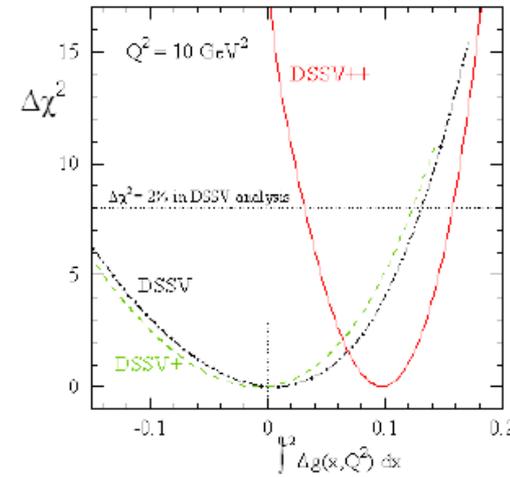
$\Delta f_a(x)$  or  $\Delta q(x)$ : polarized PDF

# Polarized $p+p$ collision at RHIC

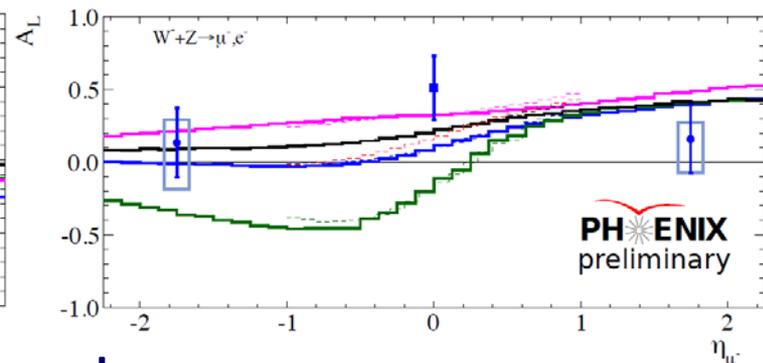
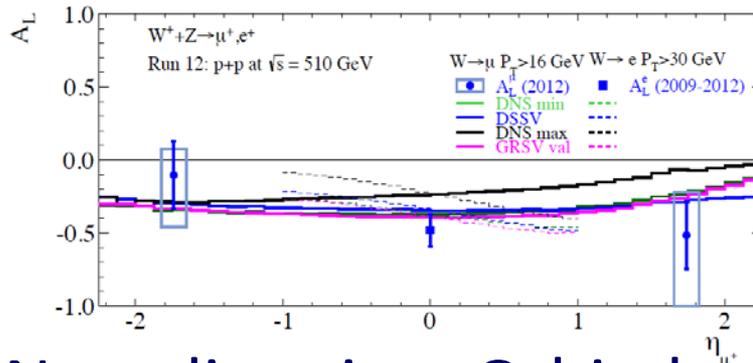
- Gluon polarization



$$\int_{0.05}^{0.2} \Delta g(x) dx = 0.1 \pm_{0.07}^{0.06}$$



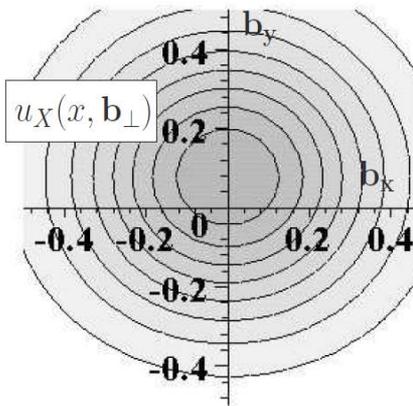
- Sea quark polarization with W measurement



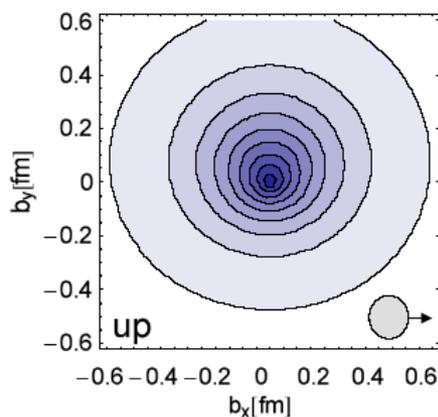
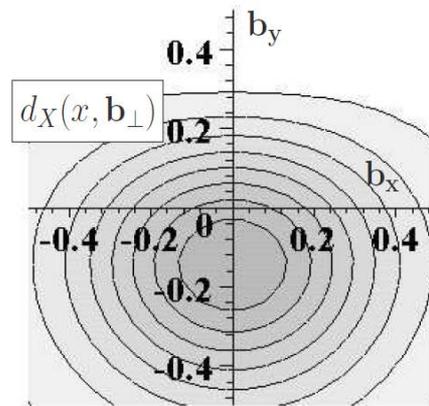
- Next direction: Orbital angular momentum

# 3-dimensional nucleon structure

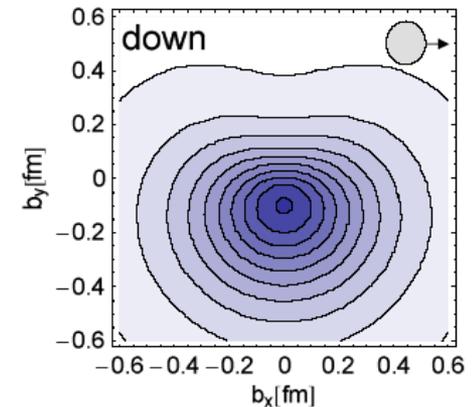
- Nucleon structure beyond the simple parton picture
- Many-body correlation of partons
  - To describe the orbital motion inside the nucleon
- Parton distribution in transverse direction
  - Extended/generalized picture of the parton distribution
  - Transverse-momentum dependence (TMD)
  - Space distribution (tomography)



Phenomenological model  
with GPD data



Lattice QCD calculation



# Transverse structure of the nucleon

- Single transverse-spin asymmetry

$$A_N = \frac{d\sigma_{Left} - d\sigma_{Right}}{d\sigma_{Left} + d\sigma_{Right}}$$

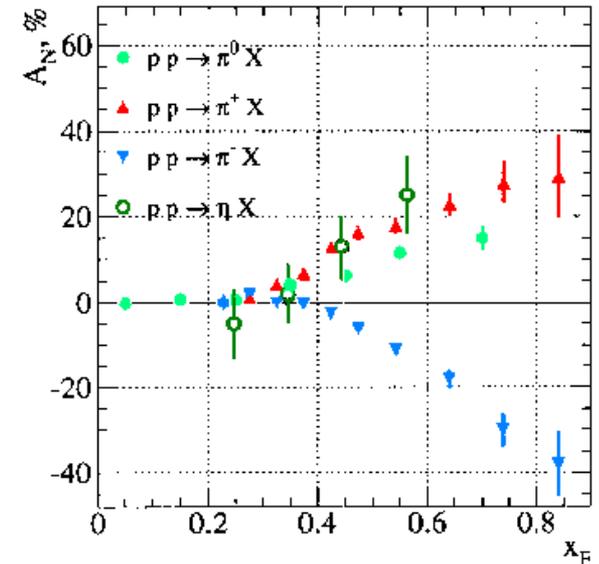
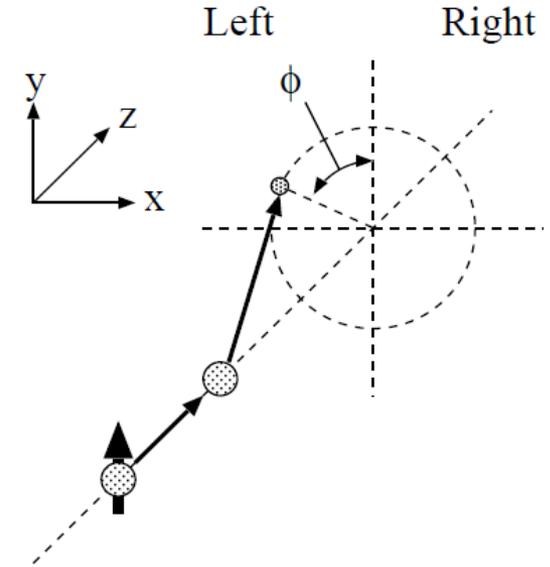
- Expected to be small in hard scattering at high energies

$$A_N \approx \frac{m_q \alpha_S}{p_T} \approx 0.001$$

Kane, Pumplin, Repko  
PRL 41 1689 (1978)

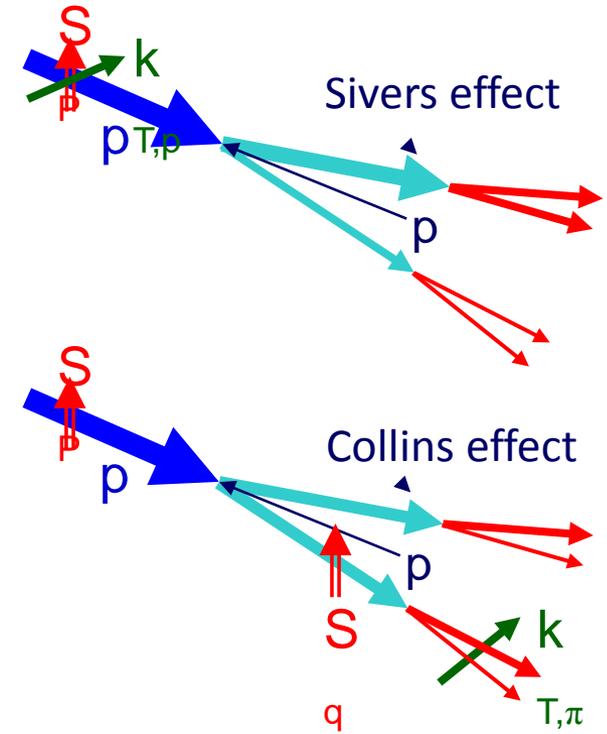
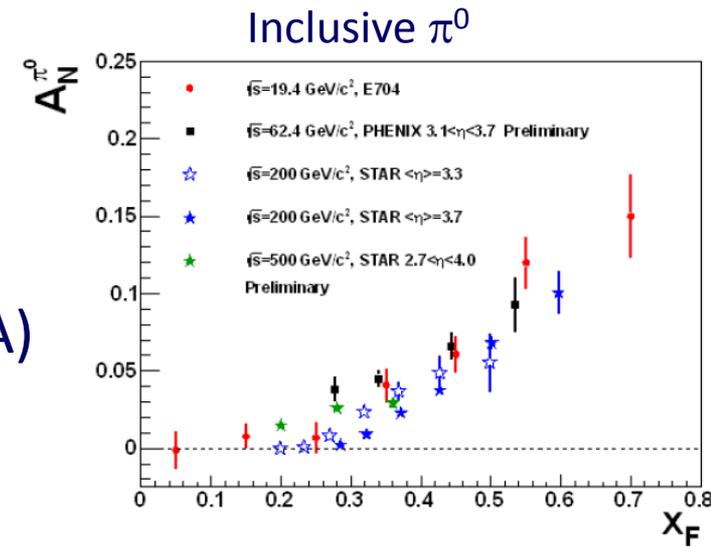
- FNAL-E704

- Unexpected large asymmetry found in the forward-rapidity region
- Development of many models based on perturbative QCD



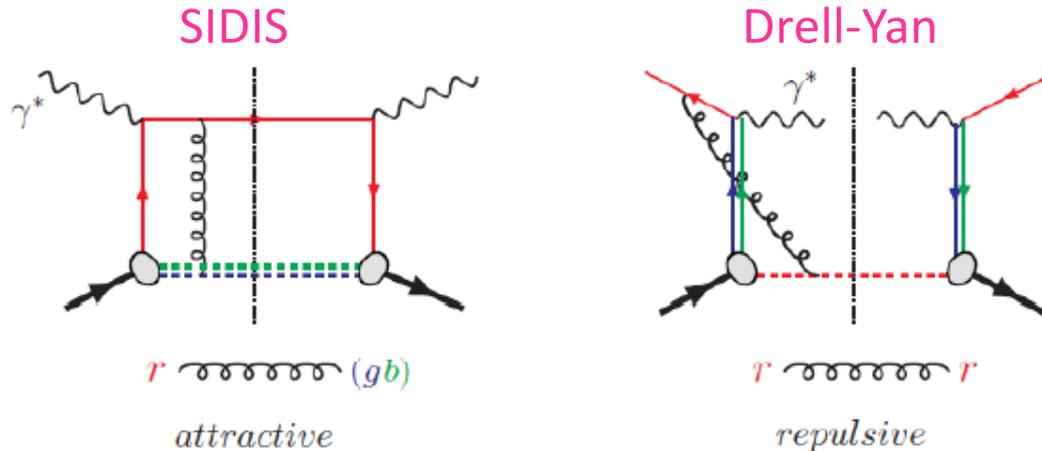
# Transverse-spin physics

- For establishment of TMD and higher-twist approach
  - Single transverse-spin asymmetry (SSA) of inclusive hadrons
  - Sivers effect
    - Sivers distribution function (initial state)
  - Collins effect
    - Transversity distribution (initial state)
    - Collins fragmentation function (final state)
  - Higher-twist effect
    - quark-gluon & multi-gluon correlation
- Two milestones
  - non-universality of TMD distribution function
  - sign mismatch of TMD and higher-twist



# Non-universality of TMD distribution function

- Opposite-sign contribution of TMD distribution function to SSA in semi-Inclusive DIS (SIDIS) process and Drell-Yan process



$$f_{1T}^{\perp q} |_{\text{SIDIS}} = -f_{1T}^{\perp q} |_{\text{DY}}$$

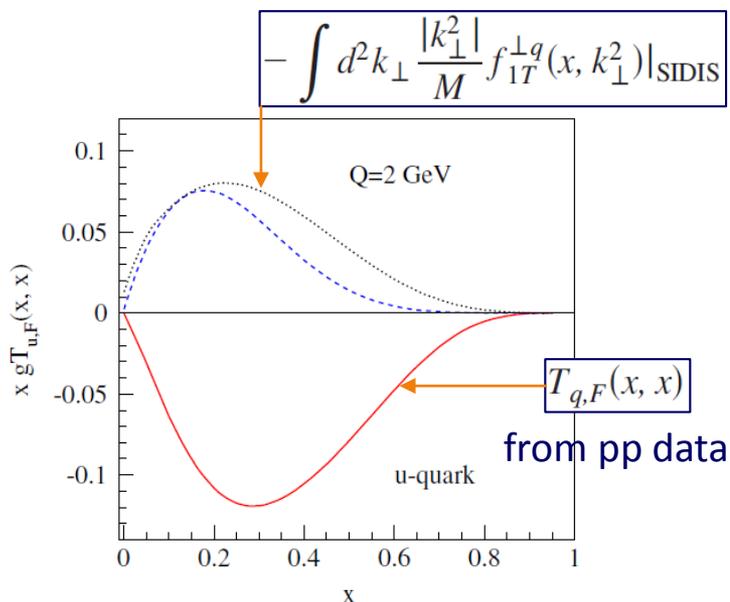
- Fundamental property based on gauge invariance of QCD
- Experimental verification required
  - Understanding in wide kinematic range from fixed target experiments to collider experiments
  - Polarized Drell-Yan experiments
    - COMPASS, SeaQuest, RHIC(, GSI-FAIR, NICA, ...)
  - Polarized SIDIS experiments
    - eRHIC

# Sign mismatch of TMD and higher-twist

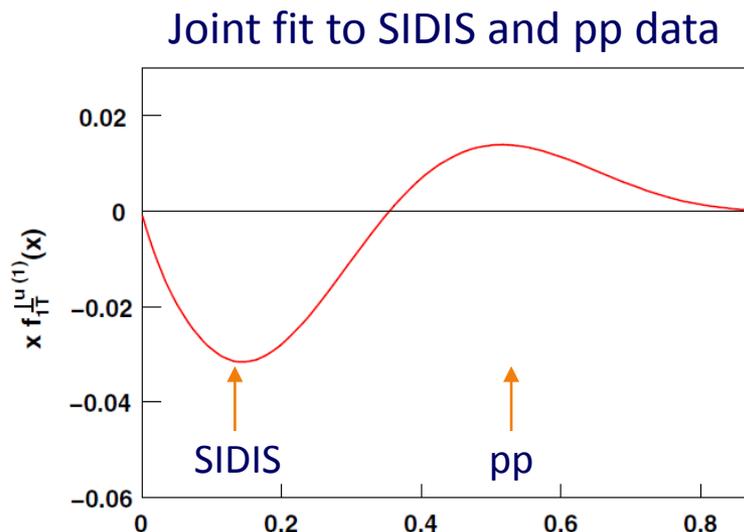
- TMD description at low  $p_T$  region, higher-twist description at high  $p_T$  region, and consistent description in the middle region

$$T_{q,F}(x, x) = - \int d^2 k_{\perp} \frac{|k_{\perp}^2|}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) \Big|_{\text{SIDIS}}$$

- But, sign mismatch of each description obtained from experiments
  - Large contribution from Collins effect in transversely-polarized proton collisions?
  - Polarized SIDIS experiment at high  $x$  region?



Kang, Qiu, Vogelsang, Yuan (2011)



Kang, Prokudin (2012)

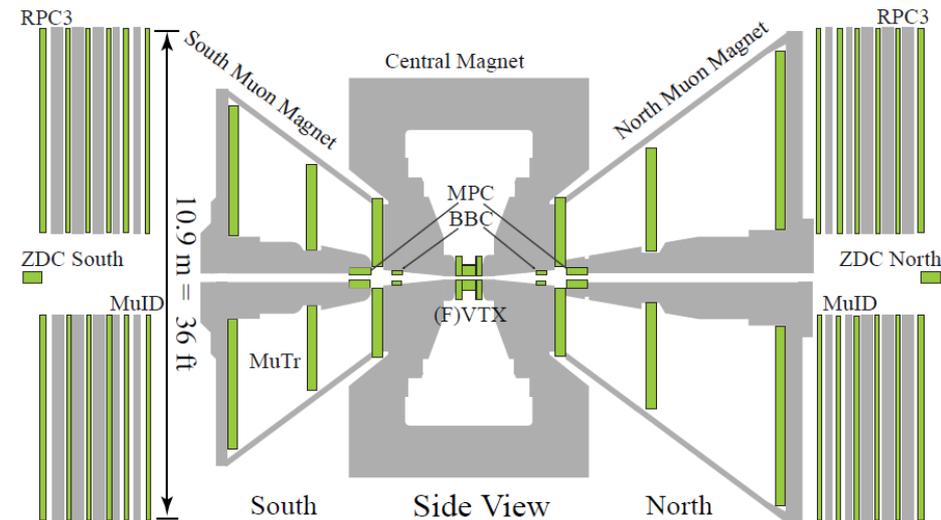
# Present timeline

Years	Beam Species and Energies	Science Goals	New Systems Commissioned
2013	<ul style="list-style-type: none"> <li>510 GeV pol p+p</li> </ul>	<ul style="list-style-type: none"> <li>Sea quark and gluon polarization</li> </ul>	<ul style="list-style-type: none"> <li>upgraded pol'd source</li> <li>STAR HFT test</li> </ul>
2014	<ul style="list-style-type: none"> <li>200 GeV Au+Au</li> <li>15 GeV Au+Au</li> </ul>	<ul style="list-style-type: none"> <li>Heavy flavor flow, energy loss, thermalization, etc.</li> <li>Quarkonium studies</li> <li>QCD critical point search</li> </ul>	<ul style="list-style-type: none"> <li>Electron lenses</li> <li>56 MHz SRF</li> <li>full STAR HFT</li> <li>STAR MTD</li> </ul>
2015-2016	<ul style="list-style-type: none"> <li>p+p at 200 GeV</li> <li>p+Au, d+Au, <sup>3</sup>He+Au at 200 GeV</li> <li>High statistics Au+Au</li> </ul>	<ul style="list-style-type: none"> <li>Extract <math>\eta/s(T)</math> + constrain initial quantum fluctuations</li> <li>More heavy flavor studies</li> <li>Sphaleron tests</li> </ul>	<ul style="list-style-type: none"> <li>PHENIX MPC-EX</li> <li>Coherent electron cooling test</li> </ul>
2017	<ul style="list-style-type: none"> <li>No Run</li> </ul>		<ul style="list-style-type: none"> <li>Electron cooling upgrade</li> </ul>
2018-2019	<ul style="list-style-type: none"> <li>5-20 GeV Au+Au (BES-2)</li> </ul>	<ul style="list-style-type: none"> <li>Search for QCD critical point and deconfinement onset</li> </ul>	<ul style="list-style-type: none"> <li>STAR ITPC upgrade</li> </ul>
2020	<ul style="list-style-type: none"> <li>No Run</li> </ul>		<ul style="list-style-type: none"> <li>sPHENIX installation</li> </ul>
2021-2022	<ul style="list-style-type: none"> <li>Long 200 GeV Au+Au w/ upgraded detectors</li> <li>p+p/d+Au at 200 GeV</li> </ul>	<ul style="list-style-type: none"> <li>Jet, di-jet, <math>\gamma</math>-jet probes of parton transport and energy loss mechanism</li> <li>Color screening for different QQ states</li> </ul>	<ul style="list-style-type: none"> <li>sPHENIX</li> </ul>
2023-24	<ul style="list-style-type: none"> <li>No Runs</li> </ul>		<ul style="list-style-type: none"> <li>Transition to eRHIC</li> </ul>

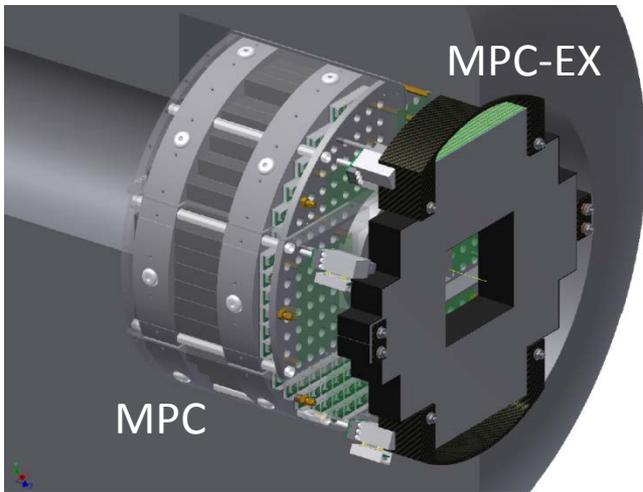
# Detector upgrade project of the PHENIX experiment

Years	Beam Species and Energies	Science Goals	New Systems Commissioned
2013	<ul style="list-style-type: none"> <li>510 GeV pol p+p</li> </ul>	<ul style="list-style-type: none"> <li>Sea quark and gluon polarization</li> </ul>	<ul style="list-style-type: none"> <li>upgraded pol'd source</li> <li>STAR HFT test</li> </ul>
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- MPC (Muon Piston Calorimeter)
  - Electromagnetic calorimeter
- MPC-EX
  - Preshower detector
  - Under construction now
  - Commissioning in 2014
  - Experiment in 2015-2016
- $3.1 < \eta < 3.8$ 
  - Installed in the muon piston

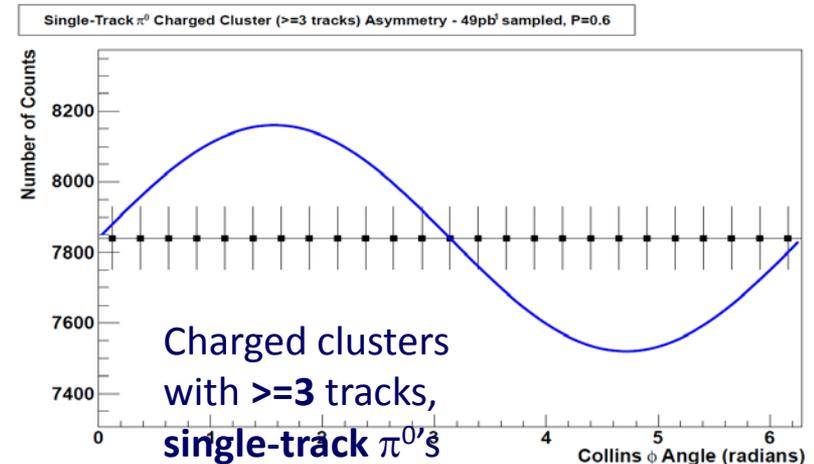
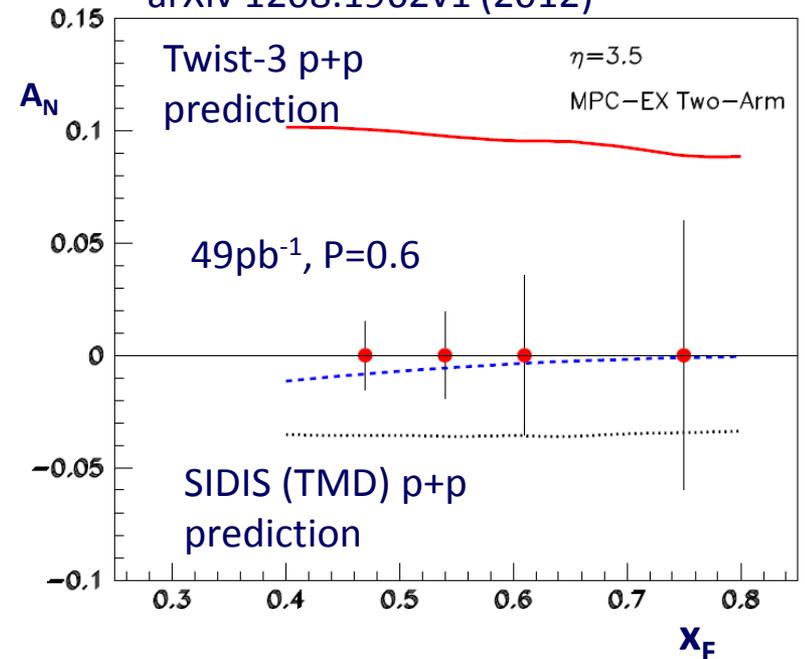


# MPC-EX



- $3.1 < \eta < 3.8$ 
  - Installed in the muon piston
- Direct photon asymmetry
  - To distinguish the Sivers effect and the higher-twist effect
- Collins asymmetry in jets
  - $\pi^0$  correlation with jet-like clusters

Phys Rev. D 83 094001 (2011)  
arXiv 1208.1962v1 (2012)



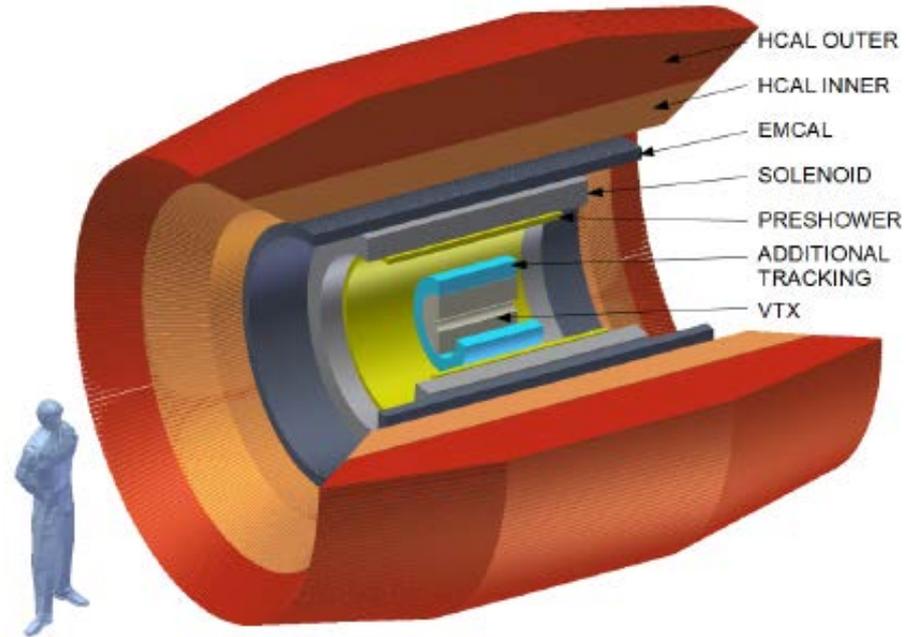
# Detector upgrade project in the PHENIX experiment

- sPHENIX
  - Barrel upgrade
  - Forward upgrade
  - Partial installation and commissioning in 2018-2019
  - Completion and experiment in 2021-2022
- ePHENIX at eRHIC
  - Transition to eRHIC in 2023-2024
  - Commissioning and experiment start in 2025

2018-2019	<ul style="list-style-type: none"><li>• 5-20 GeV Au+Au (BES-2)</li></ul>	<ul style="list-style-type: none"><li>• Search for QCD critical point and deconfinement onset</li></ul>	<ul style="list-style-type: none"><li>• STAR ITPC upgrade</li></ul>
2020	<ul style="list-style-type: none"><li>• No Run</li></ul>		<ul style="list-style-type: none"><li>• sPHENIX installation</li></ul>
2021-2022	<ul style="list-style-type: none"><li>• Long 200 GeV Au+Au w/ upgraded detectors</li><li>• p+p/d+Au at 200 GeV</li></ul>	<ul style="list-style-type: none"><li>• Jet, di-jet, <math>\gamma</math>-jet probes of parton transport and energy loss mechanism</li><li>• Color screening for different QQ states</li></ul>	<ul style="list-style-type: none"><li>• sPHENIX</li></ul>
2023-24	<ul style="list-style-type: none"><li>• No Runs</li></ul>		<ul style="list-style-type: none"><li>• Transition to eRHIC</li></ul>

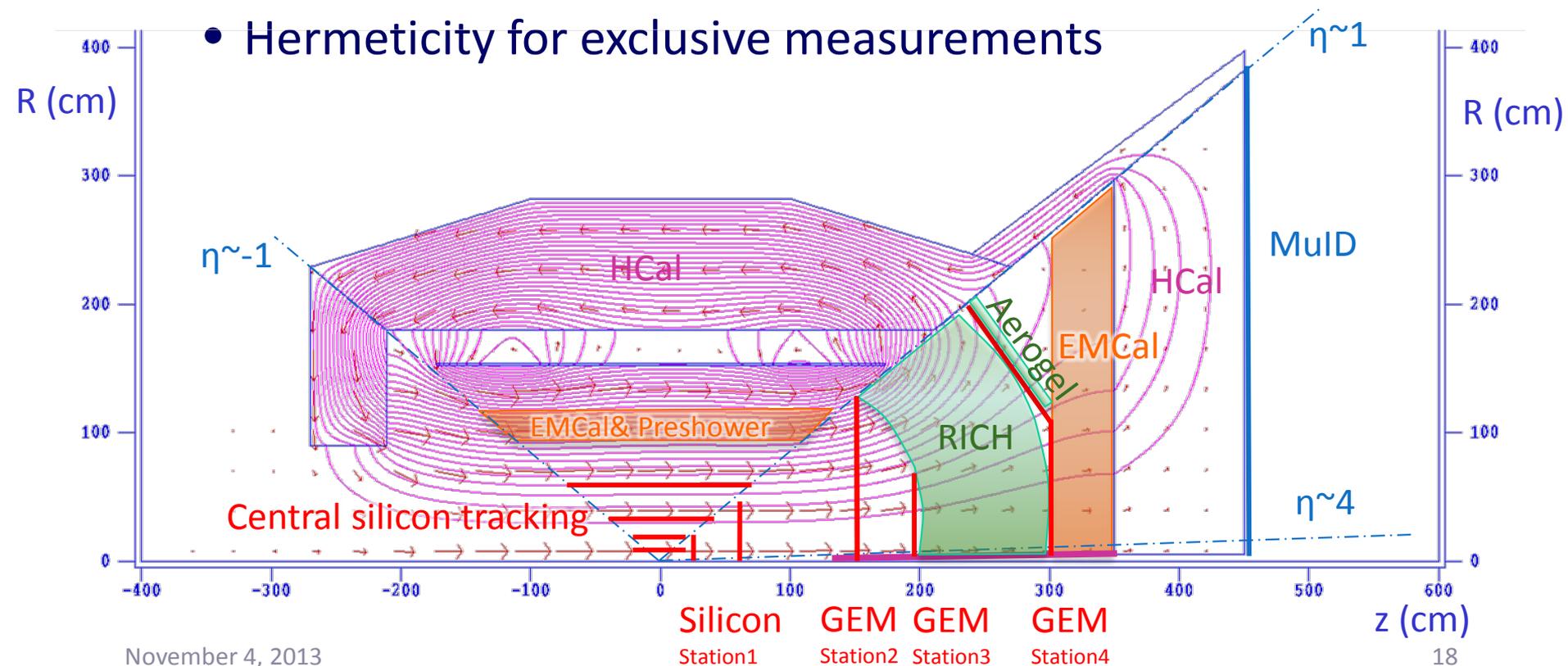
# *sPHENIX barrel upgrade*

- Baseline
  - Compact jet detector
  - Using upgraded RHIC accelerator
  - For precision measurement of jet, dijet, photon-jet correlation to understand the nature of QGP
- Extension
  - Additional tracking layers
  - Preshower detector
  - For heavy-flavor and internal jet structure measurements



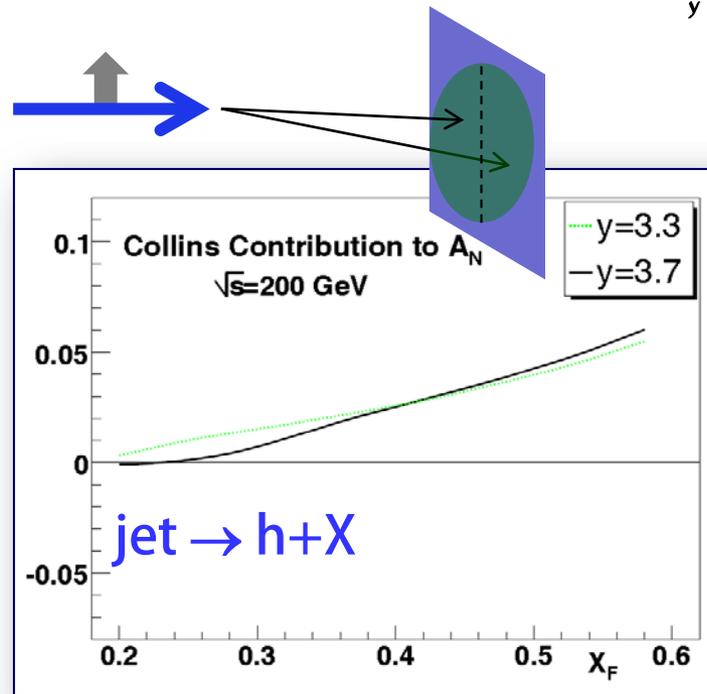
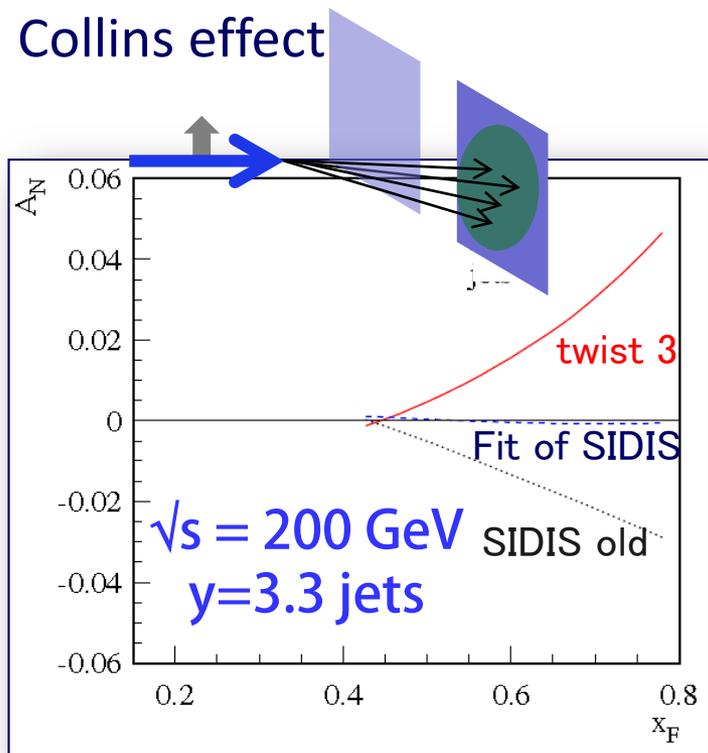
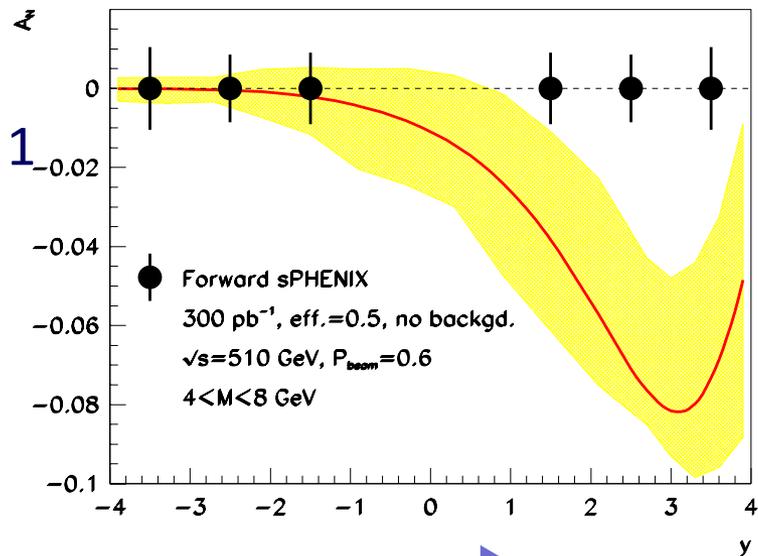
# *s*PHENIX forward upgrade

- Open geometry
  - Wide kinematic coverage of photon, jet, leptons and identified hadrons
- Compatible design for eRHIC detector (ePHENIX)
  - Constraint from IR design of eRHIC ( $|z| < 4.5\text{m}$ )
  - Hermeticity for exclusive measurements



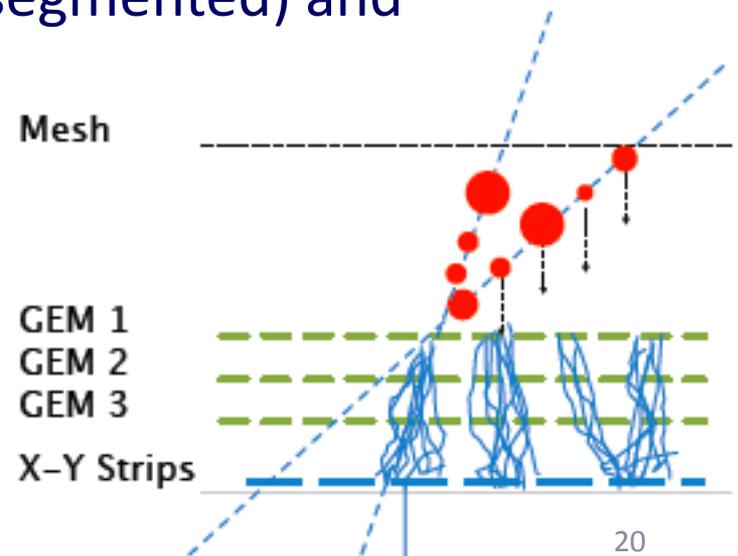
# sPHENIX forward upgrade

- Sivers effect in Drell-Yan process
  - Valence quark region at  $x \sim 0.2$  with  $1 < \eta < 4$  coverage
- Jet asymmetry
  - Sivers effect or higher-twist effect
- Asymmetry inside of jets
  - Collins effect



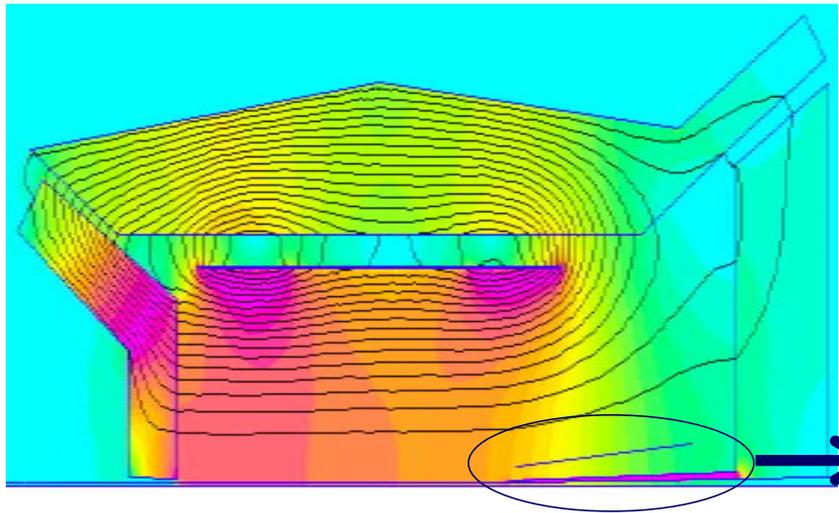
# Tracking

- Design parameters
  - Charge sign reconstruction to high momentum
    - $dp/p \sim 0.004p$  for  $3\text{-}\sigma$  charge sign reconstruction at  $p \sim 60$  GeV
    - Needed for both jets and Drell-Yan
  - Enough momentum resolution to use RICH
    - $dp/p \sim 0.004p$  for reasonable ring separation between particle species
  - Constrain  $J/\psi$  peak leakage for Drell-Yan
- Current plan
  - 2 new layers of silicon (finely  $\phi$  segmented) and “rotated” FVTX layers
  - 3 GEM layers

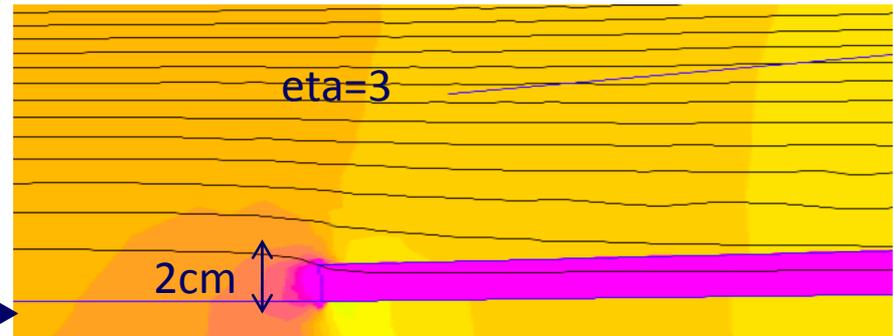


# Magnet

- Magnetic field without causing too much stress or background
  - The most challenging part of the forward sPHENIX design



Hiperco-50 (49%Co+49%Fe alloy) passive field shaper provides a boost in the field strength at high eta



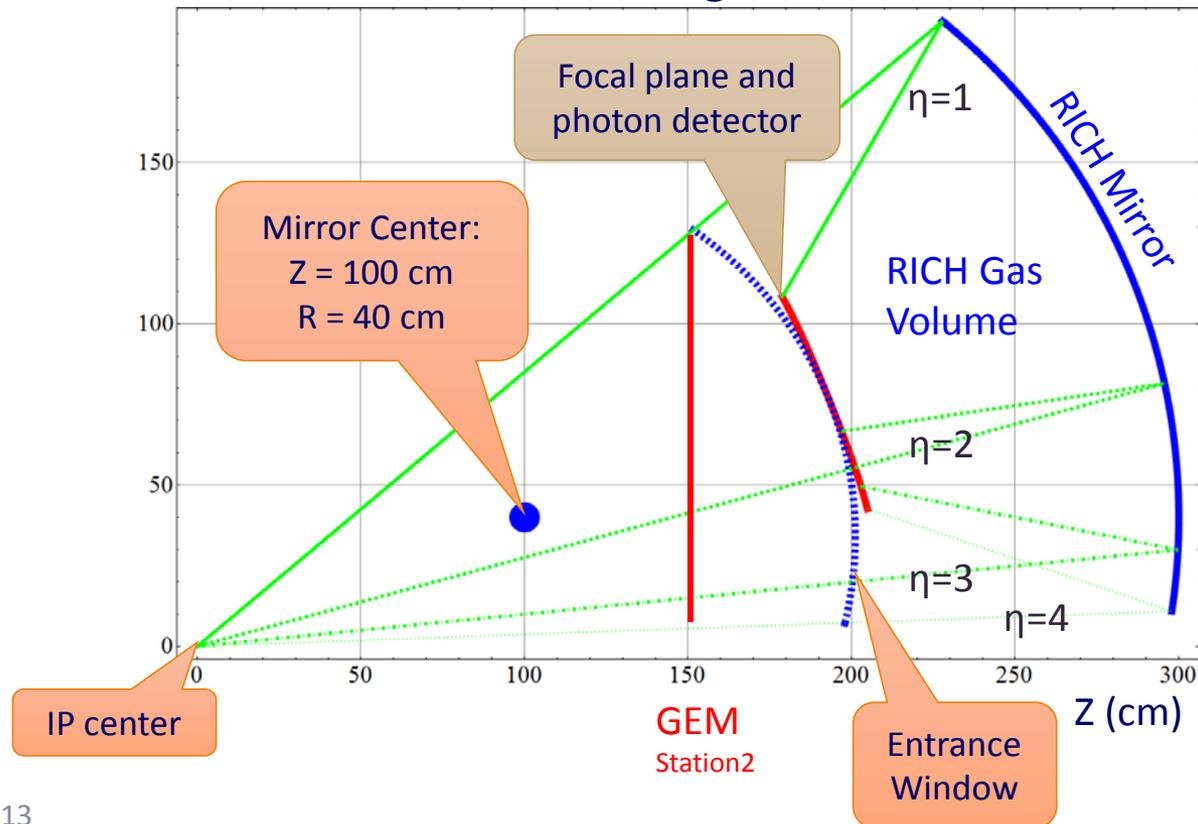
- Passive field shaper + BaBar magnet
  - HCal as a return yoke
  - Passive field shaper surrounding the beam pipe e.g. made by Hiperco-50 (49% Co + 49% Fe alloy) with high magnetic saturation
  - We can achieve the necessary resolution over a large range in pseudo-rapidity

# *Calorimetry*

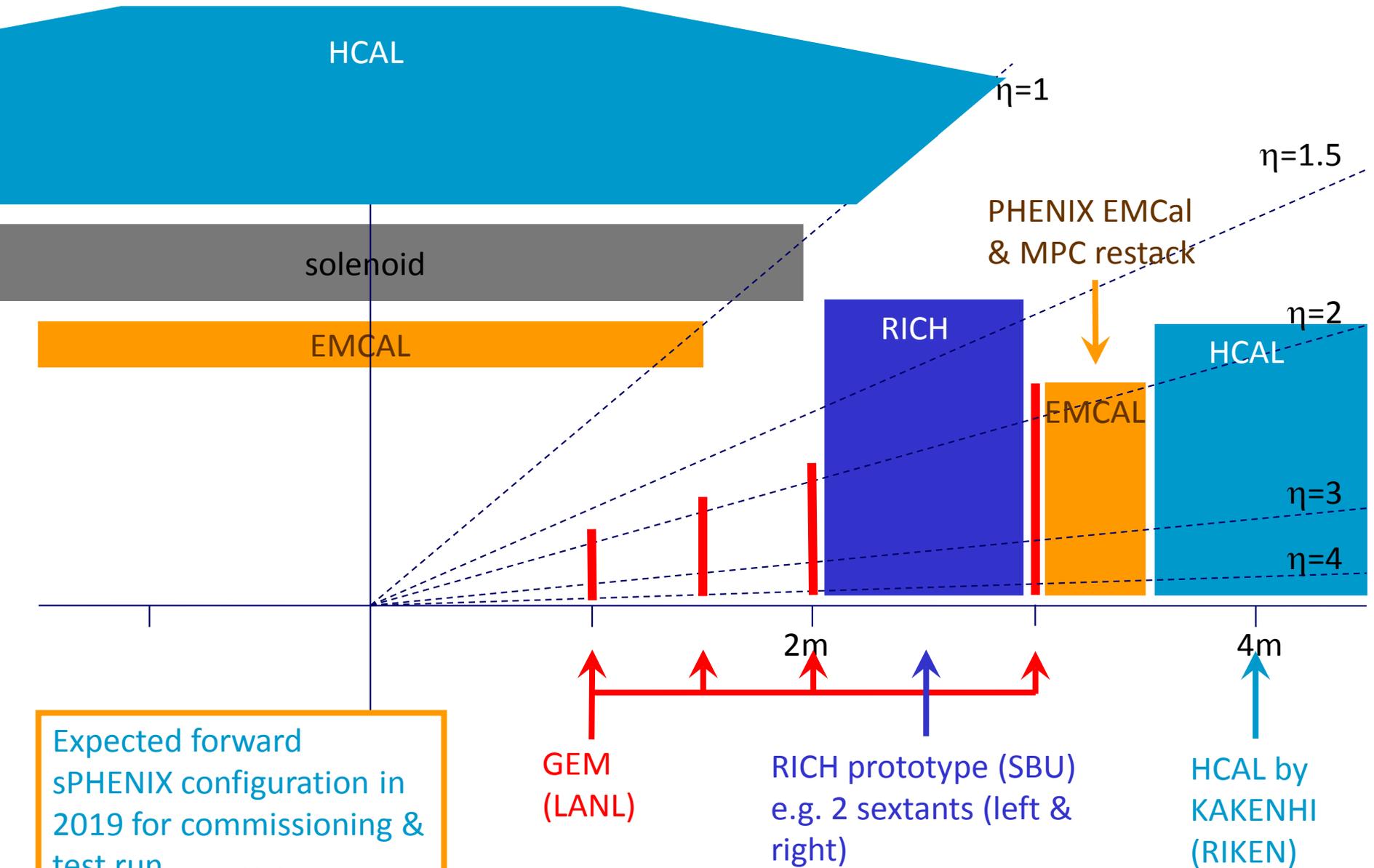
- Design parameters
  - Aid in background rejection for Drell-Yan of  $e^+e^-$  channel
  - Reasonable energy resolution for jets and EM particles
  - A+A needs fine segmentation, especially at high  $\eta$
- Current plan
  - EMCal: restack of the current PHENIX EMCal and MPC with SiPM readout
  - HCal: required resolution is likely not very high
  - Pre-shower?: a simple GEM tracker in front of absorber (W, Pb)

# Particle-ID - RICH

- Design parameters
  - Hadron-ID:  $\pi/K/p$  separation above a few GeV/c and to a high momentum  $\sim 70$  GeV/c
  - Drell-Yan particle-ID
    - Electrons/positrons need sufficient  $e/\pi$  separation
    - Muons need sufficient shielding and identification



# Possible 1<sup>st</sup>-stage configuration

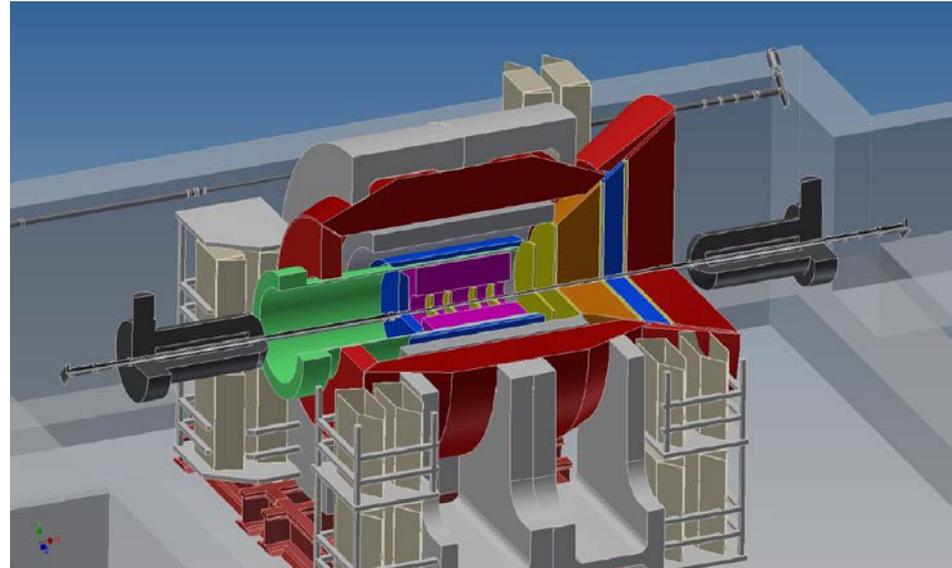
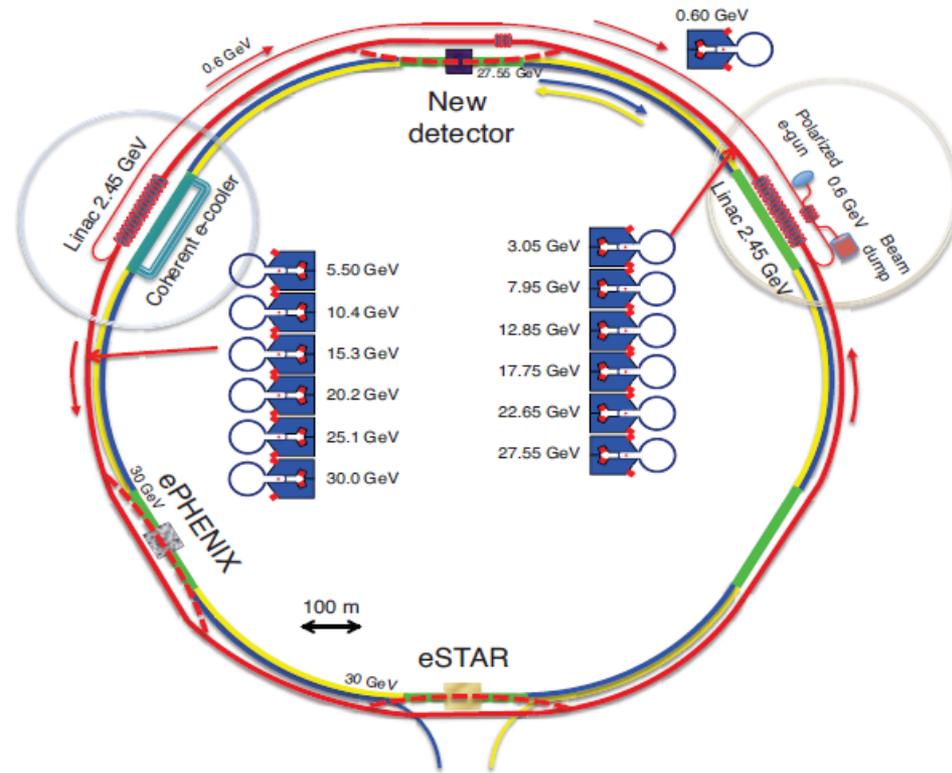


Expected forward sPHENIX configuration in 2019 for commissioning & test run

November 4, 2013

# ePHENIX

- 1<sup>st</sup> stage eRHIC detector
  - 5 GeV-10 GeV electron beam
  - $10^{33} \text{ cm}^{-2}\text{s}^{-1}$  luminosity
- Inclusive DIS
  - Helicity distribution of quarks and gluons
- Semi-inclusive DIS
  - TMD & higher-twist
- Exclusive & diffractive measurements
  - GPD measurement by DVCS (Deeply Virtual Compton Scattering) and HEMP (Hard Exclusive Meson Production) measurement
  - Transverse space distribution inside the nucleon
  - tomography



# Summary

- The forward sPHENIX upgrades will give us great opportunities for studying the nucleon spin structure toward understanding of the extended 3-dimensional nucleon structure (and cold nuclear matter)
  - Sivers asymmetry in Drell-Yan process
  - Jet asymmetry measurements
  - (Search for gluon saturation)
- Two milestones with sPHENIX forward upgrade
  - Sign change of the TMD distribution function
    - Polarized Drell-Yan experiment at RHIC and polarized SIDIS experiment at eRHIC
  - Sign mismatch of TMD and higher-twist
    - Distinguish Sivers/higher-twist and Collins/transversity
- Detector design and studies are ongoing with physics requirements
  - Detector configuration
  - Evolution to ePHENIX toward electron-proton collisions at eRHIC

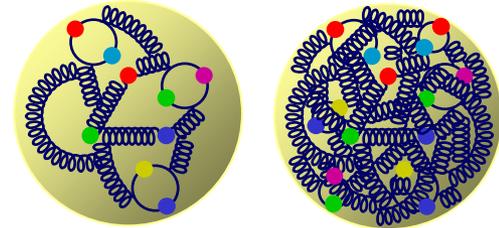
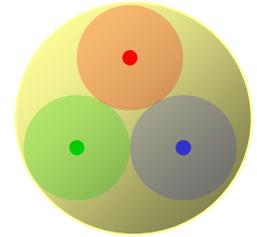
***Backup Slides***

# *Outline*

- Introduction
  - Nucleon (spin) structure
- Forward sPHENIX upgrades
- Design
- Physics
  - 3-dimensional nucleon structure
  - Cold nuclear matter
- Evolution to ePHENIX

# Nucleon structure

- Constituent-quark picture
  - Magnetic moment of the nucleons explained
  - Deeply-inelastic scattering (DIS) experiments have measured quark-spin contribution to the nucleon spin to be about 30%
  - “Spin Puzzle”
- Quark-gluon picture
  - DIS experiments measure current quarks instead of constituent quarks
  - Gluon interaction and Nucleon structure with gluons necessary
  - Initial state of high-energy hadron collision experiments
- Understanding gap of hierarchy in nature between these pictures
  - Chiral symmetry breaking
  - Confinement



# ***“Spin Puzzle” to 3-dim picture of the nucleon***

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta g + L$$

Orbital angular momentum  
Gluon spin contribution  
Quark spin contribution

- Longitudinally polarized proton collision at RHIC
  - Helicity distribution of gluons
  - Helicity distribution of sea-quarks with W boson measurement
- Toward understanding of the “Spin Puzzle” with extended picture of the nucleon structure
  - 3-dimensional description of the nucleon structure
    - Quantum many-body correlation of quarks and gluons
    - Transverse quark-gluon distribution inside the nucleon
  - TMD (Transverse-Momentum Dependence) factorization and collinear higher-twist factorization
    - Transverse-momentum distribution inside the nucleon
  - GPD (Generalized Parton Distribution)
    - Space distribution, tomography
  - Understanding angular motion inside the nucleon

# Nucleon structure and parton reaction

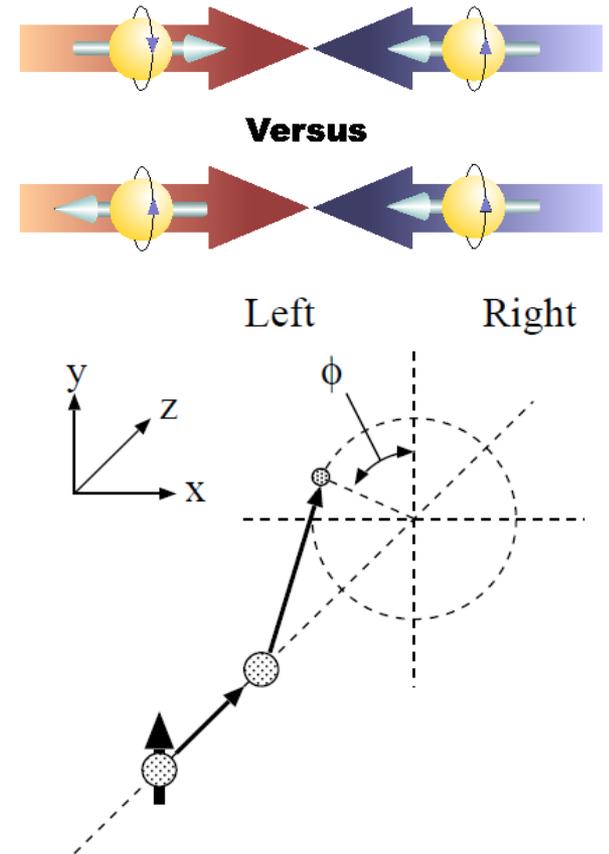
- Precision measurement of PDFs
  - Helicity structure of the nucleon

$$A_{LL} = \frac{d\sigma_{++} - d\sigma_{+-}}{d\sigma_{++} + d\sigma_{+-}}$$

- Transverse-spin phenomena

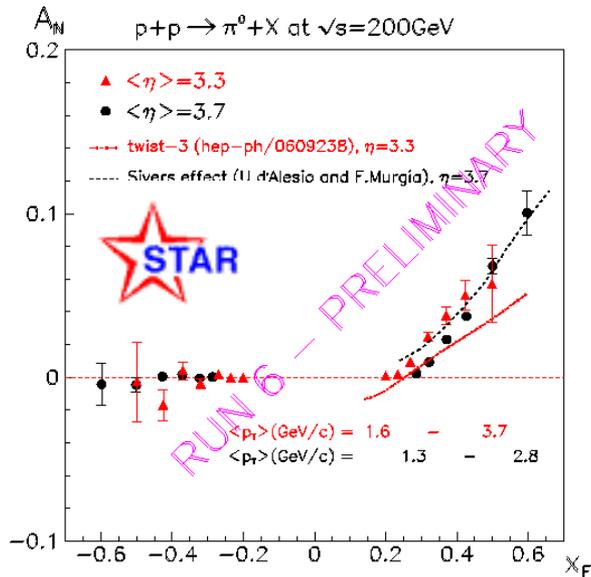
$$A_N = \frac{d\sigma_{Left} - d\sigma_{Right}}{d\sigma_{Left} + d\sigma_{Right}}$$

- Many-body correlation of partons
- TMD (transverse-momentum dependent) factorization
  - Transverse structure of the nucleon
- Higher-twist effect on collinear factorization
  - Parton reaction

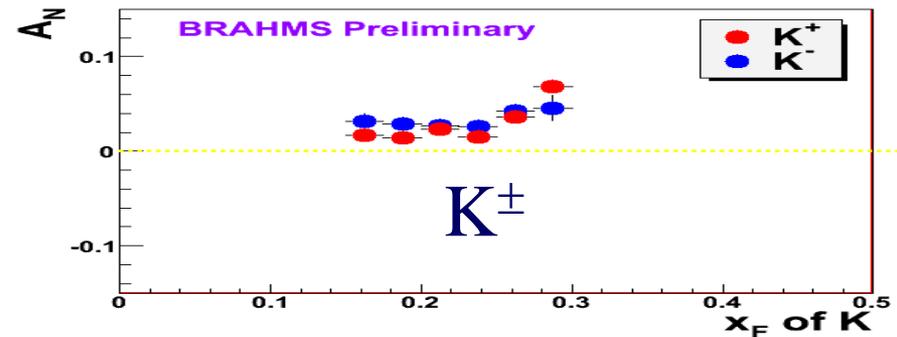
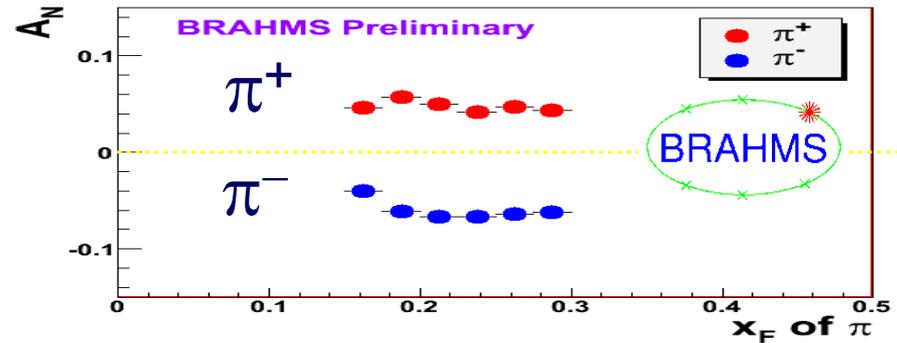


# Transverse spin asymmetries at RHIC

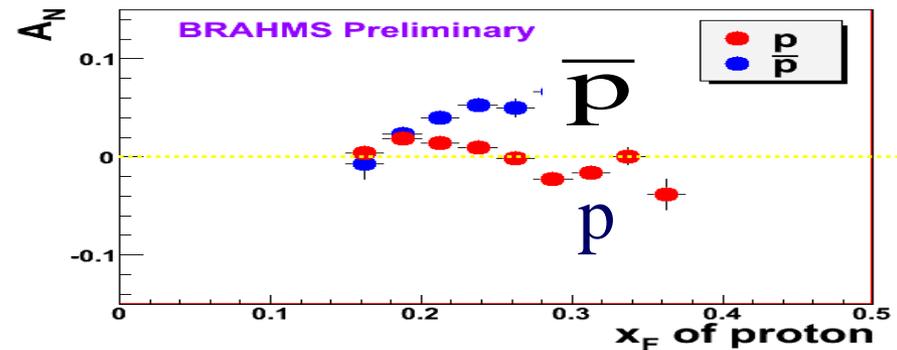
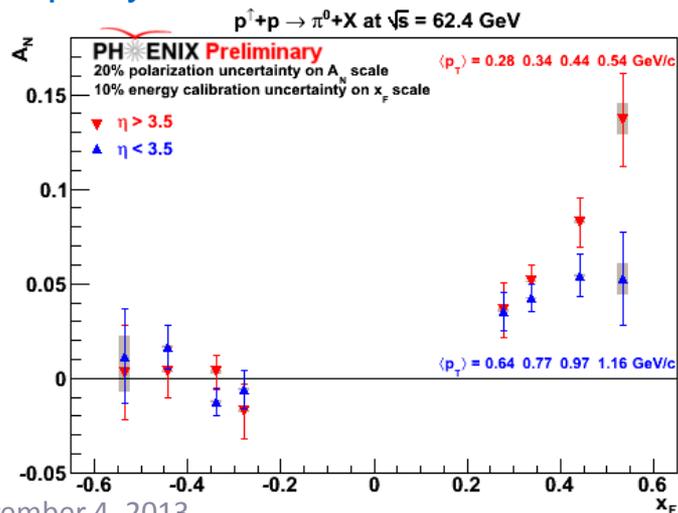
Forward rapidity  $\pi^0$  at STAR at  $\sqrt{s} = 200$  GeV



Forward identified particles at BRAHMS

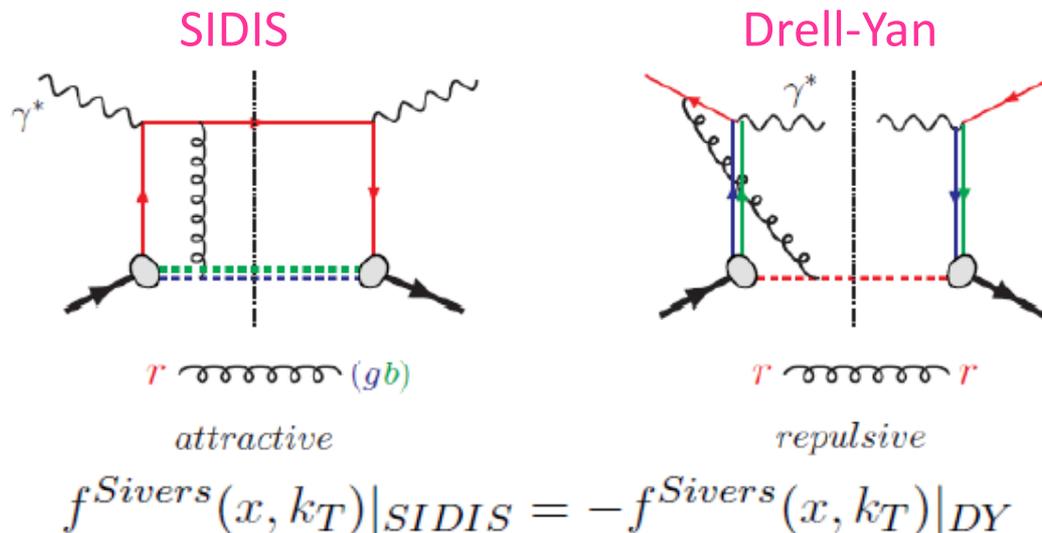


Forward rapidity  $\pi^0$  at PHENIX at  $\sqrt{s} = 62.4$  GeV



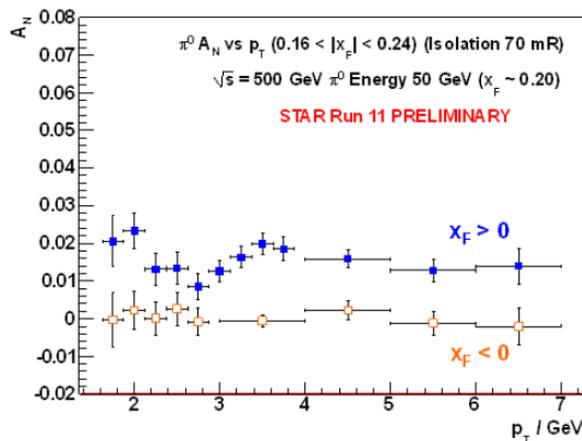
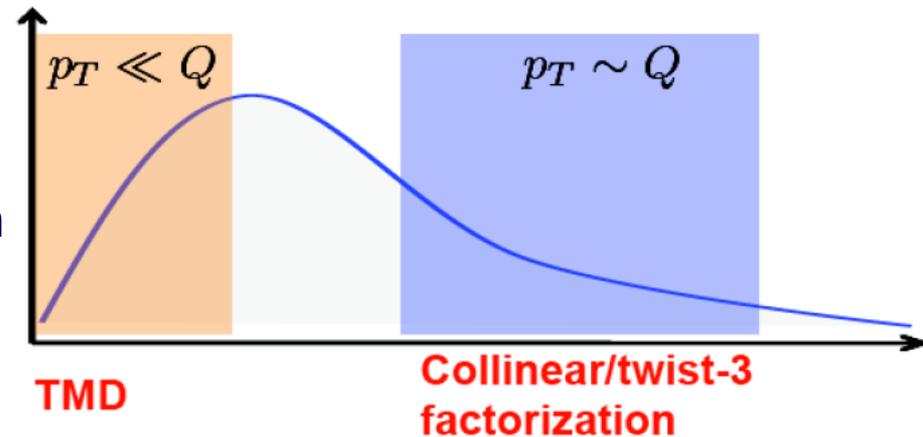
# TMD non-universality

- Opposite-sign contribution to the transverse-spin asymmetries in the semi-inclusive DIS process and the Drell-Yan process
- Fundamental QCD prediction based on gauge invariance
- Verification is an important milestone for the field of hadron physics
- Competitive program in fixed target experiments and in collider experiments

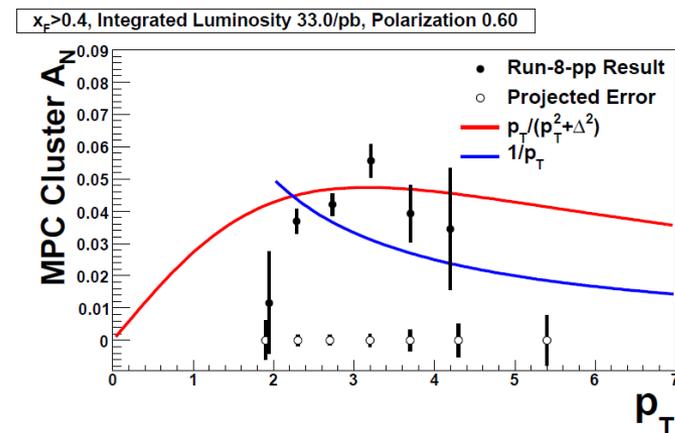


# TMD and higher twist

- $p_T$  distribution
  - Small  $p_T$ : described by the TMD factorization
  - Large  $p_T$ : described by the collinear higher-twist factorization
  - Intermediate  $p_T$ : identity in TMD and higher-twist
- Need more statistics
  - To find  $1/p_T$  at high  $p_T$



STAR  $\sqrt{s} = 500$  GeV



PHENIX  $\sqrt{s} = 200$  GeV

# *TMD and higher twist*

- At small  $p_T$ 
  - Described by the TMD (Transverse Momentum Dependent) factorization framework
  - Sivers mechanism
    - Correlation between the transverse spin of the nucleon and intrinsic  $p_T$  of partons in the initial state
  - Collins mechanism
    - Correlation between the transverse spin of the parton and  $p_T$  of hadrons in the final state
- At large  $p_T$ 
  - Described by the collinear factorization framework
  - Higher twist effect
    - Spin-dependent  $p_T$  components generated through quark-gluon and multi-gluon correlations
- At intermediate  $p_T$ 
  - Identity of the Sivers mechanism and the higher twist effect

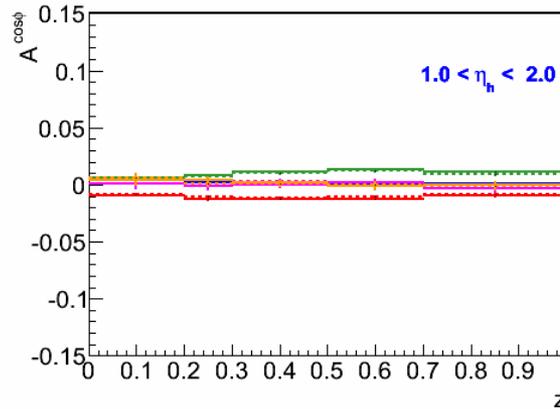
# *Collins effect and transversity*

- Azimuthal anisotropy in the distribution of hadrons in final-state jets
- Transversity measurement with single identified hadrons (Collins fragmentation function) or with identified hadron pairs (interference fragmentation function)
  - Determination of the tensor charge of the nucleon
  - Test of the Lattice QCD prediction

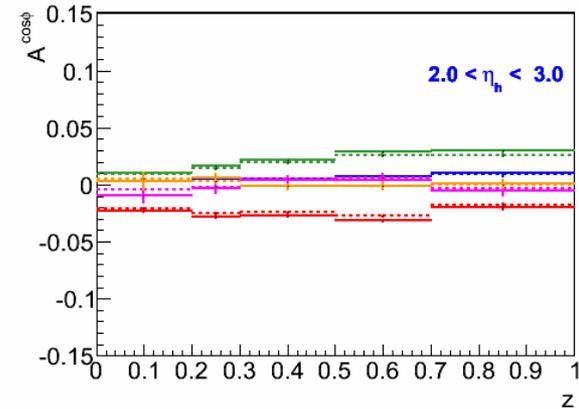
# Transverse spin asymmetries at forward sPHENIX

- Collins asymmetry inside the jet
  - TppMC simulation
    - Collins/Sivers functions from Torino
    - Transversity from Soffer bound
    - $p_T > 1 \text{ GeV}/c$
  - From Ralf Seidl

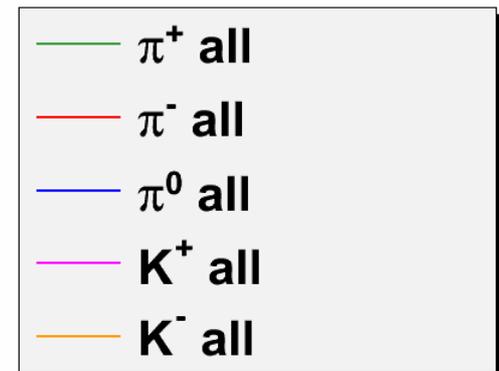
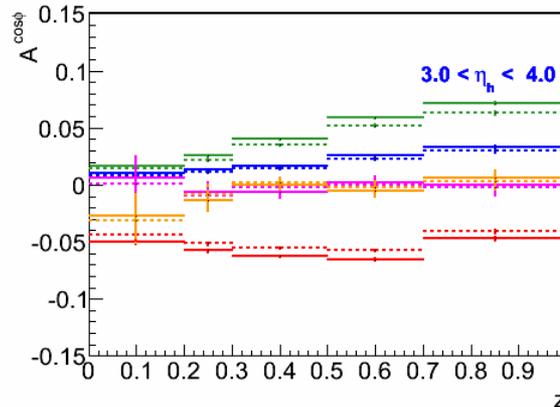
hadron in Jet Collins Asymmetry



hadron in Jet Collins Asymmetry

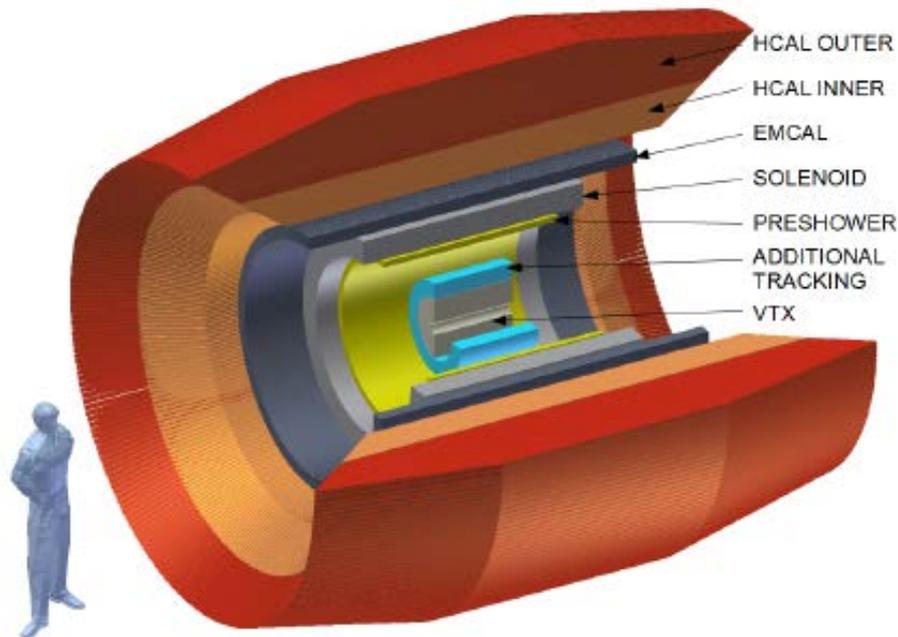


hadron in Jet Collins Asymmetry



# *Stages of PHENIX detector upgrades*

- Barrel sPHENIX upgrades
  - Compact jet detector at midrapidity with high-rate capability
  - Precision jet / dijet / photon-jet measurement to understand the nature of the strongly coupled QGP
  - Future options to add tracking and preshower for heavy-flavor quarkonia and internal jet structure measurements



# *Stages of PHENIX detector upgrades*

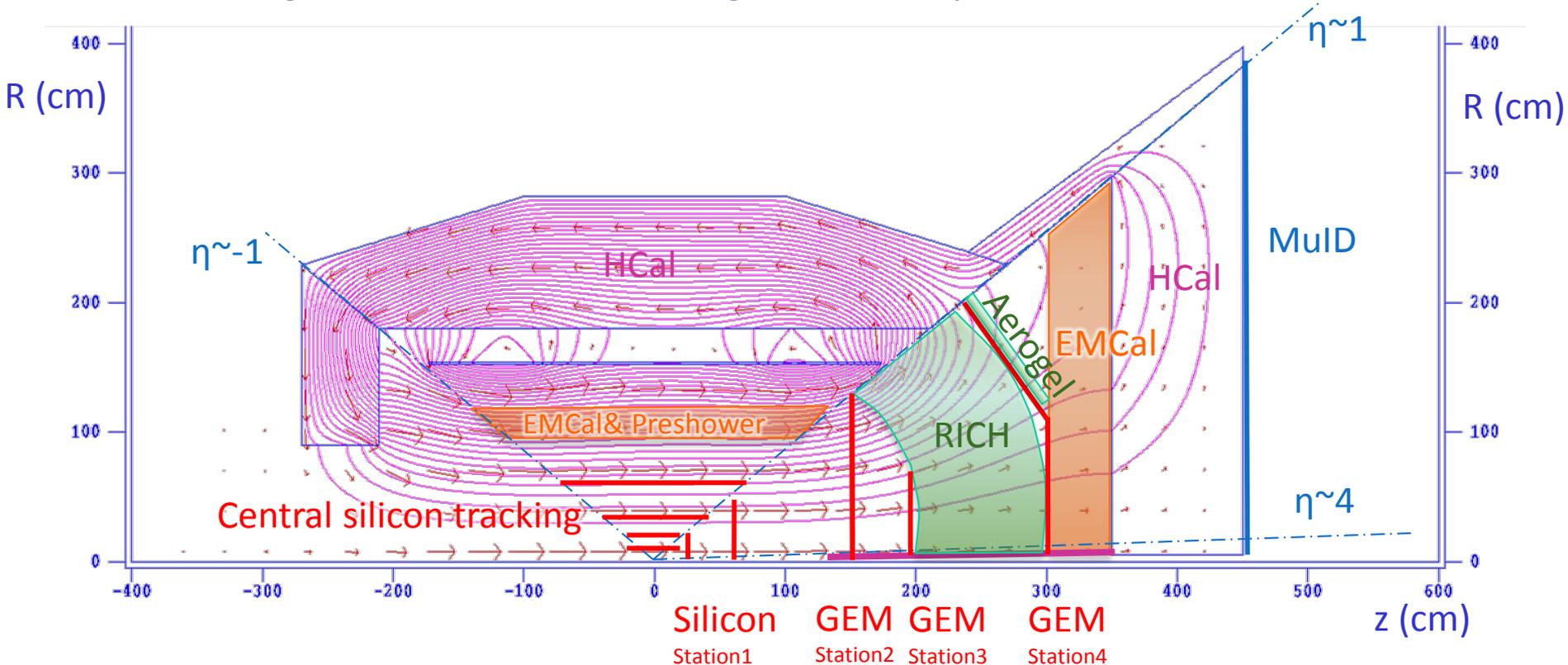
- Forward sPHENIX upgrades
  - Open geometry for wide kinematic coverage of photon / jet / leptons / identified-hadrons
  - Understanding 3-dimensional (TMD) quark-gluon structure of the nucleon and nuclei
  - Measurement of the nuclear gluon distribution and search for gluon saturation at small-x
- Evolution to ePHENIX at eRHIC
  - 3-dimensional space structure (tomography) of the nucleon and nuclei
  - Precision understanding of strongly-coupled QGP by knowing the initial state

# *Forward sPHENIX design*

- Compatible design for eRHIC
  - Constraint from IR design
    - focusing and bending magnets for the electron-ion collision
    - 4.5 m from IP available in z direction
  - Hermeticity for exclusive measurements
- Magnet discussion
  - Piston
  - Dipole
  - Toroid
  - Solenoid extension
- Detector configuration
  - Charged-particle tracking (e.g. GEM)
  - Particle identification (e.g. RICH)
  - EM and hadron calorimeters
  - Vertex detector? (silicon or GEM?)
  - (Roman pot detector for exclusive measurements at eRHIC)
- **More discussion by Joe Seele this afternoon**

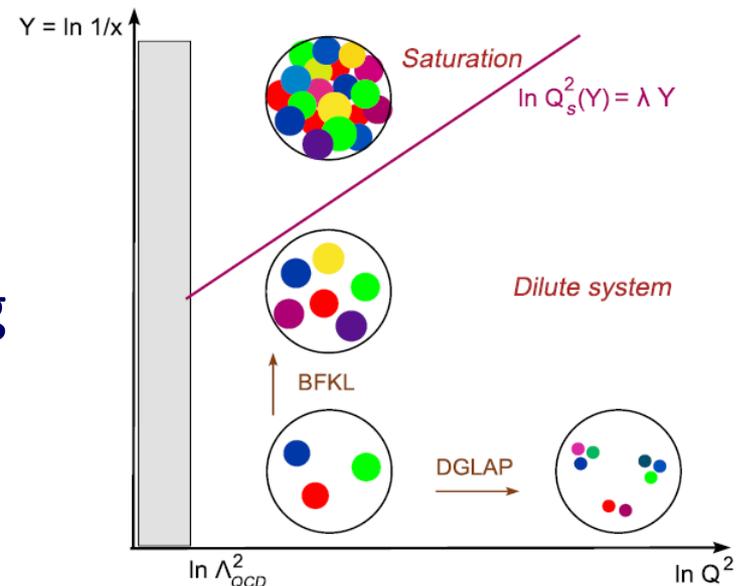
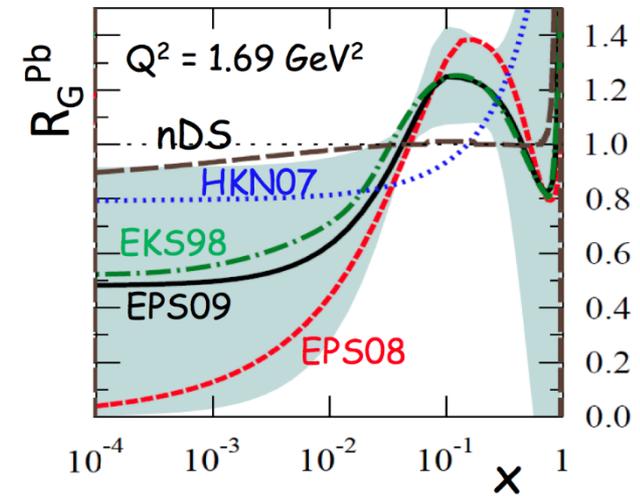
# Forward *s*PHENIX design

- Forward field shaper
  - Passive piston
  - Total flux much enough?
  - High resolution tracking necessary (silicon detector)



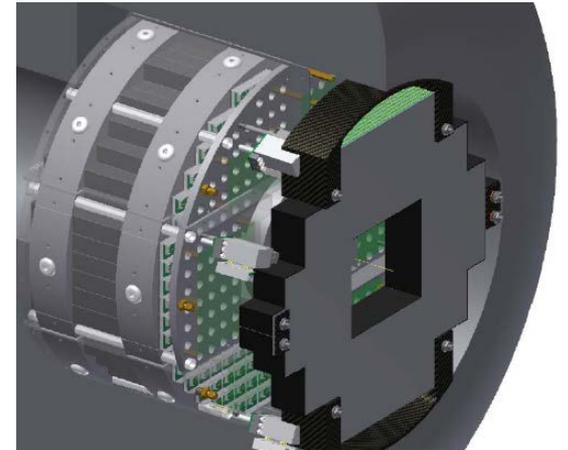
# Cold Nuclear Matter (CNM) physics

- Measurement of the nuclear gluon distribution  $G_A(x)$ 
  - To know initial state of heavy-ion collisions
  - precision understanding of strongly-coupled QGP
- Search for gluon saturation, or suppression of  $G_A(x)$  at small- $x$  and verify CGC (color glass condensation) framework
  - CGC: effective field theory to describe the saturated gluon
- Energy loss of partons in CNM and its relation to  $p_T$  broadening
- Hadronization mechanism and time scales



# CNM physics at PHENIX

- Current measurements
  - $J/\psi$  and hadron-hadron correlations over a broad range of rapidity
    - Sensitive to extended range of  $x$
  - Open heavy-flavor and a first look at Drell-Yan
    - With FVTX installed in 2012
    - Comparison data to  $J/\psi$
- MPC + MPC-EX upgrade (2014 –)
  - More details by John Lajoie this afternoon
  - Electromagnetic calorimeter + preshower
  - $3.1 < \eta < 3.8$  in the muon piston
  - Prompt-photon



# *CNM physics at forward sPHENIX*

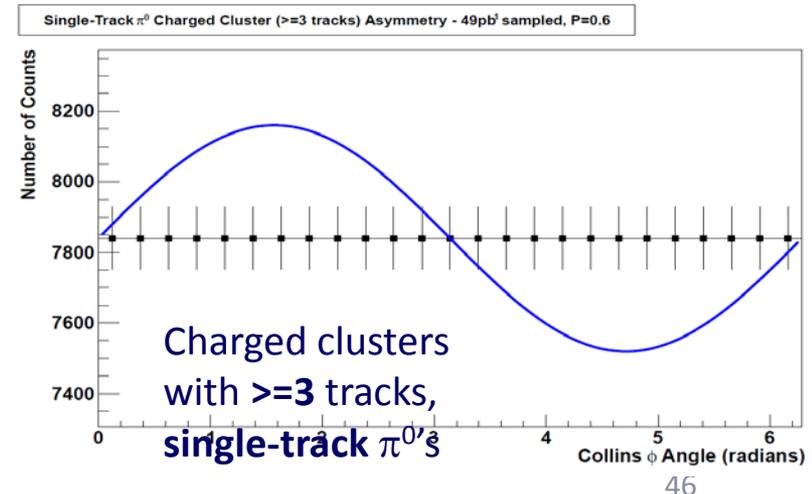
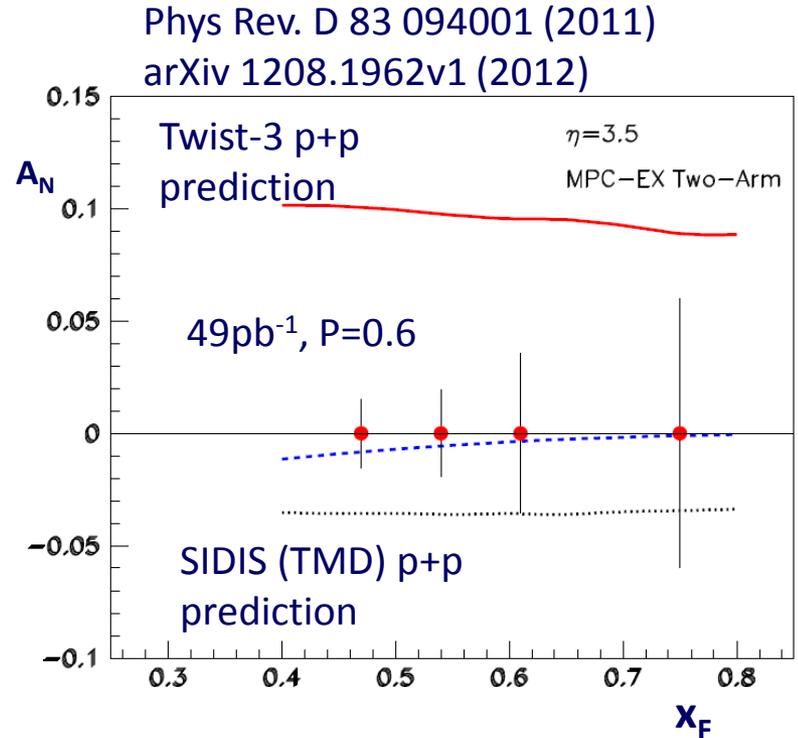
- Quarkonia
- Vertex-tagged open heavy-flavor
- Inclusive hadrons
- Fully-reconstructed jets
- jet-jet correlations
- Drell-Yan
  - Much more extended kinematic reach
  - Smaller statistical and systematic uncertainties
  - Different energies and nuclear species

# *TMD evolution*

- Recent theoretical progress in the derivation of the evolution equation for TMD parton distribution and fragmentation functions
- Comparison of the asymmetries at fixed-target energies and collider energies for test of the TMD evolution
- QCD analysis of TMD observables to be possible

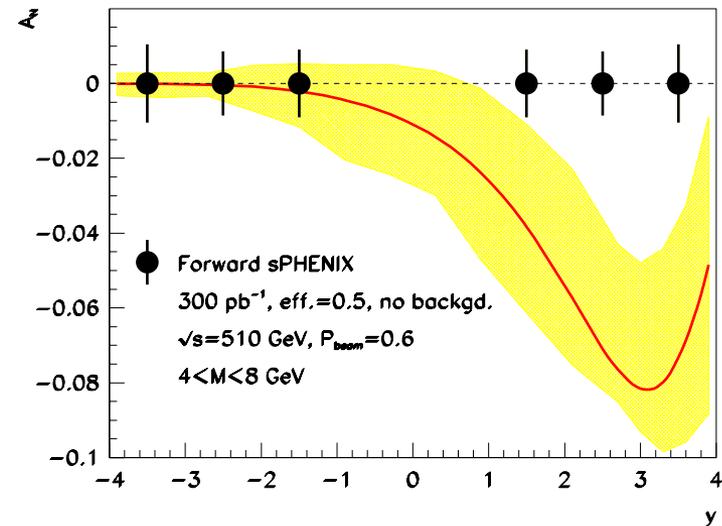
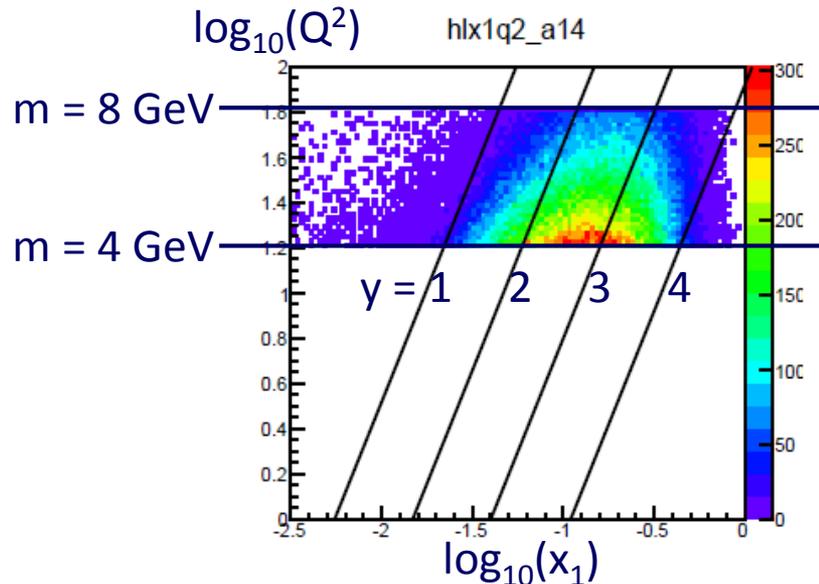
# Transverse spin asymmetries at PHENIX

- MPC-EX (2014 –)
- Prompt photon asymmetry
  - To distinguish the Sivers effect and the hither-twist effect
- Collins asymmetry in jets
  - $\pi^0$  correlations with jet-like clusters



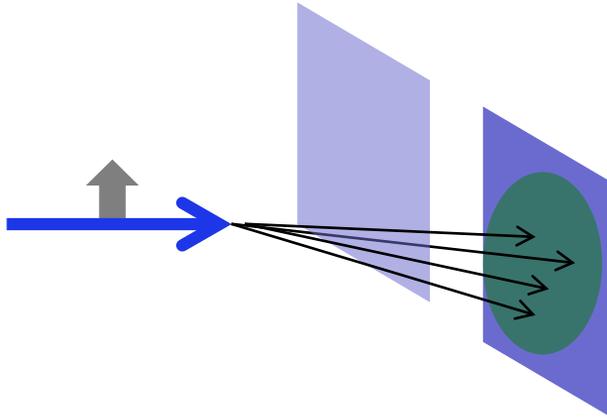
# Transverse spin asymmetries at forward sPHENIX

- Siverson effect in Drell-Yan process
  - $\sqrt{s} = 500$  GeV
  - $1 < \eta < 4$
  - $4 \text{ GeV} < \text{mass} < 8 \text{ GeV}$ 
    - cover the valence-quark region around  $x_{Bj} = 0.2$
    - comparison with SIDIS measurements
    - large asymmetry
    - $3 < \eta < 4$  is important to explore higher  $x_{Bj}$  region

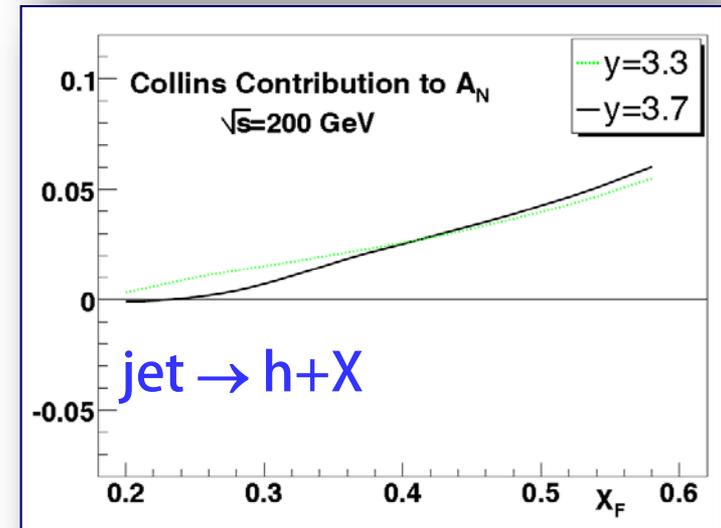
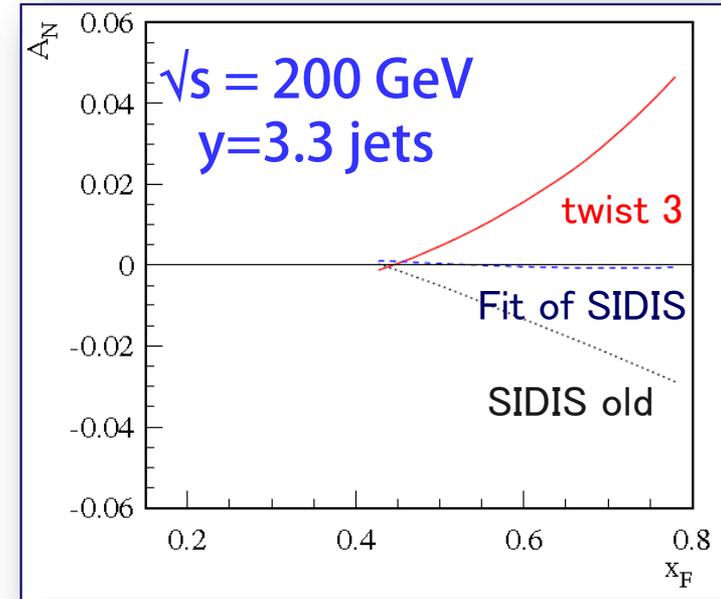
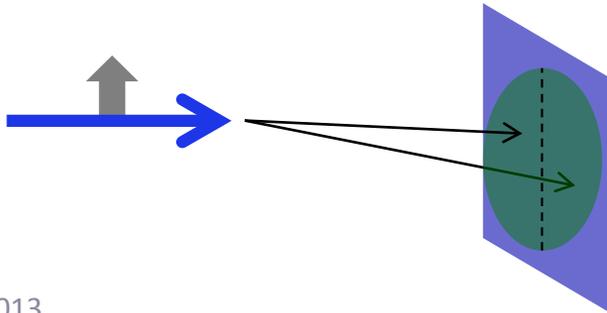


# Transverse spin asymmetries at forward sPHENIX

- Jet asymmetry measurement
  - Sivers or higher-twist effect



- Asymmetry inside the jet
  - Collins function
  - Interference fragmentation function



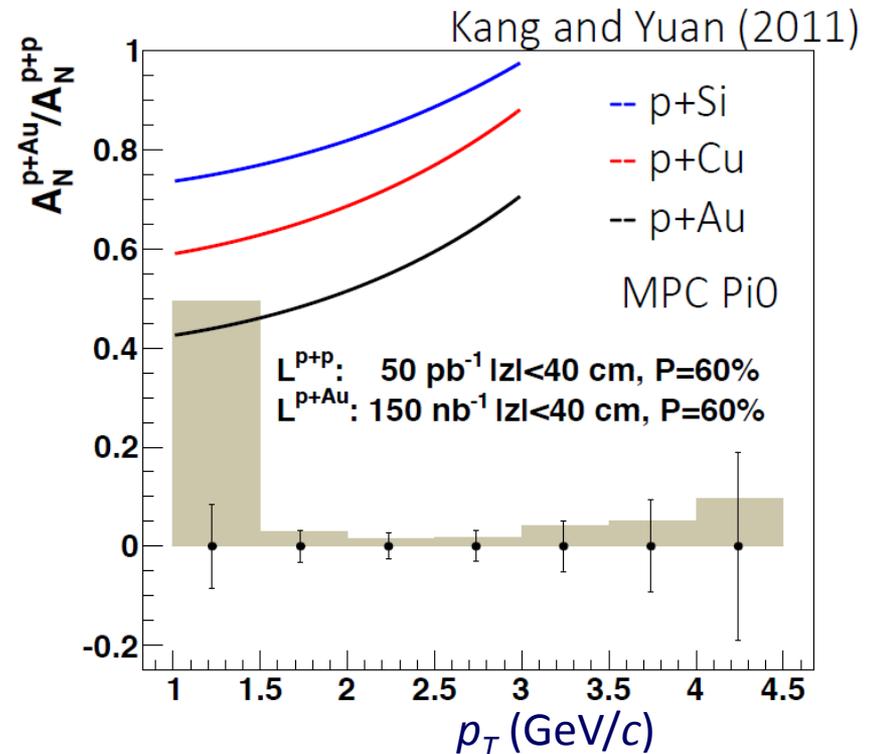
# Transverse spin asymmetries at forward sPHENIX

- Polarized-proton nuclei collision for saturation study
  - Link between CNM and spin physics
  - Transverse single-spin asymmetries in polarized p+A collisions are sensitive to the saturation scale in the nucleus

$$\frac{A_N^{pA \rightarrow hX}}{A_N^{pp \rightarrow hX}} \Big|_{p_T^h \ll Q_s^2} \approx \frac{Q_{s,p}^2}{Q_{s,A}^2} f(p_T^h)$$

$$\frac{A_N^{pA \rightarrow hX}}{A_N^{pp \rightarrow hX}} \Big|_{p_T^h \gg Q_s^2} \approx 1$$

Z.-B.Kan and F.Yuan  
PRD84, 034019 (2011).

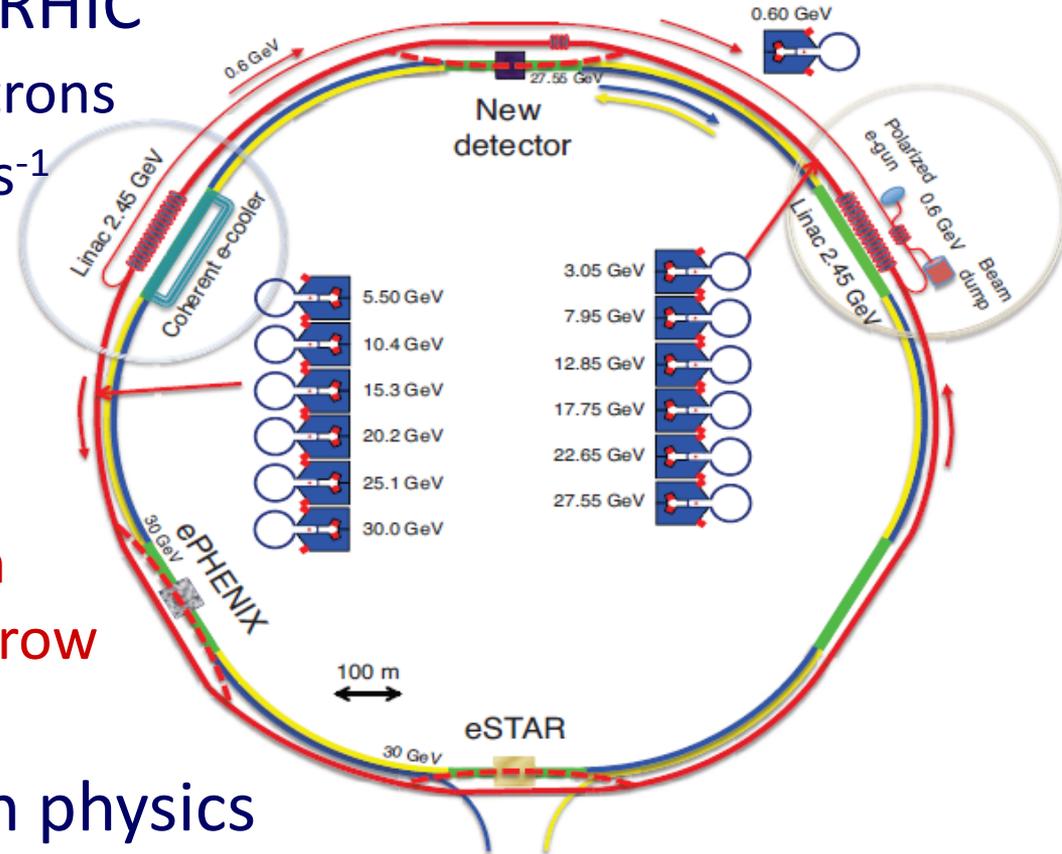


# Summary

- Toward understanding of the extended 3-dimensional nucleon structure
- Two milestones with sPHENIX forward upgrade
  - Sign change of the TMD distribution function
    - Polarized Drell-Yan experiment at RHIC and polarized SIDIS experiment at eRHIC
    - From fixed-target experiments to collider experiments
  - Sign mismatch of TMD and higher-twist
    - Distinguish Sivers/higher-twist and Collins/transversity
    - Measurement of jet and direct photon
- Towards electron-proton collisions at eRHIC & ePHENIX
- Understanding of nuclei in quark-gluon picture with pA/eA collisions in addition
  - Understanding of cold nuclear matter
  - Initial state of QGP in high-energy nuclear collisions

# Evolution to ePHENIX

- Stage-1 detector of eRHIC
  - 5 GeV – 10 GeV electrons
  - Luminosity  $10^{33} \text{ cm}^{-2}\text{s}^{-1}$

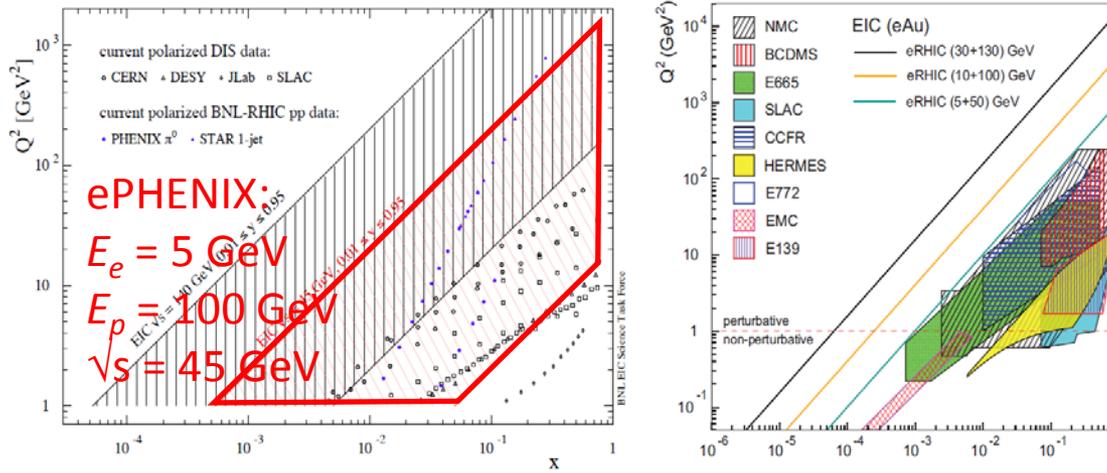


More details on eRHIC given  
by Abhay Deshpande tomorrow

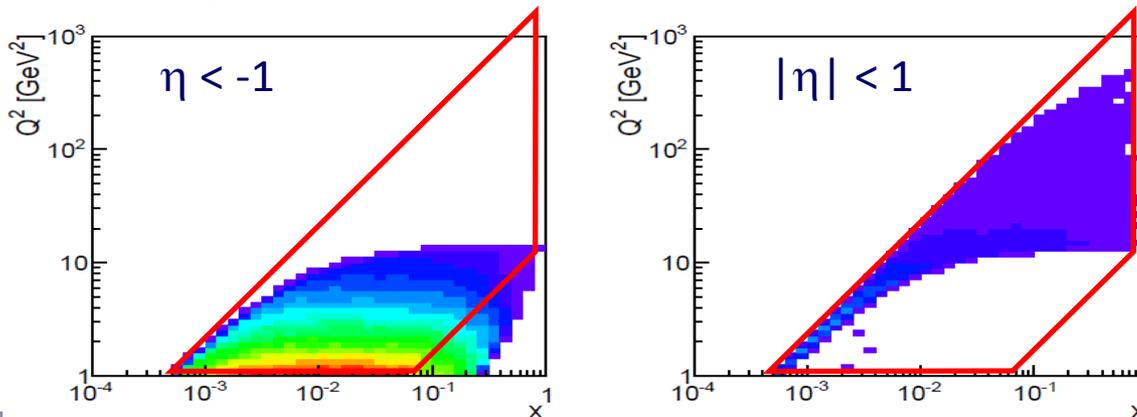
- CNM physics and spin physics
  - 3-dimensional space structure of nucleon and nuclei
  - Precision understanding of strongly-coupled QGP by knowing the initial state

# Evolution to ePHENIX

- Inclusive DIS
  - Gluon and sea-quark helicity distributions



- Scattered electron detection at backward rapidity and midrapidity



# *Evolution to ePHENIX*

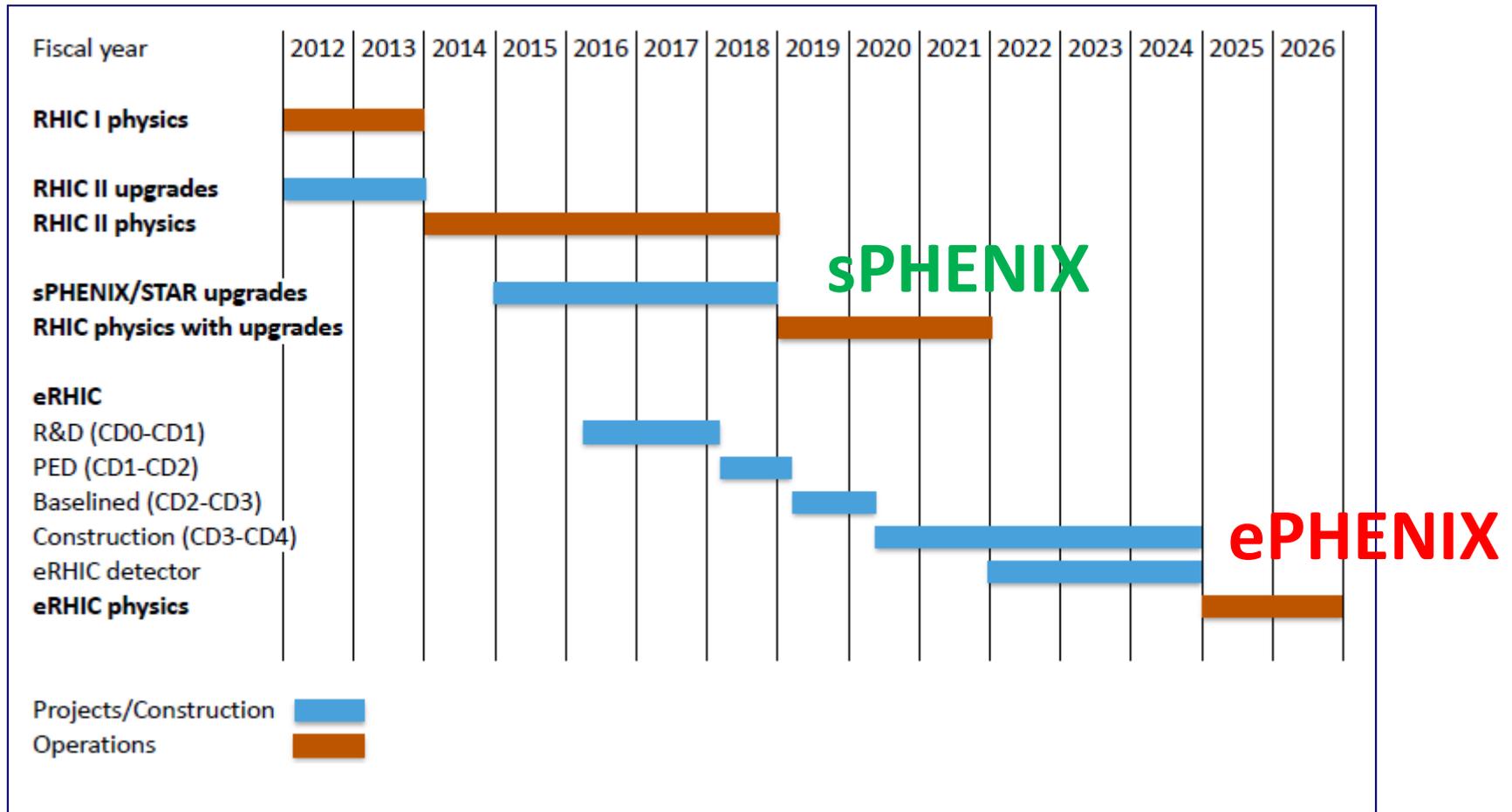
- Semi-inclusive DIS
  - Quark and gluon TMD measurements
  - Tag pions and kaons
  - Extract  $\Delta s$
- Exclusive and diffractive channels
  - DVCS (Deeply Virtual Compton Scattering) and HEMP (Hard Exclusive Meson Production)
    - With a limited luminosity at stage-1 eRHIC
- More discussion to be performed in the ePHENIX Lol session (by Kieran/Jin/Itaru) Friday morning

# *Requirements for the detector design*

- Sivers effect in Drell-Yan process
  - Open heavy-flavor background
    - Vertex detector
  - Light-hadron background
    - For  $e^+e^-$  measurement
      - Calorimeter and tracking
      - Additional  $e/\pi$  separation
    - For  $\mu^+\mu^-$  measurement?
- Jet asymmetry measurements
  - Calorimeter and tracking
  - Particle-ID
- ePHENIX
  - Scattered electron detection
    - Backward rapidity and midrapidity
  - Particle-ID
    - Midrapidity and forward rapidity
  - Roman-pot detector to tag scattered proton

# Timeline

- Forward sPHENIX
  - RHIC physics (polarized p+p / p+A / d+A) not on the table



# Summary

- The forward sPHENIX upgrades will give us great opportunities for studying the nucleon spin structure and cold nuclear matter
  - Sivers asymmetry in Drell-Yan process
  - Jet asymmetry measurements
  - Search for gluon saturation
- Detector design and studies are ongoing with physics requirements
  - Detector configuration
  - Magnet discussion
  - Evolution to ePHENIX
- It is important to perform physics not only at eRHIC but also at RHIC with polarized p+p / p+A / d+A