

# ICNT workshop "Physics of exotic nuclei: Theoretical advances and challenges"

Monte Carlo shell model for no-core calculations

Takashi Abe (U of Tokyo)

RIKEN Wako Campus

Monday 09 June 2014 - Friday 13 June 2014

# Collaborators

- U of Tokyo
  - Takaharu Otsuka (Dept of Phys & CNS)
  - Noritaka Shimizu (CNS)
  - Tooru Yoshida (CNS)
  - Yusuke Tsunoda (Dept of Phys)
- JAEA
  - Yutaka Utsuno
- Iowa State U
  - James P. Vary
  - Pieter Maris

# Ab initio approaches

- Major challenge of nuclear physics
  - Understand the nuclear structure & reactions from *ab-initio* calculations w/ realistic **nuclear forces (potentials)**
  - *ab-initio* approaches in nuclear structure physics:  
**GFMC, NCSM** ( $A \sim 12\text{-}14$ ), **CC** (sub-shell closure  $\pm 1,2$ ),  
Green's Function theory, IM-SRG, Lattice EFT, ...
- demand for extensive computational resources
- ✓ *ab-initio(-like)* SM approaches (which attempt to go) beyond standard methods
  - IT-NCSM, IT-Cl: R. Roth (TU Darmstadt), P. Navratil (TRIUMF), ...
  - SA-NCSM: T. Dytrych, J.P. Draayer (Louisiana State U), ...
  - No-Core Monte Carlo Shell Model (MCSM)

# “Ab initio” in low-energy nuclear structure physics

- Solve the non-relativistic Schroedinger eq.  
and obtain the eigenvalues and eigenvectors.

$$H|\Psi\rangle = E|\Psi\rangle$$

$$H = T + V_{\text{NN}} + V_{\text{3N}} + \dots + V_{\text{Coulomb}}$$

- Ab initio: All nucleons are active, and Hamiltonian consists of realistic NN (+ 3N) potentials.
- Two main sources of uncertainties:
  - Nuclear forces (interactions btw/among nucleons)  
In principle, they should be obtained (directly) by QCD.
  - Many-body methods  
CI: Finite # of basis space (choice of basis function and truncation),  
we have to extrapolate to infinite basis dimensions

# Shell model (Configuration Interaction, CI)

- Eigenvalue problem of large sparse Hamiltonian matrix

$$H|\Psi\rangle = E|\Psi\rangle$$

$$\begin{pmatrix} H_{11} & H_{12} & H_{13} & H_{14} & H_{15} & \cdots \\ H_{21} & H_{22} & H_{23} & H_{24} & & \\ H_{31} & H_{32} & H_{33} & & & \\ H_{41} & H_{33} & & \ddots & & \\ H_{51} & & & & & \\ \vdots & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix} = \begin{pmatrix} E_1 & & & & & 0 \\ & E_2 & & & & \\ & & E_3 & & & \\ & & & \ddots & & \\ 0 & & & & & \end{pmatrix} \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \\ \Psi_4 \\ \Psi_5 \\ \vdots \end{pmatrix}$$

Large sparse matrix (in M-scheme)

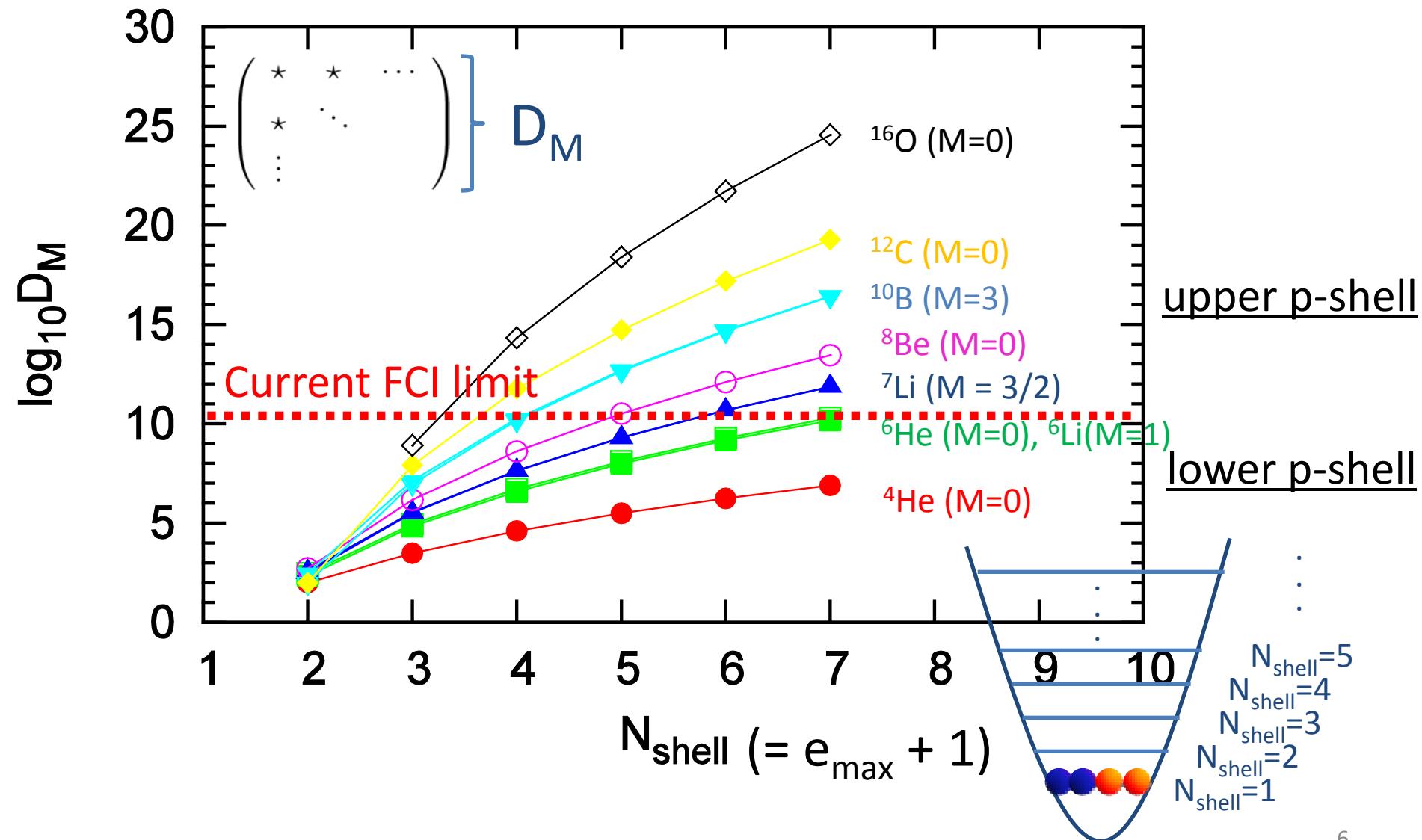
$\sim \mathcal{O}(10^{10})$  # non-zero MEs  
 $\sim \mathcal{O}(10^{13-14})$

Slater determinants

$$\begin{cases} |\Psi_1\rangle = a_\alpha^\dagger a_\beta^\dagger a_\gamma^\dagger \cdots |-\rangle \\ |\Psi_2\rangle = a_{\alpha'}^\dagger a_{\beta'}^\dagger a_{\gamma'}^\dagger \cdots |-\rangle \\ |\Psi_3\rangle = \dots \\ \vdots \end{cases}$$

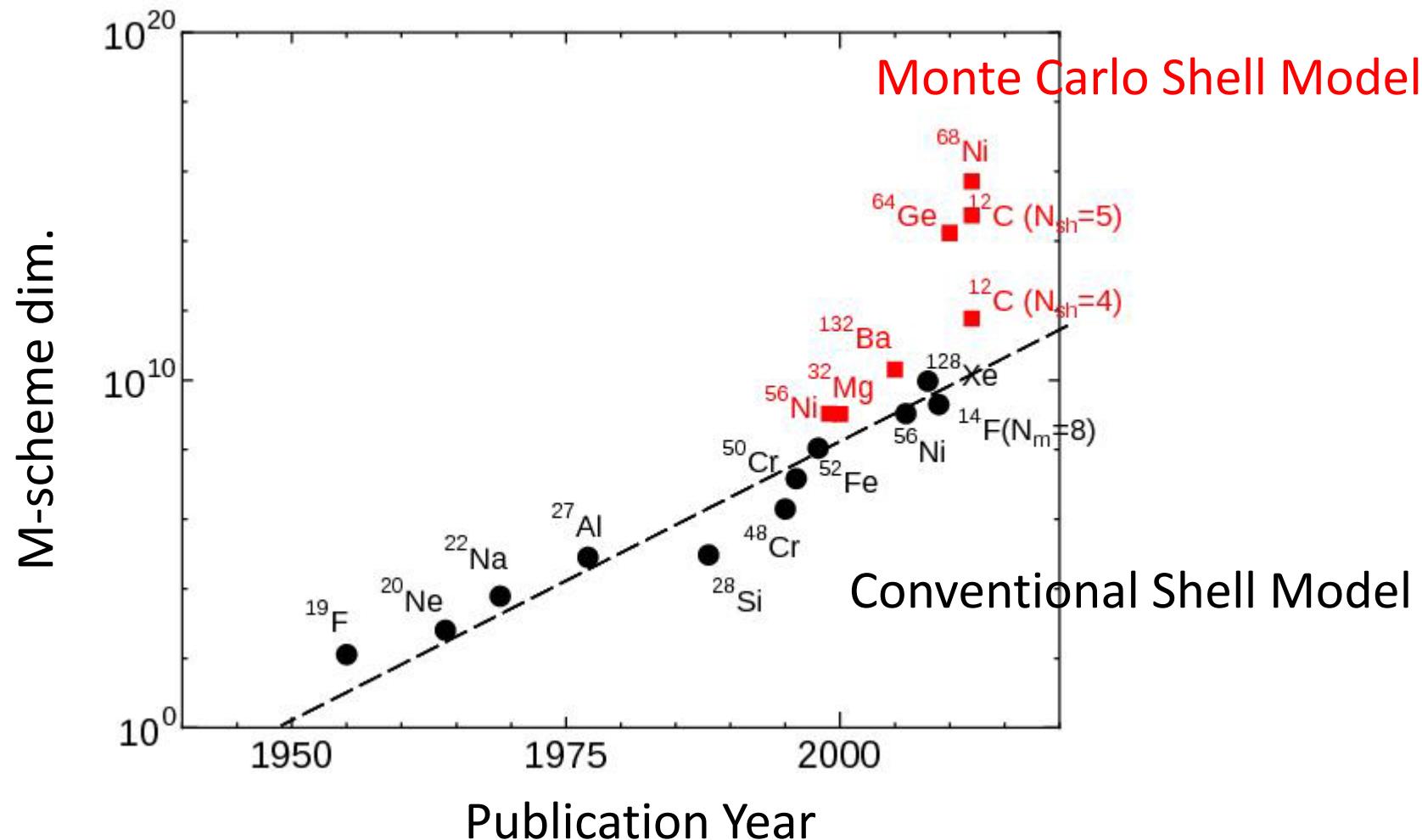
# M-scheme dimension in $N_{\text{shell}}$ truncation

No-core calculations



# Historical evolution/development of the MCSM

- MCSM w/ an assumed inert core is one of the powerful shell model algorithms.

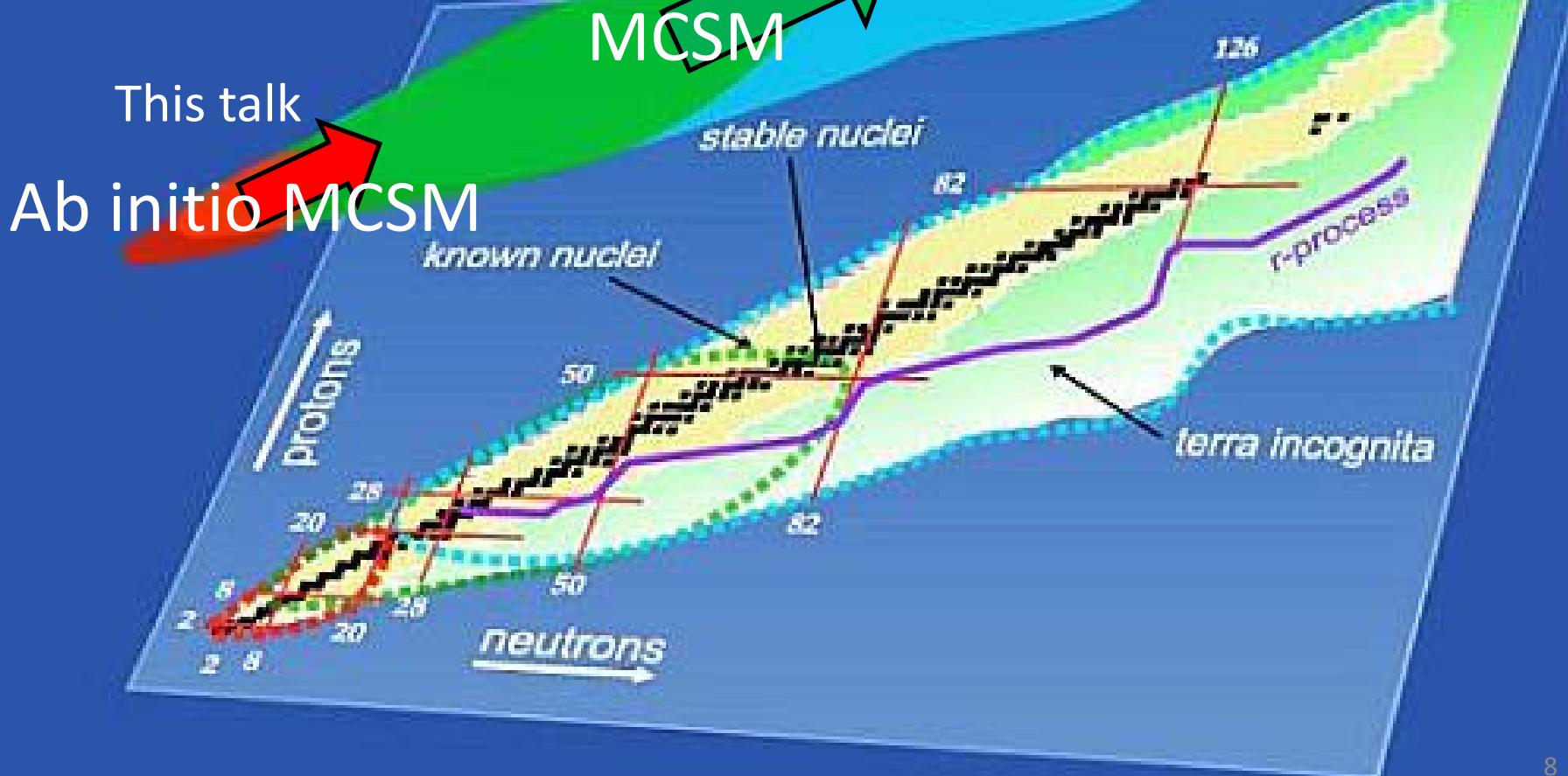


# Nuclear Landscape

UNEDF SciDAC Collaboration: <http://unedf.org/>

-  Ab initio
-  Configuration Interaction
-  Density Functional Theory

Talk by Y. Tsunoda, Wed. 11 Jun.



# Monte Carlo shell model (MCSM)

- Importance truncation

## Standard shell model

$$H = \begin{pmatrix} * & * & * & * & * & \cdots \\ * & * & * & * & & \\ * & * & * & & & \\ * & * & * & & & \\ * & * & & \ddots & & \\ * & & & & & \\ \vdots & & & & & \end{pmatrix} \xrightarrow{\text{Diagonalization}} \begin{pmatrix} E_0 & & & & & 0 \\ & E_1 & & & & \\ & & E_2 & & & \\ & & & \ddots & & \\ 0 & & & & & \end{pmatrix}$$

All Slater determinants

$d > O(10^{10})$

## Monte Carlo shell model

$$H \sim \begin{pmatrix} * & * & \cdots \\ * & \ddots & \\ \vdots & & \end{pmatrix} \xrightarrow{\text{Diagonalization}} \begin{pmatrix} E'_0 & & 0 \\ & E'_1 & \\ 0 & & \ddots \end{pmatrix}$$

Important bases stochastically selected

$d_{\text{MCSM}} < O(100)$

# SM Hamiltonian & MCSM many-body w.f.

- 2nd-quantized non-rel. Hamiltonian (up to 2-body term, so far)

$$H = \sum_{\alpha\beta}^{N_{sps}} t_{\alpha\beta} c_{\alpha}^{\dagger} c_{\beta} + \frac{1}{4} \sum_{\alpha\beta\gamma\delta}^{N_{sps}} \bar{v}_{\alpha\beta\gamma\delta} c_{\alpha}^{\dagger} c_{\beta}^{\dagger} c_{\delta} c_{\gamma} \quad \bar{v}_{ijkl} = v_{ijkl} - v_{ijlk}$$

- Eigenvalue problem

$$H|\Psi(J, M, \pi)\rangle = E|\Psi(J, M, \pi)\rangle$$

- MCSM many-body wave function & basis function

$$|\Psi(J, M, \pi)\rangle = \sum_i^{N_{basis}} f_i |\Phi_i(J, M, \pi)\rangle \quad |\Phi(J, M, \pi)\rangle = \sum_K g_K P_{MK}^J P^K |\phi\rangle$$

These coeff. are obtained by the diagonalization.

- Deformed SDs

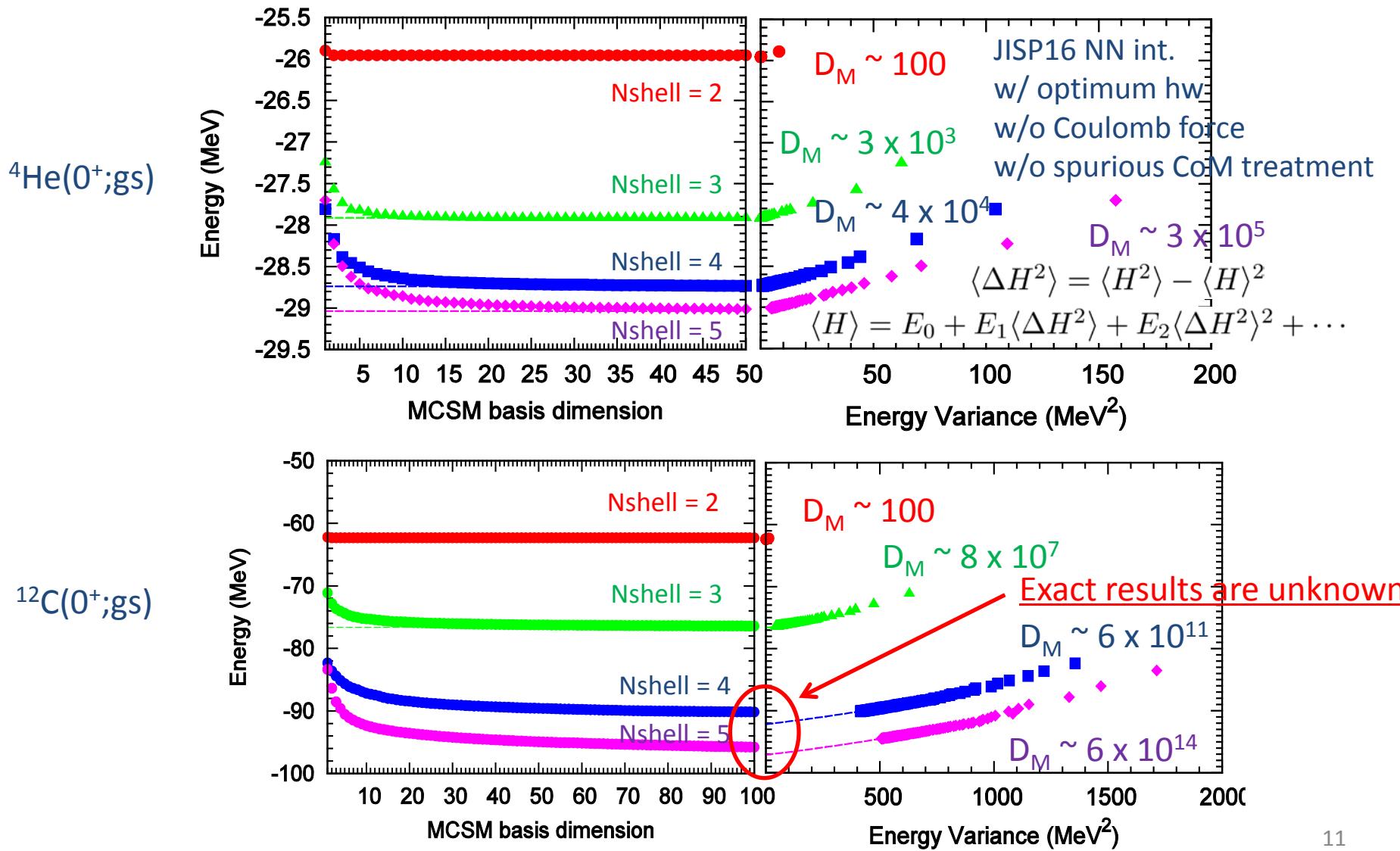
$$|\phi\rangle = \prod_i^A a_i^{\dagger} |-\rangle$$

This coeff. is obtained by a stochastic sampling & CG.

$$a_i^{\dagger} = \sum_{\alpha}^{N_{sps}} c_{\alpha}^{\dagger} D_{\alpha i}$$

( $c_{\alpha}^{\dagger}$  ... spherical HO basis)

# Energies w.r.t. # of basis & energy variance



# Feasibility study of MCSM for no-core calculations

PHYSICAL REVIEW C 86, 014302 (2012)

## No-core Monte Carlo shell-model calculation for $^{10}\text{Be}$ and $^{12}\text{Be}$ low-lying spectra

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Robert Roth

*Institut für Kernphysik, Technische Universität Darmstadt, D-64289 Darmstadt, Germany*

(Received 24 April 2011; revised manuscript received 1 June 2012; published 3 July 2012)

# Recent developments in the MCSM

- Energy minimization by the CG method
  - N. Shimizu, Y. Utsuno, T. Mizusaki, M. Honma, Y. Tsunoda & T. Otsuka, Phys. Rev. C85, 054301 (2012) ~ 30% reduction of # basis
- Efficient computation of TBMEs
  - Y. Utsuno, N. Shimizu, T. Otsuka & T. Abe, Compt. Phys. Comm. 184, 102 (2013) ~ 80% of the peack performance
- Energy variance extrapolation ( ~ 10-20% in the old MCSM )
  - N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe & M. Honma, Phys. Rev. C82, 061305 (2010)  
Evaluation of exact eignvalue w/ error estimate
- Summary of recent MCSM developments
  - N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, T. Yoshida, T. Mizusaki, M. Honma, T. Otsuka, Prog. Theor. Exp. Phys. 01A205 (2012)

# TOP 500<sup>®</sup>

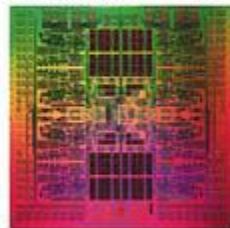
NOVEMBER 2013

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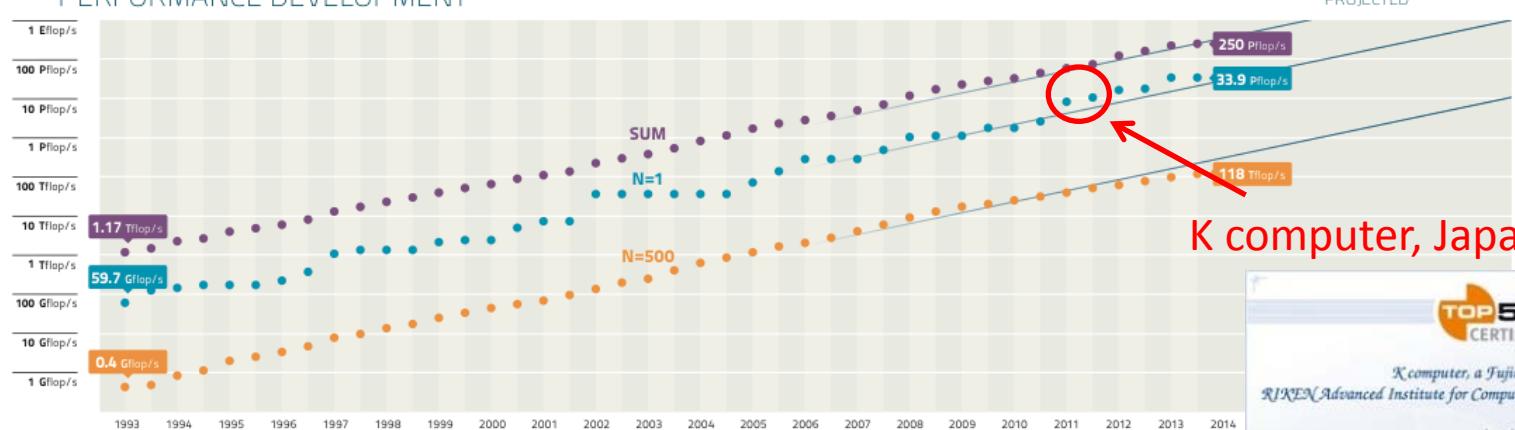
NAME	SPECS	SITE	COUNTRY	CORES	R <sub>MAX</sub> PFLOP/S	POWER MW
1 Tianhe-2 (Milkyway-2)	NUDT, Intel Ivy Bridge (12C, 2.2 GHz) & Xeon Phi (57C, 1.1 GHz), Custom interconnect	NSCC Guangzhou	China	3,120,000	33.9	17.8
2 Titan	Cray XK7, Operon 6274 (16C 2.2 GHz) + Nvidia Kepler GPU, Custom interconnect	DOE/SC/ORNL	USA	560,640	17.6	8.2
3 Sequoia	IBM BlueGene/Q, Power BQC (16C 1.60 GHz), Custom interconnect	DOE/NNSA/LLNL	USA	1,572,864	17.2	7.9
4 K computer	Fujitsu SPARC64 VLIIfx (8C, 2.0GHz), Custom interconnect	RIKEN AICS	Japan	705,024	10.5	12.7
5 Mira	IBM BlueGene/Q, Power BQC (16C, 1.60 GHz), Custom interconnect	DOE/SC/ANL	USA	786,432	8.59	3.95

128 GFLOPS/CPU  
(8 cores/CPU)

Tofu inter-connection  
6D Mesh/Torus



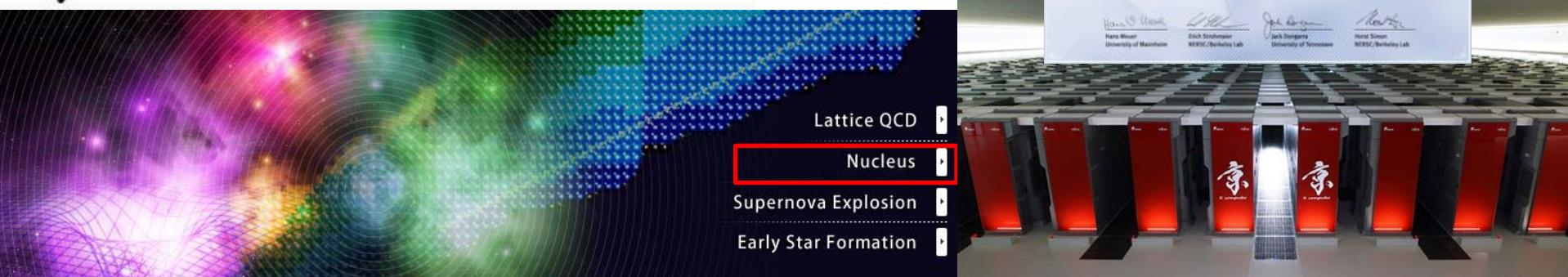
## PERFORMANCE DEVELOPMENT



K computer, Japan



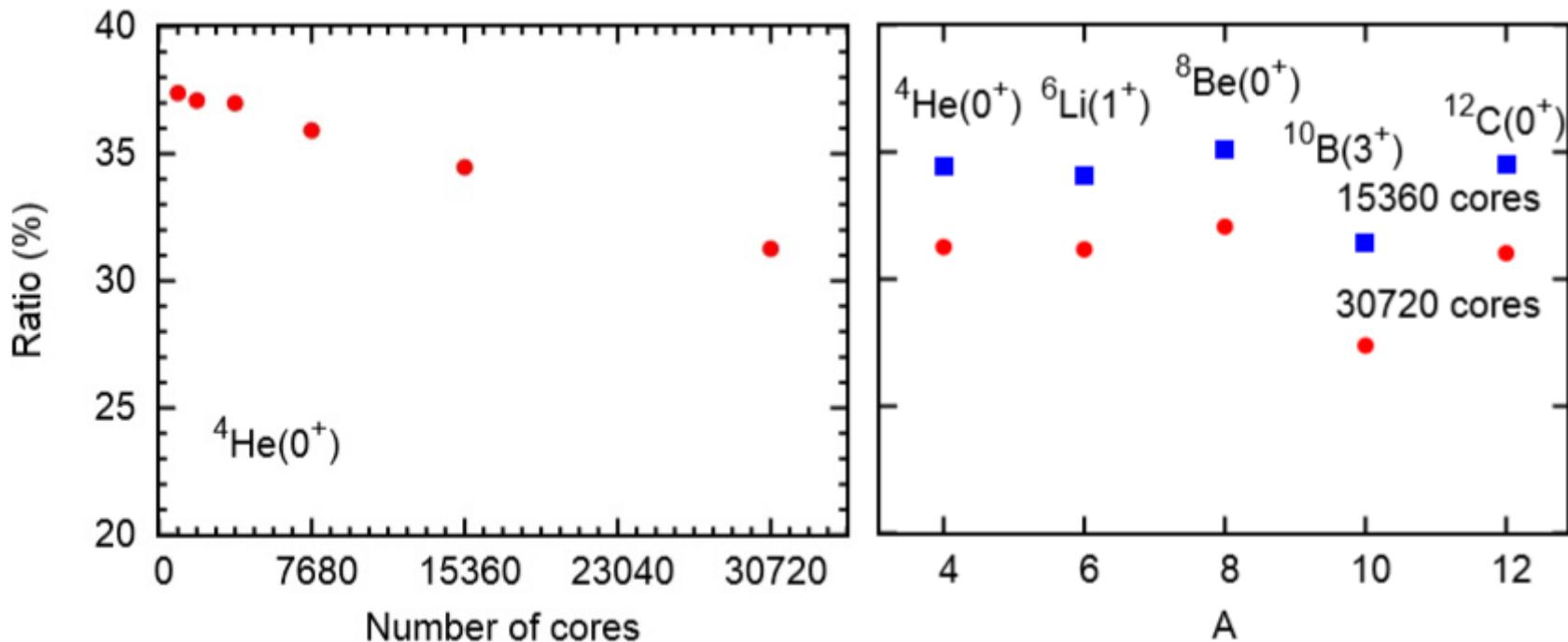
HPCI Strategic Program Field 5  
"The origin of matter and the universe"



# Peak performance on the K computer

## Peak performance

- Optimization of 15<sup>th</sup> basis dim. of the w.f. in  $N_{\text{shell}} = 5$  w/ 100 CG iterations (MPI/OpenMP, 8 threads)

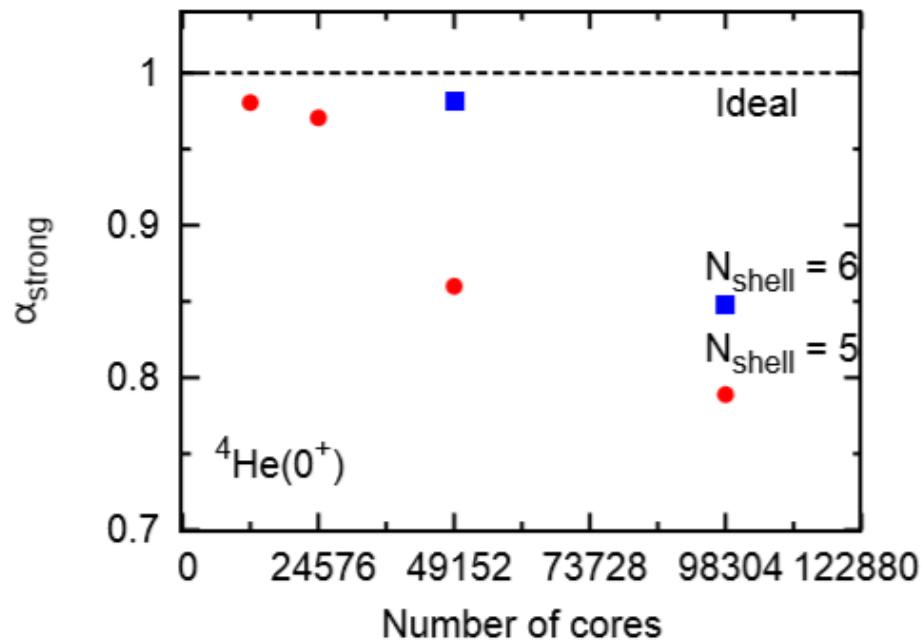
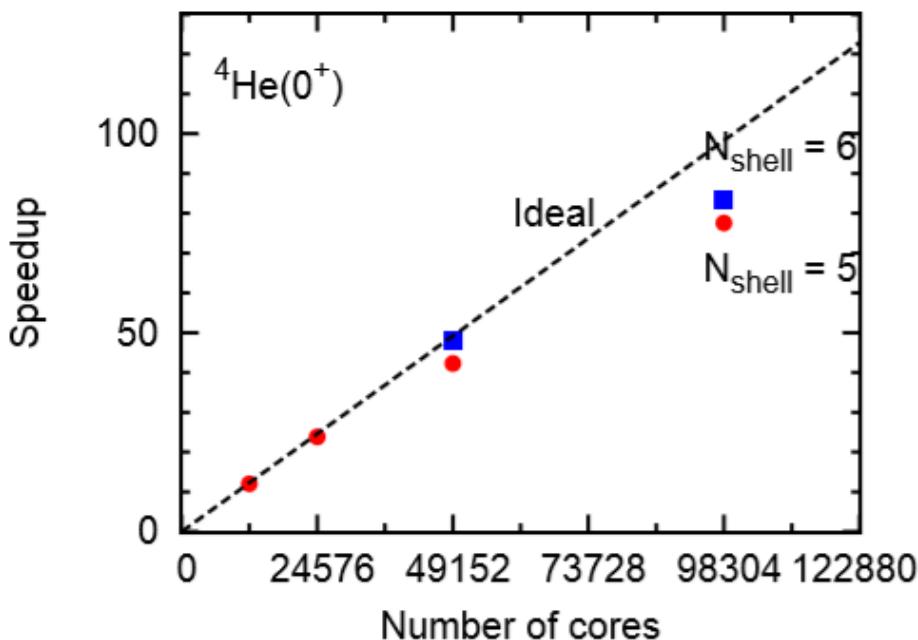


~30 % thru p-shell nuclei

# Speed-up & strong scaling on the K computer

## Speed-up (strong scaling)

- Optimization of 48<sup>th</sup> basis dim. of the  ${}^4\text{He}(0^+)$  w.f. in  $N_{\text{shell}} = 6$  w/ 100 CG iterations

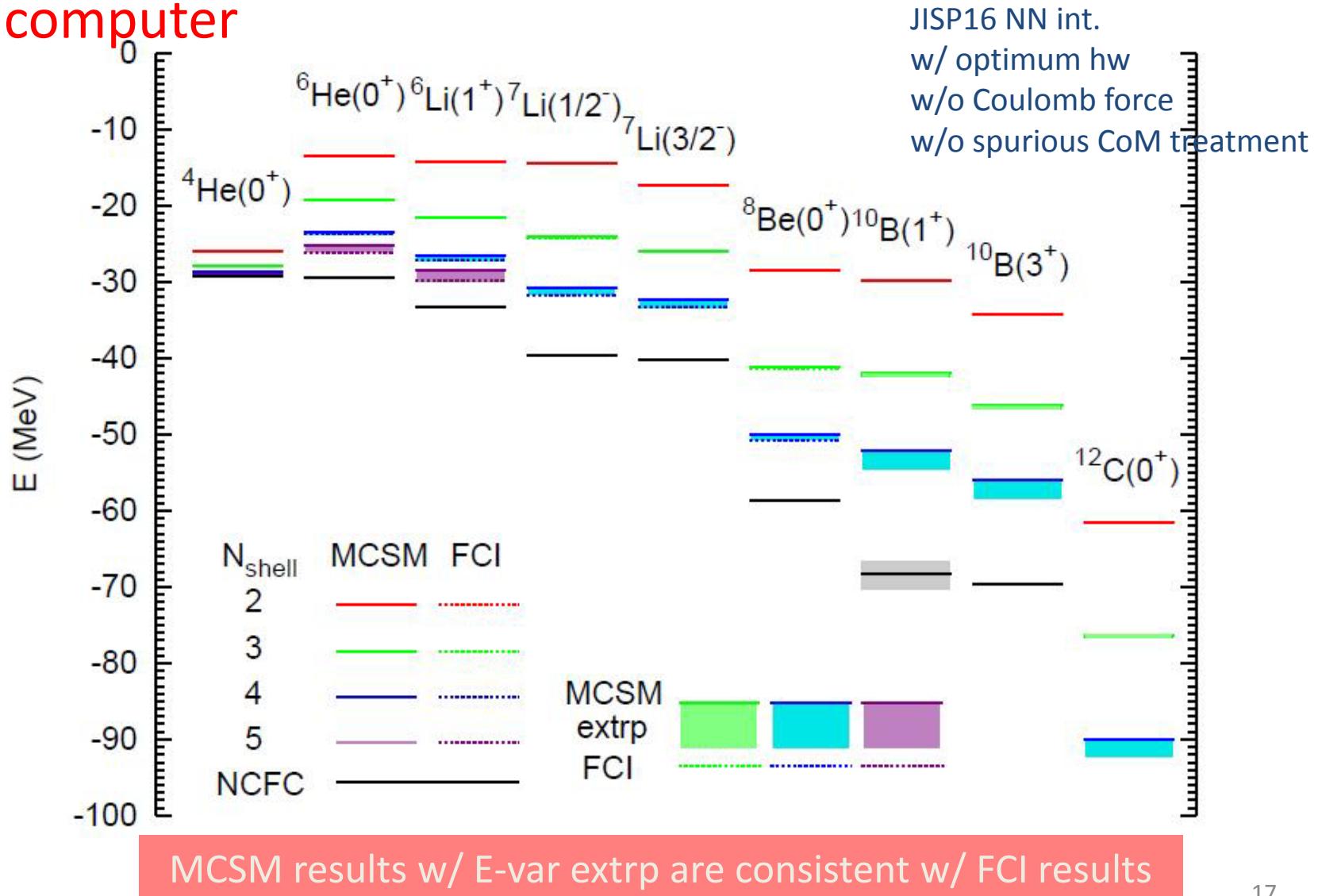


Scaling up to  $\sim 100,000$  cores

# Energies of the Light Nuclei

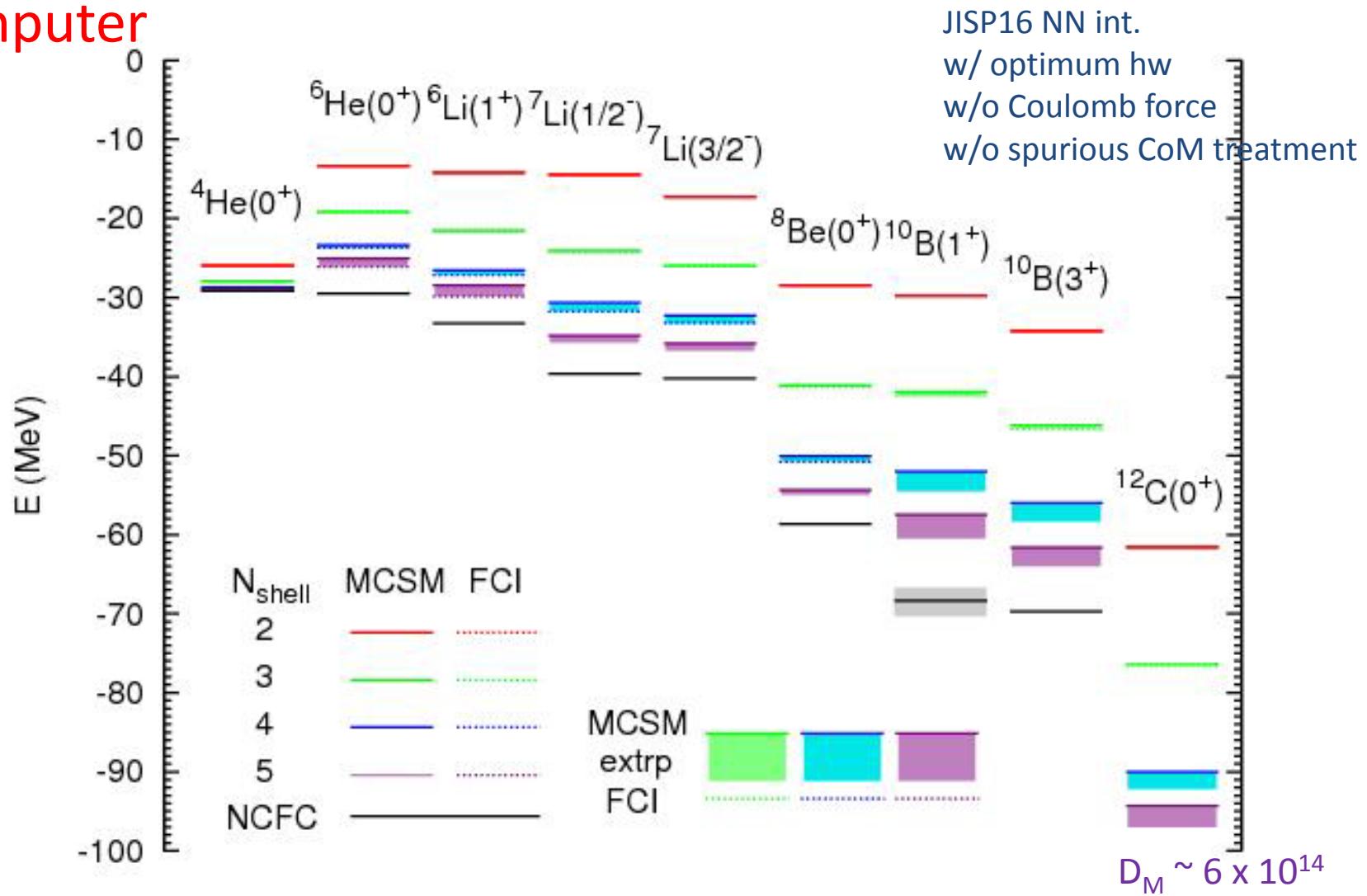
T. Abe, P. Maris, T. Otsuka, N. Shimizu, Y. Utsuno, J. P. Vary, Phys Rev C86, 054301 (2012)

Pre K computer



# Energies of the Light Nuclei

K computer



Some MCSM results are not reachable in the current FCI

# CPU time

core \* hours

	$N_{\text{shell}} = 2$	$N_{\text{shell}} = 3$	$N_{\text{shell}} = 4$	$N_{\text{shell}} = 5$	$N_{\text{shell}} = 6$	$N_{\text{shell}} = 7$
$^4\text{He} (0^+)$	1,300	2,000	2,400	10,000	70,000	400,000
$^8\text{Be} (0^+)$	1,500	5,000	10,000	40,000	200,000	1,000,000
$^{12}\text{C} (0^+)$	1,400	6,000	17,000	50,000	250,000	1,300,000
$^{16}\text{O} (0^+)$	-----	6,000	15,000	70,000	280,000	1,400,000

FX10 @ U of Tokyo

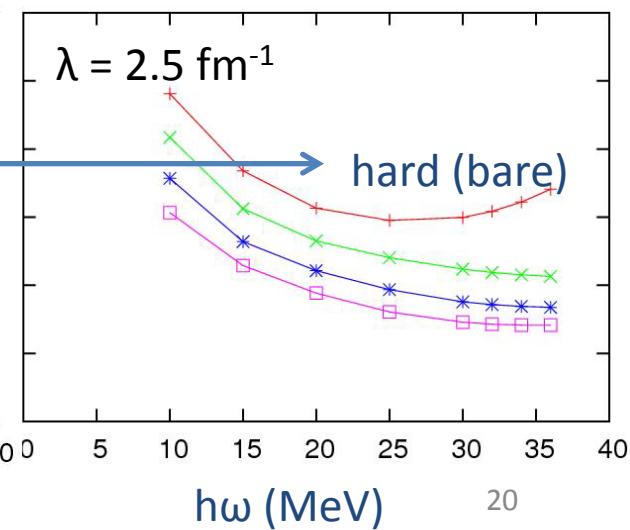
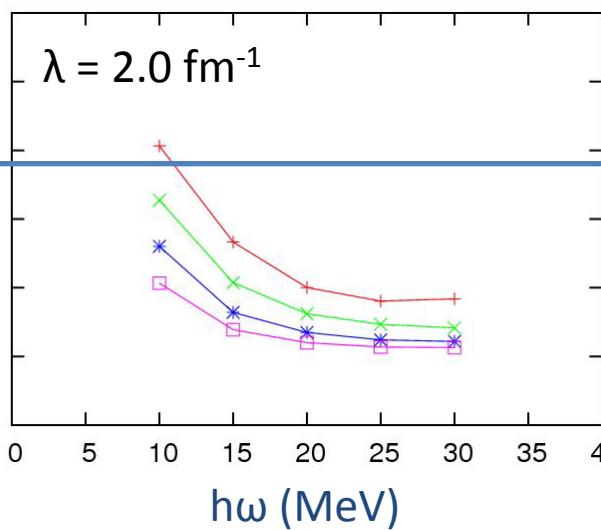
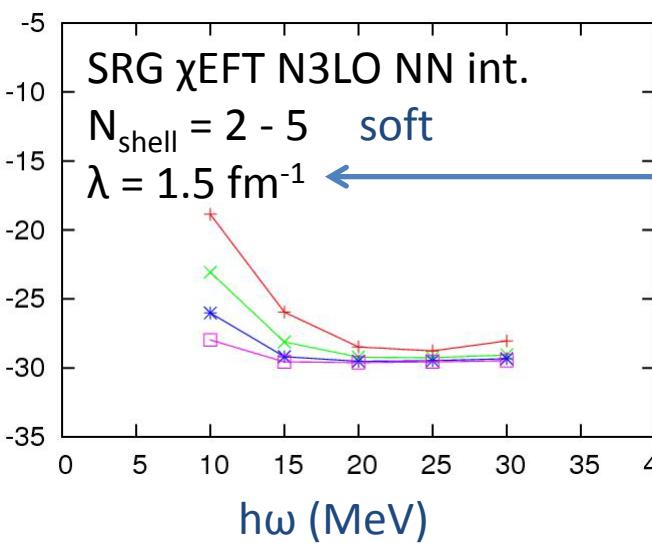
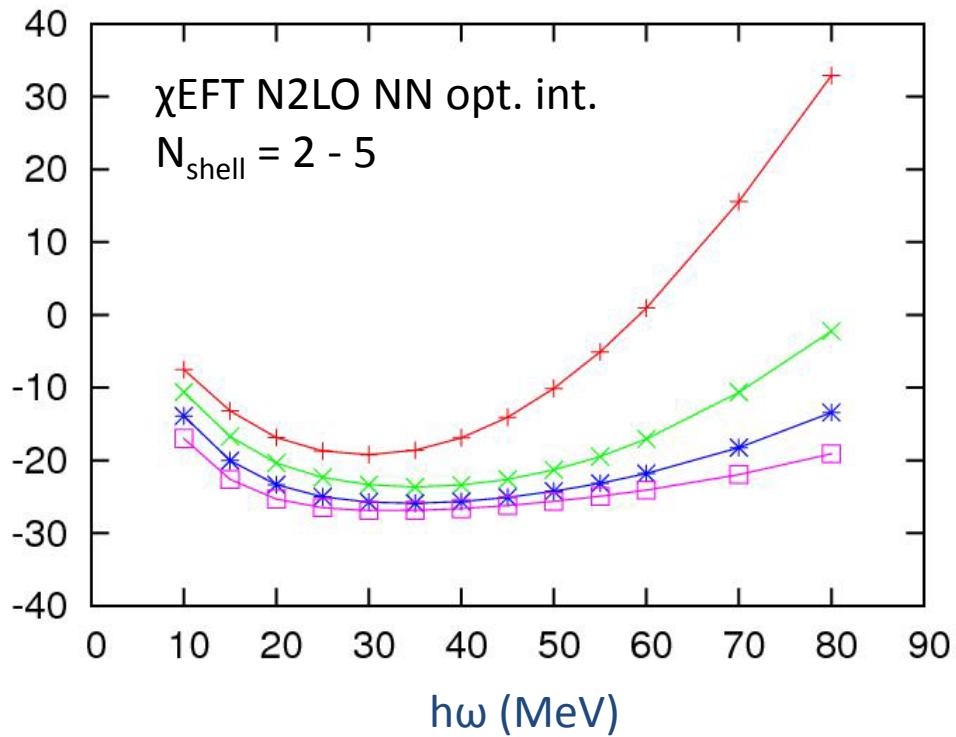
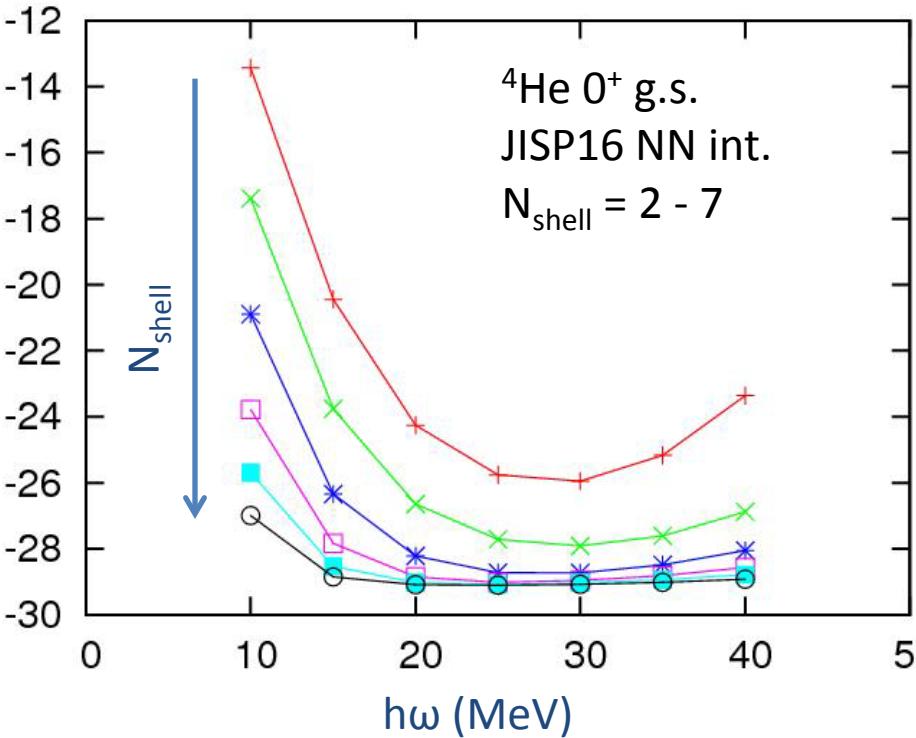
K computer

For 100 bases

Energy (MeV)

JISP16 &  $\chi$ EFT NN int.

Preliminary



# “Ab initio” in low-energy nuclear structure physics

- Solve the non-relativistic Schroedinger eq.  
and obtain the eigenvalues and eigenvectors.

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- Ab initio: All nucleons are active, and Hamiltonian consists of realistic NN (+ 3N) potentials.
- Two main sources of uncertainties:
  - Nuclear forces (interactions btw/among nucleons)  
In principle, they should be obtained (directly) by QCD.
  - Many-body methods

CI: Finite # of basis space (choice of basis function and truncation),  
we have to extrapolate to infinite basis dimensions

# Extrapolations in the MCSM

- Two steps of the extrapolation
  1. Extrapolation of our MCSM (approx.) results to the FCI (exact) results in fixed model space

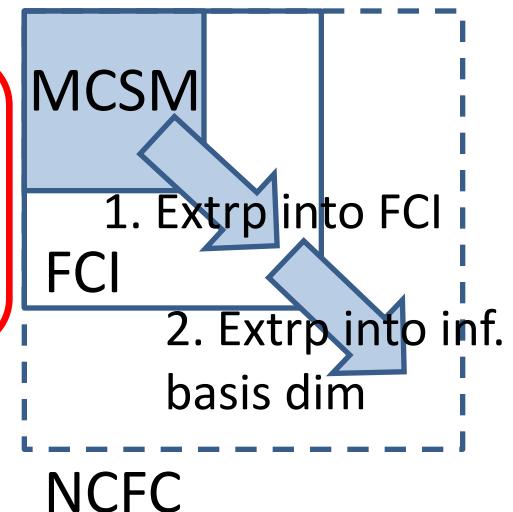
**Energy-variance extrapolation**

N. Shimizu, Y. Utsuno, T. Mizusaki, T. Otsuka, T. Abe, & M. Honma, Phys. Rev. C82, 061305(R) (2010)

- 2. Extrapolation into the infinite model space

- Exponential fit w.r.t.  $N_{\max}$  in the NCFC
- IR- & UV-cutoff extrapolations

Not applied on the MCSM, so far...



# Extrapolation to the infinite basis space

- Two ways of the extrapolation to the infinite basis space
  1. Empirical exponential form (w/ fixed  $h\omega$ )

$$E(N) = E(N = \infty) + a \exp(-bN)$$

P. Maris, A. M. Shirokov, & J. P. Vary, Phys. Rev. C79, 014308 (2009)

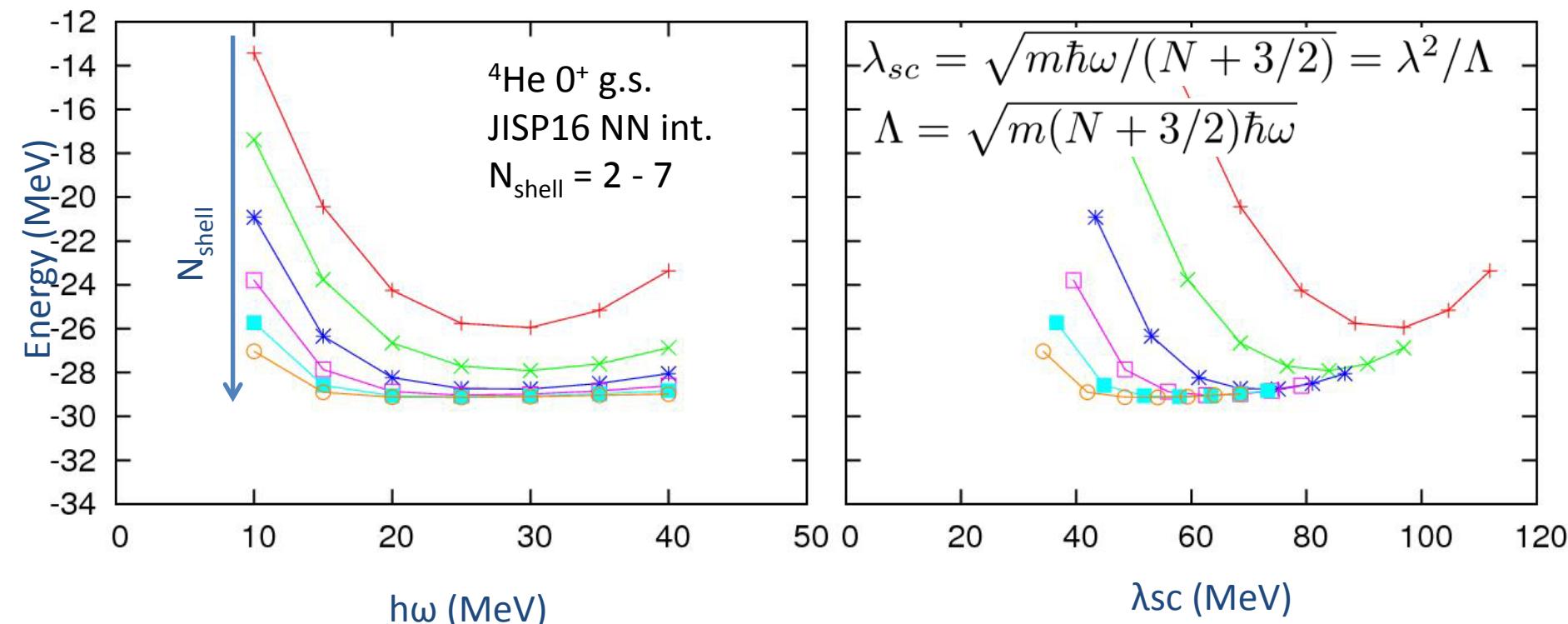
2. IR-cutoff extrapolation (w/ UV-saturated data)

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$

S. A. Coon, M. I. Avetian, M. K. G. Kruse, U. van Kolck, P. Maris, J. P. Vary, Phys. Rev. C86, 054002 (2012)  
S. A. Coon, arXiv:1303.6358

R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C86, 031301(R) (2012)  
S. N. More, A. Ekstrom, R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C87, 044326 (2013)  
R. J. Furnstahl, S. N. More, T. Papenbrock, arXiv:1312.6876

# Empirical & IR-cutoff extrapolations

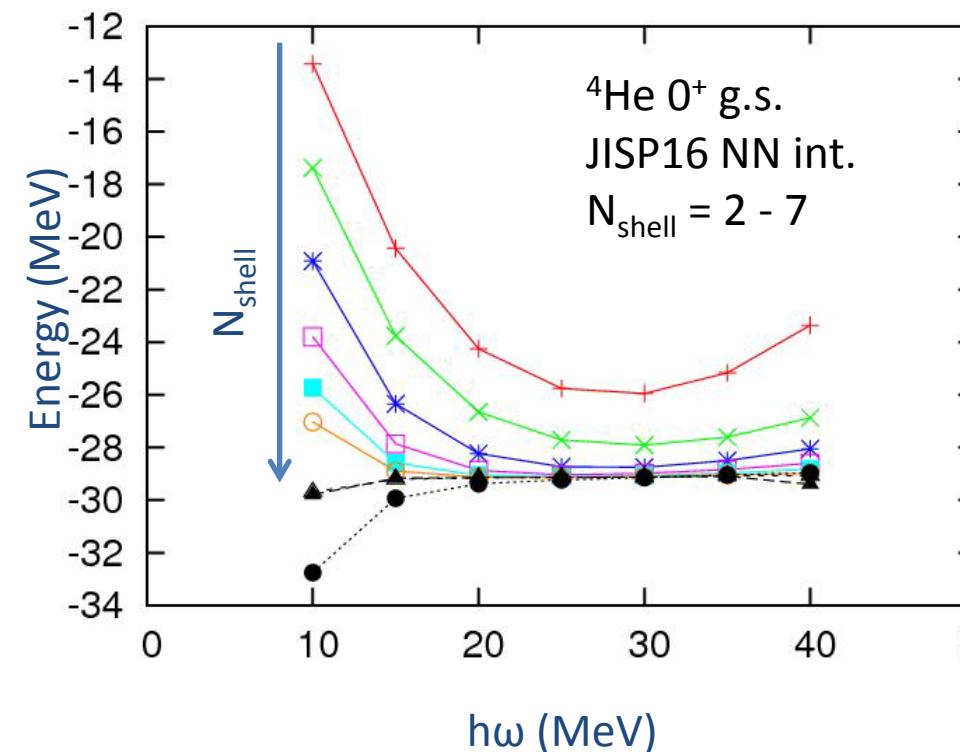


(  $N_{\text{shell}}$ ,  $\hbar\omega$  )  $\longleftrightarrow$  (  $\Lambda$ ,  $\lambda_{sc}$  )

$\Lambda$ : UV cutoff  $\lambda_{sc}$ : IR cutoff

IR cutoff scaling w/ UV saturated data

# Empirical & IR-cutoff extrapolations

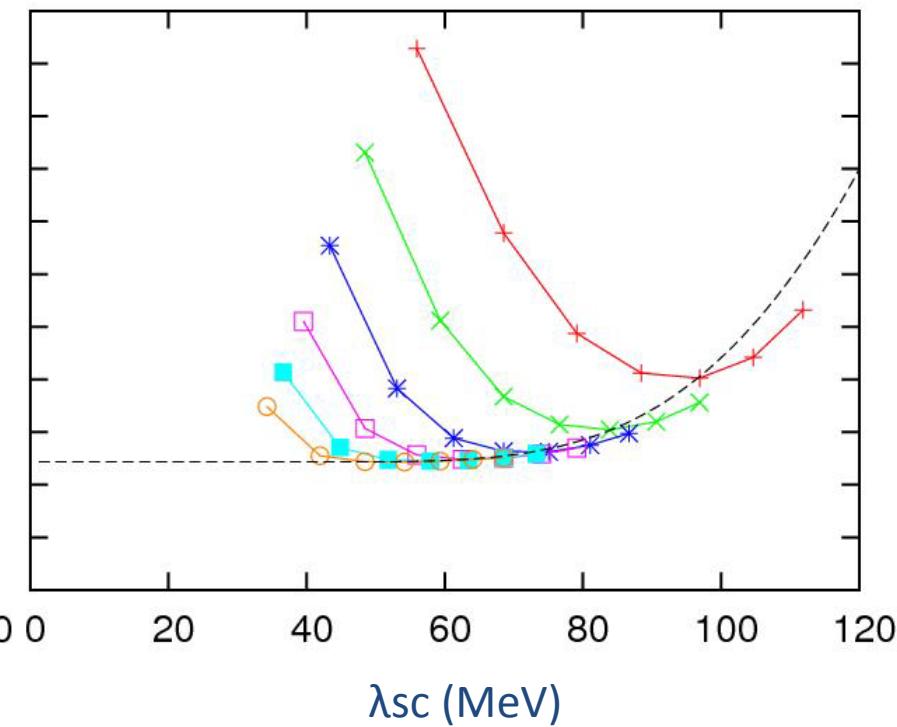


MCSM(emirical): -29.389 ~ -29.077 MeV  
( $N_{shell} = 3 - 7$ ,  $h\omega = 15 - 35$  MeV)

O(100) keV error?

c.f.) NCFC: -29.164(2) MeV

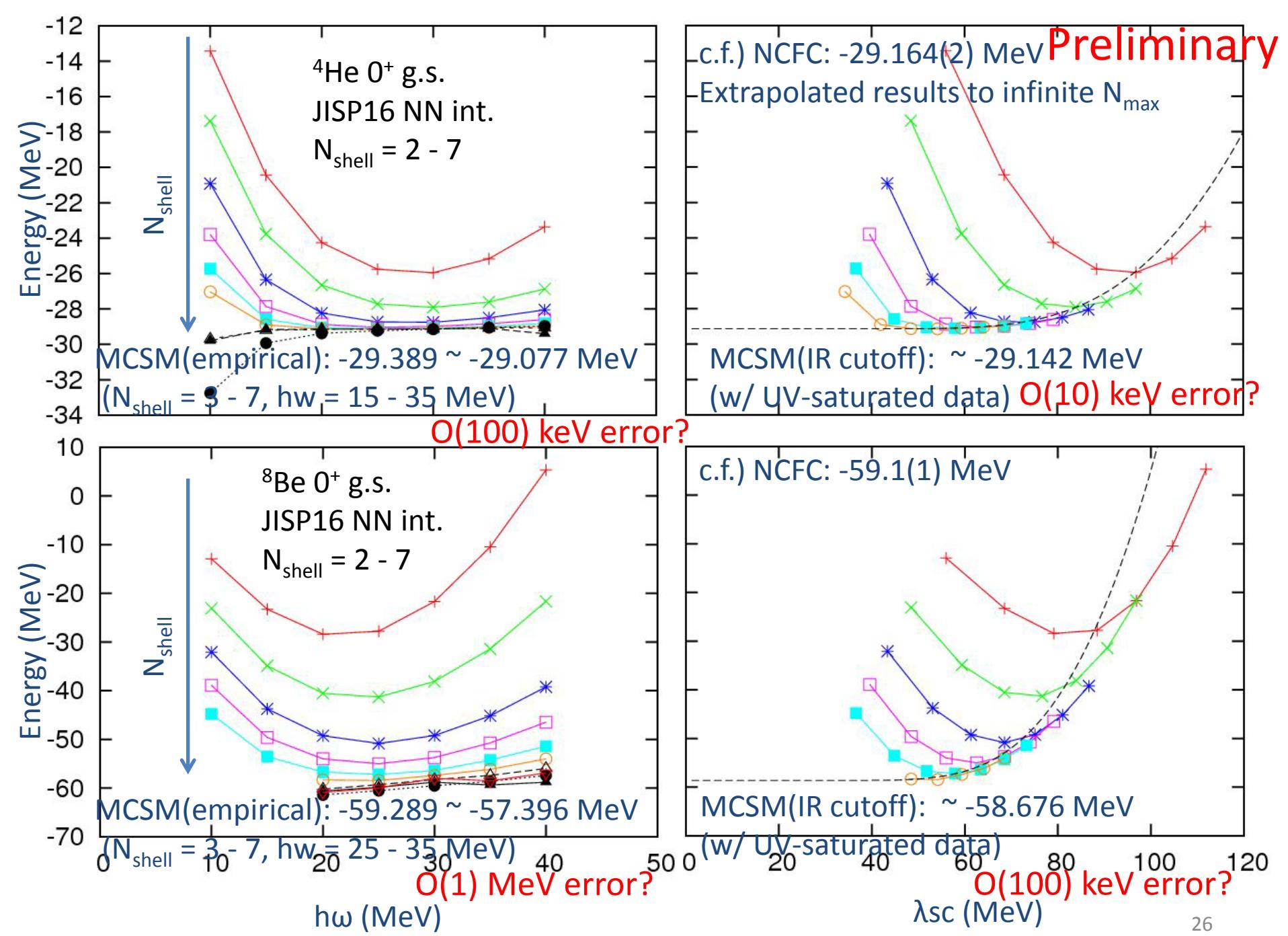
Extrapolated results to infinite  $N_{max}$

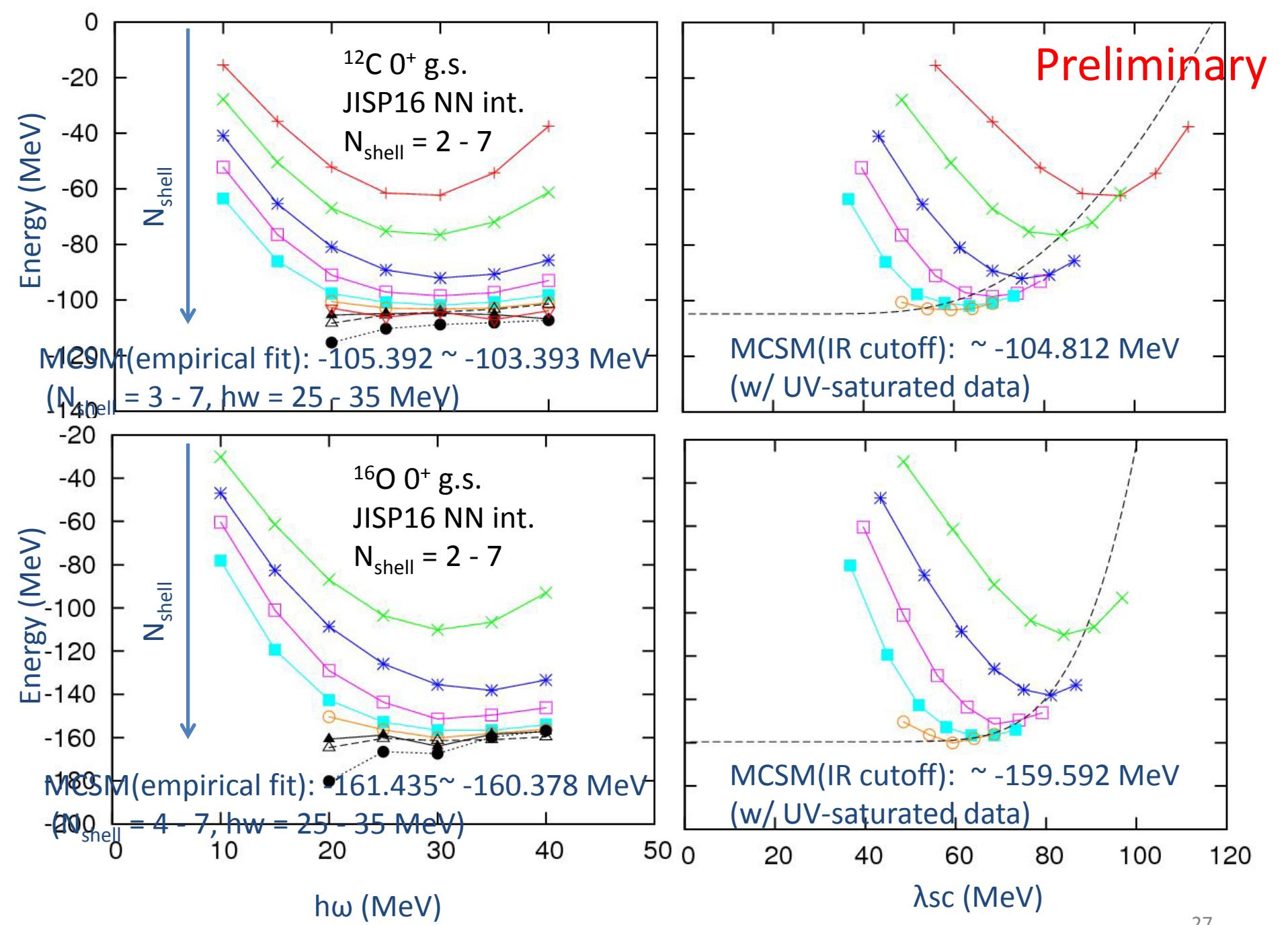


Error estimates are needed.

MCSM(IR cutoff): ~ -29.142 MeV  
(w/ UV-saturated data)

O(10) keV error?





# IR- &UV-cutoff Extrapolations

- IR-cutoff extrapolation (w/ UV-saturated data)

$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$

- UV-cutoff extrapolation (w/ IR-saturated data)

$$E(\Lambda) = E(\Lambda = \infty) + c \exp(-\Lambda^2/d^2)$$

- IR- & UV-cutoff extrapolations (w/ any data, ideally)

$$E(\lambda, \Lambda) = E(\lambda = 0, \Lambda = \infty) + a \exp(-b/\lambda) + c \exp(-\Lambda^2/d^2)$$

S. A. Coon, M. I. Avetian, M. K. G. Kruse, U. van Kolck, P. Maris, J. P. Vary, Phys. Rev. C86, 054002 (2012)  
S. A. Coon, arXiv:1303.6358

R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C86, 031301(R) (2012)

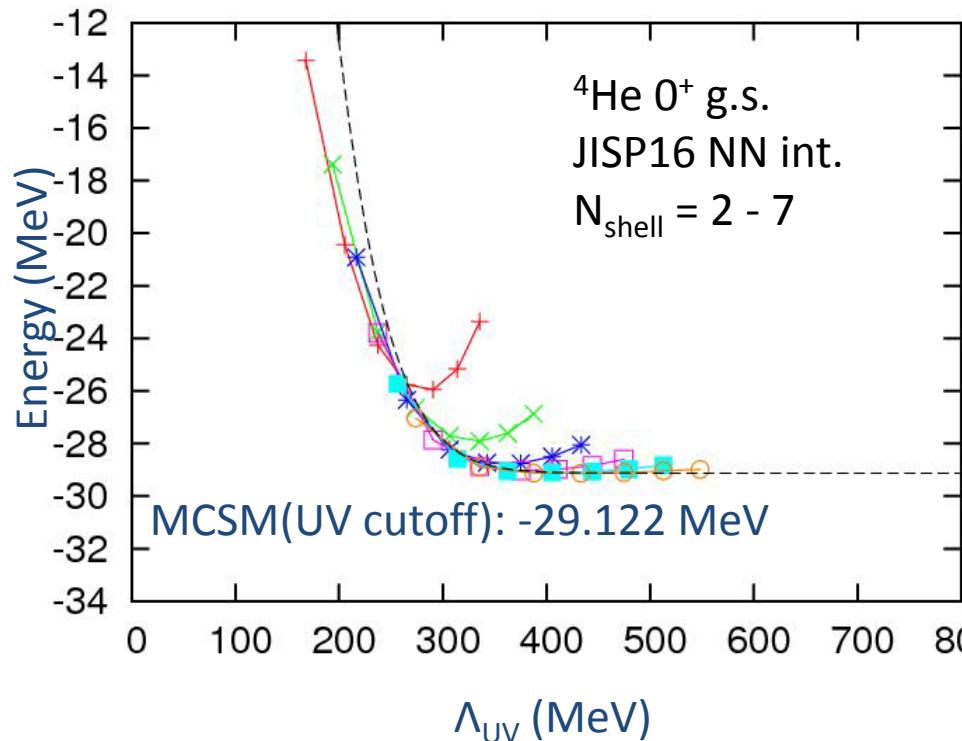
S. N. More, A. Ekstrom, R. J. Furnstahl, G. Hagen, T. Papenbrock, Phys. Rev. C87, 044326 (2013)

R. J. Furnstahl, S. N. More, T. Papenbrock, arXiv:1312.6876

E. D. Jurgenson, P. Maris, R. J. Furnstahl, W. E. Ormand & J. P. Vary, Phys. Rev. C87, 054312 (2013)

## UV-cutoff extrapolation

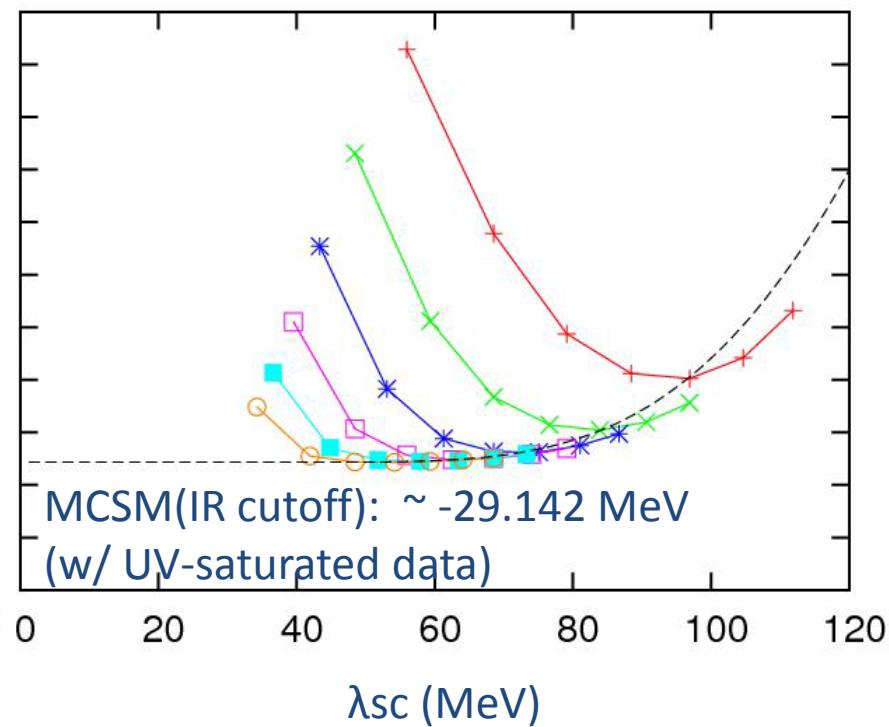
$$E(\Lambda) = E(\Lambda = \infty) + c \exp(-\Lambda^2/d^2)$$



c.f.) NCFC: -29.164(2) MeV  
Extrapolated results to infinite  $N_{\text{max}}$

## IR-cutoff extrapolation

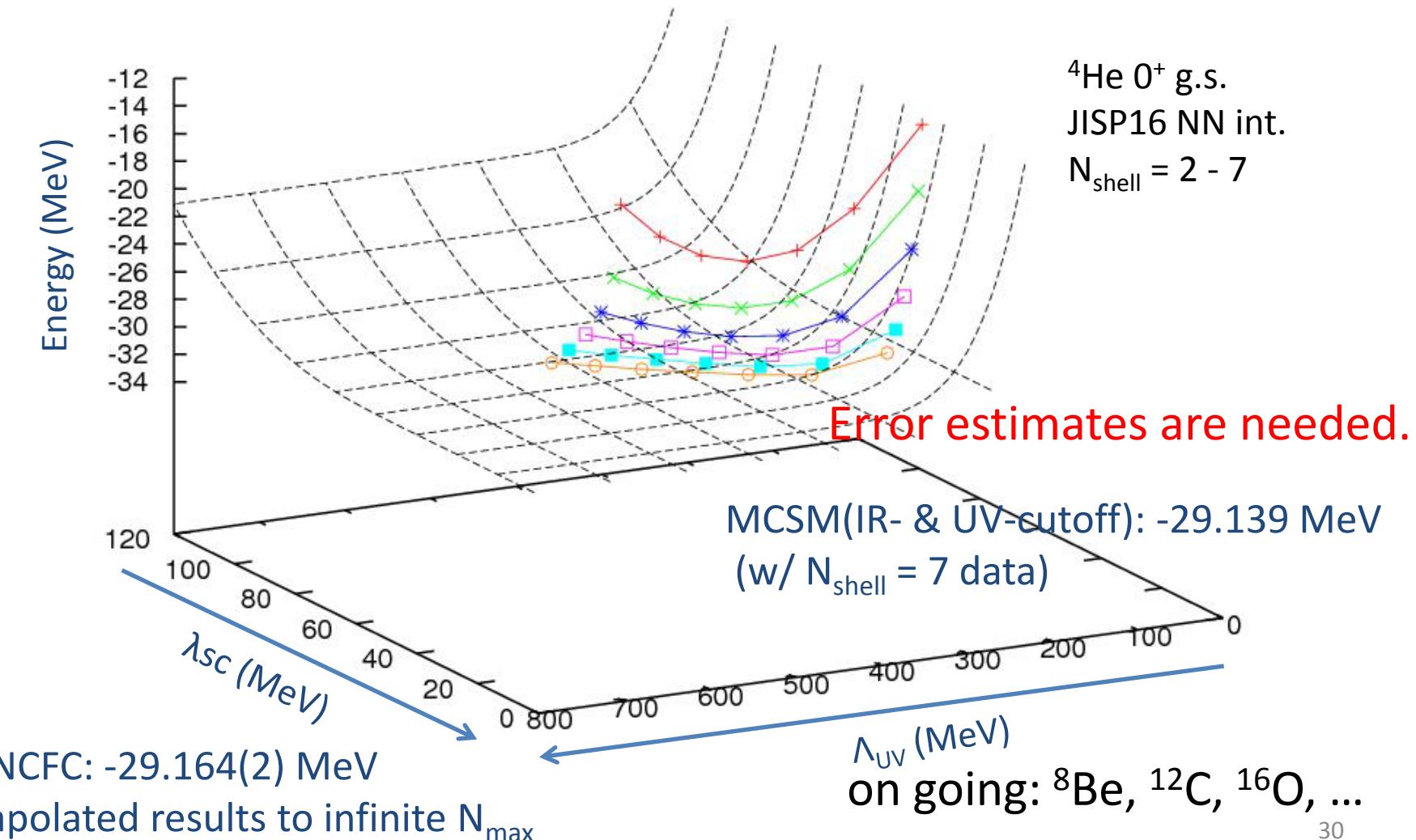
$$E(\lambda) = E(\lambda = 0) + a \exp(-b/\lambda)$$



on going:  ${}^8\text{Be}$ ,  ${}^{12}\text{C}$ ,  ${}^{16}\text{O}$ , ...

# IR- & UV-cutoff extrapolation

$$E(\lambda, \Lambda) = E(\lambda = 0, \Lambda = \infty) + a \exp(-b/\lambda) + c \exp(-\Lambda^2/d^2)$$



# Density Plots from ab initio calc.

- Green's function Monte Carlo (GFMC)

- “Intrinsic” density is constructed by aligning the moment of inertia among samples

R. B. Wiringa, S. C. Pieper, J. Carlson, & V. R. Pandharipande, Phys. Rev. C62, 014001 (2000)

- No-core full configuration (NCFC)

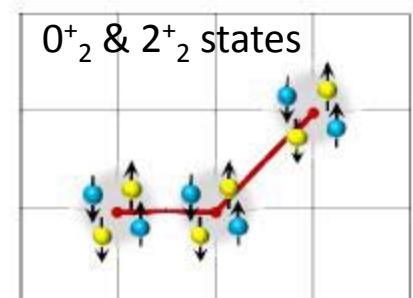
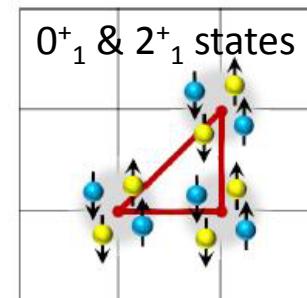
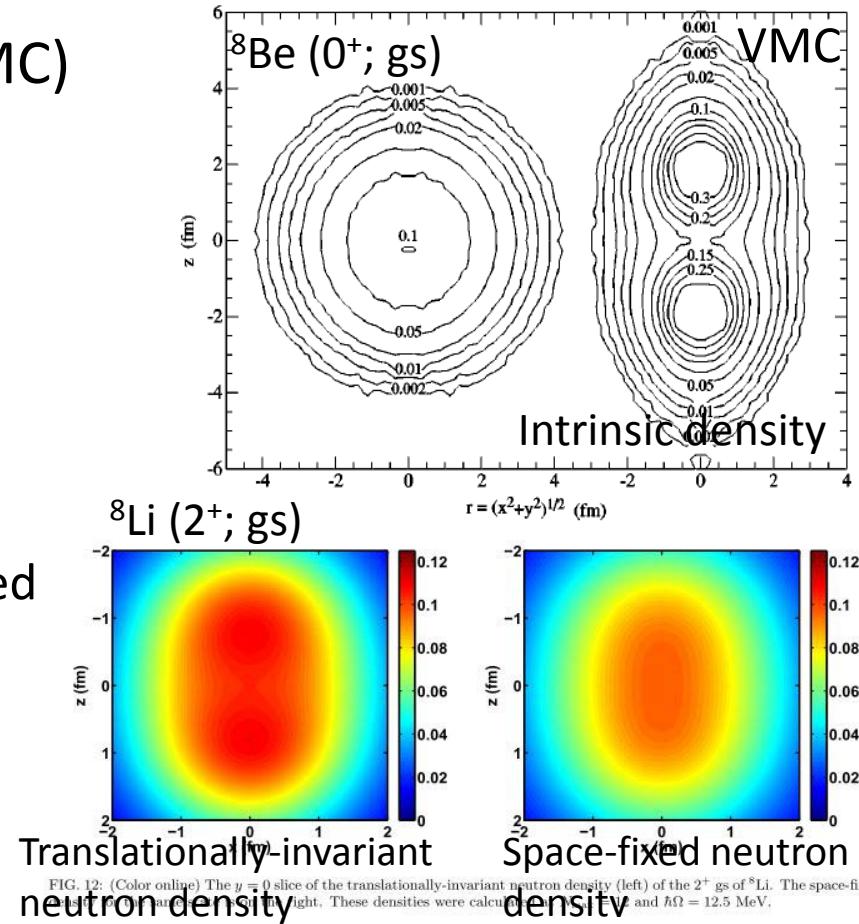
- Translationally-invariant density is obtained by deconvoluting the intrinsic & CM w.f.

C. Cockrell J. P. Vary & P. Maris, Phys. Rev. C86, 034325 (2012)

- Lattice EFT

- Triangle structure in carbon-12

E. Epelbaum, H. Krebs, T. A. Lahde, D. Lee, & U.-G. Meissner, Phys. Rev. Lett. 109, 252501 (2012)



# Density plots in MCSM

Talk by T. Yoshida, Wed. 11 June

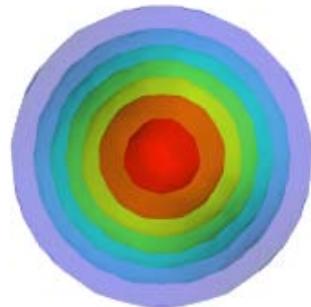
$$|\Phi\rangle = \sum_{i=1}^{N_{basis}} c_i |\Phi_i\rangle = c_1 \begin{array}{c} \text{color density plot} \\ \text{of } |\Phi\rangle \end{array} + c_2 \begin{array}{c} \text{color density plot} \\ \text{of } |\Phi\rangle \end{array} + c_3 \begin{array}{c} \text{color density plot} \\ \text{of } |\Phi\rangle \end{array} + c_4 \begin{array}{c} \text{color density plot} \\ \text{of } |\Phi\rangle \end{array} + \dots$$

Angular-momentum projection

$$|\Psi\rangle = \sum_{i=1}^{N_{basis}} c_i P^J P^\pi |\Phi_i\rangle$$

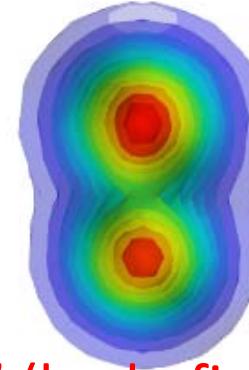
Rotation of each basis  
by diagonalizing Q-moment

$$|\Phi'\rangle = \sum_{i=1}^{N_{basis}} c_i R(\Omega_i) |\Phi_i\rangle$$



Laboratory frame

${}^8\text{Be}$  0<sup>+</sup> ground state



“Intrinsic” (body-fixed) frame

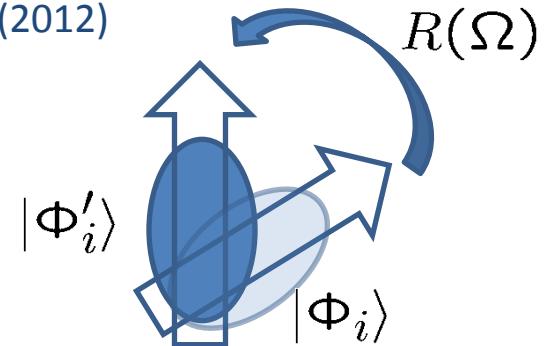
Densities in lab. & body-fixed frames can be constructed by MCSM

# How to construct an “intrinsic” density from MCSM w.f.

N. Shimizu, T. Abe, Y. Tsunoda, Y. Utsuno, **T. Yoshida**, T. Mizusaki, M. Honma, T. Otsuka,  
Progress in Theoretical and Experimental Physics, 01A205 (2012)

- MCSM wave function

$$|\Psi\rangle = \sum_{i=1}^{N_{basis}} c_i P^J P^\pi |\Phi_i\rangle$$

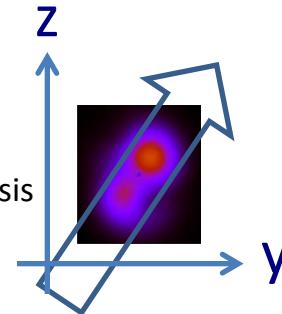


Rotation by diagonalizing Q-moment

- Wave function w/o the projections

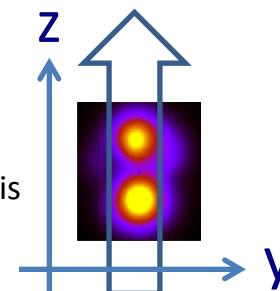
$$\sum_{i=1}^{N_{basis}} c_i |\Phi_i\rangle = c_1 + c_2 + \dots + c_{N_{basis}}$$

$(Q_{zz} > Q_{yy} > Q_{xx})$



- Wave function w/o the projection w/ the alignment of Q-moment

$$\sum_{i=1}^{N_{basis}} c_i |\Phi'_i\rangle = c_1 + c_2 + \dots + c_{N_{basis}}$$



# Preliminary Density plots of Be isotopes ( $0_1^+$ )

( $N_{\text{shell}} = 4$ ,  $hw = 25 \text{ MeV}$ ,  $\beta = 0$ , JISP16 NN w/o Coulomb)

Talk by T. Yoshida, Wed. 11 June

A = 8

A = 9

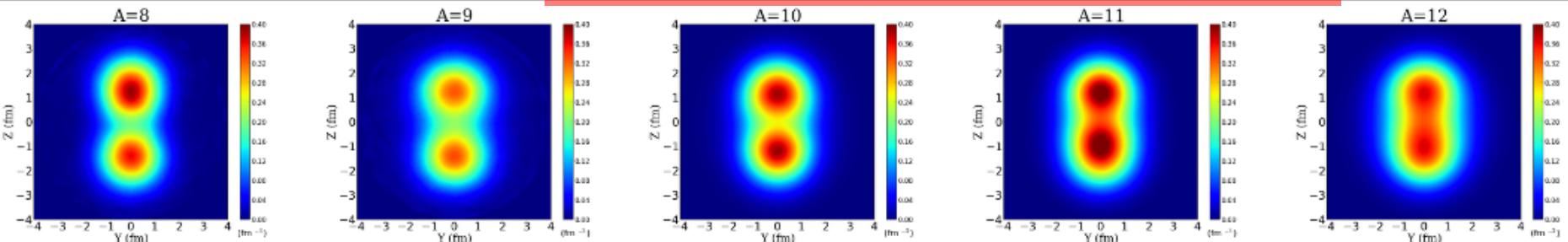
A = 10

A = 11

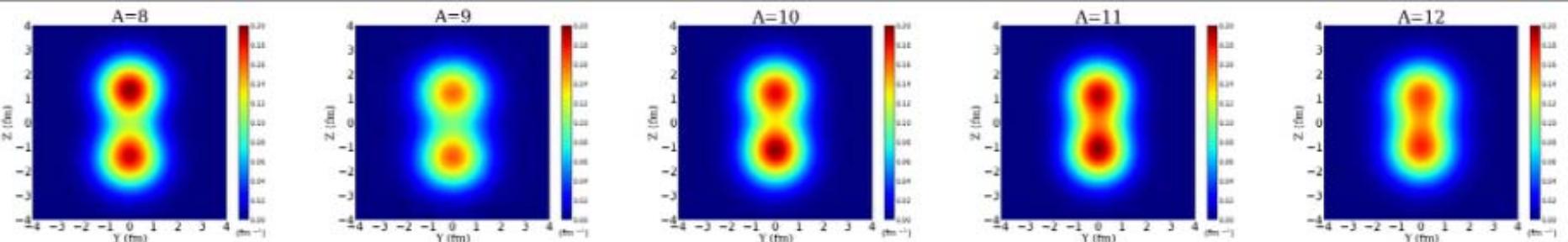
A = 12

Matter density

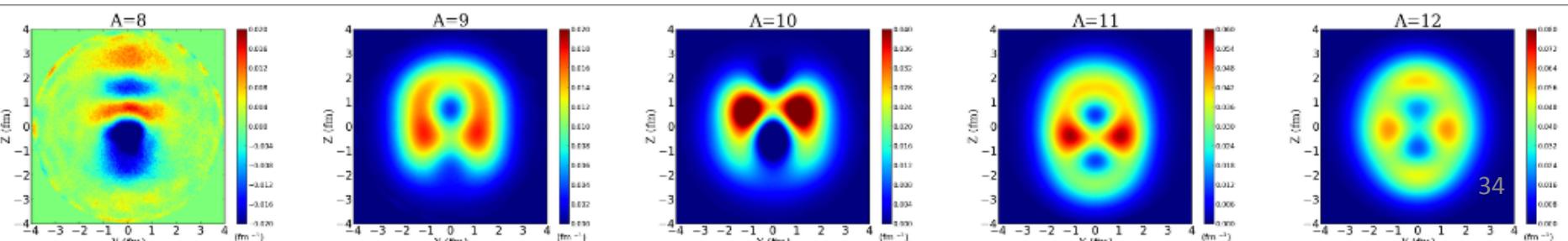
2- $\alpha$  structure is vanishing as A increases



Proton density



Neutron density – proton density



# Summary

- MCSM can be applied to no-core calculations of the p-shell nuclei.
  - Benchmarks for the p-shell nuclei have been performed and gave good agreements w/ FCI results. Some results are obtained only by MCSM.
  - Extension to larger model spaces ( $N_{\text{shell}} = 6, 7, \dots$ ), extrapolation to infinite basis space, & comparison with the another truncation ( $N_{\text{max}}$ )

## Perspective

- MCSM algorithm/computation
  - Error estimates of the extrapolations
  - Inclusion of the 3-body force (thru. effective 2-body force)
  - GPGPU
- Physics
  - Cluster states, non-yrast states, unnatural parity states, ...
  - sd-shell nuclei

**END**