

Aspects of topological actions on the lattice

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LAT15, Kobe, July 16, 2015

arXiv:1505.02666 → JHEP

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“Topological” action

- Invariant under smooth deformation of the field

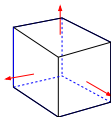
Example: $O(N)$ spin model, $S = \sum_{\langle i,j \rangle} R_\theta(\vec{\sigma}_i \cdot \vec{\sigma}_j)$
 $R_\theta(x) = 0$ if $x > \cos \theta$, else $+\infty$
i.e. “flat” action with constraint

- Interesting because:
 - no classical continuum limit
 - nevertheless, as constraint becomes tighter, fluctuations are reduced:
 $\vec{\sigma}_i \cdot \vec{\sigma}_j \rightarrow 1 \Rightarrow \xi/a \rightarrow \infty$
 - empirically, continuum limit ok, and *improved scaling*
 - constraint tight enough \Rightarrow no “dislocations”
i.e. topology freezes, topological sectors well defined
 $O(2)$ spin system: $\text{angle}(\vec{\sigma}_i, \vec{\sigma}_j) < \frac{\pi}{2} \Rightarrow$ No vortices!
- So far spin models: [W. Bietenholz et al, 1009.2146; 1212.0579](#)
Here, $4d$ compact $U(1)$ gauge theory

“Topological” action for $U(1)$ LGT

$$e^{-S} = \begin{cases} 1 & \text{ReTr}U_P > \cos \delta_{max} \quad \forall P \\ 0 & \text{else} \end{cases}$$

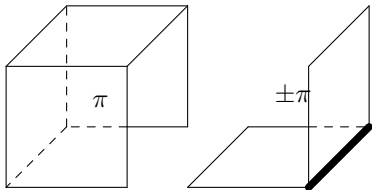
- Gauge-invariant: no constraint on link angles
- Monopoles (DeGrand-Toussaint): flux 2π through $3d$ cube:
cannot exist if $\delta_{max} < \pi/3$
cf. Lüscher's *admissibility condition*:
 $\text{Tr}U_P > 0.97$ for $SU(2)$ \rightarrow topological sectors
[Schwinger model (constraint but not flat action): [hep-lat/0305004](https://arxiv.org/abs/hep-lat/0305004)]
- Recall $U(1)$ phase diagram (Wilson action):
 - Coulomb phase at weak coupling
 - confining phase with monopole condensation at strong coupling



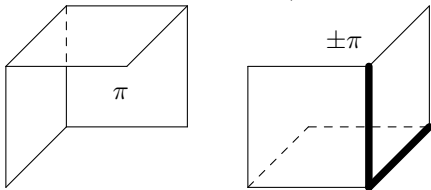
Wilson action: first-order PT at $\beta_c \approx 1$ Here, transition at $\delta_{max} = \frac{\pi}{3}$??

Monte Carlo with topological action

- Careful with Metropolis update:
allowed link-angle region may not be connected \rightarrow non-ergodicity
- **Single-link** update changes 2 plaquettes in a cube \rightarrow requires $\delta_{max} \geq \frac{\pi}{2}$ to create monopole



- **Double-link** update needed to create/destroy monopoles down to $\delta_{max} = \frac{\pi}{3}$



Renormalized coupling via helicity modulus

- Introduce external magnetic flux ϕ with $\cos(\theta_P) \rightarrow \cos(\theta_P + \phi)$, $P \in \text{stack}$

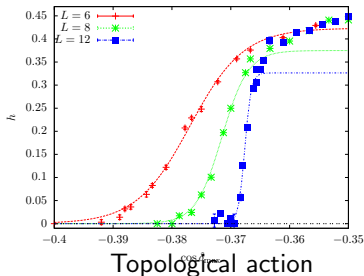
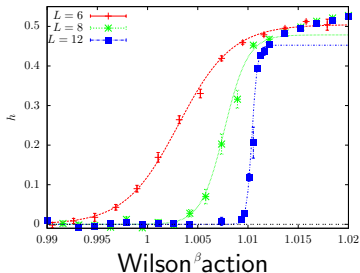
$$h \equiv \left. \frac{\partial^2 f(\phi)}{\partial \phi^2} \right|_{\phi=0}$$

$$h(L = \infty) = \begin{cases} 0 & \text{confined} \\ \neq 0 & \text{Coulomb} \end{cases}$$

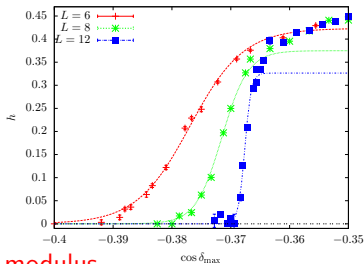
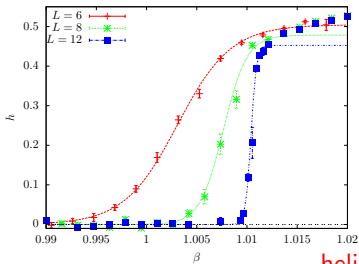
- Wilson action: $h = \beta \left(\langle \cos \theta_P \rangle - \beta \langle (\sum_{\text{stack}} \sin \theta_P)^2 \rangle \right)$ [hep-lat/0311006](#)
- Topological action: make ϕ *dynamical* \rightarrow distribution $p(\phi) = \exp(-f(\phi))$ [1212.0579](#)

Extract h as before, or better, fit $\beta_R \equiv \frac{1}{e^2_R}$ to classical ansatz

$$f(\phi) = -\log \sum_k e^{-\frac{\beta_R}{2}(\phi - 2\pi k)^2}$$

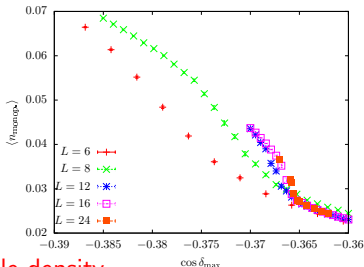
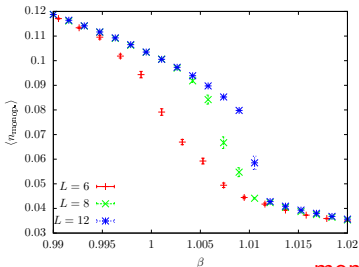


Wilson action vs Topological action



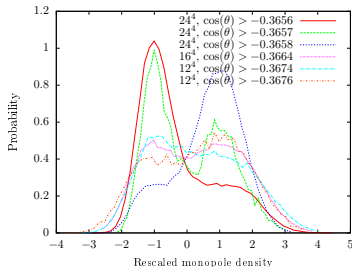
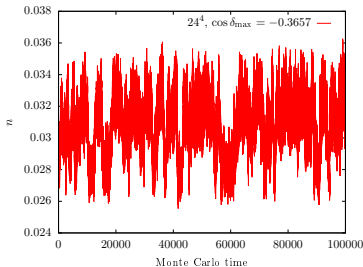
helicity modulus

- Similar first-order transition, with almost identical values h_c
- Transition NOT at $\delta_{max} = \frac{\pi}{3}$
- Much weaker jump in monop. dens.



monopole density

Is the top. action transition really first-order?



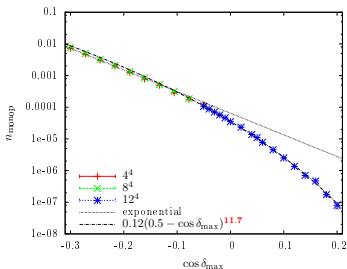
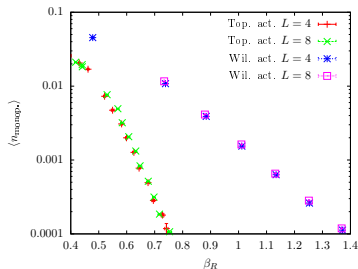
- Large 24^4 lattice: **no doubt**
 - Monte Carlo metastability of monopole density
 - clear two-peak distribution
 - Creutz ratios consistent with area resp. Coulomb law in resp. phases

Why does transition occur when $\delta_{\max} > \frac{\pi}{3}$?

- Transition triggered by monopole condensation
- Need non-zero monopole density to “avalanche” and condense

New question: what happens as $\delta_{\max} \searrow \frac{\pi}{3}$?

Monopole density in Coulomb phase



- Not too far from the first-order transition (*left plot*):
 - Wilson: $n_{\text{monop}} \sim \exp(-c\beta_R)$, because *classical* monopole mass $\sim 1/e_R^2$
 - Top. action: also $\sim \exp(-c\beta_R)$!!; heavier monop. (\rightarrow improved action)
- As δ_{max} approaches $\frac{\pi}{3}$ (*right plot*):
 - n_{monop} vanishes with power law behaviour
 - $n_{\text{monop}} \sim (\delta_{\text{max}} - \frac{\pi}{3})^{11.7}$?? See later.

Is there another phase transition when $\delta_{\text{max}} = \frac{\pi}{3}$?

Phase transition at topological freezing point?

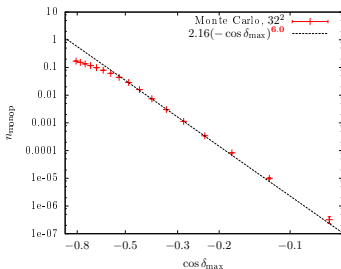
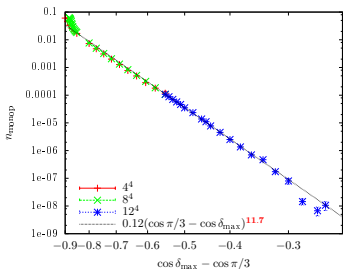
- Monopole density is identically zero for $\delta_{max} < \frac{\pi}{3}$, then non-zero \implies **non-analytic**
- Consider an extended action, also topological: $S = S_t + \lambda n_{\text{monop}}$,
where S_t is our top. action, and $\lambda \geq 0$ suppresses monopoles (1212.0579)

Then $\langle n_{\text{monop}} \rangle = - \left. \frac{d \log Z}{d \lambda} \right|_{\lambda=0} \rightarrow$ **free energy is singular**

- In practice: vanishingly small monopole density \rightarrow hard to study/detect
- Same argument for vortices in spin system, or topological sectors in Yang-Mills

Critical exponent for defect density

- Similar behaviour for monopoles in 4d $U(1)$ and for vortices in 2d XY :



- n_{monop} vanishes following a **power law** at $\delta_{\text{max}} = \frac{\pi}{3}$ ($U(1)$) or $\frac{\pi}{2}$ (XY)
- Crude estimate of critical exponent:
 - XY : vortex-antivortex pair on 2 neighb. plaquettes \rightarrow phase space $(\delta - \frac{\pi}{2})^{2 \times 3}$
 - $U(1)$: monop.-antimonop. in 4 cubes sharing a plaquette $\rightarrow (\delta - \frac{\pi}{3})^{4 \times 5}$

Both times, neglect constraint on shared link/plaquette \rightarrow overestimate exponent

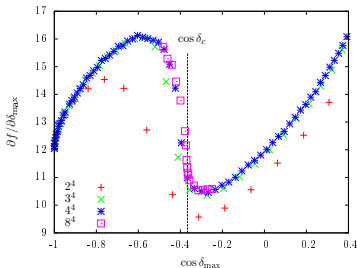
Bonus: free energy for free!

$$Z(\delta_{max}) \equiv e^{-\frac{f(\delta_{max})}{V}} = \text{number of configurations satisfying the constraint } \text{Tr} U_P > \cos(\delta_{max}) \quad \forall P$$

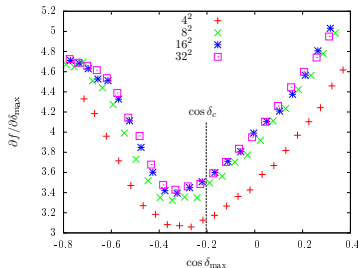
$$Z(\delta_2)/Z(\delta_1) = \text{proportion of configurations of } Z(\delta_1) \text{ still belonging to } Z(\delta_2)$$



Generate a Monte Carlo sample for $Z(\delta_1)$
and **count the fraction of survivors versus δ**
— possible also with **complex action** —



$\partial f / \partial \delta_{max}$ for 4d $U(1) \rightarrow$ **jump**



and for 2d $XY \rightarrow$ **no jump**

Continuum limit (not rigorous)

- Tighter constraint \rightarrow smeared $\delta(U_P - \mathbf{1}) \Rightarrow \frac{\xi}{a} \rightarrow \infty$, i.e. **continuum limit**

Under "favorable conditions", continuum limit is Yang-Mills [math-ph/0305058](#)

Idea here: **flat distribution unimportant**
becomes Gaussian-like for block variables anyway, by central limit theorem

- **Example:** 1d XY unit spin chain, $\text{angle}(s_i, s_{i+1}) \equiv \theta_i \in [-\delta_{\max}, +\delta_{\max}]$

$$Z = \int_{-\delta_{\max}}^{\delta_{\max}} \prod_{i=1}^N \frac{d\theta_i}{2\delta_{\max}} \delta(\exp(i\Theta) - 1), \quad \Theta \equiv \sum_{i=1}^N \theta_i = 2\pi m, \quad m \text{ winding nb.}$$

θ_i uniform in $[-\delta_{\max}, +\delta_{\max}]$ (variance $\frac{\delta_{\max}^2}{3}$) $\rightarrow \Theta \approx$ Gaussian, variance $N \frac{\delta_{\max}^2}{3}$

$$Z \propto \int d\Theta \exp\left(-\frac{\Theta^2}{2N \frac{\delta_{\max}^2}{3}}\right) = \sum_m \exp\left(-\frac{3}{2\alpha^2} m^2\right), \quad \alpha^2 = \frac{N\delta_{\max}^2}{4\pi^2}$$

Top. susceptibility: $\chi_t = \frac{\langle m^2 \rangle}{Na} \underset{Na \rightarrow \infty}{=} \frac{1}{4\pi^2 I}$, / moment of inertia of quantum rotor

Conclusions

- Topological action: intriguing, valid choice
- Constraint removes large field configurations \rightarrow better scaling
- Free energy for free \rightarrow density of states approach to sign pb?
- Together with topological sectors, new possibilities for θ -term ?
cf. U.-J. Wiese's $2d$ $O(3)$ at $\theta = \pi$ & 1204.4913

Thank you for your attention