

Induced YM theory with auxiliary bosons

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Lattice 2015



Outline

- 1 Motivation
- 2 Induced lattice gauge action
- 3 Continuum limit
- 4 Perturbation theory
- 5 Numerical results
- 6 Summary and perspectives

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Motivation

- Persistent challenges for lattice QCD, e.g., sign problem for real chemical potential, critical slowing down, volume scaling...
 - worthwhile to explore alternative discretizations of Yang-Mills theory
- Idea: induce gauge dynamics by auxiliary fields coupled linearly to the gauge field
 - analytical methods / simulation algorithms used in strong-coupling approaches become applicable
- Most earlier approaches require infinite number of infinitely heavy auxiliary fields (or do not have the desired YM continuum limit)
- Approach of Budczieleski and Zirnbauer [[arXiv:math-ph/0305058](https://arxiv.org/abs/math-ph/0305058), 2003]: induced $U(N_c)$ pure YM theory with $N_b \geq N_c$ auxiliary fields
- In the following: slightly modified version of BZ approach (curing a trivial sign problem) adapted to $SU(N_c)$

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Plaquette weight function

- Instead of familiar Wilson weight factor $\omega_W(U_p) = \exp\left[\frac{1}{g_W^2} \text{Tr}(U_p + U_p^\dagger - 2)\right]$ for plaquette variables $U_p \equiv U_\mu(x)U_\nu(x+\mu)U_\mu^\dagger(x+\nu)U_\nu^\dagger(x) \in \text{SU}(N_c)$, consider **pure gauge plaquette weight factor**

$$\omega(U_p) = \det^{-N_b} \left(1 - \frac{\alpha}{2} (U_p + U_p^\dagger) \right) \quad 0 < \alpha \leq 1, \quad N_b > 0$$

$$Z = \int [dU_\mu] \prod_p \omega(U_p) \quad p \equiv (x; \mu < \nu)$$

- For integer N_b , inverse determinants can be written as integrals over N_b **complex auxiliary boson fields** (in the fundamental representation) with $m \geq 2$, $\frac{2}{\alpha} = m^4 - 4m^2 + 2$

$$\prod_p \omega(U_p) = \int [d\phi] e^{-S_b[\bar{\phi}, \phi, U]}$$

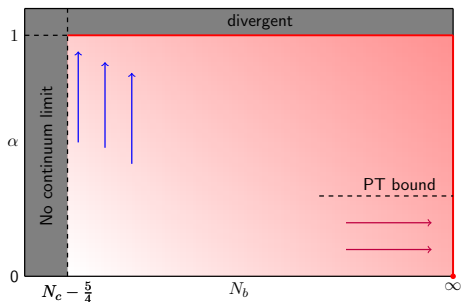
$$S_b[\bar{\phi}, \phi, U] = \sum_{b=1}^{N_b} \sum_p \sum_{j=1}^4 \left[m \bar{\phi}_{b,p}(x_j^p) \phi_{b,p}(x_j^p) - \bar{\phi}_{b,p}(x_{j+1}^p) U(x_{j+1}^p, x_j^p) \phi_{b,p}(x_j^p) \right. \\ \left. - \bar{\phi}_{b,p}(x_j^p) U(x_j^p, x_{j+1}^p) \phi_{b,p}(x_{j+1}^p) \right]$$

Limits

- Trivial limit $N_b \rightarrow \infty$, $\alpha \rightarrow 0$ with $N_b \alpha$ fixed

$$S(U_p) = -\log \det^{-N_b} \left(1 - \frac{\alpha}{2} (U_p + U_p^\dagger) \right) = N_b \text{Tr} \log (\dots) \rightarrow -\frac{\alpha N_b}{2} \text{Tr} (U_p + U_p^\dagger)$$

- Limit $\alpha \rightarrow 1$ at fixed N_b à la Budcziez and Zirnbauer: existence and nature of the continuum limit depend on N_c and N_b (details for $SU(N_c)$ later)
- Limit $N_b \rightarrow \infty$ at fixed α allows for systematic saddle-point analysis (perturbation theory)



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Character expansion

- At fixed N_b , determine if $\omega(U) = \det^{-N_b} (1 - \frac{\alpha}{2}(U + U^\dagger)) \rightarrow \delta(U)$ as $\alpha \rightarrow 1$
- Peter-Weyl theorem: δ -function on group manifold as linear combination of characters χ_λ of all irreducible representations λ (with dim. d_λ)

$$\delta(U) \propto \sum_{\text{all irreps } \lambda} d_\lambda \chi_\lambda(U)$$

- Expand weight in irreducible characters

$$\omega(U) = \sum_{\lambda} c_\lambda(\alpha) \chi_\lambda(U) \quad c_\lambda(\alpha) = \int dU \omega(U) \chi_\lambda(U^{-1})$$

- Properly normalized weight function ($\lambda = 0$ corresponds to the trivial rep. $U = 1$)

$$\mathcal{Z} \equiv \int dU \omega(U) = c_0(\alpha)$$

$$\bar{\omega}(U) \equiv \frac{1}{\mathcal{Z}} \omega(U) = \sum_{\lambda} \frac{c_\lambda(\alpha)}{c_0(\alpha)} \chi_\lambda(U)$$

Expansion for small $1 - \alpha$

To compute $\lim_{\alpha \rightarrow 1} c_\lambda / c_0$, parametrize $U = e^{i\sqrt{\frac{2}{\alpha}(1-\alpha)}H}$ with $H \in \mathfrak{su}(N_c)$

$$dU = \sqrt{\det g(H)} \left(\frac{2}{\alpha}(1-\alpha) \right)^{\frac{N_c^2-1}{2}} dH$$

$$g(H) = \frac{1}{2} + \sum_{k=1}^{\infty} \frac{(-1)^k}{(2k+2)!} \left(\frac{2}{\alpha}(1-\alpha) \right)^k H_{\text{adj}}^{2k}$$

and expand the integrand in $1 - \alpha$

$$\det^{-N_b} \left(1 - \frac{\alpha}{2} (U + U^\dagger) \right) = \frac{\det^{-N_b} (1 + H^2)}{(1-\alpha)^{N_b N_c}} \left(1 + N_b \frac{1-\alpha}{6\alpha} \text{Tr} \frac{H^4}{1+H^2} + \dots \right)$$

$$\chi_\lambda \left(e^{-i\sqrt{\frac{2}{\alpha}(1-\alpha)}H} \right) = d_\lambda \left(1 - \frac{C_2^{\text{SU}(N_c)}(\lambda)}{N_c^2 - 1} (1-\alpha) \text{Tr} H^2 + \dots \right)$$

Interchange limit and integral

- As long as $\int_{\text{su}(N_c)} dH \det^{-N_b} (1 + H^2)$ exists, interchanging $\lim_{\alpha \rightarrow 1}$ and $\int dH$ gives

$$\lim_{\alpha \rightarrow 1} \frac{c_\lambda(\alpha)}{c_0(\alpha)} = d_\lambda \quad \Rightarrow \quad \lim_{\alpha \rightarrow 1} \bar{\omega}(U) = \sum_\lambda d_\lambda \chi_\lambda(U) \propto \delta(U)$$

- In the eigenvalue parametrization

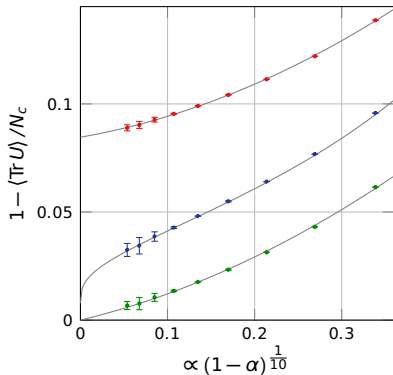
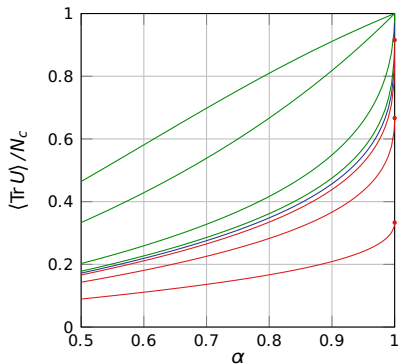
$$\int_{\text{su}(N_c)} dH \det^{-N_b} (1 + H^2) \propto \int_{-\infty}^{\infty} \prod_{j=1}^{N_c} dz_j \delta\left(\sum_{j=1}^{N_c} z_j\right) \left(\prod_{j < k} (z_j - z_k)^2\right) \prod_{j=1}^{N_c} (1 + z_j^2)^{-N_b}$$

we see that the integral exists for $N_b > N_c - \frac{5}{4}$

- $N_b > N_c - \frac{5}{4}$ is a sufficient but not necessary condition for the existence of the continuum limit
- Alternative, more direct approach (using the eigenvalue parametrization of U) shows that the **continuum limit exists if and only if $N_b \geq N_c - \frac{5}{4}$**
(same method for $U(N_c)$ leads to $N_b \geq N_c - \frac{1}{2}$ as condition for continuum limit)

Check for $N_c = 2, 3$

- For $SU(2)$: explicit calculation of coefficients in character expansion confirms bound
- For $SU(3)$: numerically compute $\frac{1}{N_c} \langle \text{Tr } U \rangle$ with single plaquette weight for $N_b = 1, 1.5, 1.7, N_b = 1.75, N_b = 1.8, 2, 3, 4$



Nature of continuum limit

- To determine the nature of the continuum limit, expand the coefficients c_λ/c_0 to linear order in $1 - \alpha$
- Interchange again expansion in $(1 - \alpha)$ and $\int dH$, as long as integrals are finite
- $\int_{\text{su}(N_c)} dH \det^{-N_b} (1 + H^2) \text{Tr} H^2$ exists if $N_b > N_c - \frac{3}{4}$
- We get $N_b > N_c - \frac{3}{4}$ as sufficient but not necessary condition for

$$\frac{c_\lambda(\alpha)}{c_0(\alpha)} = d_\lambda \left(1 - (1 - \alpha) \frac{C_2^{\text{SU}(N_c)}(\lambda)}{N_c^2 - 1} \frac{\int_{\text{su}(N_c)} dH \det^{-N_b} (1 + H^2) \text{Tr} H^2}{\int_{\text{su}(N_c)} dH \det^{-N_b} (1 + H^2)} + \dots \right)$$

- In this case, $\bar{\omega}(U)$ reduces to the heat-kernel weight factor for small $1 - \alpha$

$$\frac{c_\lambda(\alpha)}{c_0(\alpha)} = d_\lambda e^{-t C_2^{\text{SU}(N_c)}(\lambda)}$$

analogous to Wilson's weight factor for small g^2

- Continuum limit in two dimensions is in the **universality class of $\text{SU}(N_c)$ Yang-Mills theory** for $N_b > N_c - \frac{3}{4}$ (condition for $\text{U}(N_c)$: $N_b > N_c + \frac{1}{2}$)
(Continuum limit in $2d$ is trivial with Migdal's recursion: heat-kernel weight is invariant under subdivision of lattice cells due to character orthogonality)
- Conjecture for $3d$ and $4d$: equivalence with YM persists (collective nature of fields gets enhanced (compared to $2d$); works in favor of universality)

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Setup

- Aim: determine relation between $1 - \alpha$ and Wilson's coupling g_W for fixed N_b
- Problem I: expansion of the log

$$S = N_b \sum_p \text{Tr} \log \left(1 - \frac{\alpha}{2} (U_p + U_p^\dagger) \right) \cong N_b \sum_p \text{Tr} \log \left(1 - \frac{\alpha}{2(1-\alpha)} (U_p + U_p^\dagger - 2) \right)$$

$$\left| \frac{\alpha}{1-\alpha} (\cos \varphi - 1) \right| \leq 1 \quad \forall \varphi \quad \Rightarrow \quad \alpha \leq \frac{1}{3}$$

- Problem II: saddle-point analysis (after expansion of the log) is not possible

$$S = -N_b \sum_p \sum_{n=1}^{\infty} \frac{1}{n} \left(\frac{\alpha}{2(1-\alpha)} \right)^n \text{Tr} \left((U_p + U_p^\dagger - 2)^n \right)$$

higher orders in $U + U^\dagger - 2$ are not suppressed (parametr. $U_p = e^{i\sqrt{1-\alpha}H}$)
 → non-Gaussian integrals

- Workaround: first keep $\alpha \leq \frac{1}{3}$ fixed, take $N_b \rightarrow \infty$; then analytically continue $g_W(\alpha, N_b)$ to small $1 - \alpha$
- $n = 1$ -term corresponds to Wilson's gauge action, with coupling constant

$$\frac{1}{g_l^2} \equiv N_b \frac{\alpha}{2(1-\alpha)}$$

Background field method

- For **fixed** α , compute

$$\frac{1}{g_W^2} = \frac{1}{g_I^2} \left(1 + c_1(\alpha)g_I^2 + c_2(\alpha)g_I^4 + \dots \right) \qquad \frac{1}{g_I^2} = N_b \frac{\alpha}{2(1-\alpha)}$$

- Parametrize link variables through quantum field q_μ and background field A_μ

$$U_\mu(x) = e^{iagq_\mu(x)} U_\mu^{(0)}(x), \qquad U_\mu^{(0)}(x) = e^{iaA_\mu(x)}$$

- Compute effective action $\Gamma_{I/W}[A]$ to quadratic order in A

$$e^{-\Gamma_{I/W}[A]} \propto \int_{1\text{-PI}} [Dq] e^{-S_{I/W}[A,q]}$$

- Relation between g_I and g_W from $\Gamma_I[A] = \Gamma_W[A]$ in the continuum limit

Expansion of the action

- Gauge-fixing in background-field Feynman gauge, $S_{\text{gf}} = a^4 \sum_x \text{Tr} \left(\sum_\mu \bar{D}_\mu^{(0)} q_\mu \right)^2$ with lattice covariant derivative (involving only the background field)
 $\bar{D}_\mu^{(0)} g(x) = \frac{1}{a} \left(U_\mu^{(0)\dagger}(x-\mu) g(x-\mu) U_\mu^{(0)}(x-\mu) - g(x) \right)$
 $\rightarrow \Gamma[A]$ is invariant under gauge transformations
- Terms of order A^k ($k = 0, 1, 2$) from $\text{Tr}(U_p + U_p^\dagger - 2)^n$ in the induced action: $S_I^{(n,k)}$

$$S_I = S_W|_{g_W=g_I} + \sum_{n=2}^{\infty} \left(S_I^{(n,0)} + S_I^{(n,1)} + S_I^{(n,2)} + \mathcal{O}(A^3) \right)$$

$$S_I^{(n,0)} = (-1)^{n+1} \frac{a^{2n} g^{2n-2}}{(2/\alpha - 2)^{n-1}} \sum_{x,\mu,\nu} \frac{1}{2n} \text{Tr} [q_{\mu\nu}(x)^{2n} + \mathcal{O}(gq^{2n+1})]$$

$$S_I^{(n,1)} = (-1)^{n+1} \frac{a^{2n} g^{2n-3}}{(2/\alpha - 2)^{n-1}} \sum_{x,\mu,\nu} \text{Tr} [A_{\mu\nu}(x) q_{\mu\nu}(x)^{2n-1} + \mathcal{O}(gAq^{2n})]$$

$$S_I^{(n,2)} = (-1)^{n+1} \frac{a^{2n} g^{2n-4}}{(2/\alpha - 2)^{n-1}} \sum_{x,\mu,\nu} \text{Tr} \left[\sum_{l=0}^{n-2} A_{\mu\nu}(x) q_{\mu\nu}(x)^l A_{\mu\nu}(x) q_{\mu\nu}(x)^{2n-l-2} \right. \\ \left. + \frac{1}{2} (A_{\mu\nu}(x) q_{\mu\nu}(x)^{n-1})^2 + \mathcal{O}(A^2 g q^{2n-1}) \right]$$

$$q_{\mu\nu}(x) \equiv q_\mu(x) + q_\nu(x+\mu) - q_\mu(x+\nu) - q_\nu(x)$$

$$A_{\mu\nu}(x) \equiv A_\mu(x) + A_\nu(x+\mu) - A_\mu(x+\nu) - A_\nu(x)$$

Expectation values

- Split action in free action $S_f[q]$ for quantum field q (terms of order $q^2 A^0$), classical piece $S_{cl}[A]$ (terms independent of q) and 'interaction' terms

$$e^{-\Gamma[A]} \propto e^{-S_{cl}[A]} \int_{1-PI} [Dq] e^{-S_f[q]} \sum_k \frac{1}{k!} (-S_{int}[A, q])^k \propto e^{-S_{cl}[A]} \sum_k \frac{1}{k!} \langle (-S_{int}[A, q])^k \rangle_{1-PI}$$

- Omitted: integrals over ghost fields (don't contribute to LO and relevant NLO terms)
- Expectation values w.r.t. free action

$$S_f = \frac{a^4}{2} \sum_{x, \mu, b} q_\mu^b(x) \square q_\mu^b(x)$$

$$\langle q_\mu^a(x) q_\nu^b(y) \rangle = \delta_{ab} \delta_{\mu\nu} D(x-y)$$

with standard lattice propagator for a massless scalar field

$$D(x) = \int_{-\pi/a}^{\pi/a} \frac{d^d p}{(2\pi)^d} e^{ipx} \frac{a^{d-2}}{\sum_\mu 2(1 - \cos(ap_\mu))}$$

One-loop result

- S_I includes S_W ($n = 1$ -term), corresponding terms cancel in $\Gamma_I[A] - \Gamma_W[A]$
- coefficient $c_1(\alpha)$ (in $g_W^{-2} = g_I^{-2} + c_1(\alpha) + \dots$) is determined from

$$\begin{aligned} \langle S_I^{(2,2)} \rangle &= -\frac{a^4 \alpha}{2(1-\alpha)} \sum_x \sum_{\mu, \nu} A_{\mu\nu}^a(x) A_{\mu\nu}^b(x) \langle q_{\mu\nu}^c(x) q_{\mu\nu}^d(x) \rangle \text{Tr} \left[t_a t_b t_c t_d + \frac{1}{2} t_a t_c t_b t_d \right] \\ &\rightarrow -\frac{4}{d} \left(\frac{2N_c^2 - 3}{8N_c} \right) \frac{\alpha}{2(1-\alpha)} a^{4-d} \int d^d x \sum_{\mu, \nu} \text{Tr} F_{\mu\nu}(x)^2 + \dots \end{aligned}$$

- Comparison with $S_{cl}[A] = \frac{1}{2g_I^2} a^{4-d} \int d^d x \sum_{\mu, \nu} \text{Tr} F_{\mu\nu}(x)^2 + \dots$ leads to (in d dimensions)

$$c_1(\alpha) = - \left(\frac{2N_c^2 - 3}{N_c d} \right) \frac{\alpha}{2(1-\alpha)}$$

Relevant two-loop result

- At order g^2 , Γ_l contains terms of order $(1-\alpha)^{-2}$ and $(1-\alpha)^{-1}$

$$c_2(\alpha) = c_{2,-2} \left(\frac{\alpha}{2(1-\alpha)} \right)^2 + c_{2,-1} \left(\frac{\alpha}{2(1-\alpha)} \right)$$

- We are only interested in $c_{2,-2}$, obtained from

$$\left\langle S_i^{(3,2)} - S_i^{(2,0)} S_i^{(2,2)} - \frac{1}{2} S_i^{(2,1)} S_i^{(2,1)} \right\rangle$$

- Result:

$$c_{2,-2} = \frac{N_c^4 - 3N_c^2 + 6}{d^2 N_c^2} - \frac{N_c^4 - 6N_c^2 + 18}{2N_c^2(d-1)} \left(\frac{3}{d^2} - 4(4-d)J_d \right)$$

$$J_d \equiv \int_{-\pi}^{\pi} \frac{d^d k}{(2\pi)^d} \frac{d^d q}{(2\pi)^d} \frac{(\sin(k_1) + \sin(q_1) - \sin(k_1 + q_1))^2}{(2 \sum_{\gamma} (1 - \cos(k_{\gamma}))) (2 \sum_{\mu} (1 - \cos(q_{\mu}))) (2 \sum_{\rho} (1 - \cos(k_{\rho} + q_{\rho})))}$$

$$J_2 = \frac{1}{32}, \quad J_3 \approx 0.0085535415$$

Reinterpretation of the result

In terms of α and N_b , we have (from $N_b \rightarrow \infty$ at fixed $\alpha \leq \frac{1}{3}$)

$$\frac{1}{g_W^2} = \frac{1}{g_I^2} \left(1 + c_1(\alpha)g_I^2 + c_2(\alpha)g_I^4 + \dots \right) \quad \frac{1}{g_I^2} = N_b \frac{\alpha}{2(1-\alpha)}$$

$$c_1(\alpha) = c_{1,-1} \left(\frac{\alpha}{2(1-\alpha)} \right)$$

$$c_2(\alpha) = c_{2,-2} \left(\frac{\alpha}{2(1-\alpha)} \right)^2 + c_{2,-1} \left(\frac{\alpha}{2(1-\alpha)} \right)$$

$$\frac{1}{g_W^2} = \frac{\alpha}{2(1-\alpha)} \underbrace{\left[N_b + c_{1,-1} + c_{2,-2}/N_b + \mathcal{O}(N_b^{-2}) \right]}_{\equiv d_0(N_b)} + \mathcal{O}((1-\alpha)^0)$$

For the limit $\alpha \rightarrow 1$ at fixed N_b , natural definition of coupling constant \tilde{g}_I :

$$\frac{1}{\tilde{g}_I^2} \equiv d_0(N_b) \frac{\alpha}{2(1-\alpha)}$$

$$\frac{1}{g_W^2} = \frac{1}{\tilde{g}_I^2} \left(1 + d_1(N_b)\tilde{g}_I^2 + \dots \right)$$

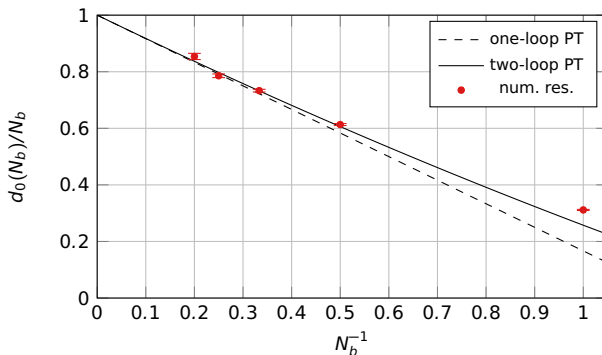
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Numerical results

- For $N_c = 2$ in $d = 3$ dimensions, simulate both Wilson and induced action
- Use Sommer parameter to set scale and match g_W to $1 - \alpha$ (at fixed N_b)
- Results for other observables agree well after matching
[Brandt & Wettig, PoS(LATTICE2014)307]
- Compare coefficient of $\frac{1}{2(1-\alpha)}$ in $\frac{1}{g_W^2}$ to perturbative result d_0

$$\frac{d_0(N_b; N_c = 2, d = 3)}{N_b} = 1 - \frac{5}{6N_b} + \frac{0.0908283}{N_b^2} + \mathcal{O}(N_b^{-3})$$



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Summary and perspectives

- Induced $SU(N_c)$ action exhibits continuum limit as $\alpha \rightarrow 1$ for fixed $N_b \geq N_c - \frac{5}{4}$ ($N_b \geq N_c - \frac{1}{2}$ for $U(N_c)$)
- $N_b > N_c - \frac{3}{4}$ is sufficient but not necessary for the continuum limit in 2d to be in the universality class of YM theory ($N_b > N_c + \frac{1}{2}$ for $U(N_c)$)
- Perturbation theory for $\alpha \rightarrow 1$ is problematic
- Relation between coupling constants g_I and g_W determined by first taking $N_b \rightarrow \infty$ at fixed $\alpha \leq \frac{1}{3}$ and analytic continuation to small $1 - \alpha$
- Good agreement with numerical results for $SU(2)$ in 3d

Future directions:

- Numerical simulations of $SU(3)$ in 4d
- Make use of bosonized version of gauge action (for full QCD)
- Integration over link variables

$$\int_{SU(N_c)} dU e^{\frac{1}{2}(UA+U^\dagger A^\dagger)} \propto \frac{1}{\Delta(\lambda^2)} \sum_{\nu=0}^{\infty} (2 - \delta_{\nu 0}) \cos(\nu\phi) \det[\lambda_i^{j-1} I_{\nu+j-1}(\lambda_i)]$$

- Duality transformation (variant of color-flavor transformation)