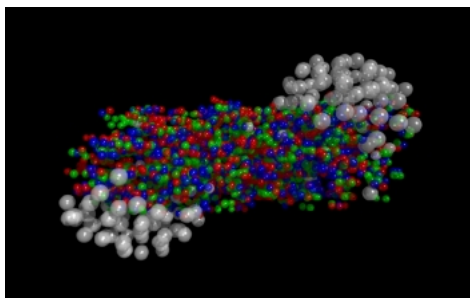


# EOS and neutrinos in supernova explosions: From high to low densities

Heavy Ion Collision

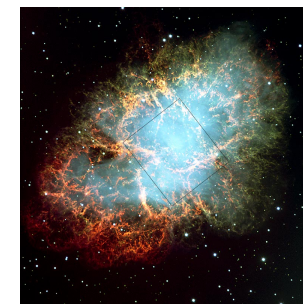


[www.physik.uni-frankfurt.de](http://www.physik.uni-frankfurt.de)

## K. Sumiyoshi

*Numazu College of Technology  
Japan*

Crab nebula



[hubblesite.org](http://hubblesite.org)

EOS in explosion mechanism:  $(\rho, T, Y_p)$  conditions  
Stiffness & Composition of EOS: neutrino heating



# Core-collapse SNe: collapse, bounce and explosion

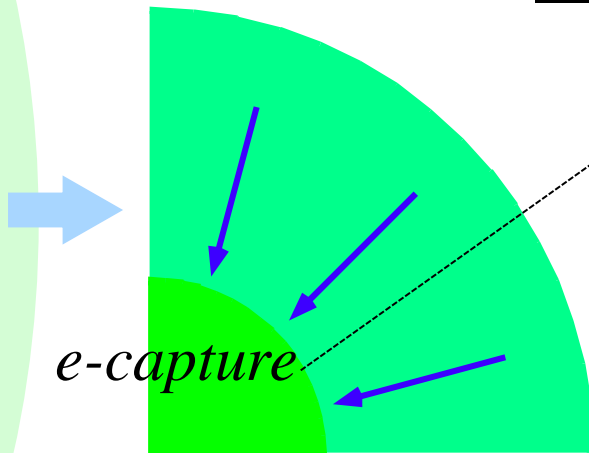
in 1 second

Massive star  $\sim 20M_{sun}$

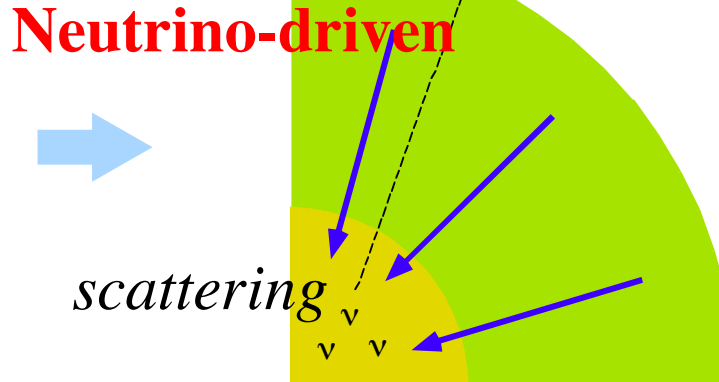
Fe core

Collapse

$\nu$ -trapping



$\sim 6000$  km



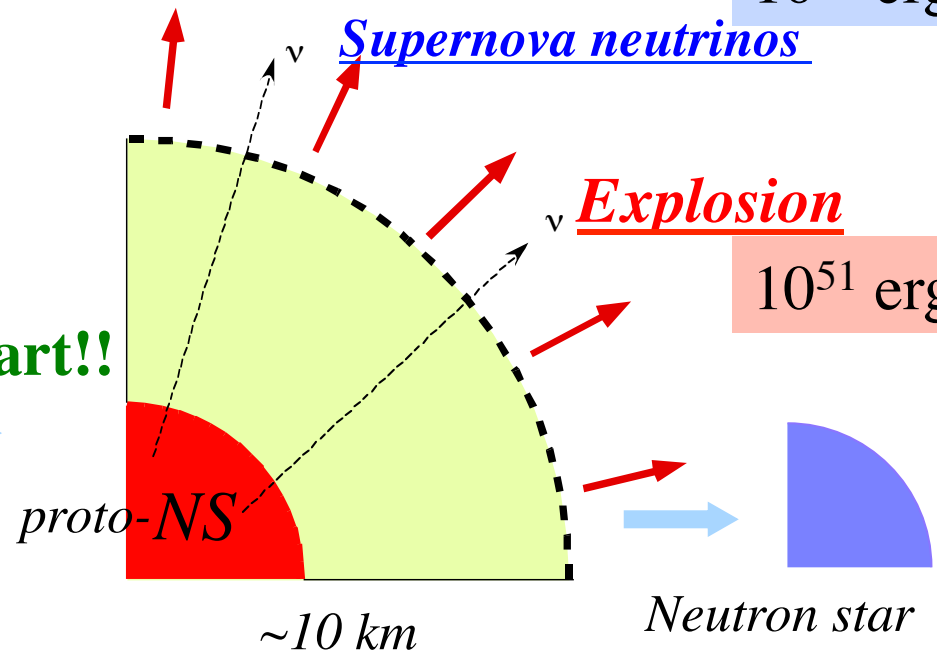
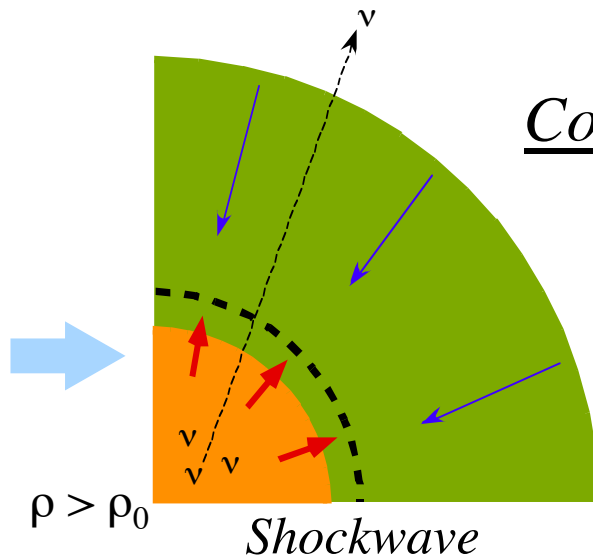
$10^{53}$  erg

Core Bounce

Supernova neutrinos

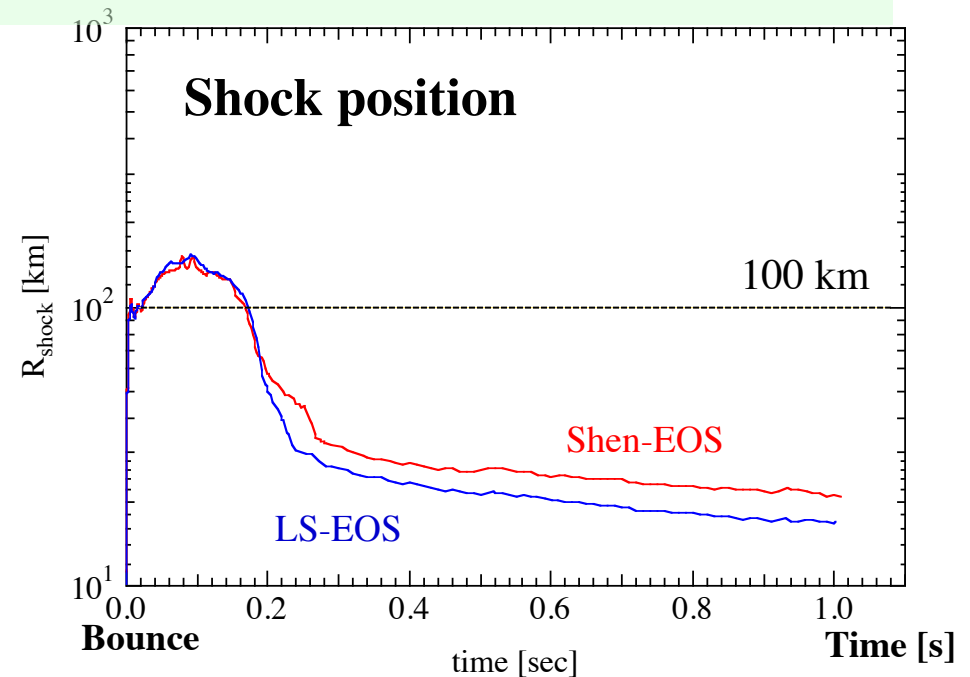
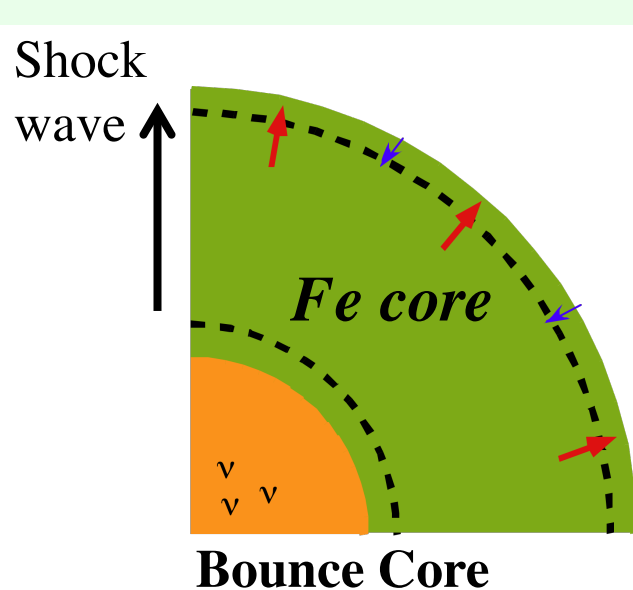
**Explosion**

$10^{51}$  erg



# Difficulties: shock wave stalls on the way

1. Initial shock energy is used up by Fe dissociation
2. No explosion occurs in spherical (1D) simulations



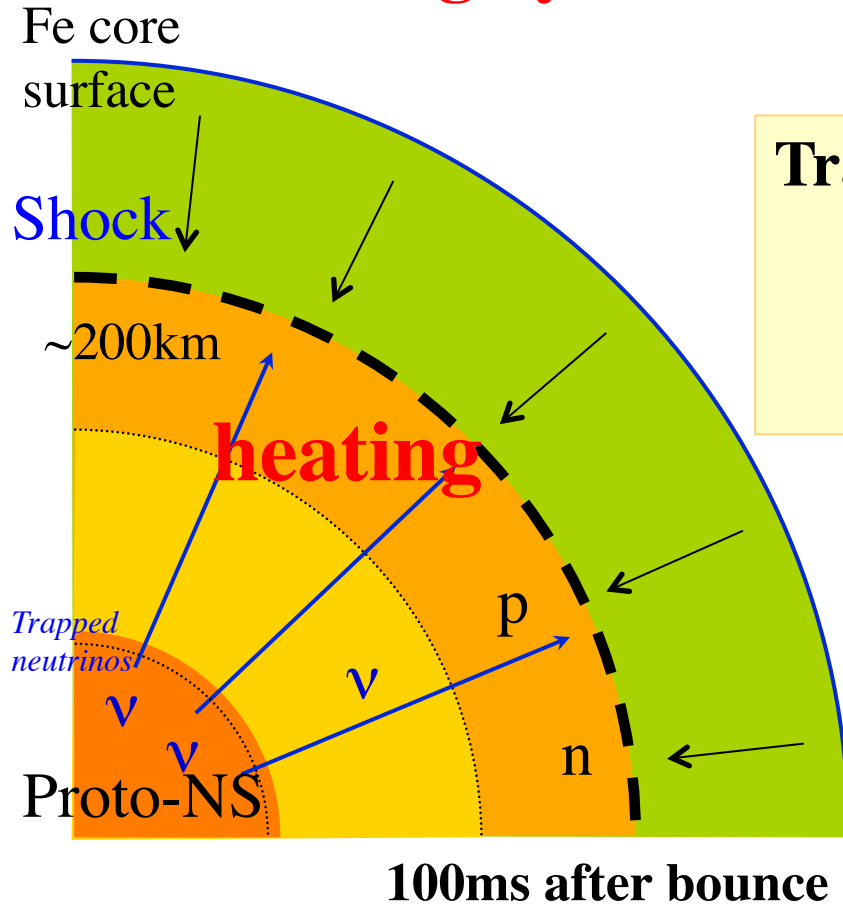
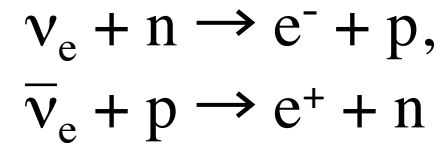
## How to revive the stalled shock wave?

Sumiyoshi et al. ApJ (2005)

- Neutrino heating mechanism
- Multi-dimensional effects

# Neutrino heating mechanism for revival of shock

## Heating by neutrino absorption

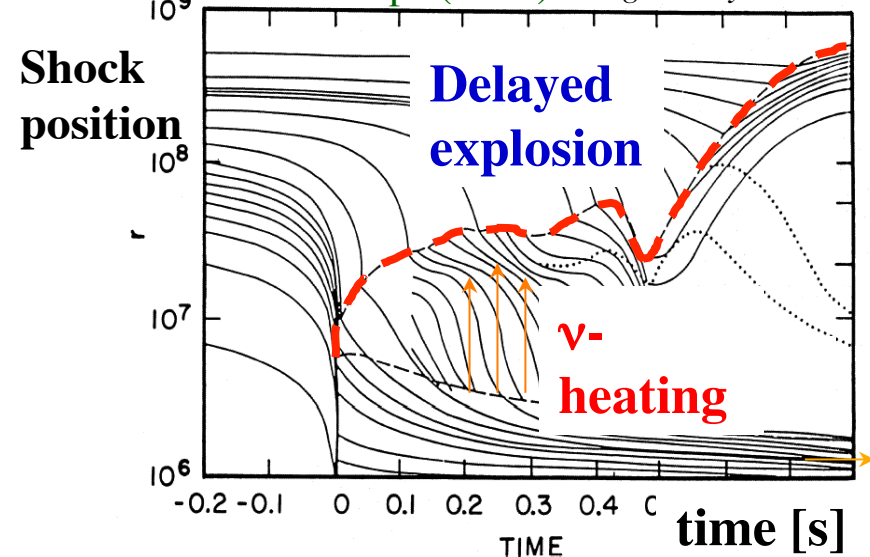


## Transfer of energy from $\nu$

Janka A&A (1996)

$$E_{\nu\text{-heat}} \sim 2 \times 10^{51} \left( \frac{\Delta M}{0.1 M_{\text{solar}}} \right) \left( \frac{\Delta t}{0.1 \text{s}} \right) \text{erg}$$

Bethe & Wilson ApJ (1985) "Legendary simulation"



Neutrino energy/flux  
from trapped neutrinos

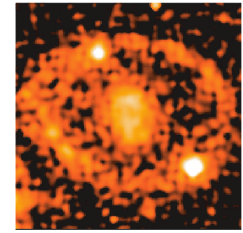
→ neutrino matter interaction

# Neutrino heating and hydro instabilities

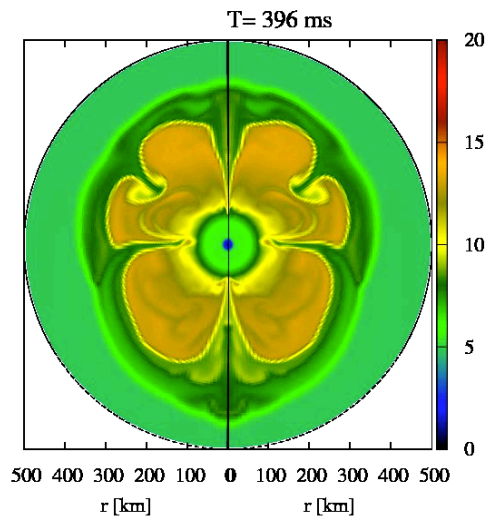
- Convection, SASI, rotation, magnetic etc - Observations

→ **neutrino-matter in multi-dimensions**

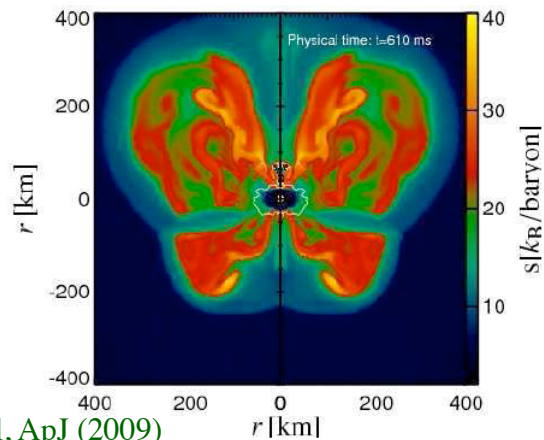
SN1987A



Wang (2002)



Suwa et al. (2010) PASJ

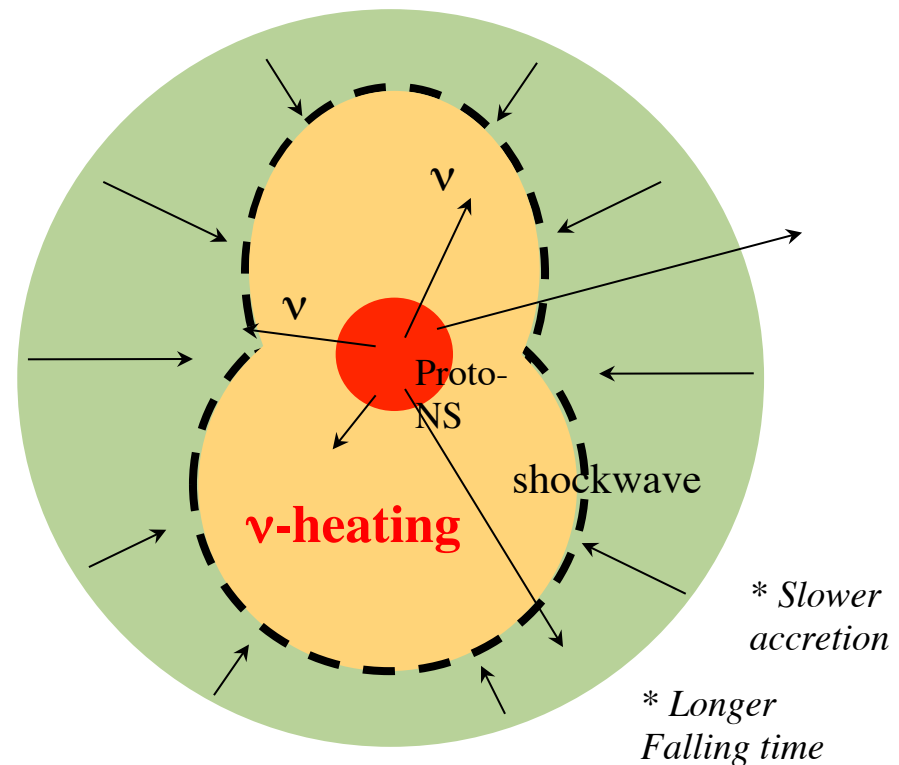


Marek et al, ApJ (2009)

Deformation of shock  
Convection



Enough time  
for  $\nu$ -heating



# State-of-the-art simulations of supernovae

## Nuclear Physics

- Equation of state
- Neutrino reactions  
at  $10^5$ - $10^{15}$  g/cm<sup>3</sup>,  $\sim 10^{11}$  K

## Astrophysics

- Hydrodynamics
- Neutrino transfer
- Gravity (Gen. Rel.)

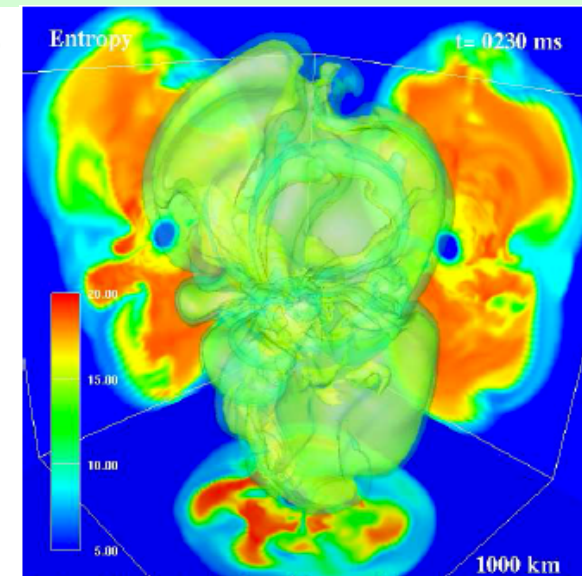
## Supercomputing technology

- Numerical simulations of core-collapse supernovae  
*Huge supercomputing power is necessary*

## Current issues:

- What is the trigger of explosion?
  - 2D vs 3D? Low explosion energy?
- Dependence on nuclear physics

Takiwaki (2015)



# EOS is necessary inputs for numerical simulations

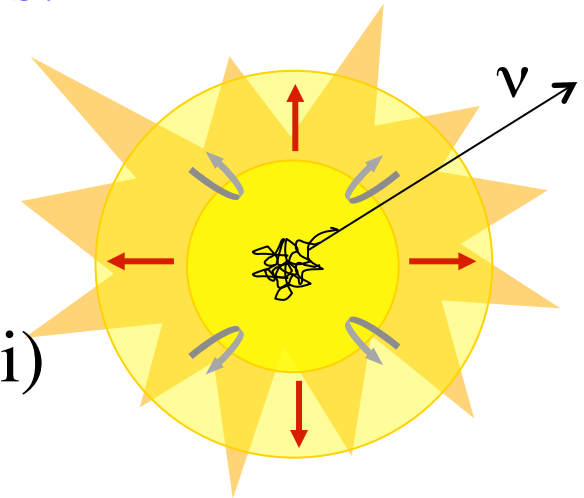
- Properties of dense matter determines:

## 1. Pressure-Density

- Stellar structure, Dynamics
- Neutron star mass, radius

## 2. Composition (proton, neutron, nuclei)

- $\nu$ -reaction,  $\nu$ -energy spectra



- Supernova EOS tables to cover wide range of  $(\rho, T, Y_e)$

Benchmark  
1990~

- *Extension of liquid-drop models (Skyrme-like):* **LS EOS**
- *Relativistic Mean Field approach:* **Shen EOS**

Recent  
2000~

- *Mixture of nuclei:* GShen, Hempel, Furusawa,.. **Furusawa**
- *RMF extensions:* Sagert, Ishizuka, Nakazato, Steiner,...
- *Nuclear many body:* Togashi, Constantinou,...

# Comparison of EOS sets: benchmark

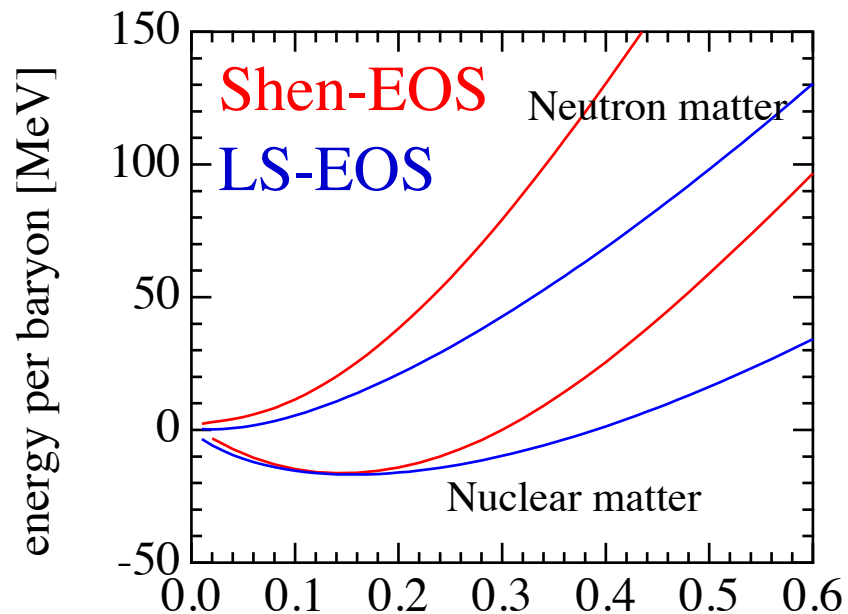
- Difference in stiffness & symmetry energy

	LS-EOS	Shen-EOS
K [MeV]	180, 220, 375	281
$A_{\text{sym}}$ [MeV]	29.3	36.9

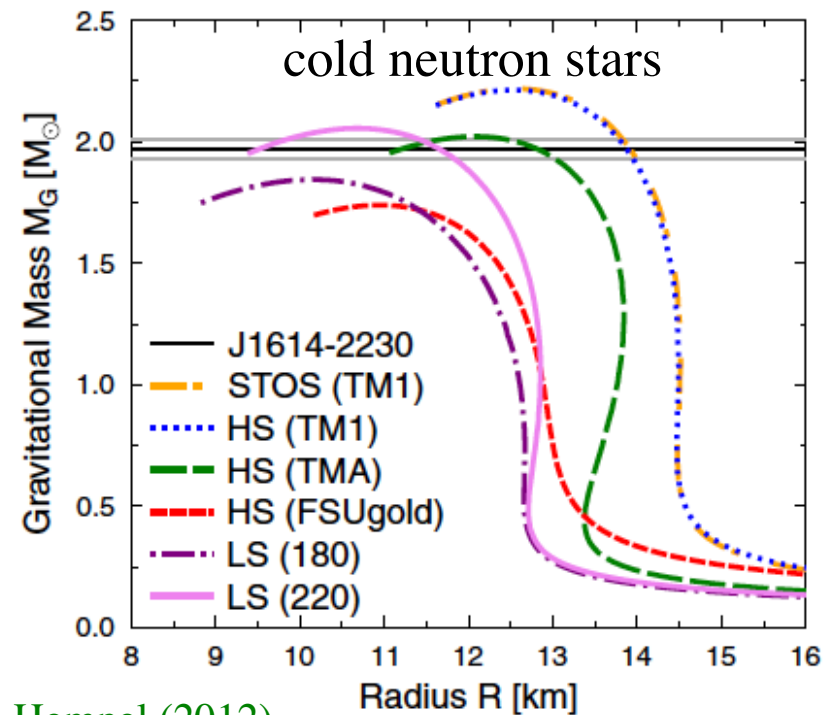
- Two representatives

- Extremes in modern sense

180, 220: Frequently used for many simulations



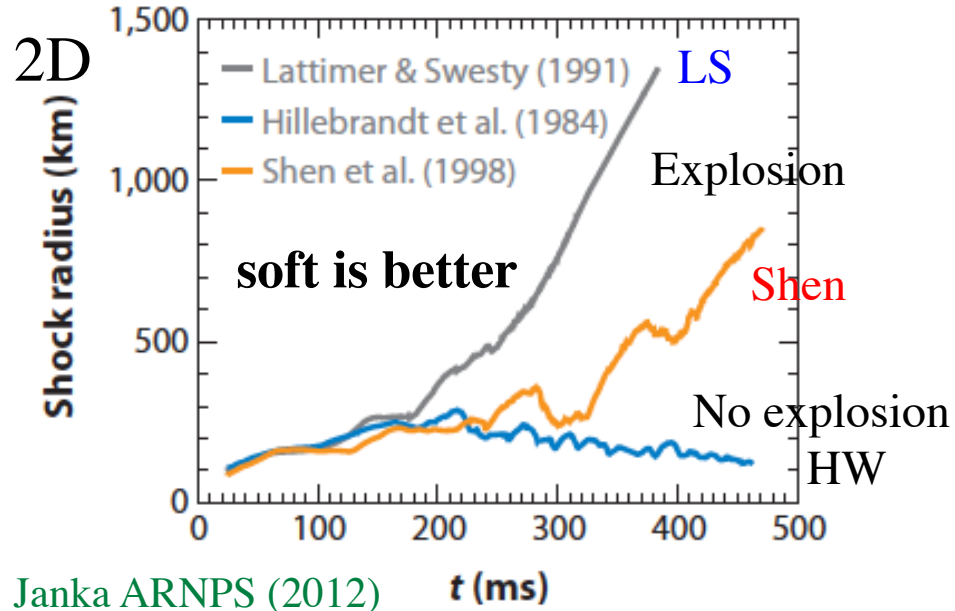
Sumiyoshi (2004) baryon density [fm<sup>-3</sup>]



Hempel (2012)

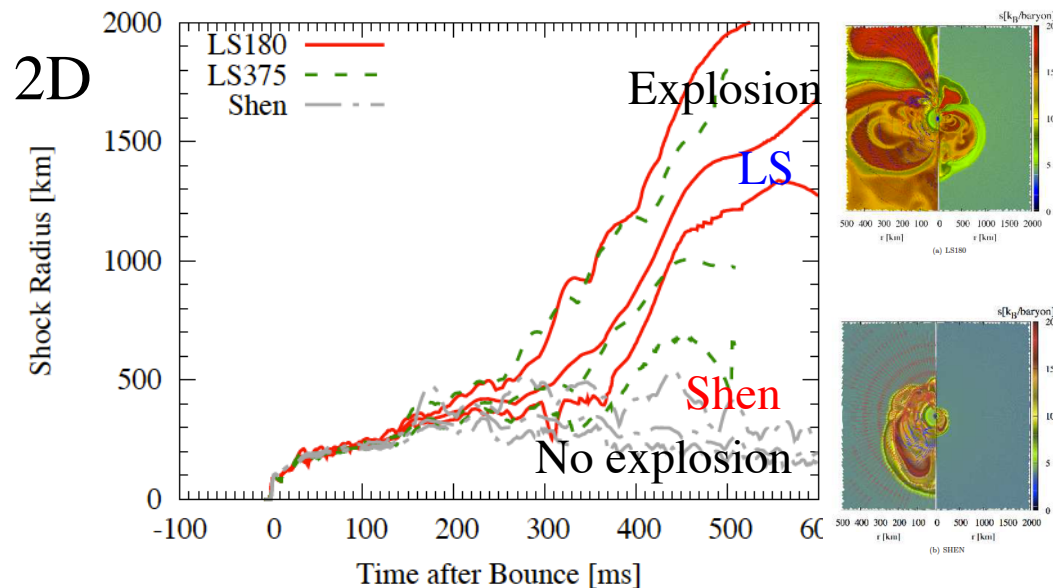
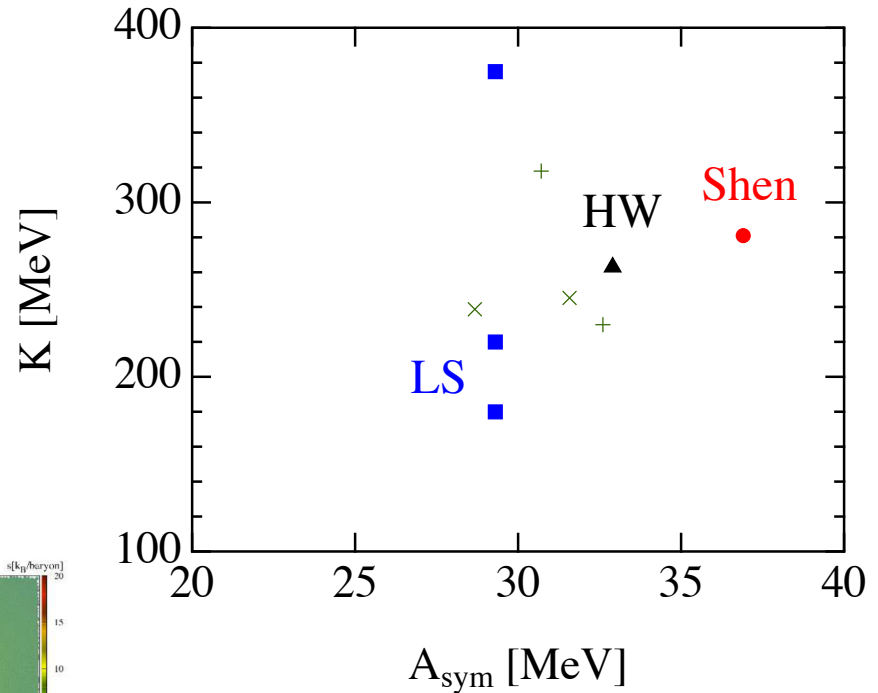


# EOS effects in multi-D: larger than 1D?



Janka ARNPS (2012)

Need more systematic studies



Suwa et al. ApJ (2013)

In 3D, LS 220MeV  
so far

Takiwaki (2012), Hanke (2013),  
Bruenn (2014), Lentz, Melson (2015)

# *Role of Equation of State*

Hydrodynamics & Neutrino reactions

# Stiff or Soft EOS: gravitational energy

- Parametric study of EOS effects in 80's

Takahara ApJ (1985), Bruenn ApJ (1989)

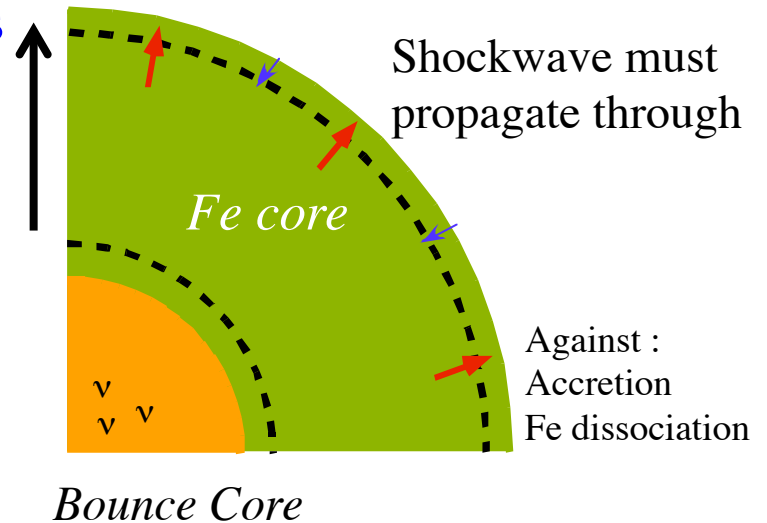
- EOS by analytic formula

Baron et al. PRL (1985)

ex.

$$p = \frac{K_0 \rho_0}{9\gamma} [(\rho/\rho_0)^\gamma - 1]$$

$K_0$ : Incompressibility,  $\rho_0$ : nuclear matter density



- Soft EOS is preferable

$K_0$ : 220  $\rightarrow$  90 MeV (too extreme now to support  $2M_{\text{sun}}$  NS)

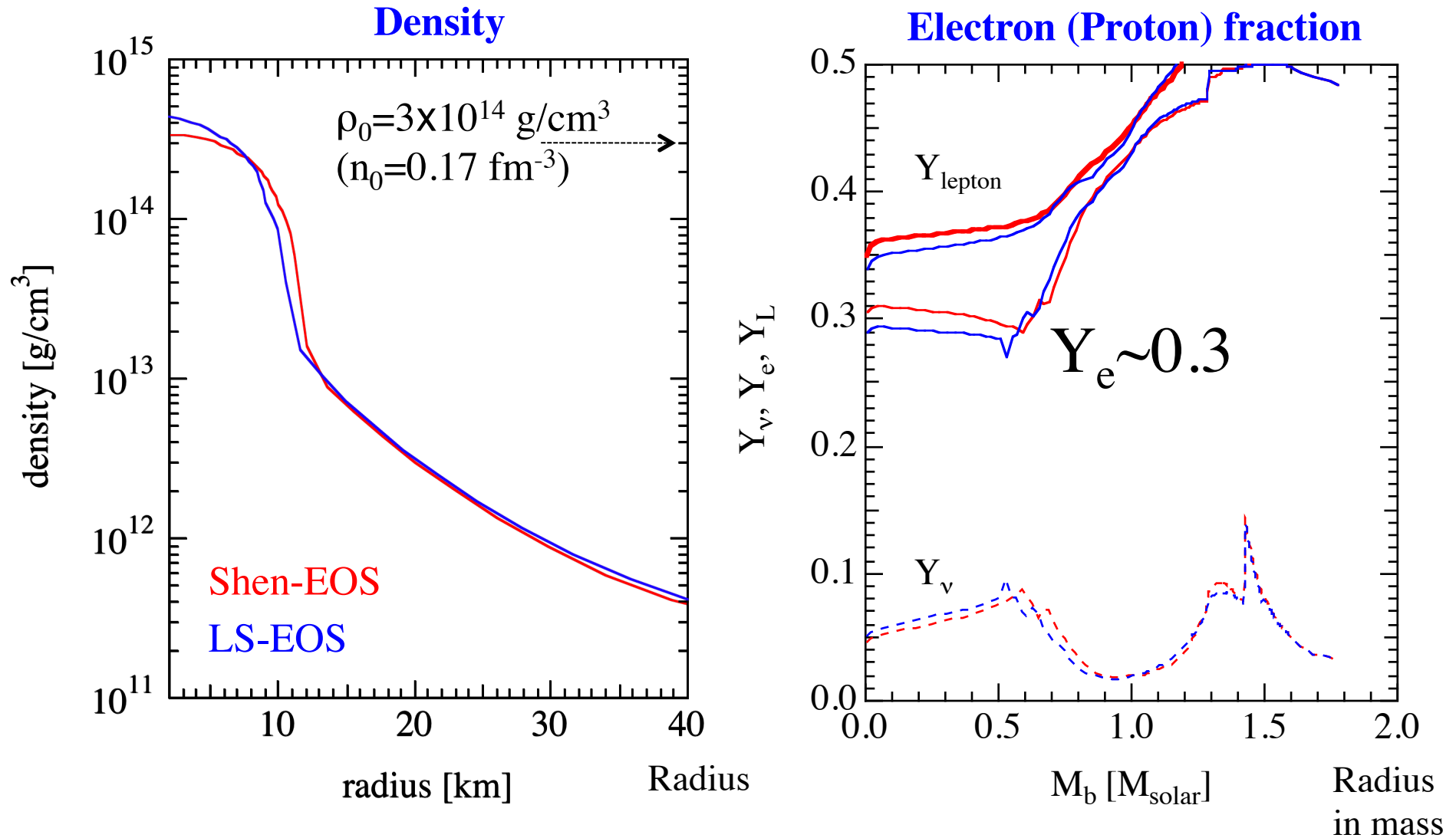
- For bounce core: compact and massive

$$E_{\text{shock}} \sim \frac{GM_{\text{inner}}^2}{R_{\text{inner}}} = \text{several} \times 10^{51} \text{ erg}$$

**General tendency:  
Soft  $\rightarrow$  More energy**

# Supernova profiles at core bounce: $t_{pb}=0$ ms

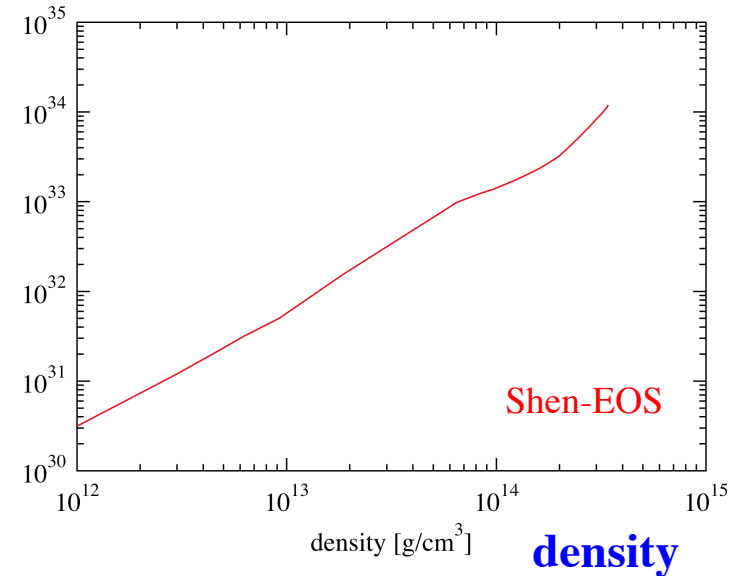
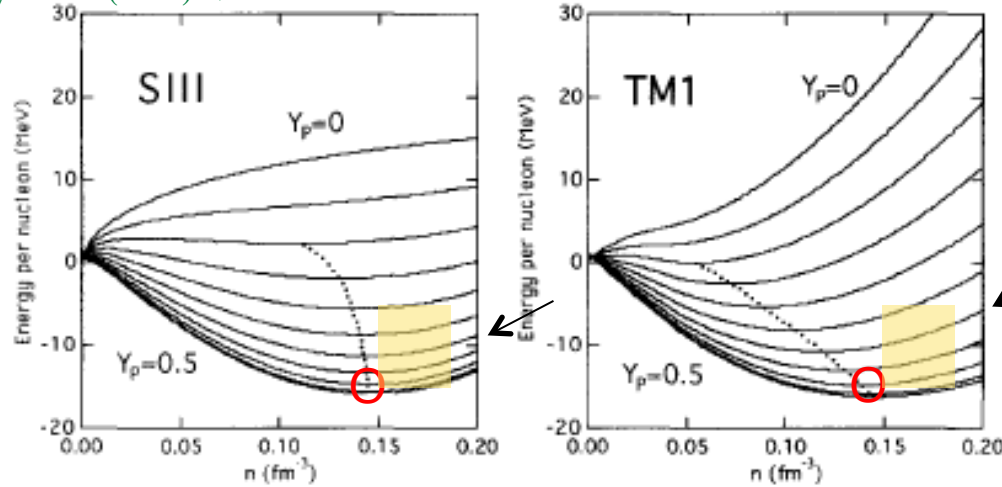
$\rho$ : just above  $\rho_0$ ,  $T \sim 10$  MeV,  $Y_p$ : not so neutron-rich yet



# Asymmetric EOS near $\rho_0$ at core bounce

Not so far from the saturation point **pressure**

Oyamatsu (1998) NPA 634



- Energy-density curve at  $Y_p=0.3$

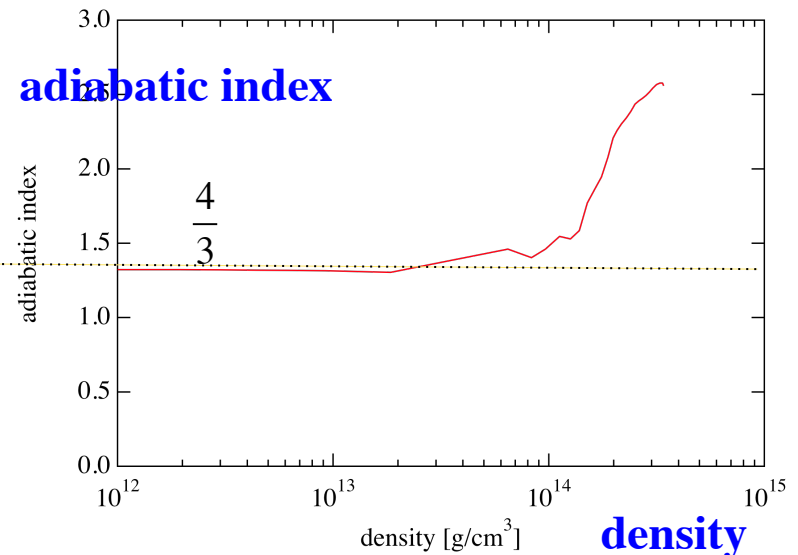
Incompressibility:  $K(Y_p=0.3)$

Symmetry energy:  $A_{\text{sym}}(\rho), L$

- EOS stiffens: core bounce

Adiabatic Index  
 $\rightarrow$  Hydrodynamics

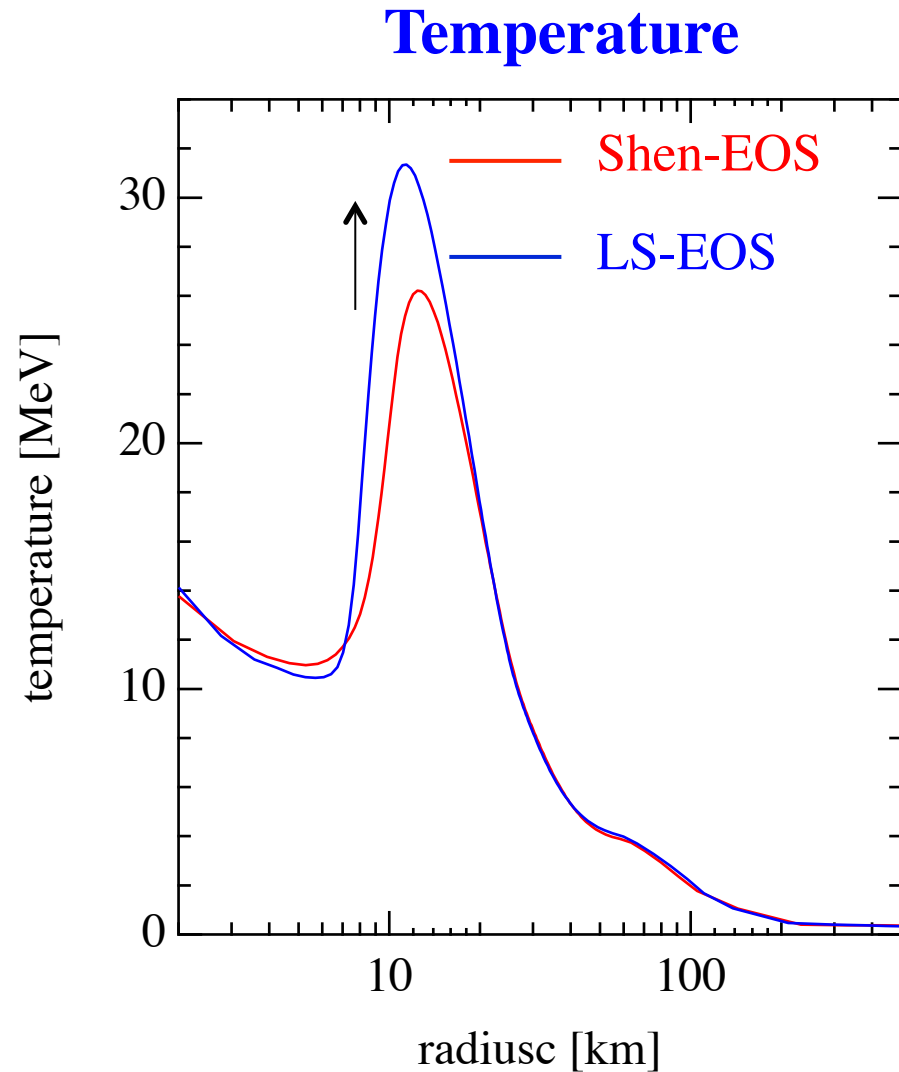
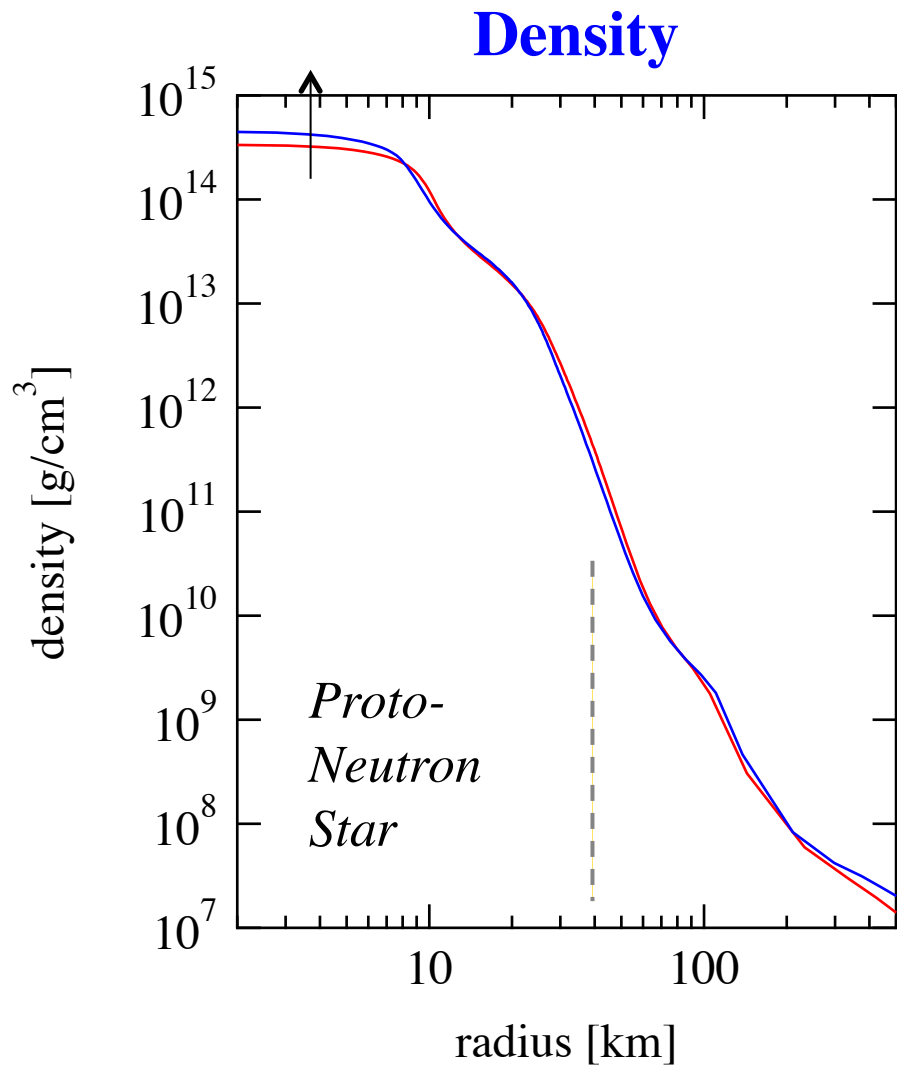
$$\Gamma = \left. \frac{d \log P}{d \log \rho} \right|_S$$



Sumiyoshi (2004) NPA

# Supernova profiles at $t_{pb}=150$ ms: shock stalls

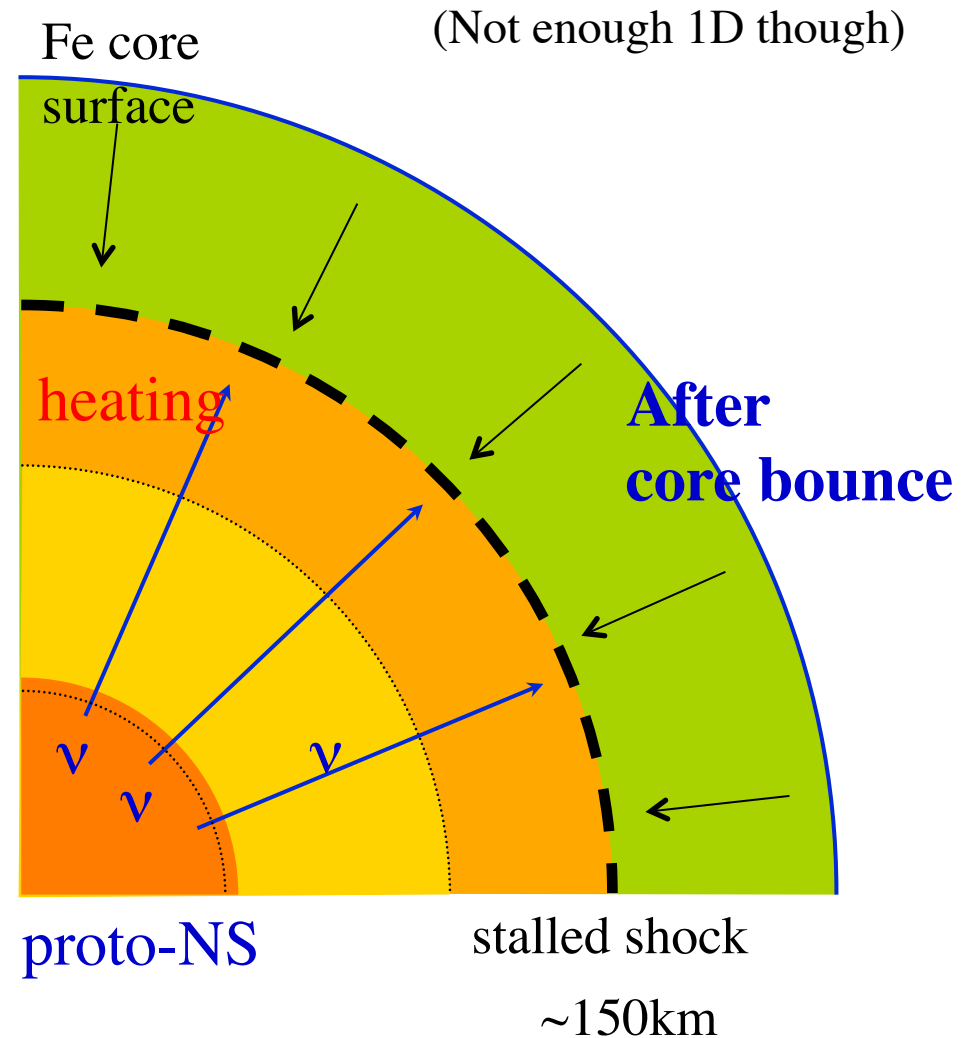
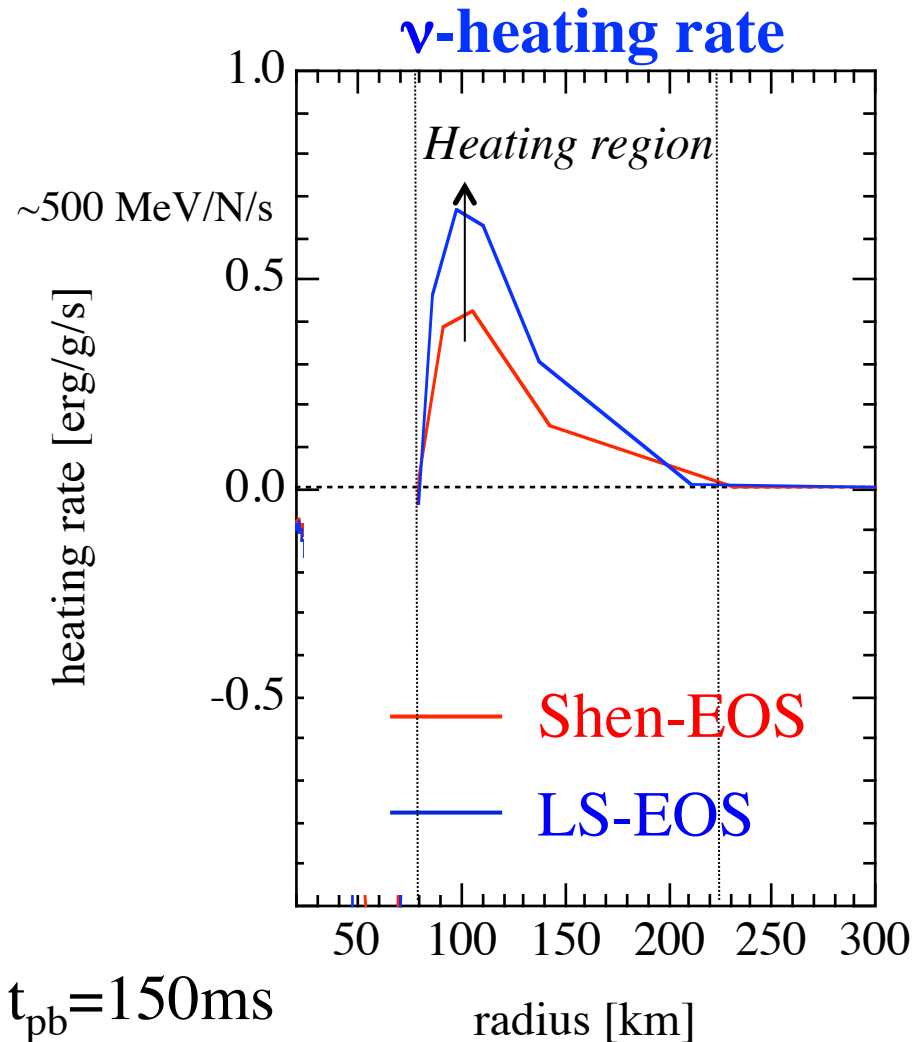
EOS difference becomes larger: soft EOS, high  $\rho$ , T



# Different temperature: neutrino heating is different

LS-EOS:  $T \uparrow$ ,  $\nu$ -luminosity  $\uparrow$

Softer EOS : more heating  
→ favorable for explosion







# Composition in supernova cores: NSE

Determined by Nuclear Statistical Equilibrium  $T > 0.5 \text{ MeV}$

- Mix of  $^{56}\text{Fe}, \dots, ^4\text{He}, p, n, e^-, e^+, \gamma$  at  $(\rho, T, Y_e)$ 
  - Frequent reactions via electro-magnetic, strong interaction
  - $(Z, A) + p \Leftrightarrow (Z+1, A+1) + \gamma$   $(p, \gamma)$
  - $(Z, A) + n \Leftrightarrow (Z, A+1) + \gamma$   $(n, \gamma)$  for example  
also  $(\alpha, \gamma), (\alpha, n), (\alpha, p), (p, n)$  etc... are in equilibrium

→ Depends on nuclear mass & potentials in medium

Chemical potential of nuclei:  $\mu(Z, A)$   $\mu(Z, A) = Z\mu_p + (A - Z)\mu_n$

Saha's eq. 
$$n(Z, A) = \frac{g(Z, A) A^{3/2}}{2^A \theta^{A-1}} n_p^Z n_n^{A-Z} \exp\left(\frac{Q(Z, A)}{T}\right)$$

Q-value 
$$Q(Z, A) = Zm_p + (A - Z)m_n - M(Z, A)$$

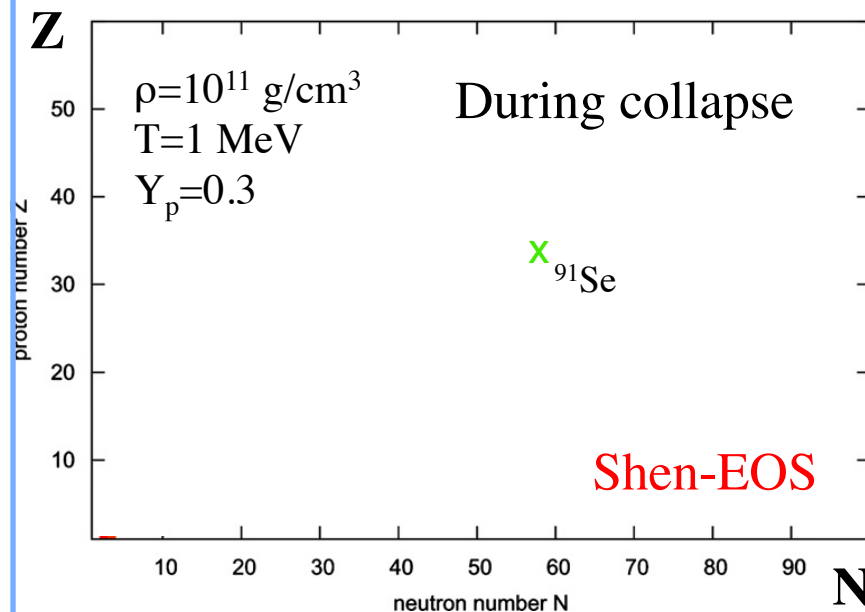
Non-relativistic Maxwell-Boltzmann gas

$$n = g \left( \frac{mkT}{2\pi\hbar^2} \right)^{3/2} \exp\left(\frac{\mu - mc^2}{kT}\right) \quad \varepsilon = nmc^2 + \frac{3}{2}nkT \quad P = nT$$

# Mixture of nuclei in supernova EOS tables

## Shen-EOS

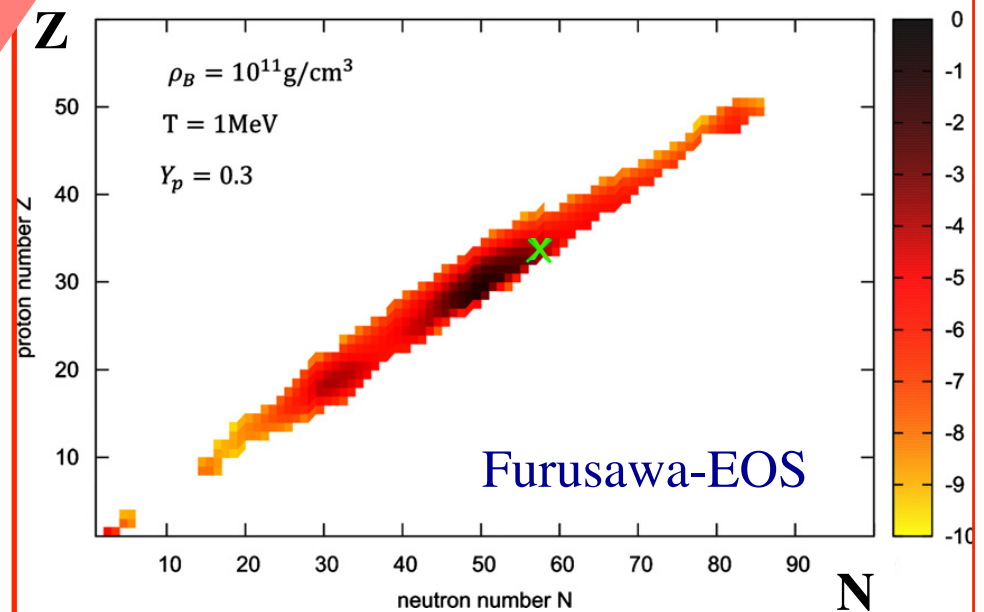
Neutron, proton,  ${}^4\text{He}$   
One species of nuclei  
*approximation*



A representative nuclei

## Furusawa-EOS

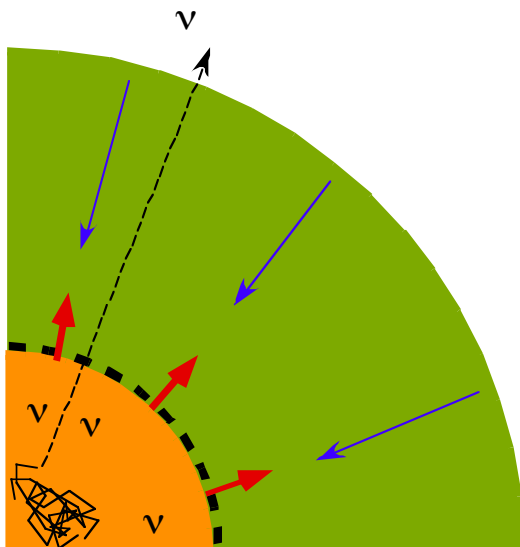
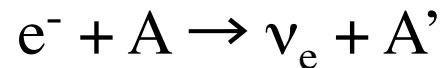
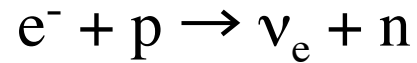
Neutron, proton, d, t,  ${}^3\text{He}$ ,  ${}^4\text{He}$ , ...  
All of nuclei up to  $A \sim 1000$   
*In nuclear statistical equilibrium*



Wide variety of nuclei

# e-capture determines neutrino trapping

Less electron capture  $\rightarrow$  more neutrino trapping  $\rightarrow$  larger bounce core



Bounce  
core

Outer core

$$M_{outer} = M_{Fe} - M_{inner}$$

*Shock must propagate  
through Fe core*

Core size in mass:

$$M_{inner} = 1.457 \left( \frac{Y_L}{0.5} \right)^2 M_{sun} \sim 0.6 - 0.9 M_{sun}$$

Energy loss by Fe dissociation:

$$E_{loss} \sim -1.6 \times 10^{51} \left( \frac{M_{outer}}{0.1 M_{solar}} \right) \text{erg}$$

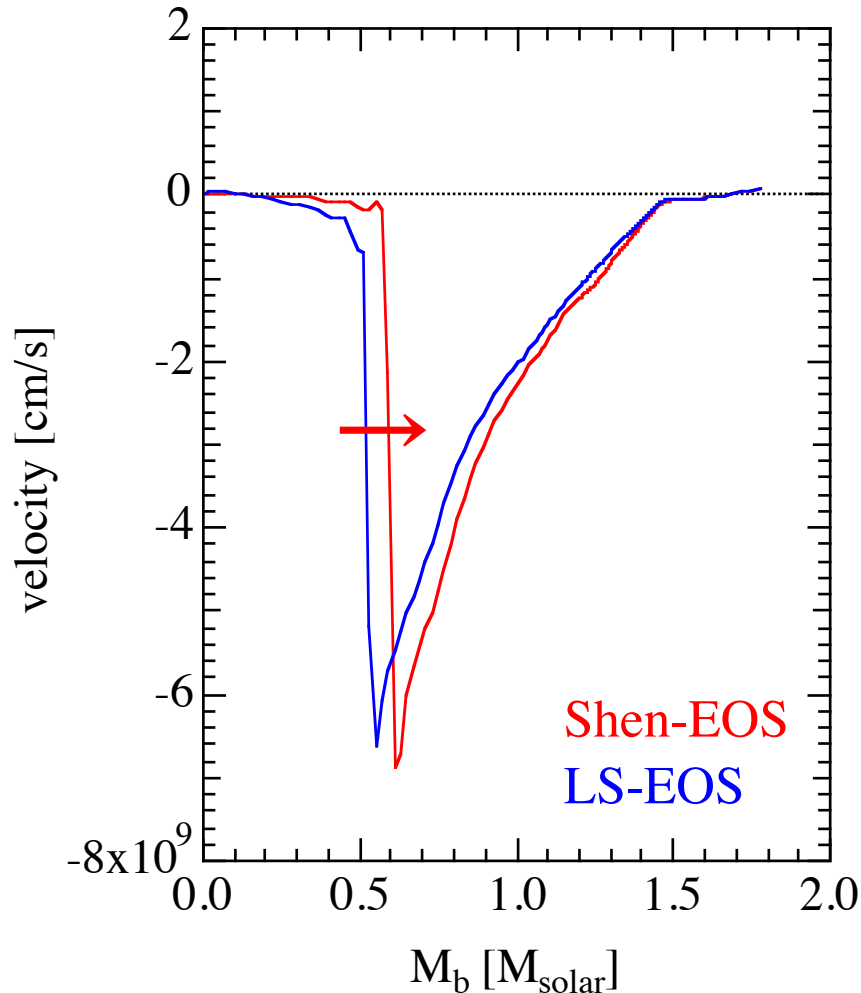
Initial shock energy

$$E_{shock} \sim \frac{GM_{inner}^2}{R_{inner}} = \text{several} \times 10^{51} \text{erg}$$

# Composition of supernova matter: e-capture

- Mixture of free protons

Larger  $A_{\text{sym}}$   $\rightarrow$  Smaller Bruenn



Sumiyoshi et al. ApJ 629 (2005) 922.

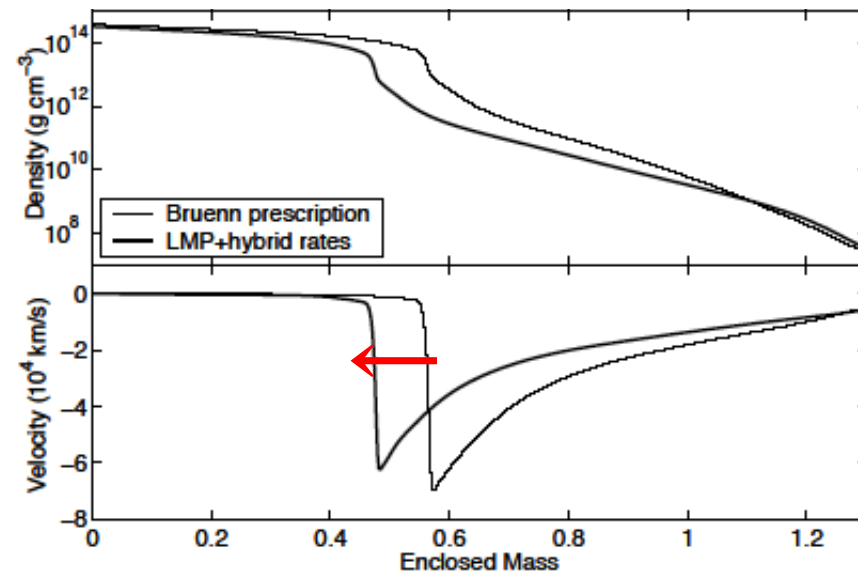
- Composition of nuclei

1-species &  ${}^4\text{He}$  (Shen, LS)

$\rightarrow$  Mixture (Hix)

- Electron capture on nuclei

– FFN  $\rightarrow$  GSI rates



Hix et al. PRL (2003)

Note: bottom figure in Fig.1

# Neutrino emission at neutrino sphere

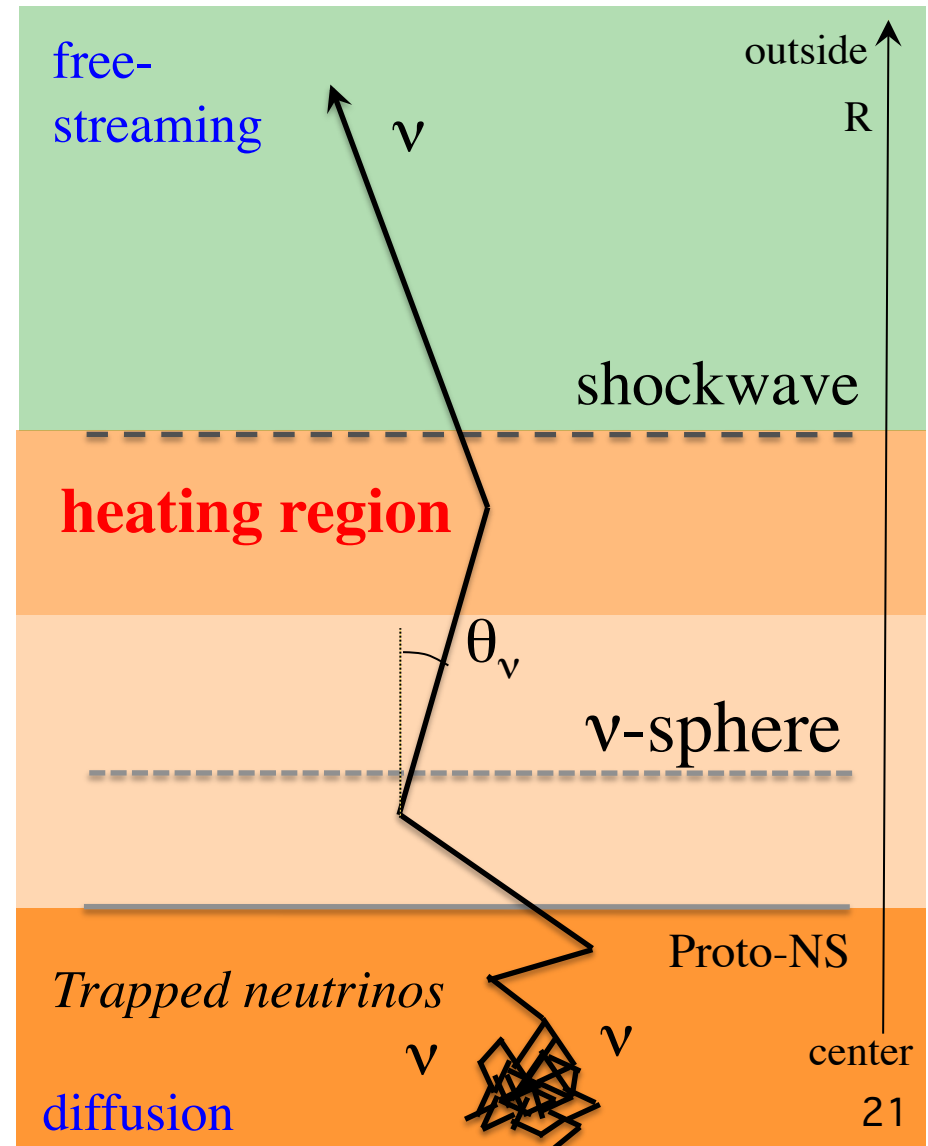
Determines neutrino flux and energy spectrum

- “Last reaction surface”

Optical depth      mean free path

$$\tau = \int_{\infty}^r \frac{dr'}{\lambda(r')} \quad \lambda(r) = \frac{1}{n(r)\sigma(E_\nu)}$$

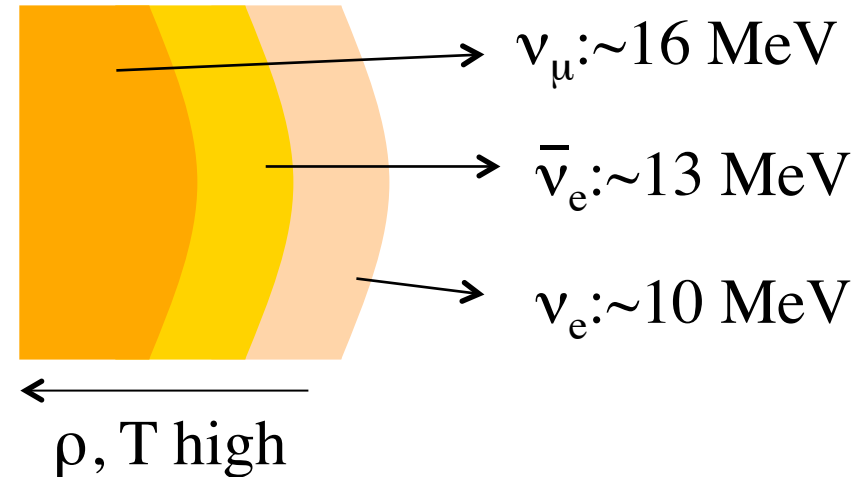
- Density:  $10^{10}$ - $10^{12}$  g/cm<sup>3</sup>  
 $\sim 10^{-4}$ - $10^{-2} \rho_0$   
– depends on  
neutrino energy & species
- **Composition is important**



# Neutrino flux: hierarchy of average energy

- Different neutrino-sphere
- Depends on reactions

- $\sigma_{\nu\mu/\tau} < \sigma_{\bar{\nu}e} < \sigma_{\nu e}$
- $E_{\nu\mu/\tau} > E_{\bar{\nu}e} > E_{\nu e}$
- $L_{\nu\mu/\tau} \sim L_{\bar{\nu}e} \sim L_{\nu e}$

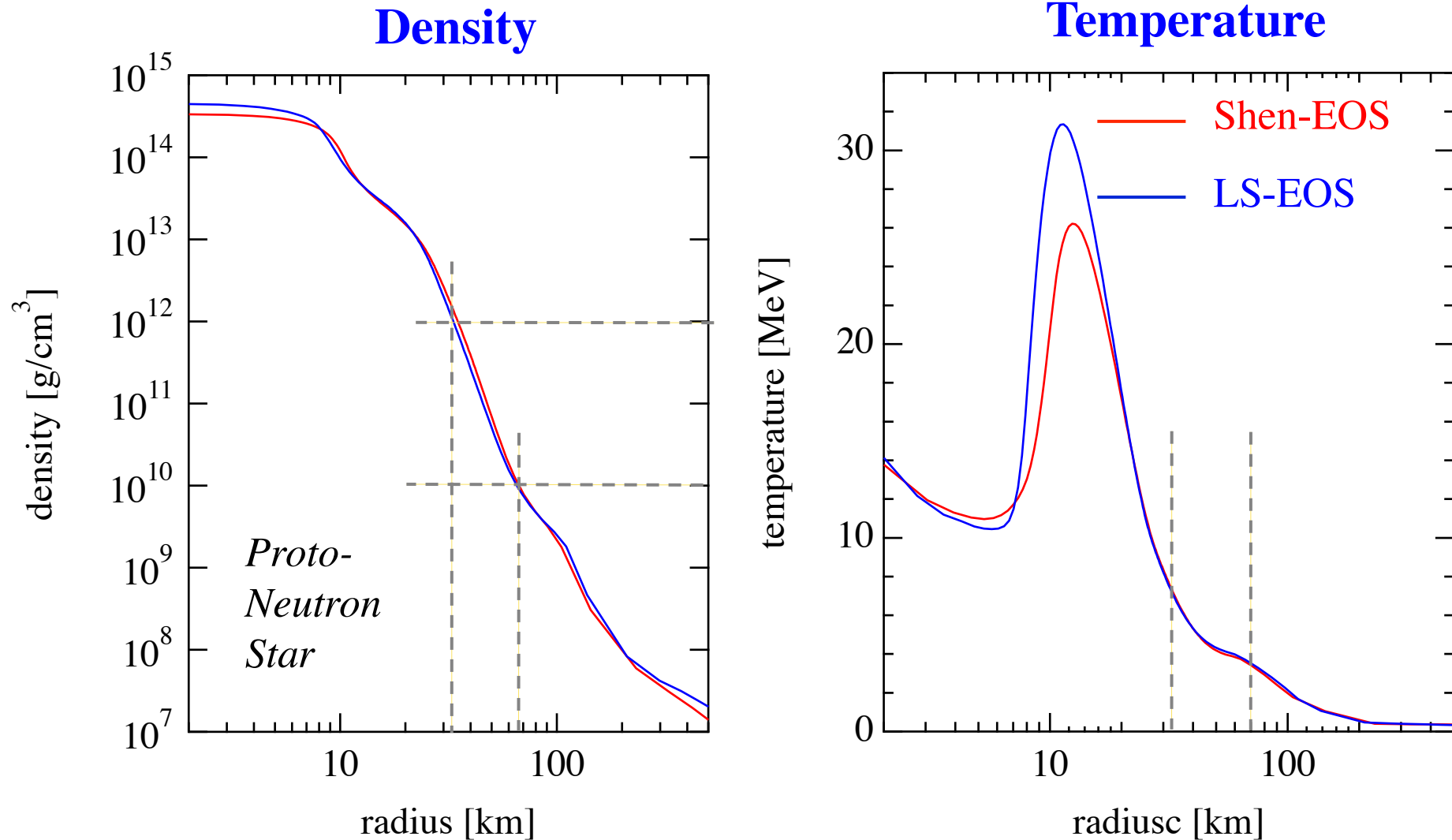


- $\nu_e$  : charged current  $e^- + p \Leftrightarrow \nu_e + n$
- $\bar{\nu}_e$  : charged current  $e^+ + n \Leftrightarrow \bar{\nu}_e + p$
- $\nu_{\mu/\tau}, \bar{\nu}_{\mu/\tau}$  : neutral current  $\nu_i + N \Leftrightarrow \nu_i + N$

- Affect neutrino heating, supernova neutrino signal  
nucleosynthesis: neutron richness (ex. R-process)

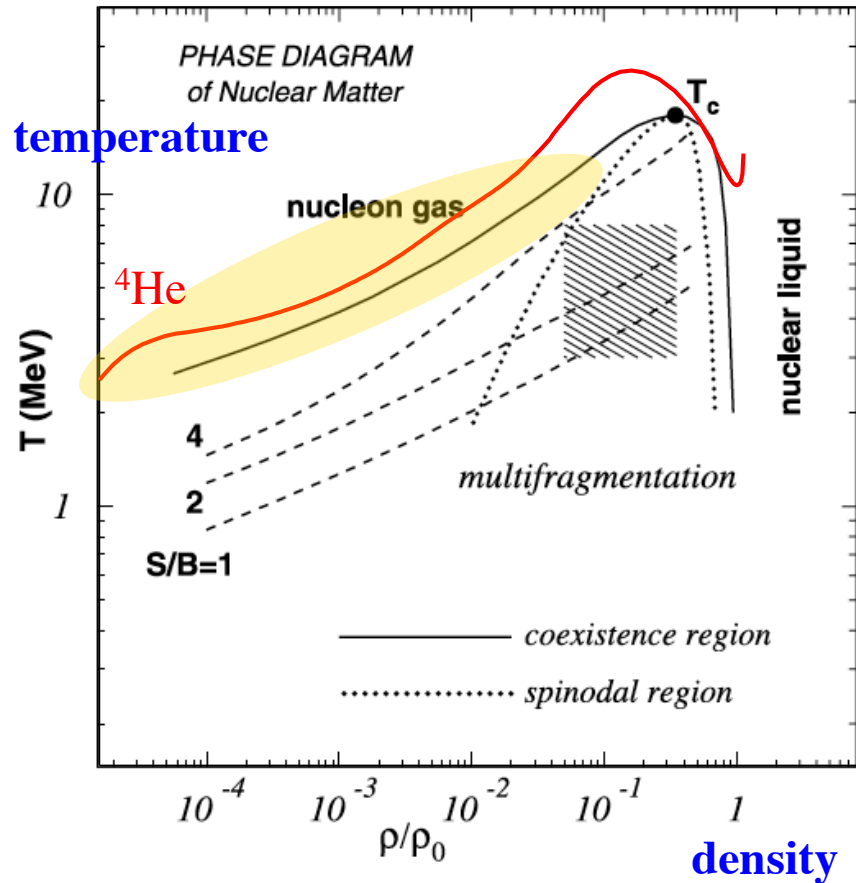
# Supernova profiles at $t_{pb}=150$ ms: shock stalls

Location of neutrino sphere: surface of proto-neutron star



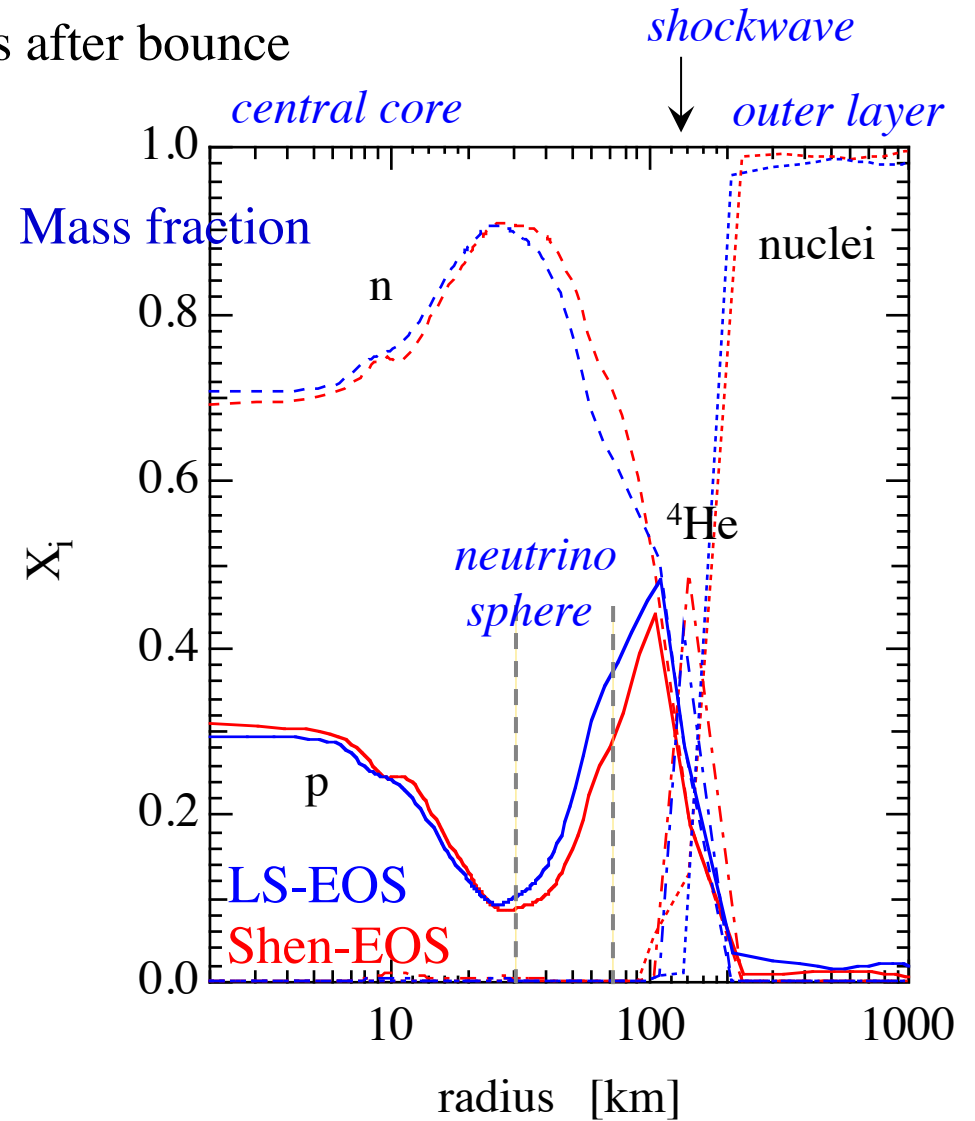
# Interesting region in phase diagram

Botvina-Mishustin NPA (2010)



Near the phase boundary:  
light clusters ( ${}^4\text{He}$  etc.) appear

at  $t_{\text{pb}}=150\text{ms}$  after bounce

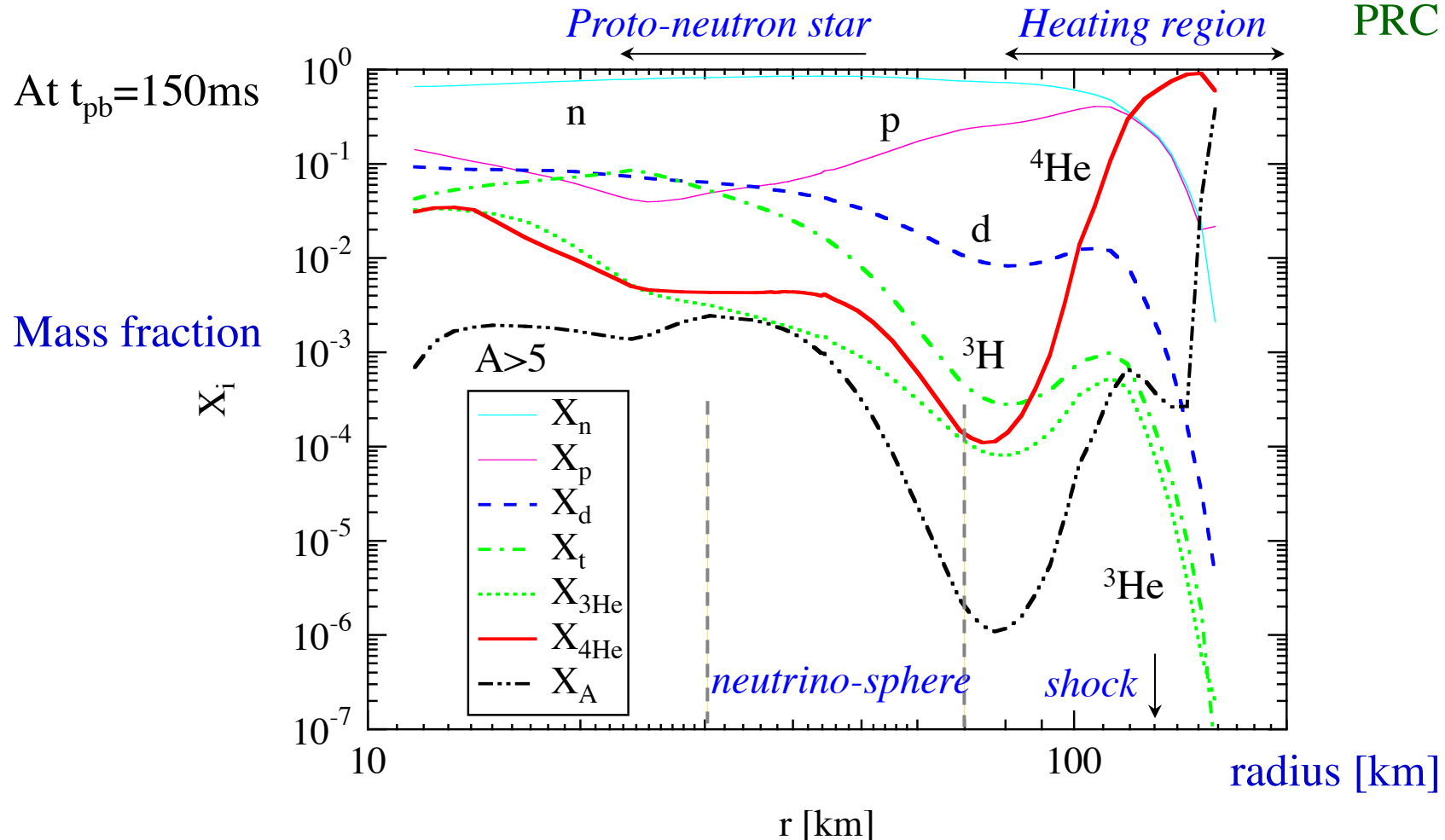




# Light clusters other than $^4\text{He}$ can appear

Multi-compositions with p, n, d,  $^3\text{H}$ ,  $^3\text{He}$ ,  $^4\text{He}$ , nuclei Sumiyoshi & Röpke

PRC (2008)

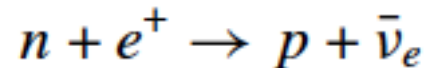
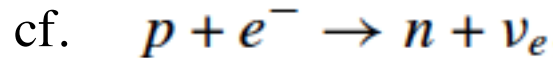
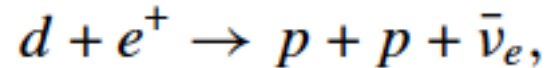
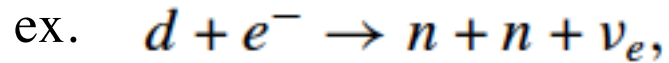


- $^4\text{He}$  abundant at  $r > 100\text{km}$  → heating/cooling rates
- d, t,  $^3\text{He}$  abundant at  $r < 50\text{km}$  →  $\nu$ -emission, absorption

See also Arcones et al. PRC (2008) for proto-NS

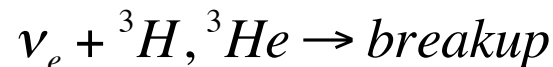
# Neutrino reactions with light clusters

- Deuterons



Nasu et al. PRC (2015)

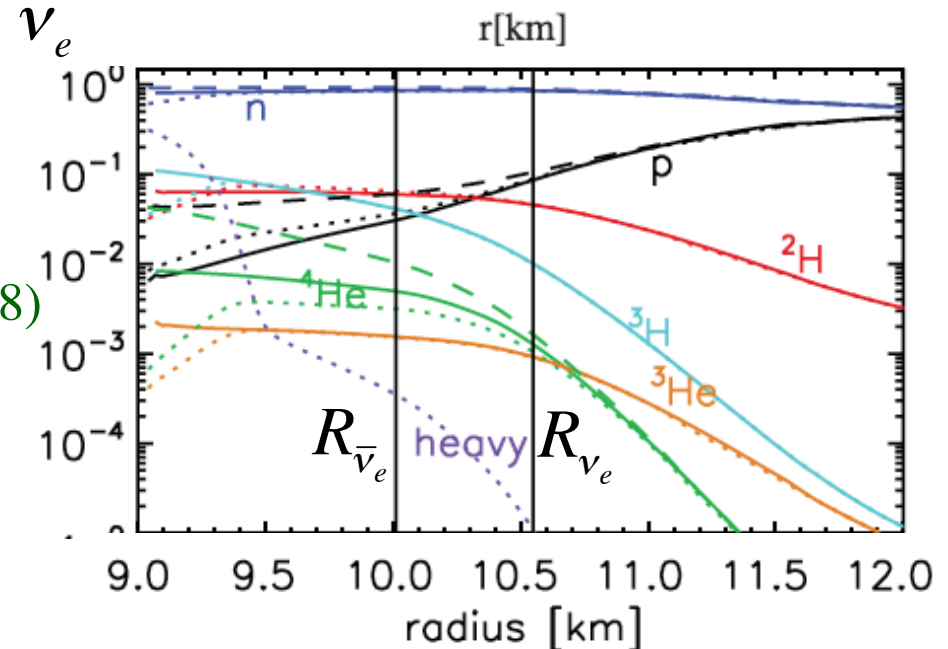
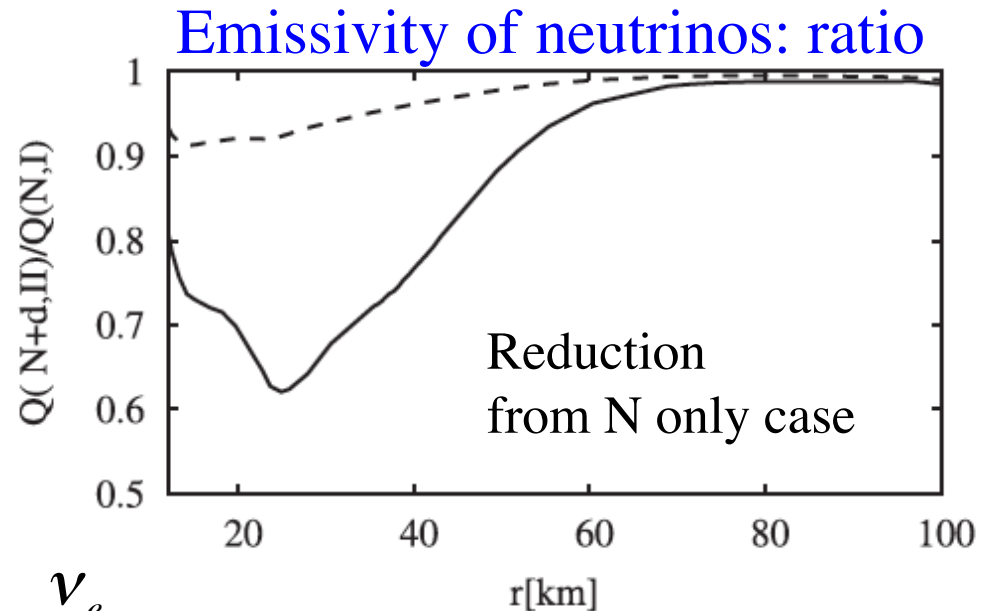
- Tritons,  $^3\text{He}$



O'Connor, Arcones PRC (2007, 2008)

- Modifications:

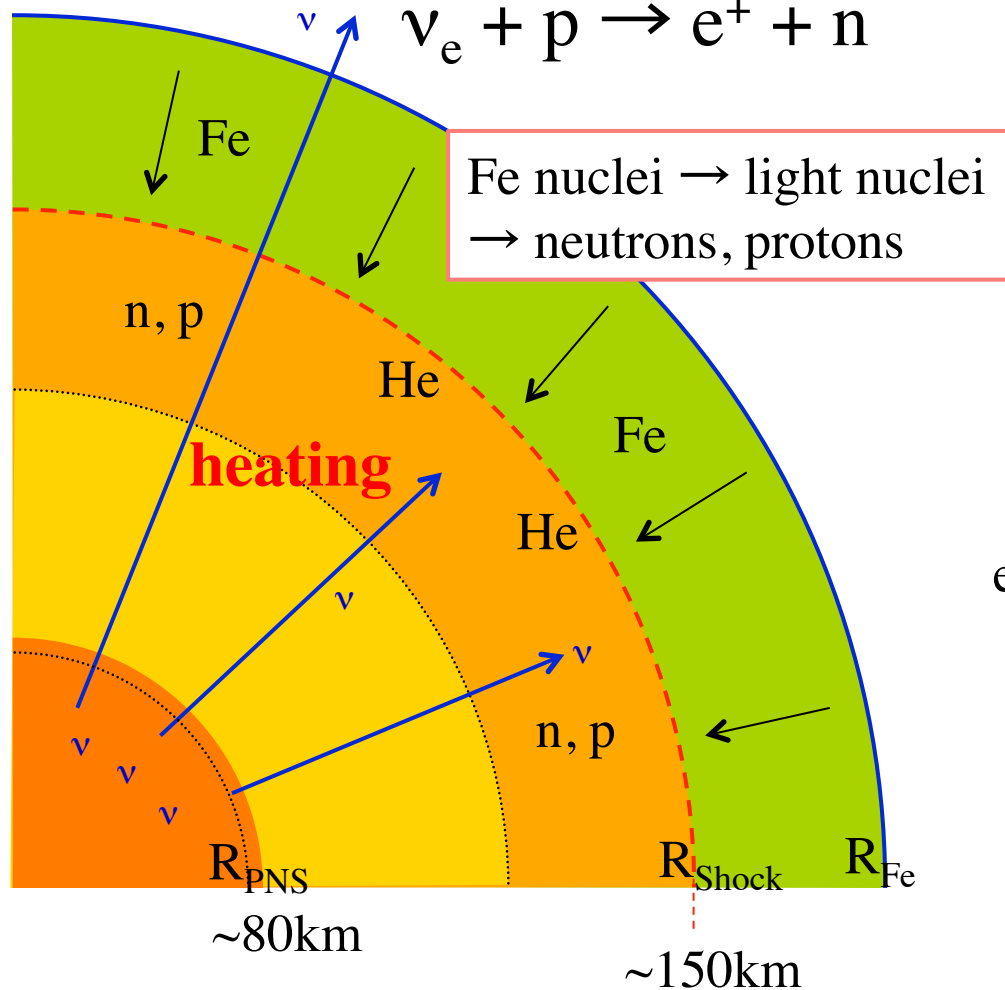
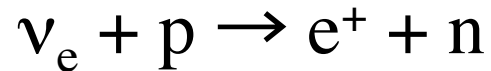
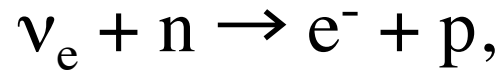
-  $\nu$ -sphere, emissivity



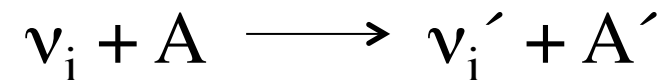
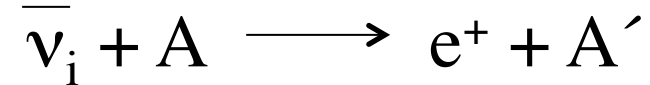
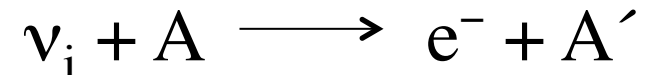
Note: top figure in Fig.2

# Absorption of neutrinos: neutrino heating

- Nucleons:  $\sim 200 \text{ MeV/s}$



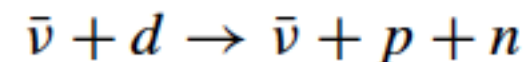
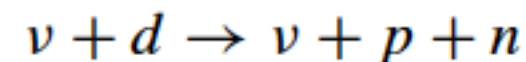
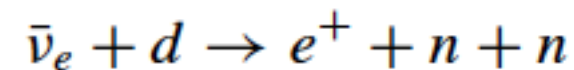
- Nuclei:  $0 \sim 30 \text{ MeV/s}$



depends on species!!

- Fe,  $^4\text{He}$       Haxton PRL (1988)

- Light nuclei (d, t,  $^3\text{He}$ )



Nakamura et al. PRC (2009)

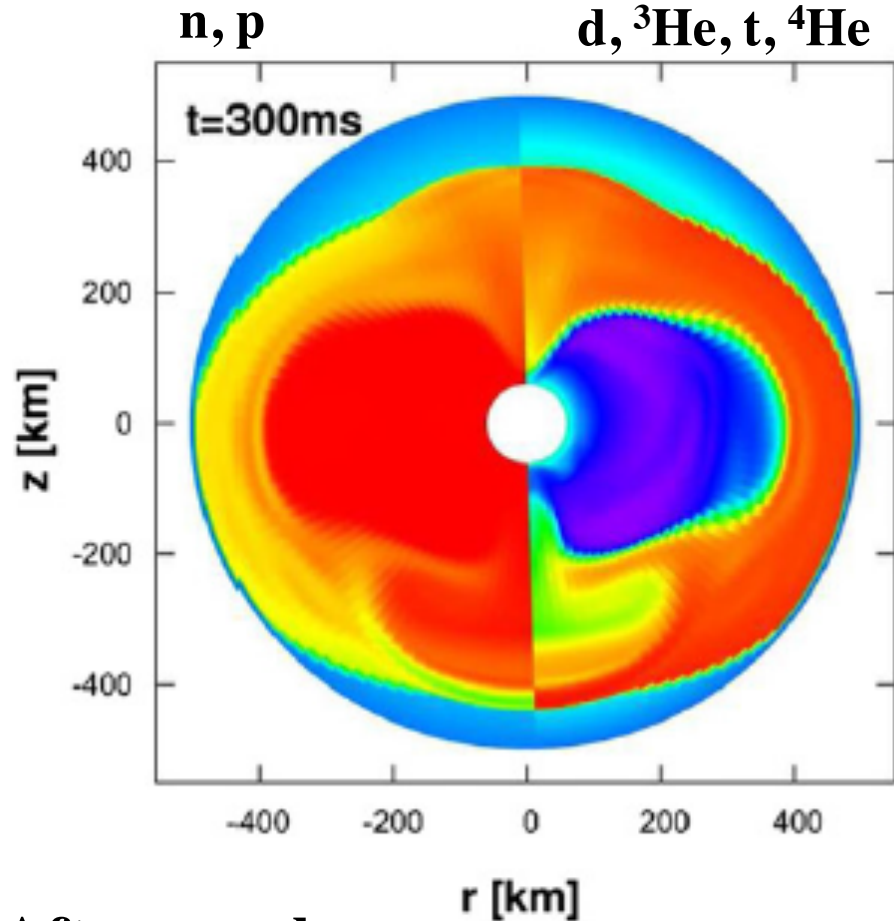
O'Connor et al. PRC (2007)

# 2D supernova simulations with light clusters

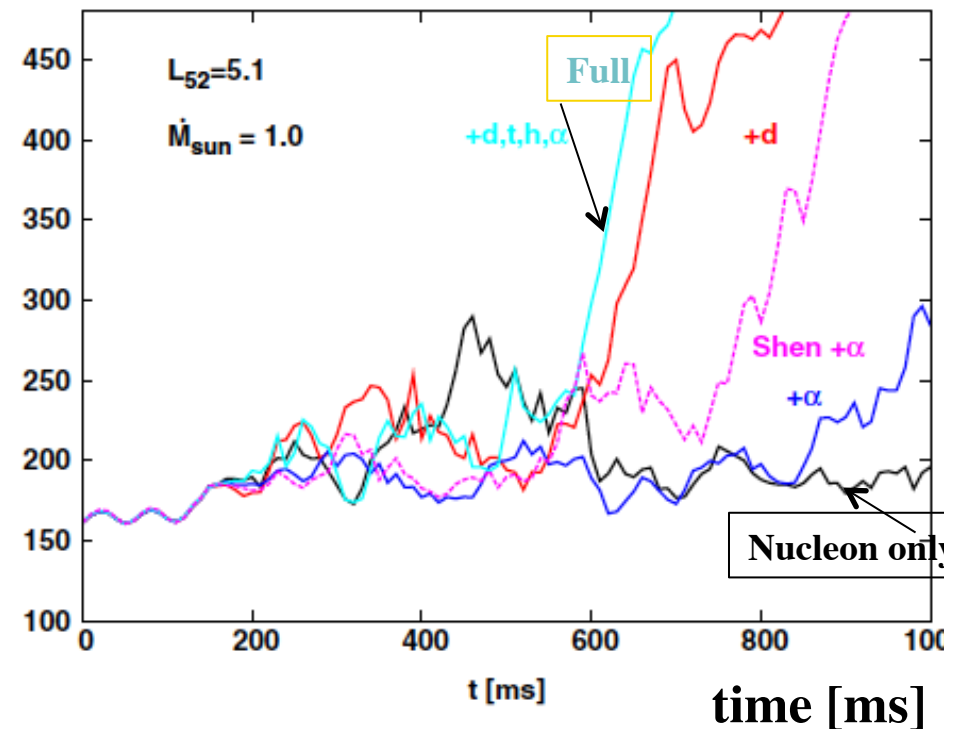
Furusawa et al. ApJ (2013)

- (d,  $^3\text{He}$ , t,  $^4\text{He}$ ) appear
- $\nu$ -absorption (d,  $^3\text{He}$ , t)

**Abundance**



**Shock radius**

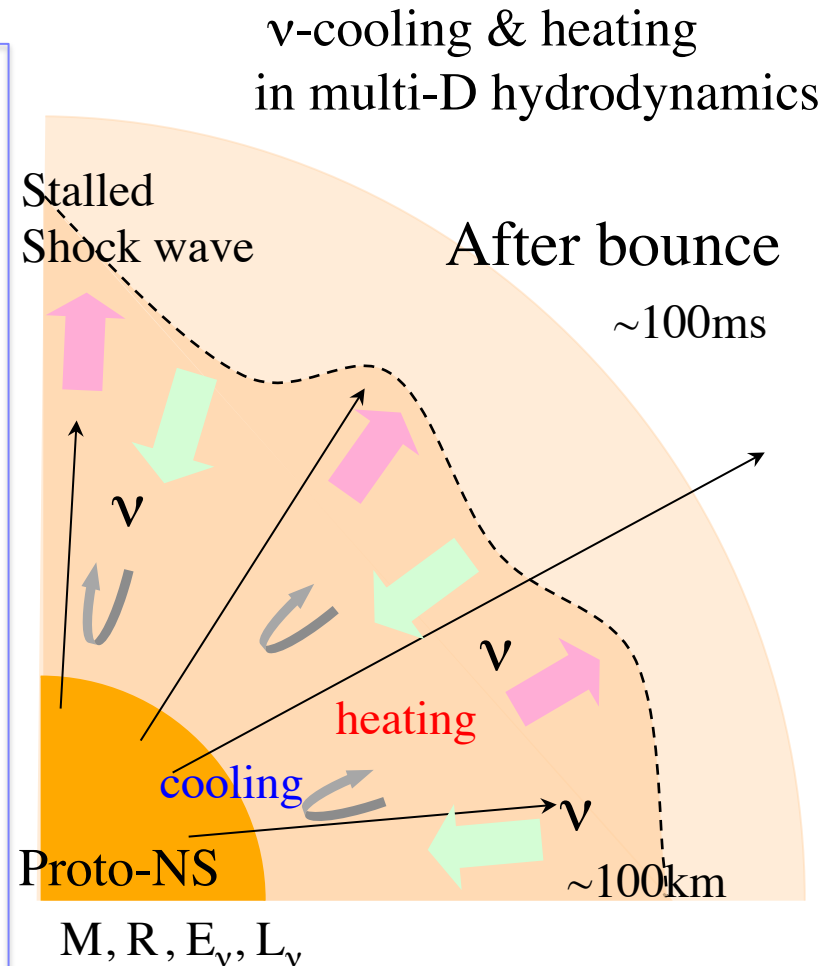


- Possible effects on shock revival when it is marginal

# Stiffness & Composition of EOS in multi-D

Favorable for explosion

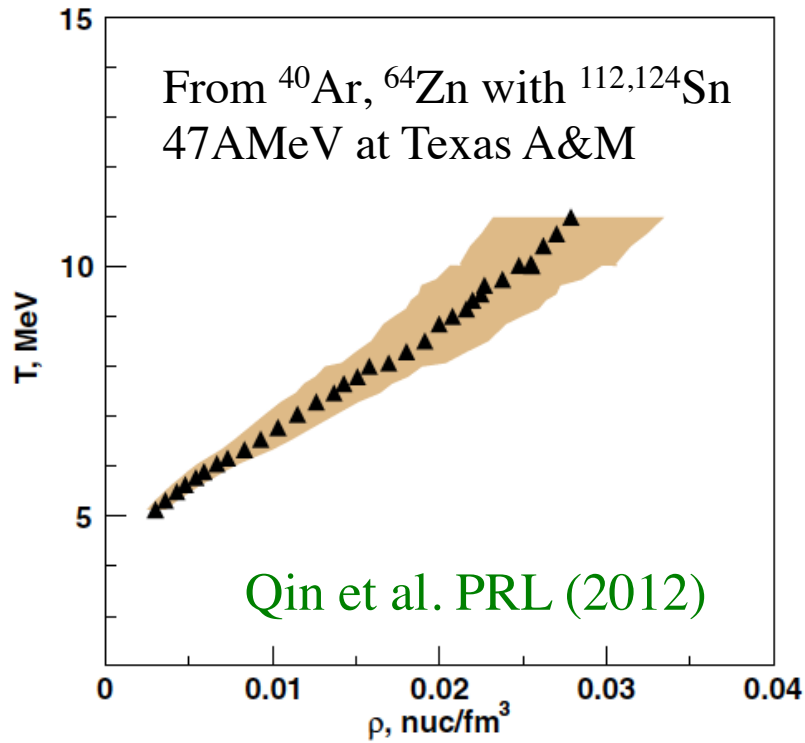
- **EOS soft**  $> \rho_0$   
Grav. energy  $\uparrow$   
Compact, Inner  $\rho, T \uparrow$   
 $\nu$ -luminosity, energy  $\uparrow$
- **More  $\nu$ -absorption, emis.**  
at heating region  $\sim 10^{-5} \rho_0$   
at proto-NS surface  $\sim 10^{-2} \rho_0$   
Composition &  $\nu$ -reactions



IF opposite, maybe weaken explosions

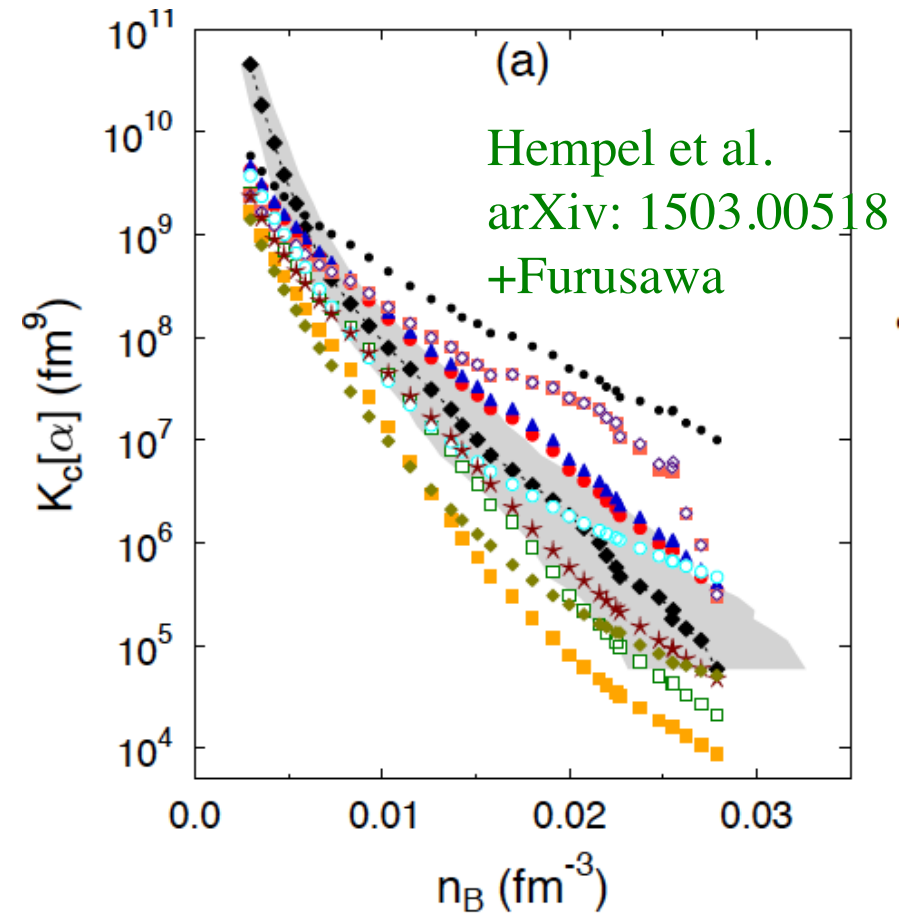
**Examine nuclear physics in multi-D simulations**

# Composition: Experiments & Supernova EOS

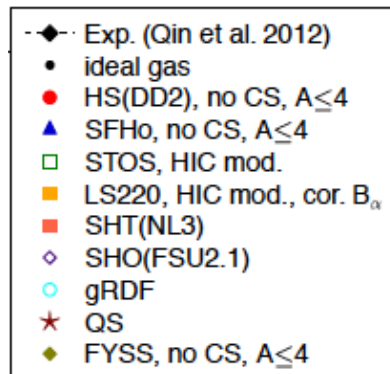


- Equilibrium constant

$$K_c(A, Z) = \frac{\rho(A, Z)}{\rho_p^Z \rho_n^{(A-Z)}}$$



Set of supernova EOS

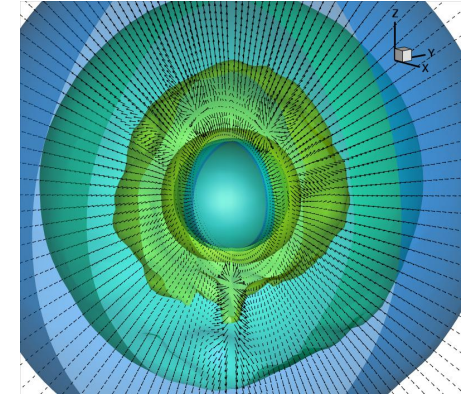


# Toward full simulations of 3D supernovae

- Solver of Boltzmann equation in 6D

*Sumiyoshi & Yamada, ApJS (2012)*

- Describes 6D neutrino distributions
- Examine new features of 3D transport
- Check approximate methods

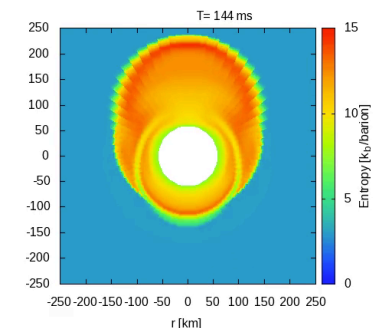
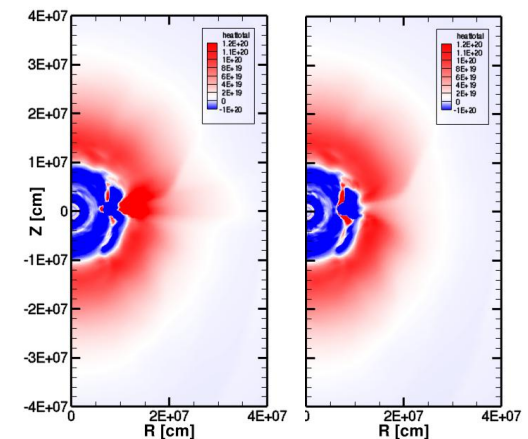


- 6D Boltzmann-Hydrodynamics

*Nagakura et al. ApJS (2015) Iwakami*

- With Doppler shift, angle aberration
- 2D supernova simulations running
  - SASI Shock dynamics, Core collapse

→ need Exa-scale supercomputers for 3D



KEK SR16000



<http://scwww.kek.jp>

AICS K-Computer

K computer



<http://www.aics.riken.jp>



# Equation of state in supernova explosions

- **Stiffness: pressure, energy vs density**
    - Gravitational energy, Temperature: Explosion energy
  - **Composition: mixture of nucleons, nuclei**
    - Neutrino emission and absorption: Neutrino heating
  - **Similar conditions: heavy ion collisions**
    - Density-temperature regime: mixture of nuclei
- 
- **Numerical studies of core-collapse supernovae**
    - State-of-the-art simulations on K-computer

**We need the best nuclear physics**

Fujitsu



Core-collapse supernovae is one of target simulations on K-computer

10Pflops supercomputer at AICS, Kobe



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  - K. Nakazato
  - K. Kotake
  - Y. Sekiguchi
- Supercomputing
  - H. Matsufuru
  - A. Imakura
  - T. Sakurai
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  - H. Shen, K. Oyamatsu, H. Toki
  - C. Ishizuka, A. Ohnishi
  - S. Furusawa, S. Nasu
  - S. X. Nakamura, T. Sato



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- Supernovae is one of the target simulations of K-computer and Exa-scale machine*
- *HPC resources at KEK, YITP, UT, RCNP*