

# Complex Langevin in low-dimensional QCD: the good and the not-so-good

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# Introduction

- **Sign problem** in QCD at nonzero chemical potential: particularly serious in  $d=4$ , but already present in lower dimensions.
- Use QCD in  $0+1$  and  $1+1$  dimensions to study viability of the **complex Langevin method**
- Sign problem mild in  $0+1d$ , but **large** in some regimes of  $1+1d$  QCD
- Preliminary results for  $1+1d$  QCD: strong coupling,  $4 \times 4$  lattice

# QCD in 0+1 dimensions

## Dirac operator & determinant

- Consider 0+1d QCD: one spatial point and  $N_t = 1/aT$  time slices.
- 0+1d QCD Dirac operator for quark of mass  $m$  at chemical potential  $\mu$ :

$$D_{tt'} = m \delta_{tt'} + \frac{1}{2a} \left[ e^{a\mu} U_t \delta_{t',t+1} - e^{-a\mu} U_{t-1}^{-1} \delta_{t',t-1} \right],$$

where  $U_t \in \text{SL}(3, \mathbf{C})$  and  $\delta_{tt'}$  is anti-periodic Kronecker delta.

- Dirac determinant can be reduced to determinant of a  $3 \times 3$  matrix:

$$\det(aD) = \frac{1}{2^{3N_t}} \det \left[ e^{\mu/T} P + e^{-\mu/T} P^{-1} + 2 \cosh(\mu_c/T) \mathbb{1}_3 \right]$$

with **Polyakov line**  $P = \prod_t U_t$  and *effective mass*  $a\mu_c = \text{arsinh}(am)$ .

# QCD in 0+1 dimensions

## Partition function

- 0+1d QCD: no gauge action  $\rightarrow$  partition function is one-link integral of Dirac determinant (set  $N_f = 1$ ):

$$Z = \int \mathcal{D}P \det D(P),$$

with SU(3) Haar measure  $\mathcal{D}P$ .

- For  $\mu \neq 0$ :  $\text{Re det } D$  has **fluctuating sign**  $\rightarrow$  sign problem in MC simulations.
- Analytic results available for **0+1d QCD** (Bilic & Demeterfi, 1988), (Ravagli & Verbaarschot, 2007)
- Other works on 0+1d QCD with complex Langevin:
  - one-link formulation with mock-gauge action: Aarts & Stamatescu, 2008
  - $U(N_c)$  in spectral representation: Aarts & Splittorff, 2010
- Numerical solution to 0+1d QCD using subsets (JB, Bruckmann, Wettig, 2013)

# Eigenvalue representation

- 0+1d QCD partition function

$$Z = \int d\phi_1 d\phi_2 J(\phi_1, \phi_2) \det D(P),$$

with

$$P = \begin{pmatrix} e^{i\phi_1} & 0 & 0 \\ 0 & e^{i\phi_2} & 0 \\ 0 & 0 & e^{-i\phi_1 - i\phi_2} \end{pmatrix}$$

where  $\phi_1, \phi_2 \in \mathbf{C}$  and Haar measure

$$J(\phi_1, \phi_2) = \sin^2 \frac{\phi_1 - \phi_2}{2} \sin^2 \frac{2\phi_1 + \phi_2}{2} \sin^2 \frac{\phi_1 + 2\phi_2}{2}$$

# Eigenvalue representation

## Complex Langevin equations

- Complex action:

$$S(P) = -\log J - \log \det D$$

- Complex Langevin equation  $\rightarrow$  **complex evolution** in  $SL(3, \mathbf{C})$

$$\frac{d\phi_i}{dt} = K_i(P) + \eta_i$$

with drift term

$$K_i(P) = -\frac{\partial S(P)}{\partial \phi_i} = \frac{1}{J} \frac{\partial J}{\partial \phi_i} + \text{tr} \left[ D^{-1} \frac{\partial D}{\partial \phi_i} \right].$$

and real Gaussian noise  $\eta$  with variance 2.

# Eigenvalue representation

## Discretizations

- Stochastic Euler algorithm (order 1):

$$\phi_i(t+1) = \phi_i(t) + \epsilon K_i(P) + \sqrt{\epsilon} \eta_i.$$

- Stochastic Runge-Kutta method of order 3/2 (Chang, 1987), (Aarts & James, 2012):

$$\phi_i(t+1) = \phi_i(t) + \frac{\epsilon}{3} [K(P') + 2K(P'')] + \sqrt{\epsilon} \eta_i$$

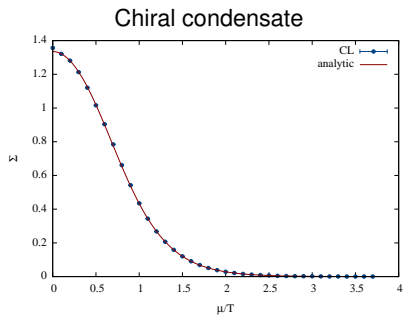
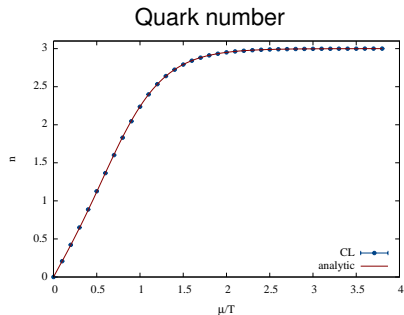
with two intermediate steps  $P'$  and  $P''$  computed from:

$$\begin{cases} \phi_i' = \phi_i + \frac{1}{2} \epsilon K_i(P) \\ \phi_i'' = \phi_i' + \frac{3}{2} \sqrt{\epsilon} \left( \frac{1}{2} \eta_i + \frac{\sqrt{3}}{6} \eta_i' \right) \end{cases}$$

and  $\eta_i$  and  $\eta_i'$  are independent real Gaussian noises with variance 2.

# Eigenvalue representation

Results ( $m=0.5$ )



So far so good



# Gell-Mann representation

## Complex Langevin evolution

- Polyakov line:

$$P = \exp \left[ i \sum_a z_a \lambda_a \right] \quad (\lambda_a: \text{Gell-Mann matrices})$$

- Increased number of degrees of freedom
- Discrete time evolution of  $P$  in  $\text{SL}(3, \mathbf{C})$

$$P(t+1) = R(t) P(t)$$

with  $R \in \text{SL}(3, \mathbf{C})$

- Drift term:

$$K_a(P) = -D_a S(P) = -\partial_\alpha S(e^{i\alpha\lambda_a} P)|_{\alpha=0}$$

# Gell-Mann representation

## Discretizations

- Euler discretization:

$$R = \exp \left[ i \sum_a \lambda_a (\epsilon K_a + \sqrt{\epsilon} \eta_a) \right],$$

- Runge-Kutta discretization (Chang, 1987; Batrouni et al., 1985; Bali et al., 2013):

$$R = \exp \left[ i \sum_a \lambda_a \left( \epsilon \left[ k K_a(P') + (1-k) K_a(P'') \right] + \sqrt{\epsilon} \eta_a \right) \right],$$

with intermediate steps

$$P' = R'P \quad , \quad P'' = R''P$$

## Gauge cooling in 0+1 d QCD

- $SL(3, \mathbf{C})$  Gauge transformation of Polyakov line:

$$P' = GPG^{-1}$$

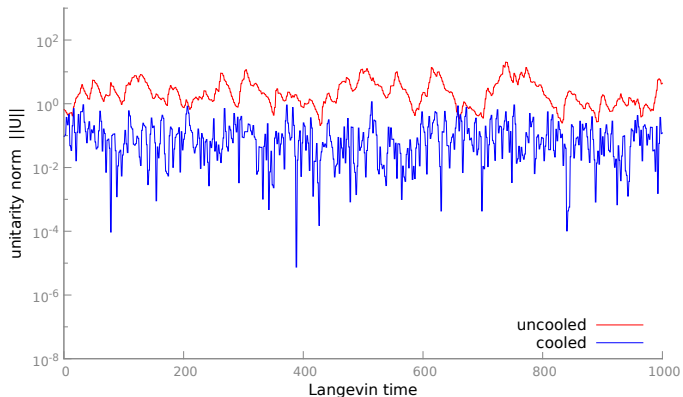
- Gauge cooling: choose  $G \in SL(3, \mathbf{C})$  to minimize the unitarity norm

$$N(P) = \text{tr}[PP^\dagger + (PP^\dagger)^{-1} - 2].$$

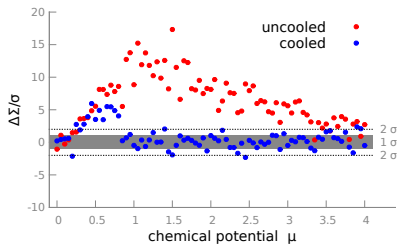
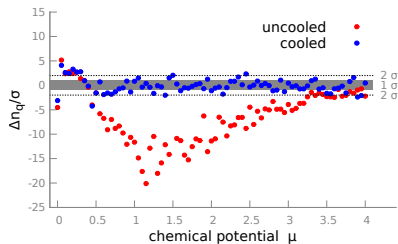
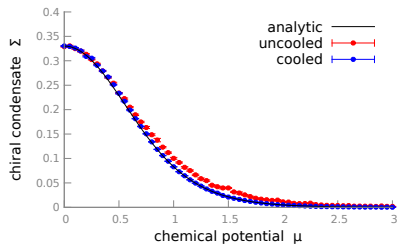
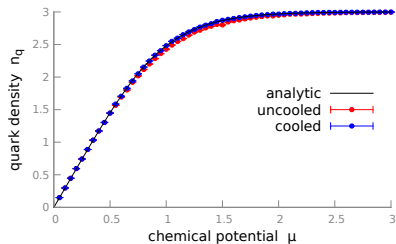
- In 0+1d QCD: maximal cooling = diagonalize Polyakov line.
- Observables invariant under gauge tf
- noise distribution not invariant under gauge tf
  - gauge cooling and Langevin step do not commute
  - different trajectories

# Gauge cooling

Unitarity norm ( $\mu = 1.0, m = 0.1$ )

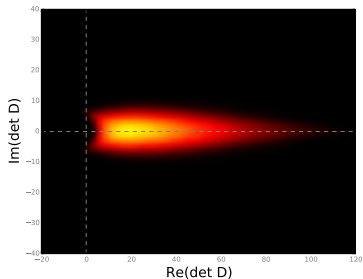
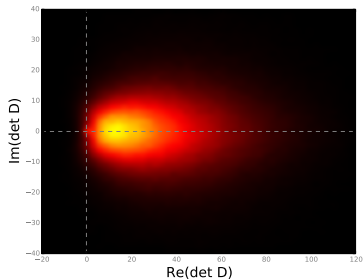


# 0+1d QCD with Gell-Mann: Results

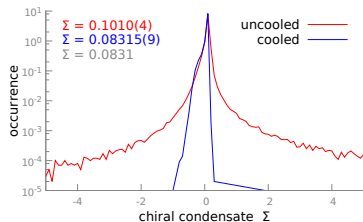


→ uncooled: significant deviation

# 0+1d QCD: Effect of gauge cooling on determinant

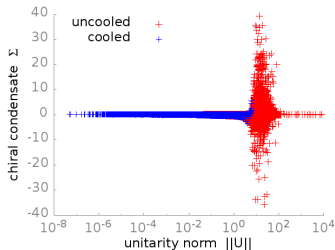


# 0+1d QCD: Effect of gauge cooling on observable

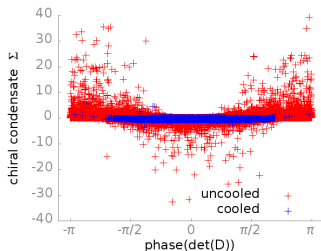


⇒ cooling “sharpens” distribution

## Correlation between observables, unitarity norm and phase



no skirts for small unitarity norm



relation skirts and branch-cuts

- Staggered Dirac operator for 1+1d QCD:

$$D_{rs} = m \delta_{rs} + \frac{1}{2} \left[ e^{\mu} U_t(r) \delta_{s,r+\hat{t}} - e^{-\mu} U_t^\dagger(r - \hat{t}) \delta_{s,r-\hat{t}} \right] \\ + \frac{1}{2} \eta_r \left[ U_x(r) \delta_{s,r+\hat{x}} - U_x^\dagger(r - \hat{x}) \delta_{s,r-\hat{x}} \right],$$

with staggered fermion phase  $\eta_r = (-)^t$  at site  $r = (x, t)$ .

- Simulations in **strong coupling limit**, i.e.  $e^{-S_G} = 1$
- Preliminary results:  $4 \times 4$  lattice
- Validation by comparison with **subset method**
- In preparation: validation for  $N_s \times N_t = 4 \times \{2, 6, 8, 10\}, 6 \times \{2, 4, 6, 8\}, 8 \times \{2, 4, 6, 8\}$



# 1+1d QCD: Gauge cooling

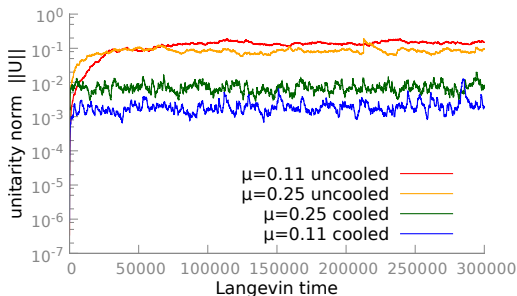
- Gauge trafos

$$U'_\nu(r) = G(r) U_\nu(r) G(r + \hat{\nu})^{-1}, \quad G \in \text{SL}(3, \mathbf{C})$$

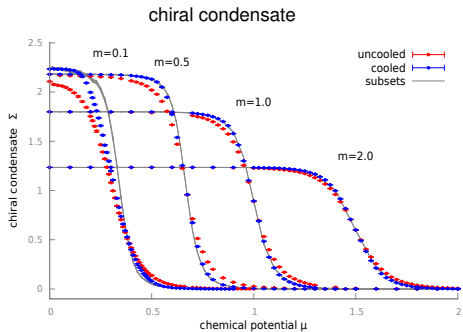
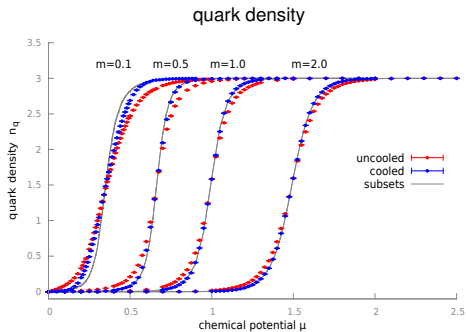
- gauge cooling  $\rightarrow$  minimization of unitarity norm

$$\|\mathcal{U}\| = \sum_{r, \nu} \text{tr} \left[ U_\nu(r)^\dagger U_\nu(r) + \left( U_\nu^\dagger(r) U_\nu(r) \right)^{-1} - 2 \right]$$

via steepest descent



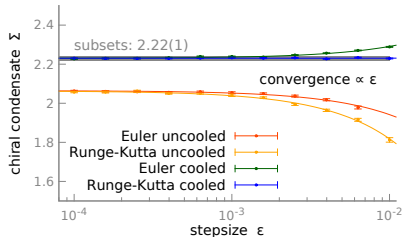
# 1+1d QCD: Results



# Convergence with step size ( $m=0.1$ )

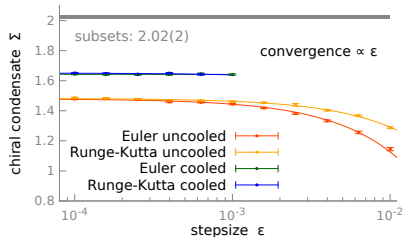
- Convergence to continuum limit  $\epsilon \rightarrow 0$

$\mu = 0.07$



correct convergence

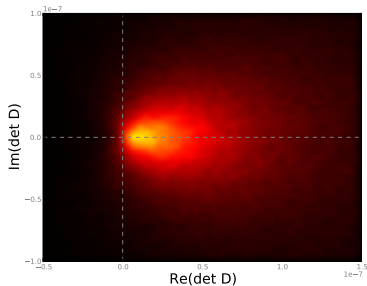
$\mu = 0.25$



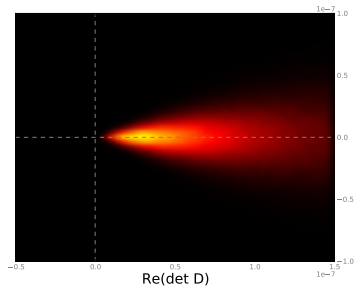
wrong convergence

# 1+1d QCD: Effect of gauge cooling on det D ( $m=0.1$ )

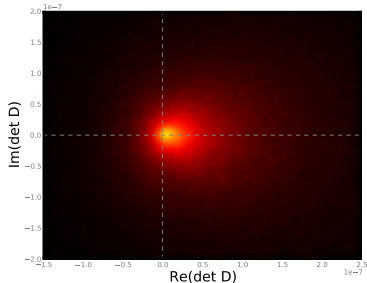
$\mu = 0.07$



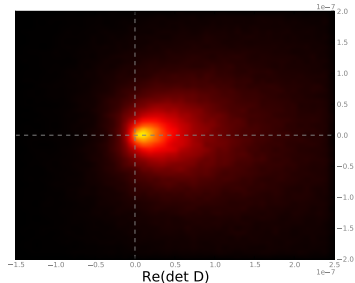
$\Rightarrow$



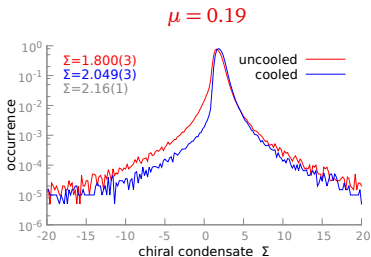
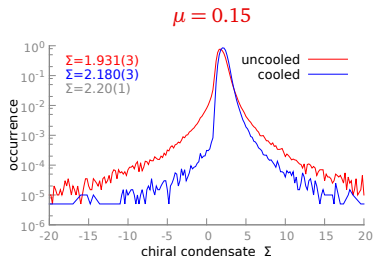
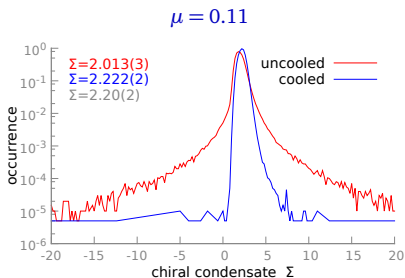
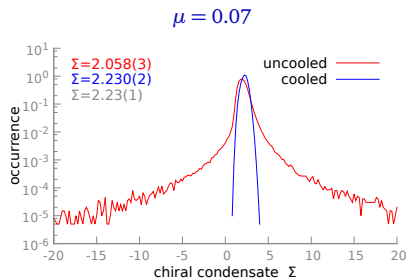
$\mu = 0.25$



$\Rightarrow$



# Distribution of observables – skirts



# Summary

## Complex Langevin in 0+1d QCD at nonzero $\mu$

- Correct in diagonal representation
- Small discrepancies in Gell-Mann representation
- Improvement using gauge cooling

## Complex Langevin in 1+1d QCD at nonzero $\mu$

- Incorrect results without gauge cooling
- Gauge cooling: correct results for some  $m, \mu$  ranges
- For **light quarks**: cooling does NOT help "enough" in some  $\mu$ -region
- No clear correlation with branch cut crossings.

# Conclusion and Outlook

## Conclusions

- No systematic runaways
- Gauge cooling necessary but not sufficient to get correct results
- Signal for wrong convergence:
  - **skirts** in distributions of observables
  - distribution of determinant is not **squeezed** but remains **broad**

## Outlook

- Compact vs non-compact group: try multirate integration to handle real and imaginary directions differently
- Replace gauge cooling by alternative gauge fixing
- Validate method for larger lattices
- Include gauge action: improved convergence?