

# Diagrammatic Monte Carlo simulations of staggered fermions at finite coupling

Hélio Vairinhos

**ETH** zürich

with Philippe de Forcrand

**JHEP 12 (2014) 038**

+ *in preparation*

Kobe, Japan

16 Jul 2015

To determine the phase diagram of nuclear matter at finite temperature  $T$  and baryon chemical potential  $\mu_B$  from first principles.

$$Z = \int [\mathcal{D}\chi \mathcal{D}\bar{\chi} \mathcal{D}U] e^{-S_g(U) + \bar{\chi} \not{D}(\mu, m) \chi} = \int [\mathcal{D}U] e^{-S_g(U)} \det(\not{D}(\mu, m))$$

- **Sign problem:**  $\det(\not{D}(\mu, m))$  is **complex-valued**, in general, hence it cannot have a probabilistic interpretation.
- **Our approach:** Gauge integration **before** Grassmann integration.
- **Why?**

- 1 The sign problem is **representation-dependent**: in the basis of eigenstates  $|\Psi_i\rangle$  of the QCD Hamiltonian, the sign problem does not exist, by definition:

$$Z = \text{Tr} \left\{ e^{-\beta \hat{H}} \right\} = \text{Tr} \left\{ e^{-\frac{\beta}{N} \hat{H}} \sum_i |\Psi_i\rangle \langle \Psi_i| e^{-\frac{\beta}{N} \hat{H}} \sum_j |\Psi_j\rangle \langle \Psi_j| \cdots \right\}$$

for which  $\langle \Psi_i | e^{-\beta \hat{H}} | \Psi_j \rangle \geq 0, \forall i, j$ .

- 2 *A priori* gauge integration yields an ensemble **color-neutral** fermionic states, arguably closer to the asymptotic eigenstates of QCD (due to confinement).

To determine the phase diagram of nuclear matter at finite temperature  $T$  and baryon chemical potential  $\mu_B$  from first principles.

$$Z = \int [\mathcal{D}\chi \mathcal{D}\bar{\chi} \mathcal{D}U] e^{-S_g(U) + \bar{\chi} \not{D}(\mu, m) \chi} = \int [\mathcal{D}U] e^{-S_g(U)} \det(\not{D}(\mu, m))$$

- **Sign problem:**  $\det(\not{D}(\mu, m))$  is **complex-valued**, in general, hence it cannot have a probabilistic interpretation.
- **Our approach:** Gauge integration **before** Grassmann integration.
- **Goal:** Construct and simulate link-less representations of lattice gauge theories with staggered fermions at a finite chemical potential:
  - 1 Compact lattice QED,  $N_f = 1$  ✓ (today)
  - 2 Compact lattice QED,  $N_f > 1$  ✗ (for the future)
  - 3  $SU(2)$  gauge theory,  $N_f \geq 1$  ✗ (for the future)
  - 4  $SU(3)$  gauge theory,  $N_f \geq 1$  ✗ (for the future)

# Integrating out the gauge fields

- Integrating out the link variables **directly**: only possible for  $\beta = 0$

$$\begin{aligned}
 Z &= \int [D\chi D\bar{\chi} DU] \prod_{x,\mu} e^{\eta_{x\mu} \text{Tr}\{\bar{\chi}_x U_{x\mu} \chi_{x+\hat{\mu}} - \bar{\chi}_{x+\hat{\mu}} U_{x\mu}^\dagger \chi_x\}} \prod_x e^{2am \bar{\chi}_x \chi_x} \\
 &= \sum_{\{n,k,C\}} \left( \prod_x \frac{N!}{n_x!} (2am)^{n_x} \right) \left( \prod_{x,\mu} \frac{(N - k_{x\mu})!}{N! k_{x\mu}!} \right) \left( \frac{1}{N! |C|} \underbrace{\sigma(C)}_{\pm 1} \right)
 \end{aligned}$$

Rossi & Wolff '84, Karsch & Mütter '89

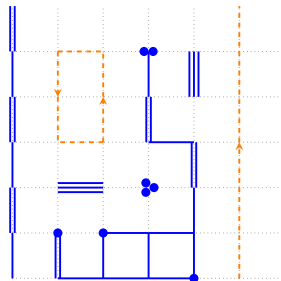
**Degrees of freedom:** monomers, dimers, loops

$x \bullet$	$(\bar{\chi}_x \chi_x)^{n_x}$	$n_x \in \{0, \dots, N\}$
$x \text{---} y$	$(\bar{\chi}_x \chi_x \bar{\chi}_y \chi_y)^{k_{xy}}$	$k_{xy} \in \{0, \dots, N\}$
$x \text{---} y$	$(\bar{B}_y B_x)^{b_{xy}}$	$b_{xy} \in \{0, 1\}$
$x \text{---} y$	$(\bar{B}_x B_y)^{\bar{b}_{xy}}$	$\bar{b}_{xy} \in \{0, 1\}$

**Grassmann constraints:**

$$n_x + \sum_{\pm\mu} (k_{x\mu} + N b_{x\mu}) = n_x + \sum_{\pm\mu} (k_{x\mu} + N \bar{b}_{x\mu}) = N$$

**Admissible  $SU(3)$  state:**



# Integrating out the gauge fields

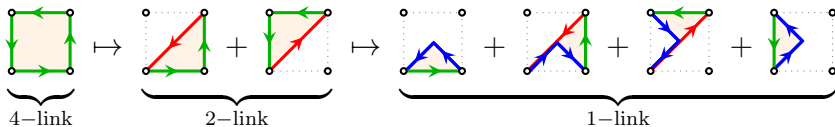
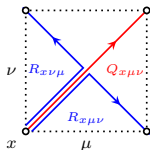
- Integrating out the link variables **directly**: only possible for  $\beta = 0$
- Introduce free auxiliary plaquette variables:  $Q_{x\mu\nu}, R_{x\mu\nu}$

HV & de Forcrand '14

$$Z = \int [DU] \prod_p e^{\frac{\beta}{N} \text{ReTr}(U_p)} = \int \mathcal{G}_\beta[Q, R] \prod_{x,\mu} \int dU e^{\frac{\beta}{N} \text{ReTr}(J_{x\mu}^\dagger U)}$$

$$\mathcal{G}_\beta[Q, R] = [\mathcal{D}Q\mathcal{D}R] e^{-\frac{3\beta}{2N} \text{Tr}(QQ^\dagger)} e^{-\frac{\beta}{2N} \text{Tr}(RR^\dagger)}$$

$$J_{x\mu} = \sum_{\nu \neq \mu} (R_{x-\hat{\nu}, \nu\mu}^\dagger Q_{x-\hat{\nu}, \nu\mu} + R_{x\mu\nu})$$



- Advantages:**

- Exact linearization of the Wilson plaquette action.
- Straightforward generalization for staggered flavours:  $\frac{\beta}{2N} J_{x\mu} + \eta_{x\mu} \chi_x^\alpha \bar{\chi}_{x+\mu}^\alpha$

- Disadvantages:** greater complexity, larger autocorrelations...

## Compact lattice QED

Pure gauge sector ( $N_f = 0$ )

Bosonic variables  $Q_{x\mu\nu}, R_{x\mu\nu} \in \mathbb{C}$  **decouple** the 4 links around the plaquette, reducing the Boltzmann factor to a product of solvable  $U(1)$  **one-link integrals**:

$$\int_{U(1)} dU e^{\beta \operatorname{Re}(J^\dagger U)} = I_0(\beta|J|)$$

$\Rightarrow$  0-link representation of the **partition function** of compact  $U(1)$  lattice gauge theory:

$$Z = \int [dU] \prod_p e^{\beta \operatorname{Re}(U_p)} = \int \mathcal{G}_\beta[Q, R] \prod_{x,\mu} I_0(\beta|J_{x\mu}|)$$

**Loop observables** in the 0-link representation,

$$\langle W(C) \rangle = \left\langle \operatorname{Tr} \prod_{l \in C} U_l \right\rangle = \left\langle \operatorname{Tr} \prod_{l \in C} \mathcal{U}_l \right\rangle$$

are defined in terms of **effective links**:

$$\mathcal{U}_l = \langle U \rangle_{J_l} = \int dU U e^{\beta \operatorname{Re}(J_l^\dagger U)} = \frac{I_1(\beta|J_l|)}{I_0(\beta|J_l|)} \frac{J_l}{|J_l|}$$

# Compact lattice QED

Full theory ( $N_f = 1$ )

The 0-link representation of the **partition function** of  $N_f = 1$  compact lattice QED is easy to obtain:

$$\begin{aligned}
 Z(\beta, m) &= \int [dU] \prod_p e^{\beta \text{Re}(U_p)} \prod_{x,\mu} e^{\bar{\chi}_x U_{x\mu} \chi_{x+\hat{\mu}} - \bar{\chi}_{x+\hat{\mu}} U_{x\mu}^\dagger \chi_x} \prod_x e^{2am \bar{\chi}_x \chi_x} \\
 &= \int \mathcal{G}_\beta[Q, R] \prod_x I_0(\beta |J_{x\mu}|) \sum_{\{n,k,C\}} \sigma(C) \prod_x (2am)^{n_x} \prod_{i=1}^{\#C} 2\text{Re}(\mathcal{U}(C_i))
 \end{aligned}$$

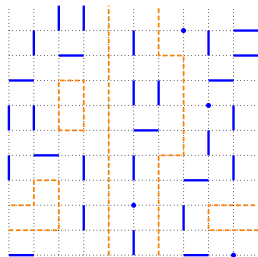
**Degrees of freedom:** monomers, dimers, loops

$x \bullet$	$(\bar{\chi}_x \chi_x)^{n_x}$	$n_x \in \{0, 1\}$
$x \text{---} y$	$(\bar{\chi}_x \chi_x \bar{\chi}_y \chi_y)^{k_{xy}}$	$k_{xy} \in \{0, 1\}$
$x \text{---} \rightarrow y$	$(\bar{\chi}_y \chi_x)^{b_{xy}}$	$b_{xy} \in \{0, 1\}$
$x \text{---} \leftarrow y$	$(\bar{\chi}_x \chi_y)^{\bar{b}_{xy}}$	$\bar{b}_{xy} \in \{0, 1\}$

**Grassmann constraints:**

$$n_x + \sum_{\pm\mu} (k_{x\mu} + b_{x\mu}) = n_x + \sum_{\pm\mu} (k_{x\mu} + \bar{b}_{x\mu}) = 1$$

**Admissible configuration:**



**Gauss's law:** Only the zero (even) winding sector contributes

# Simulating compact lattice QED

The bosonic d.o.f.  $(Q, R)$  and fermionic d.o.f.  $(n, k, C)$  are updated alternately:

- **Bosonic updates:**

- 1 Local  $U(1)$  heatbath wrt the one-link integral
- 2 Local Gaussian heatbath of the auxiliary variables  $Q, R$ .
- 3 Local Metropolis update, to correct for the (reweighted) electron loops.

$$\underbrace{\mathcal{G}_\beta[Q, R] \prod_{x, \mu} I_0(\beta |J_{x\mu}|)}_{\text{Heatbath}} \underbrace{\prod_{i=1}^{\#C} |2\text{Re}(\mathcal{U}(C_i))|}_{\text{Metropolis}}$$

- **Fermionic updates:**

**Worm algorithms** provide an efficient way to update constrained combinatorial system, e.g. monomer-dimer-loop configurations of compact lattice QED:

- 1 “Meson” worm algorithm
- 2 Electron worm algorithm

[Prokof'ev & Svistunov '01](#)

[Adams & Chandrasekharan '03](#)

[Chandrasekharan & Jiang '06](#)



## “Meson” worm algorithm

Alternating sequence of active and passive updates along a worm path, which updates “mesonic” d.o.f., i.e. monomers and dimers at  $\beta = 0$ .

$$w = \prod_x (2am)^{n_x} \prod_{x,\mu} \xi_\mu^{2k_{x\mu}} \prod_{i=1}^{\#C} |2\text{Re}(\mathcal{U}(C_i))|$$

## “Meson” worm algorithm

Alternating sequence of **active** and **passive** updates along a **worm path**, which updates “mesonic” d.o.f., i.e. monomers and dimers at  $\beta = 0$ .

$$w = \prod_{x_a} (2am)^{n_{x_a}} \prod_{x_p} \left( (2am)^{n_{x_p}} \prod_{\pm\mu} \xi_{\mu}^{2k_{x_p\mu}} \right) \prod_{i=1}^{\#C} |2\text{Re}(\mathcal{U}(C_i))|$$

### Active transitions:

$$\begin{cases} P_{ss}(x_a) = P_{\mu s}(x_a) = n_{x_a} \\ P_{s\nu}(x_a) = P_{\mu\nu}(x_a) = k_{x_a\mu} \end{cases}$$

### Passive transitions:

$$\begin{cases} P_{ss}(x_p) = P_{\mu s}(x_p) = \frac{(2am)^2}{(2am)^2 + \sum_{\lambda} \xi_{\lambda}^2 \delta_{\bar{C}}(x_p + \hat{\lambda})} \\ P_{s\nu}(x_p) = P_{\mu\nu}(x_p) = \frac{\xi_{\nu}^2 \delta_{\bar{C}}(x_p + \nu)}{(2am)^2 + \sum_{\lambda} \xi_{\lambda}^2 \delta_{\bar{C}}(x_p + \hat{\lambda})} \end{cases}$$

## Electron worm algorithm

Alternating sequence of active and passive updates along a worm path, which updates dimers and electron loops at  $\beta > 0$ .

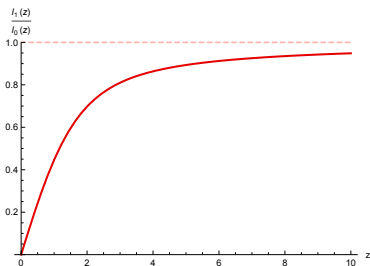
$$w = \prod_x (2am)^{n_x} \prod_{x,\mu} \xi_\mu^{2k_{x\mu}} \prod_{i=1}^{\#C} |2\text{Re}(\mathcal{U}(C_i))|$$

## Electron worm algorithm

Alternating sequence of **active** and **passive** updates along a **worm path**, which updates dimers and electron loops at  $\beta > 0$ .

$$w = \prod_x (2am)^{n_x} \prod_{x_a} 1 \prod_{\pm\mu} \xi_\mu^{2k_{x_p\mu} + b_{x\mu}} \left( \frac{I_1(\beta|J_{x\mu}|)}{I_0(\beta|J_{x\mu}|)} \right)^{b_{x\mu}} \prod_{i=1}^{\#C} |2 \cos(\vartheta(C_i))|$$

The ratio  $\frac{I_1(\beta|J_{x\mu}|)}{I_0(\beta|J_{x\mu}|)}$  promotes deletion of loops at small  $\beta$ , and their creation at large  $\beta$ :



## Electron worm algorithm

Alternating sequence of **active** and **passive** updates along a **worm path**, which updates dimers and electron loops at  $\beta > 0$ .

$$w = \prod_x (2am)^{n_x} \prod_{x_a} 1 \prod_{\pm\mu} \xi_\mu^{2k_{x_p\mu} + b_{x\mu}} \left( \frac{I_1(\beta|J_{x\mu}|)}{I_0(\beta|J_{x\mu}|)} \right)^{b_{x\mu}} \prod_{i=1}^{\#C} |2 \cos(\vartheta(C_i))|$$

### Active transitions:

$$\left\{ \begin{array}{l} P_{ss}(x_a) = n_x \\ P_{s\mu}(x_a) = \frac{2k_{x\mu} + |b_{x\mu}|}{2} \\ P_{\mu s}(x_a) = \frac{b_x}{2 - 2k_{x\mu} - |b_{x\mu}|} \\ P_{\mu\nu}(x_a) = \frac{2k_{x\mu} + |b_{x\mu}|}{2 - 2k_{x\mu} - |b_{x\mu}|} \end{array} \right.$$

# Electron worm algorithm

Alternating sequence of **active** and **passive** updates along a **worm path**, which updates dimers and electron loops at  $\beta > 0$ .

$$w = \prod_x (2am)^{n_x} \prod_{x_a} 1 \prod_{\pm\mu} \xi_\mu^{2k_{x_p\mu} + b_{x\mu}} \left( \frac{I_1(\beta|J_{x\mu}|)}{I_0(\beta|J_{x\mu}|)} \right)^{b_{x\mu}} \prod_{i=1}^{\#C} |2 \cos(\vartheta(C_i))|$$

## Passive transitions:

$$\begin{cases} P_{\mu\nu}(x_p) = \frac{\xi_\nu r_{1,x\nu}^{1-2q_{x\nu}} (1-n_{x+\hat{\nu}})}{\sum_{\lambda \neq \nu} \xi_\lambda r_{1,x\lambda}^{1-2q_{x\lambda}} (1-n_{x+\hat{\lambda}}) + \xi_\mu r_{1,x\mu}^{1-2(q_{x\mu}-1)}} \\ P_{\mu\mu}(x_p) = \frac{\xi_\mu r_{1,x\mu}^{1-2(q_{x\mu}-1)}}{\sum_{\lambda \neq \nu} \xi_\lambda r_{1,x\lambda}^{1-2q_{x\lambda}} (1-n_{x+\hat{\lambda}}) + \xi_\mu r_{1,x\mu}^{1-2(q_{x\mu}-1)}} \end{cases}$$

$$q_{x\mu} = 2k_{x\nu} + b_{x\nu}$$

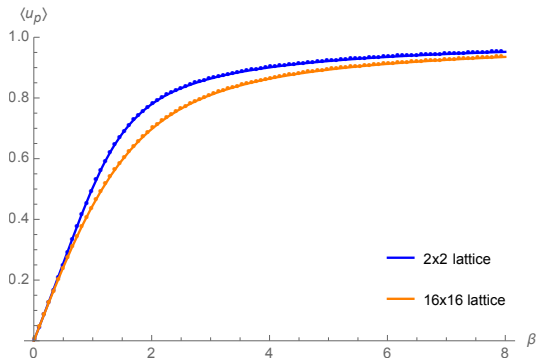
$$r_{x\mu} = \frac{I_1(\beta|J_{x\mu}|)}{I_0(\beta|J_{x\mu}|)}$$

# Consistency checks

## Bosonic updates in $2d$

Partition functions and vevs of loop operators are known exactly in the pure gauge sector for periodic  $d = 2$  lattices, which only depend on the spacetime volume  $V$  and the area  $A$  enclosed by the loop operator,

$$Z_V(\beta) = \sum_n I_n^V(\beta) \quad \langle W(C) \rangle = \frac{1}{Z_V(\beta)} \sum_n I_n^{V-A}(\beta) I_{n+1}^A(\beta)$$



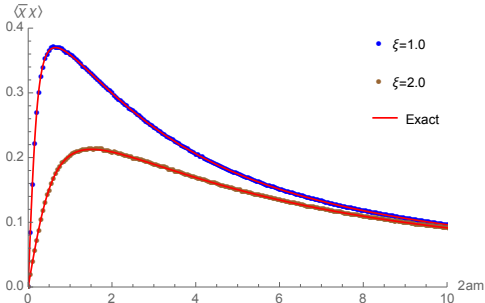
# Consistency checks

Meson worm algorithm on a  $4 \times 4$  lattice

Partition functions of compact lattice QED at  $\beta = 0$  can be computed exactly in small  $2d$  lattices, by enumerating perfect matchings in the excluded volume of monomer sites.

$$\begin{aligned} Z(\xi, m) = & 16 (\xi^{16} + 4\xi^{12} + 7\xi^8 + 4\xi^4 + 1) + (2am)^{16} + 16 (\xi^2 + 1) (2am)^{14} + 8 (13\xi^4 + 24\xi^2 + 13) (2am)^{12} \\ & + 32 (\xi^2 + 1) (11\xi^4 + 17\xi^2 + 11) (2am)^{10} + 8 (83\xi^8 + 256\xi^6 + 354\xi^4 + 256\xi^2 + 83) (2am)^8 \\ & + 64 (11\xi^{10} + 37\xi^8 + 63\xi^6 + 63\xi^4 + 37\xi^2 + 11) (2am)^6 \\ & + 32 (13\xi^{12} + 40\xi^{10} + 81\xi^8 + 96\xi^6 + 81\xi^4 + 40\xi^2 + 13) (2am)^4 \\ & + 64 (\xi^2 + 1) (2\xi^{12} + 2\xi^{10} + 8\xi^8 + 5\xi^6 + 8\xi^4 + 2\xi^2 + 2) (2am)^2 \end{aligned}$$

$$a \langle \bar{\chi} \chi \rangle = \frac{1}{16} \frac{\partial \log Z(\xi, m)}{\partial (2am)}$$





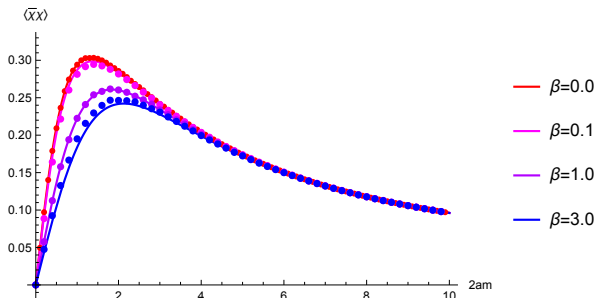
# Consistency checks

## Electron worm algorithm on a $2 \times 2$ lattice

The partition function of compact lattice QED for any  $\beta$  and  $m$  can be computed exactly on a  $2 \times 2$  lattice,

$$Z(\beta, m) = ((2am)^4 + 8(2am)^2 + 8) \sum_n I_n^4(\beta) + 8 \sum_n I_n^3(\beta) I_{n+1}(\beta) + 4 \sum_n I_n^2(\beta) I_{n+1}^2(\beta)$$

$$a\langle\bar{\chi}\chi\rangle = \frac{1}{Z(\beta, m)} (2am)((2am)^2 + 4) \sum_n I_n^4(\beta)$$

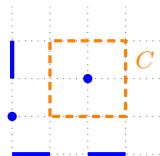


# Sign problem

Bosonic sign and fermionic sign

The **sign**  $s$  has a **fermionic**  $\sigma_F$  and a **bosonic**  $\sigma_B$  contribution:

$$s = \underbrace{(-1)^{N_-(C)+w_\tau(C)+1} \prod_{(x,\mu) \in C} \eta_{x\mu}}_{\sigma_F(C)} \times \underbrace{\text{sign} \left( \prod_{i=1}^{\#C} 2\text{Re}(\mathcal{U}(C_i)) \right)}_{\sigma_B(C)}$$

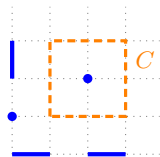


# Sign problem

on a  $2 \times 2$  lattice

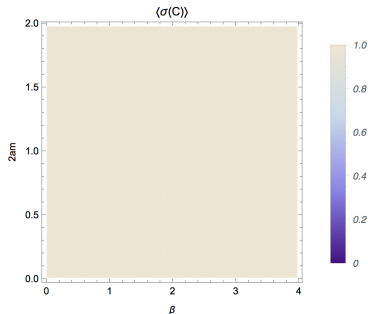
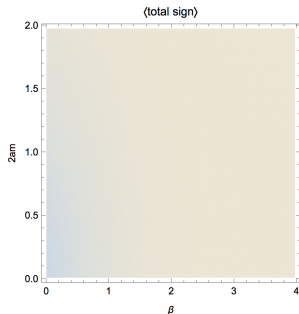
The **sign**  $s$  has a **fermionic**  $\sigma_F$  and a **bosonic**  $\sigma_B$  contribution:

$$s = \underbrace{(-1)^{N_-(C)+w_\tau(C)+1} \prod_{(x,\mu) \in C} \eta_{x\mu}}_{\sigma_F(C)} \times \underbrace{\text{sign} \left( \prod_{i=1}^{\#C} 2\text{Re}(\mathcal{U}(C_i)) \right)}_{\sigma_B(C)}$$



which behave very differently...

$2 \times 2$  lattice

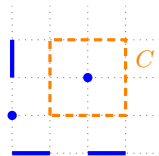


# Sign problem

on a  $4 \times 4$  lattice

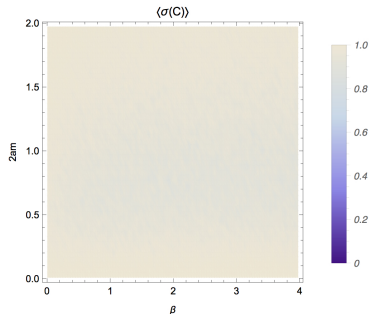
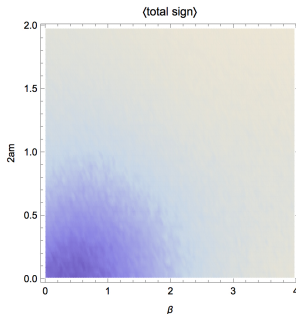
The **sign**  $s$  has a **fermionic**  $\sigma_F$  and a **bosonic**  $\sigma_B$  contribution:

$$s = \underbrace{(-1)^{N_-(C)+w_\tau(C)+1} \prod_{(x,\mu) \in C} \eta_{x\mu}}_{\sigma_F(C)} \times \underbrace{\text{sign} \left( \prod_{i=1}^{\#C} 2\text{Re}(\mathcal{U}(C_i)) \right)}_{\sigma_B(C)}$$



which behave very differently...

$4 \times 4$  lattice



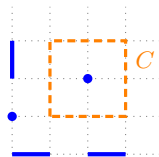


# Sign problem

on a  $8 \times 8$  lattice

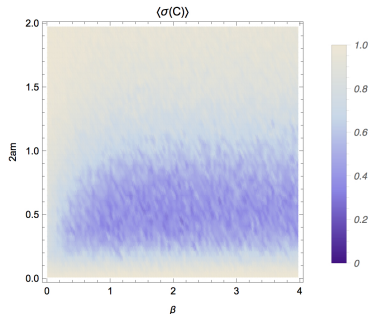
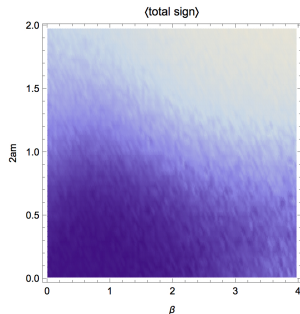
The **sign**  $s$  has a **fermionic**  $\sigma_F$  and a **bosonic**  $\sigma_B$  contribution:

$$s = \underbrace{(-1)^{N_-(C)+w_\tau(C)+1} \prod_{(x,\mu) \in C} \eta_{x\mu}}_{\sigma_F(C)} \times \underbrace{\text{sign} \left( \prod_{i=1}^{\#C} 2\text{Re}(\mathcal{U}(C_i)) \right)}_{\sigma_B(C)}$$



which behave very differently...

$8 \times 8$  lattice



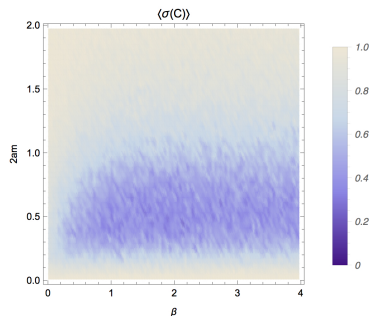
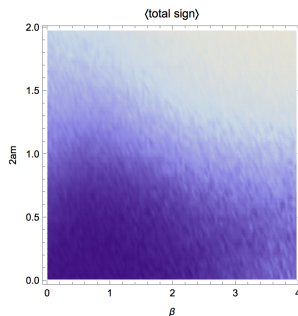
# Sign problem

## Eliminating the bosonic sign problem (?)

- **Problem:** Electron loop holonomies fluctuate very close to zero near  $\beta \approx 0$ , which artificially enhances the bosonic sign.
- **Solution:** (?) Integrate bosonic d.o.f. numerically *a priori*, use exact loop expansion of  $\det \mathbb{D}$  to weight electron loops  $\Rightarrow$  only  $\sigma_F$  would survive:

$$Z(\beta, m) = Z_g(\beta) \sum_{\{n, k, C\}} \sigma_F(C) \prod_x (2am)^{n_x} \prod_{x, \mu} \xi_{\mu}^{2k_{x\mu}} \underbrace{\left\langle \prod_{i=1}^{\#C} 2\text{Re}(U(C_i)) \right\rangle_g}_{>0}$$

$8 \times 8$  lattice



- The representation of lattice gauge theories without link variables provides a diagrammatic representation of compact lattice QED (and non-Abelian gauge theories) amenable to Monte Carlo simulations with worm-like algorithms.
- We simulate a monomer-dimer-loop representation of compact lattice QED  $N_f = 1$  as a toy model for the non-Abelian and/or multi-flavor case.
- We benchmarked our simulation algorithm with simple analytical results
- The sign problem has both a bosonic and a fermionic origin, in which the former becomes severe at  $\beta \approx 0$ .
- A possible solution to the bosonic sign problem may reside in either:
  - the numerical integration of the bosonic d.o.f. for any  $\beta$
  - the analytic integration of the bosonic d.o.f. in a strong coupling expansion