

Nucleon Spin Decomposition, GPDs, TMDs

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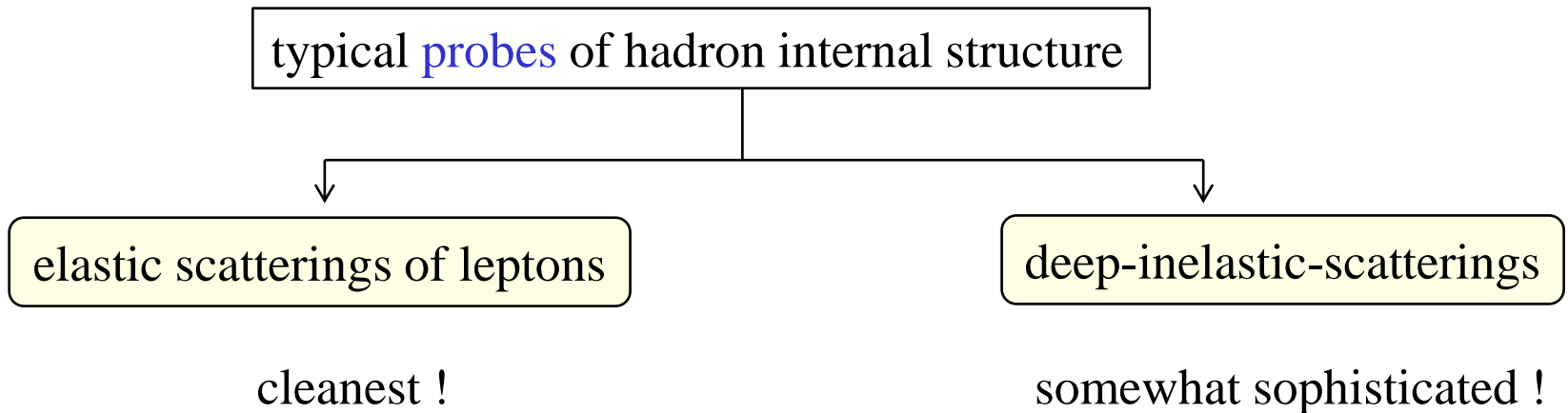
Plan of talk

1. Multidimensional generalization of ordinary Parton Distribution Functions
 - GPDs, TMDs, and Generalized TMDs -
2. The nucleon spin decomposition problem of QCD
 - 2.1. Introduction to the problem
 - 2.2. Uniqueness problem of the nucleon spin decomposition
 - the role of Lorentz symmetry –
 - 2.3. What is “potential angular momentum” ?
 - a lesson from classical and quantum electrodynamics -
 - 2.4. “Canonical” or “Mechanical” decomposition ?
3. Phenomenology of nucleon spin contents

1. Multidimensional generalization of ordinary Parton Distribution Functions

- GPDs, TMDs, and GTMDs -

- **GPD** : Generalized Parton Distribution
- **TMD** : Transverse-Momentum-Dependent Distribution
- **GTMD** : generalized TMD = *F.T.* of **Wigner distribution**



Only restricted information on the nucleon structure like **charge densities** or **spin magnetization densities**

More detailed information on the **quark-gluon structure** of the nucleon through the measurement of **PDFs**

Ordinary parton distribution function $q(x)$

one-variable function of x (Bjorken variable), which has the meaning of **longitudinal momentum fraction** of quarks along the nucleon momentum

By restricting parton's motion in **one dimension**, a firm theoretical framework of **perturbative QCD** (or the scheme of **collinear factorization**) was established. However, this restriction inevitably **loses a lot of interesting information** on the nucleon internal structures.

Intuitively, it is more natural to consider that the parton's motion in the nucleon is three dimensional. In fact, the existence of such quantities, which contain information of the **parton's three dimensional motion**, has been long known.

TMDs

transverse-momentum-dependent
parton distribution functions

- Soper (79)
- Collins, Soper (82)
- Collins, Soper, Sterman (85)

GPDs

generalized parton
distribution functions

- Mueller et al. (91/94)
- Radyushkin (96)
- Ji (96)

PDFs :

$$q^{(\Gamma)}(x) = \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ik^+ z^-} \langle p^+, \mathbf{0}_\perp, \Lambda' | \bar{\psi} \left(-\frac{z^-}{2} \right) \Gamma \mathcal{L} \psi \left(\frac{z^-}{2} \right) | p^+, \mathbf{0}_\perp, \Lambda \rangle$$

Γ : Dirac matrix

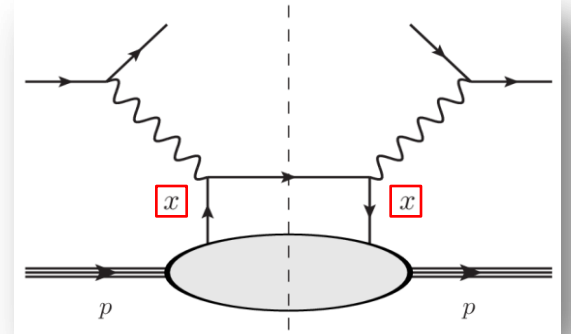
Λ, Λ' ; nucleon polarization

$x = \frac{k^+}{p^+}$; longitudinal momentum fraction

Bjorken variable

gauge link

$$\left[z^\pm = \frac{1}{\sqrt{2}} (z^0 \pm z^3) \right]$$

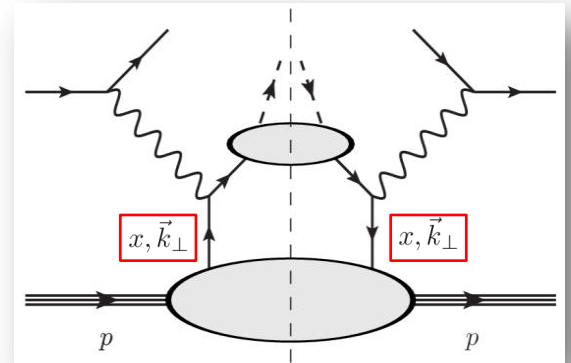


TMDs :

natural 3-dimensional generalization of PDFs

$$k = (k^+, k^-, \mathbf{k}_\perp), \quad z = (z^+, z^-, \mathbf{z}_\perp)$$

\mathbf{k}_\perp : transverse momentum



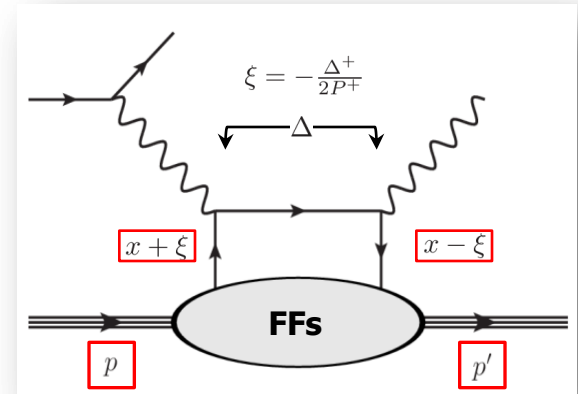
$$q^{(\Gamma)}(x, \mathbf{k}_\perp) = \frac{1}{2} \int \frac{dz^- d^2 z_\perp}{(2\pi)^3} e^{ik^+ z^- - i \mathbf{k}_\perp \cdot \mathbf{z}_\perp} \times \langle p^+, \mathbf{0}_\perp, \Lambda' | \bar{\psi} \left(-\frac{z}{2} \right) \Gamma \mathcal{L} \psi \left(\frac{z}{2} \right) | p^+, \mathbf{0}_\perp, \Lambda \rangle_{z^+=0}$$

GPDs :

another 3-dimensional generalization of PDFs

final nucleon mom. \neq initial nucleon mom.

(off-forward nucleon matrix element)



$$q^{(\Gamma)}(x, \xi, \Delta_{\perp}^2) = \frac{1}{2} \int \frac{dz^-}{2\pi} e^{ik^+ z^-} \times \langle p'^+, \frac{\Delta_{\perp}}{2}, \lambda' | \bar{\psi} \left(-\frac{z^-}{2} \right) \Gamma \mathcal{W} \psi \left(\frac{z^-}{2} \right) | p^+, -\frac{\Delta_{\perp}}{2}, \lambda \rangle_{z^+=0}$$

$$P^+ = \frac{p'^+ + p^+}{2}$$

$x = \frac{k^+}{P^+}$: average longitudinal momentum fraction

Δ_{\perp} : transverse momentum transfer

ξ : skewness parameter (longitudinal momentum transfer)

TMDs and GPDs are **different 3-dimensional generalization** of ordinary PDFs and there is **no direct relation** between them. However, they are said to have **brotherly relationship** in the sense that they are obtained from **common mother distribution** called **GTMDs** (*F.T.* of **Wigner distributions**)

- Ji (2003), Belitsky, Ji, Yuan (2004)

$$q^{(\Gamma)}(x, \mathbf{k}_\perp; \xi, \Delta_\perp^2) = \frac{1}{2} \int \frac{dz^- d^2 z_\perp}{(2\pi)^3} e^{i x P^+ z^- - i \mathbf{k}_\perp \cdot \mathbf{z}_\perp} \times \langle p'^+, \frac{\Delta_\perp}{2} | \bar{\psi} \left(-\frac{z}{2} \right) \Gamma \mathcal{W} \psi \left(\frac{z}{2} \right) | p^+, -\frac{\Delta_\perp}{2} \rangle_{z^+=0}$$

where

$$x = k^+ / P^+, \quad \xi = \Delta^+ / P^+$$

GPD

$$q^{(\Gamma)}(x, \xi, \Delta_\perp^2) = \int d^2 \mathbf{k}_\perp q^{(\Gamma)}(x, \mathbf{k}_\perp; \xi, \Delta_\perp^2)$$

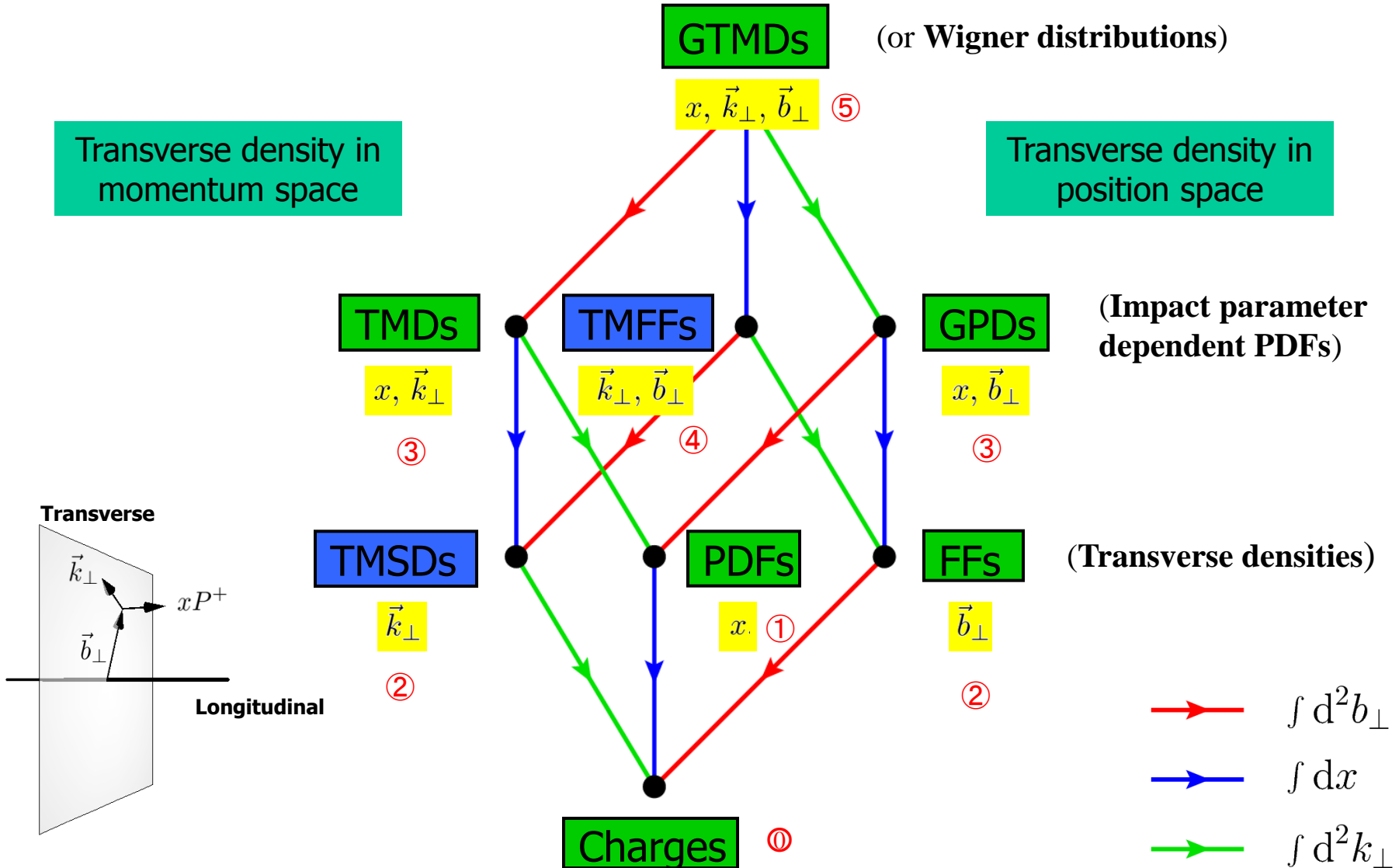
TMD

$$q^{(\Gamma)}(x, \mathbf{k}_\perp) = q^{(\Gamma)}(x, \mathbf{k}_\perp; \xi = \Delta_\perp^2 = 0)$$

Complete picture at $\xi = 0$

from Lorce @ Como2013

| | | |
|----------------|--|----------------|
| Momentum space | $\vec{k}_\perp \leftrightarrow \vec{z}_\perp$ | Position space |
| | $\vec{\Delta}_\perp \leftrightarrow \vec{b}_\perp$ | |



(I) Physics of GPDs

Basic theoretical framework of GPDs is already well-established.

- GPDs can be unambiguously defined as **off-forward nucleon matrix elements** of **bi-local** and **gauge-invariant** **quark-gluon operators on the light-cone**.
- The analysis of them can be done within the framework of **collinear factorization** (ex. through DVCS, Deeply Virtual Meson Production etc.)
- At the leading-twist, **factorization proof** exists. (Collins)
- Fourier transform of GPDs, called **impact parameter space PDFs**, can be interpreted as **probability distributions in the position space** and they are **natural 3-dimensional generalization of ordinary PDFs**. (Burkart,2000,2003)
- GPDs offer valuable information to probe **nucleon spin contents** (Ji sum rule)

GPD related hard exclusive processes

from Mueller@Como2013

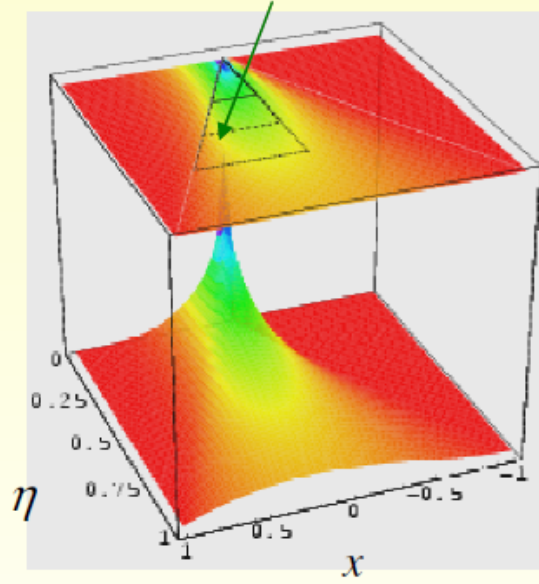
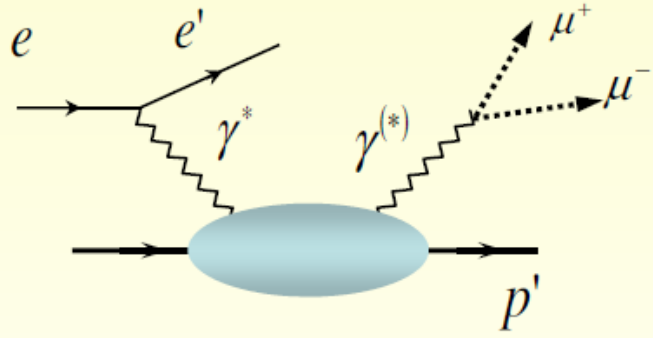
scanned area of the surface as a functions of lepton energy

- Deeply virtual Compton scattering (clean probe)

$$ep \rightarrow e' p' \gamma$$

$$ep \rightarrow e' p' \mu^+ \mu^-$$

$$\gamma p \rightarrow p' e^- e^+$$



$$ep \rightarrow e' p' \mu^+ \mu^-$$

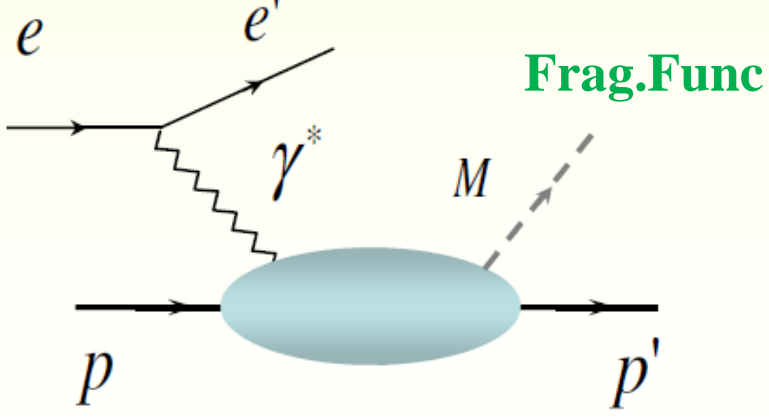
- Deeply virtual meson production (flavor filter)

$$ep \rightarrow e' p' \pi$$

$$ep \rightarrow e' p' \rho$$

$$ep \rightarrow e' n \pi^+$$

$$ep \rightarrow e' n \rho^+$$



Frag.Func

twist-two observables:

longitudinal cross sections

transverse target spin

asymmetries

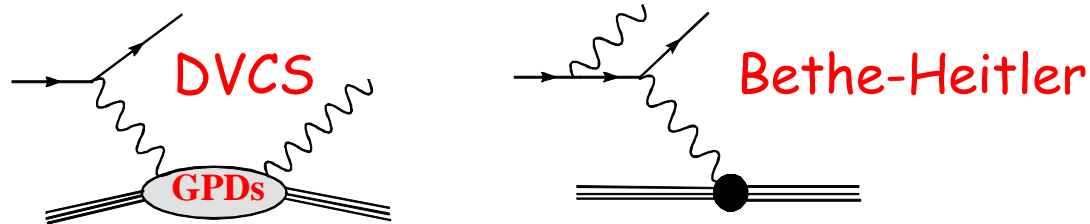
- etc.

factorization proof for longitudinal cross sections

[Collins, Frankfurt, Strikman (96)]

- There already exist large compilations of experimental data by HERMES, COMPASS, JLab groups.
- Since GPDs are functions of 3 variable (x, ξ, t) , analyses of measured data necessarily requires appropriate **modeling** (parametrization) of GPDs.
 - ★ especially difficult is the **modeling** of ξ -dependence

LO DVCS + Bethe-Heitler amplitude



In general, depend on **8** GPD quantities.

Real and Imaginary part of $H(x, \xi, t)$, $E(x, \xi, t)$, $\tilde{H}(x, \xi, t)$, $\tilde{E}(x, \xi, t)$

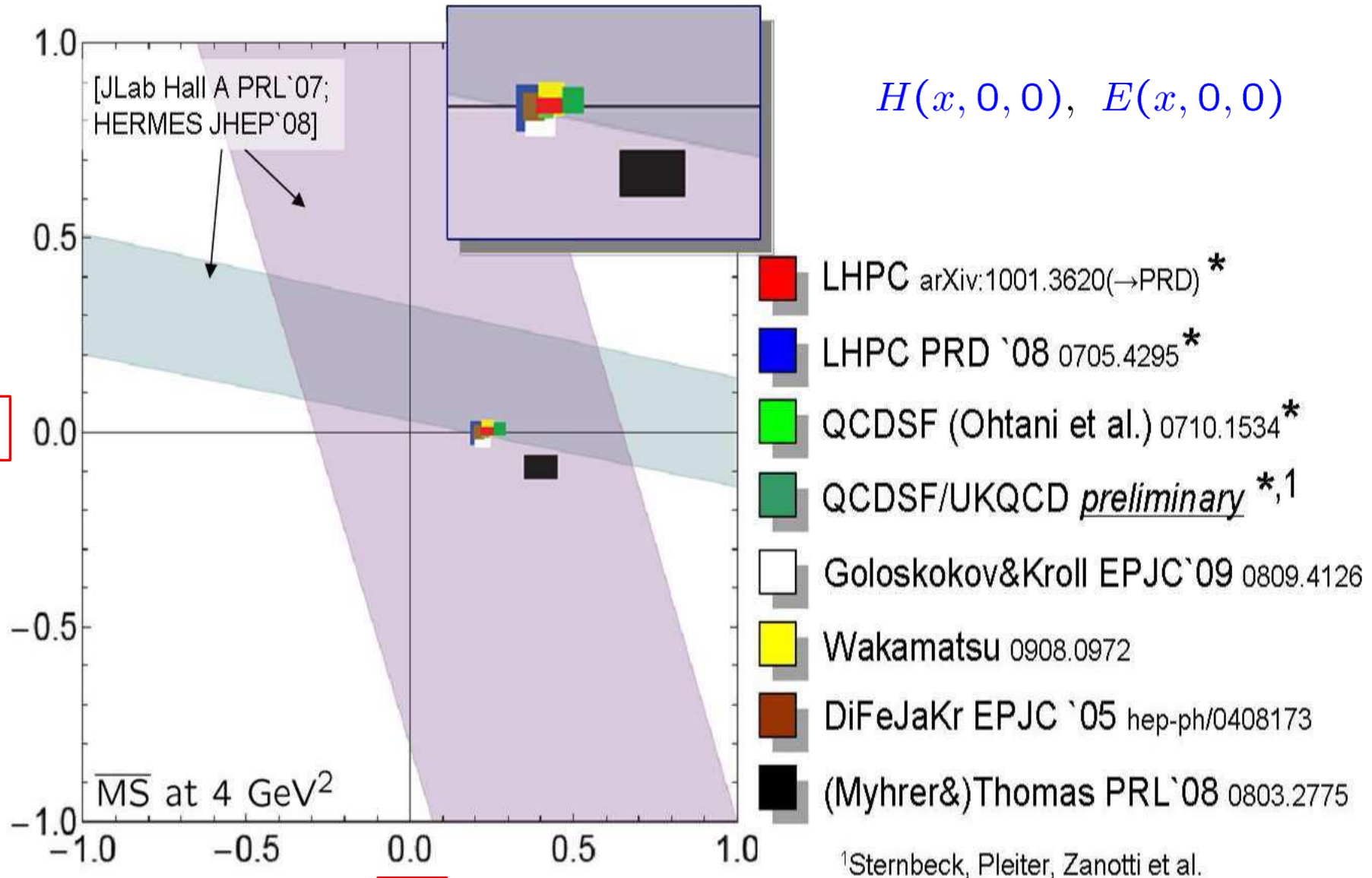
GPDs and the celebrated Ji sum rule

$$J^q = \frac{1}{2} \int x [H^q(x, 0, 0) + E^q(x, 0, 0)] dx$$
$$J^G = \frac{1}{2} \int x [H^G(x, 0, 0) + E^G(x, 0, 0)] dx$$

For the **GPDs of quarks**, there are preliminary analyses of J^u and J^d by HERMES Collaboration and also by JLab Hall A Collaboration.

- HERMES Collaboration (F. Ellinghaus et al.), Eur. Phys. J. C 46, 729 (2006).
- HERMES Collaboration (Z. Ye), arXiv:hep-ex/0606061.
- JLab Hall A Collaboration (M. Mazouz et al.), PRL 99, 242501 (2007).

GPD extraction of J^u & J^d compared with Lattice and phenomenological data



* [non-singlet, connected only; add. uncertainties due to chiral extrapolations, renormalization]

impact-parameter dependent PDF

$$\rho(x, \mathbf{b}_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{-i \Delta_\perp \cdot \mathbf{b}_\perp} F^q(x, \xi = 0, \Delta_\perp^2)$$

GPDs

Position space $\mathbf{b}_\perp \leftrightarrow \Delta_\perp$ **Momentum space**

Parton distribution in 3-dimensional phase space (x, \mathbf{b}_\perp)

Parton distribution in the **transverse impact-parameter space** as a function of **longitudinal momentum fraction** x .

richer information than ordinary PDFs

- M. Burkardt, Phys. Rev. D62 (2000) 071503
- M. Burkardt, Int. J. Mod. Phys. A18 (2003) 173
- J. P. Ralston and B. Pire, Phys. Rev. D66 (2002) 111501

typical prediction of a simple model

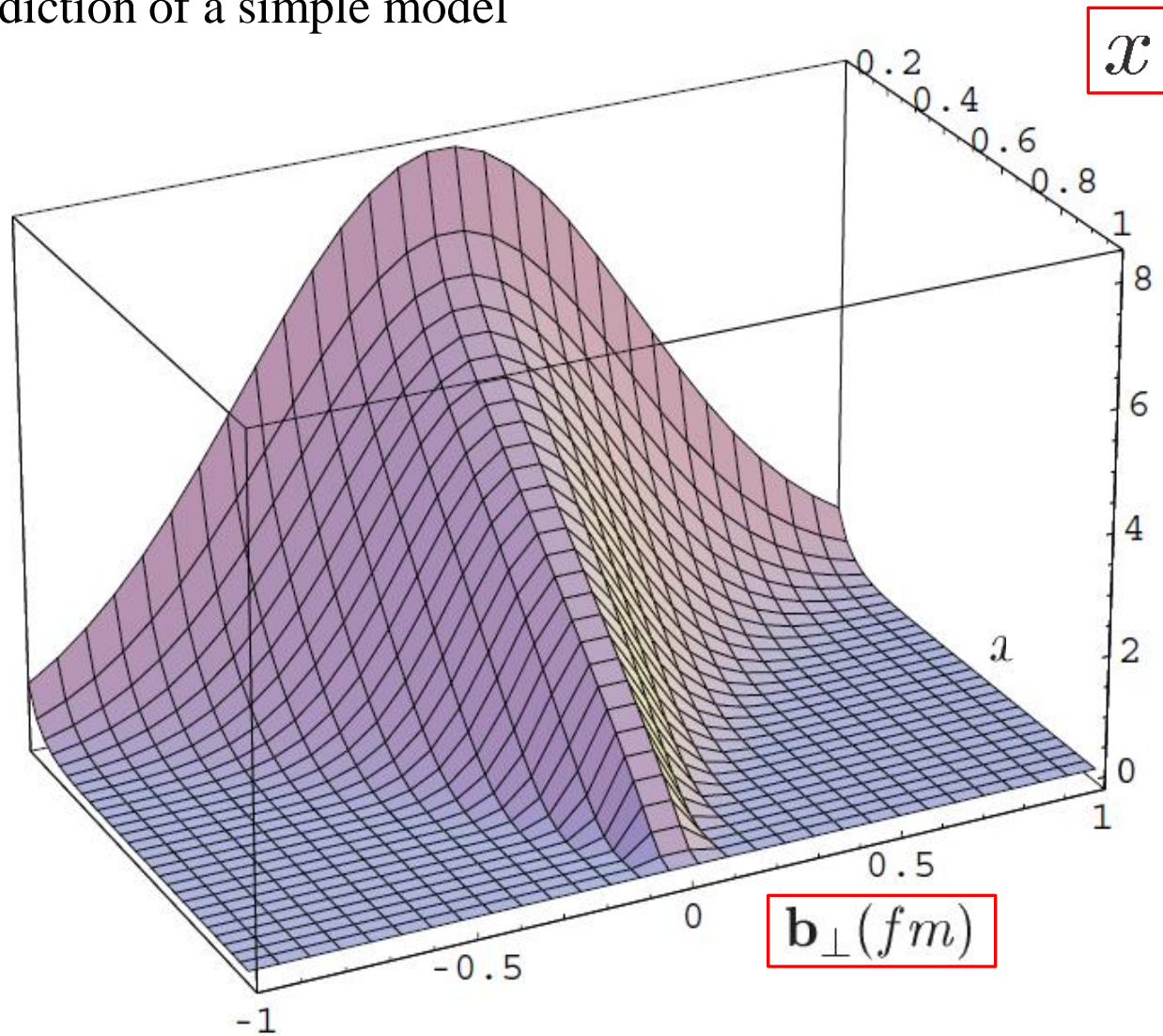


Fig. 1. Impact parameter dependent parton distribution $u(x, \mathbf{b}_\perp)$ for the simple model (31).

transverse density

$$\int \rho^{(\Gamma)}(x, \mathbf{b}_\perp) dx \Rightarrow \rho^{(\Gamma)}(\mathbf{b}_\perp)$$

For $\Gamma = \hat{Q} \gamma^+$, this reduces to the **transverse charge density**.

$$\begin{aligned} \rho(\mathbf{b}_\perp) &= \int \frac{d^2 q_\perp}{(2\pi)^2} \frac{e^{-i \mathbf{q}_\perp \cdot \mathbf{b}_\perp}}{2p^+} \langle p^+, \frac{\mathbf{q}_\perp}{2} | J_{e.m}^+ | p^+, -\frac{\mathbf{q}_\perp}{2} \rangle \\ &= \int_0^\infty \frac{dQ}{2\pi} Q J_0(b_\perp Q) F_1(Q^2) \end{aligned}$$

This quantity, given as a 2-dimensional Fourier transform of Dirac F.F. $F_1(Q^2)$, has a meaning of charge density in the infinite momentum frame of the nucleon.

In the IMF, due to the Lorentz contraction along the 3-direction, the nucleon looks like a 2-dimensional object.

Transverse charge density represents charge distribution in this 2-dimensional plane perpendicular to the nucleon momentum.

[Cf.] familiar charge density as a 3-dimensional Fourier transform of Sachs F.F.

$$\rho_{ch}(\mathbf{r}) = \int \frac{d^3q}{(2\pi)^2} e^{-i\mathbf{q}\cdot\mathbf{r}} G_E(\mathbf{q})$$

Theoretical ground of charge density interpretation :

In the Breit frame : $q^0 = 0 \Rightarrow Q^2 = \mathbf{q}^2$

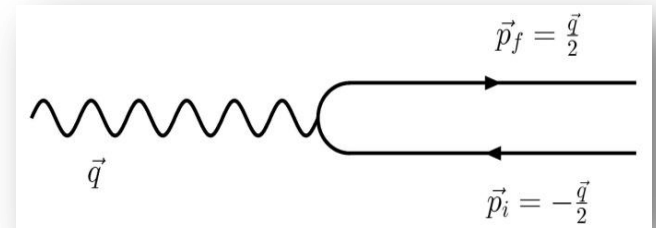
$$\langle N_{s'}(\mathbf{q}/2) | J_{e.m.}^0(0) | N_s(-\mathbf{q}/2) \rangle = 2M G_E(\mathbf{q}^2) \delta_{s',s}$$

$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M^2} F_2(Q^2)$$

Sachs

Dirac

Pauli



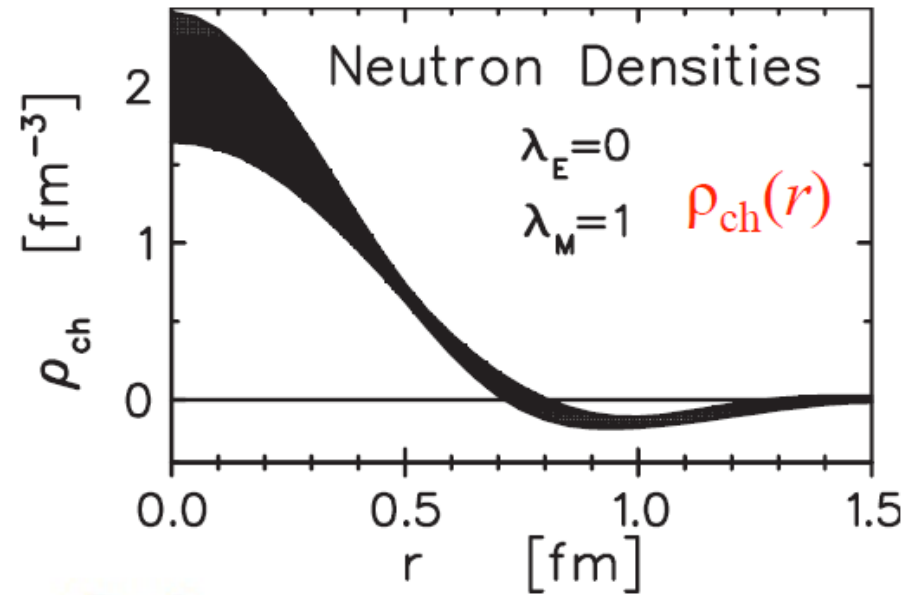
However, the choice of Breit frame is clearly Q^2 -dependent, and in each Lorentz frame the nucleon receives different Lorentz contraction in relativistic theory.

Charge density interpretation of the F.T. of Sachs F.F. can therefore be justified only in non-relativistic framework, in which the effects of Lorentz contraction are not important !

Qualitative change in central neutron charge density

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from Hoyer@Mainz11

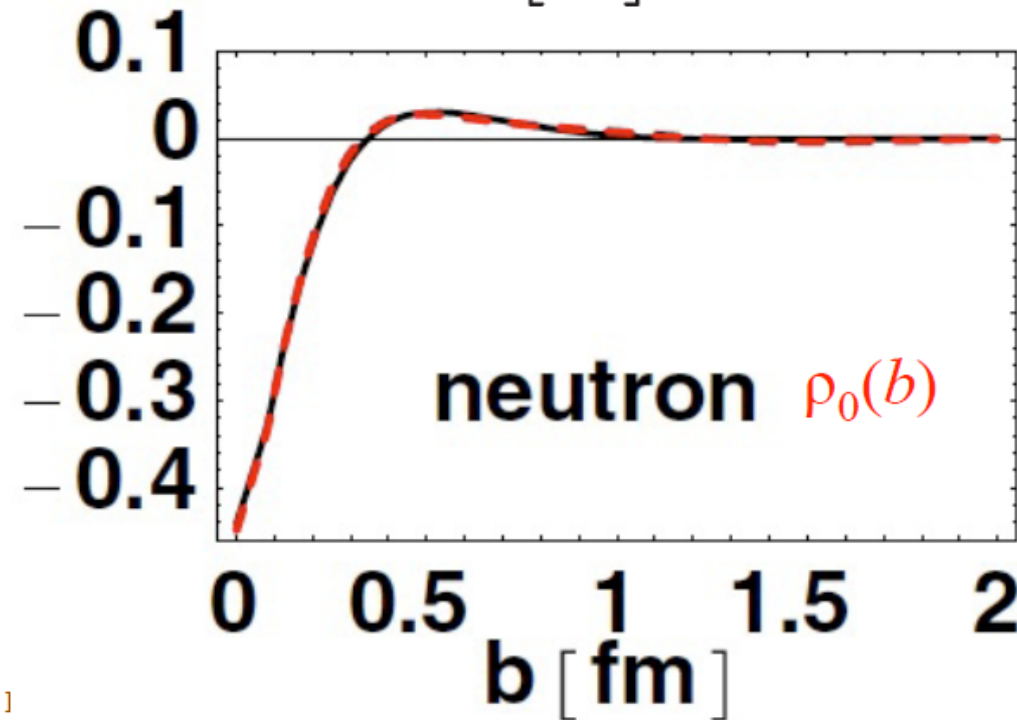


3-dimensional Fourier transform with phenomenological factors (2001)

J. J. Kelly, hep-ph/0111251

$$\rho_{ch}(r=0) > 0$$

$n \rightarrow p + \pi^-$: pion cloud



$$\rho_0(b=0) < 0$$

Transverse Fourier transform (2007)

G. Miller, PRL 99 (2007) 112001

(II) Physics of TMDs

Until several years ago, theoretical foundation of TMDs was not very solid.

- Intuitively, TMDs as functions of **longitudinal momentum fraction x** and **transverse momentum k_{\perp}** should be a **natural extension** of ordinary PDFs.

However, various difficulties turned out to appear in the formulation of TMDs, which requires a framework **beyond the standard collinear factorization**.

Once quantum-loop effects are taken into account, the existence of TMDs satisfying **gauge-invariance** as well as **process independence (factorization)** does not seem to be a trivial matter.

There also existed several other stuffs to be clarified.

- **role of final (or initial) state interaction** ?
- **quantitative relation** between **transverse motion** and **longitudinal OAM** ?

Basic problem of TMD formulation

a brief reviewal of **standard PDF**

$$q(x) = \frac{1}{2} \int \frac{d\xi^-}{2\pi} e^{-ixP^+ \xi^-} \langle P | \bar{\psi}(\xi^-, \mathbf{0}_\perp) \gamma^+ \mathcal{L}[\xi^-, \mathbf{0}_\perp; \mathbf{0}, \mathbf{0}_\perp] \psi(\mathbf{0}, \mathbf{0}_\perp) | P \rangle$$

with

$$\mathcal{L}[\xi^-, \xi_\perp; 0^-, \xi_\perp] \equiv P \exp \left(-ig \int_0^{\xi^-} d\xi^- A^+(\xi^-, \xi_\perp) \right) : \text{gauge link}$$

The **gauge-link** is interpreted as taking account of the **final-state interaction** between an ejected quark and residual spectators, and it works to assure the **gauge-invariance** of PDFs.

In the light-cone (LC) gauge $A^+ = 0$

$$\mathcal{L}[\xi^-, \xi_\perp; 0^-, \xi_\perp] \longrightarrow 1$$

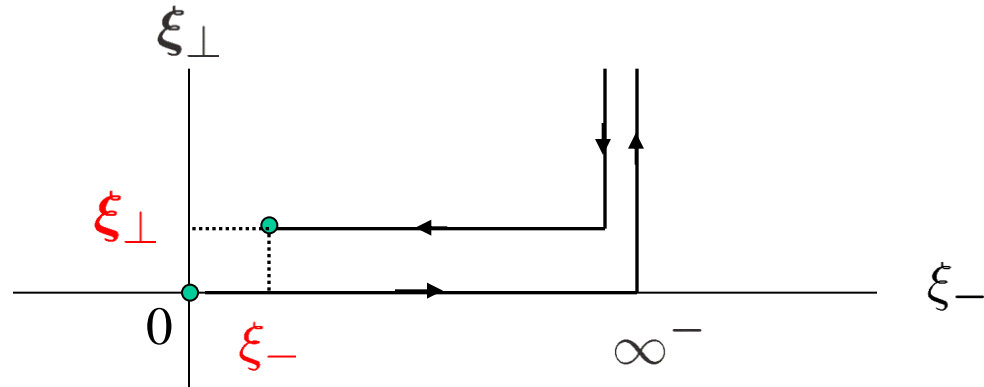
so that **FSI vanishes** and PDF gets naïve **probability density interpretation**.

Natural extension to TMD ?

$$q(x, \mathbf{k}_\perp) = \frac{1}{2} \int \frac{d\xi^-}{2\pi} \int \frac{d^2\xi_\perp}{(2\pi)^2} e^{-ixP^+\xi^- + ik_\perp \cdot \xi_\perp} \\ \times \langle P | \bar{\psi}(\xi^-, \xi_\perp) \mathcal{L}[\infty^-, \infty_\perp; \xi^-, \xi_\perp]_C^\dagger \gamma_+ \mathcal{L}[\infty^-, \infty_\perp; 0^-, \mathbf{0}_\perp]_C \psi(0^-, \mathbf{0}_\perp) | P \rangle$$

with

$$\mathcal{L}[\infty^-, \infty_\perp; \xi^-, \xi_\perp]_C \equiv \mathcal{L}[\infty^-, \infty_\perp; \infty^-, \xi_\perp] \mathcal{L}[\infty^-, \xi_\perp; \xi^-, \xi_\perp]$$



Gluon propagator in the LC gauge has an **ambiguity** in the way of avoiding the $1/k_+$ singularity. Customarily, this ambiguity is fixed by imposing the **boundary condition at the LC infinity** ($\xi^- = \pm \infty$) for the gluon field :

$$\tilde{A}(+\infty, \tilde{\xi}) = 0 \quad : \quad \text{Advanced boundary cond.}$$

$$\tilde{A}(-\infty, \tilde{\xi}) = 0 \quad : \quad \text{Retarded boundary cond.}$$

$$\tilde{A}(-\infty, \tilde{\xi}) + \tilde{A}(+\infty, \tilde{\xi}) = 0 \quad : \quad \text{Antisymmetric boundary cond.}$$

An important fact is that there is **no gauge choice**, which **simultaneously** satisfies

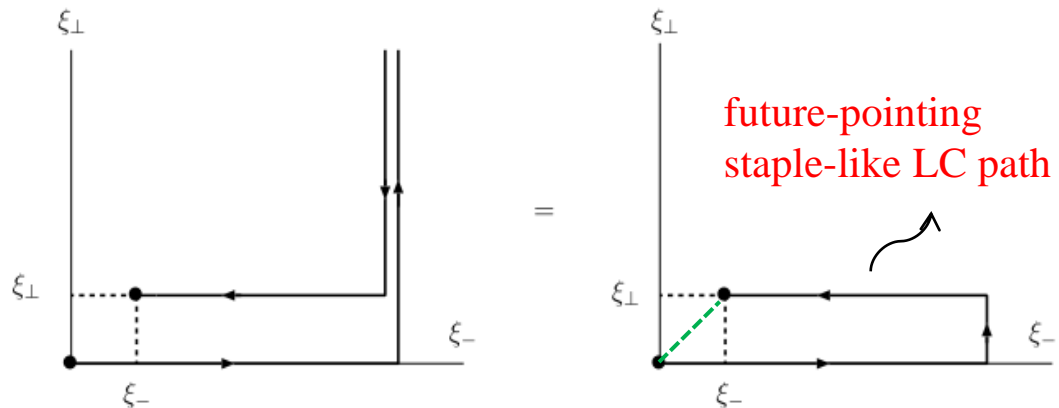
$$\tilde{A}(+\infty, \tilde{\xi}) = 0 \quad \text{and} \quad \tilde{A}(-\infty, \tilde{\xi}) = 0$$

Then, although **gauge link along the light-like direction vanishes** in the LC gauge, the **gauge link along the transverse direction survives** even at the **LC infinity**.

Because of this reason, the effect of **FSI** (or **ISI**) is manifest even in the LC gauge.

The **gauge-link path** corresponding to **SIDIS** process is usually represented as

because of unitarity

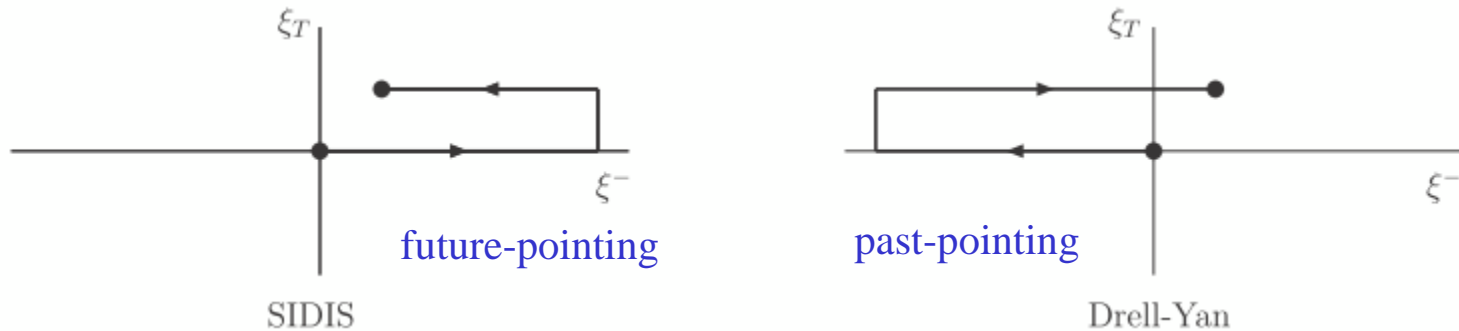


This path is different from **straight-line path** connecting $(0, 0_{\perp})$ and (ξ^{-}, ξ_{\perp}) !

definition of TMD is generally gauge-link-path dependent !

Known important facts

- Two (naïve) **T-odd TMDs** (f_{1T}^\perp, h_T^\perp) vanishes if one neglects **FSI** or **ISI**. (Brodsky, Hwang, Schmidt, 2002)
- In the **LC gauge**, **gauge link** at the infinity is crucial. (Belitsky, Ji, Yuan, 2002)
- Gauge-link corresponding to **Drell-Yan process** is given by the so-called **past-pointing staple-like LC path**, corresponding to the fact that the trajectory of the annihilation partner begins from $\xi^- = -\infty$. (Collins, 2002)



Most important pQCD prediction for T-odd TMD (Collins, 2002)

$$f(x, \mathbf{k}_\perp, \mathbf{s}_\perp)|_{\text{future-pointing}} \mathcal{W} = f(x, \mathbf{k}_\perp, -\mathbf{s}_\perp)|_{\text{past-pointing}} \mathcal{W}$$

$$f_{1T}^\perp(x, k_\perp)|_{\text{SIDIS}} = -f_{1T}^\perp(x, k_\perp)|_{\text{DY}} \quad \text{sign change !}$$

Trial proof of 1-loop TMD factorization for SIDIS (Ji, Ma, Yuan, 2005)

$$f_1^q(x, \mathbf{k}_\perp; \mu_F, \zeta) \stackrel{\text{def}}{=} \frac{1}{2} \int \frac{d\xi^-}{2\pi} e^{-ix\xi^- P^+} \int \frac{e^{i\xi_\perp \cdot \mathbf{k}_\perp}}{(2\pi)^2} \\ \times \langle P | \bar{\psi}_q(\xi^-, 0, \boldsymbol{\xi}_\perp) \mathcal{L}[\infty, \infty_\perp; \xi^-, \boldsymbol{\xi}_\perp]^\dagger \gamma^+ \mathcal{L}[\infty, \infty; 0, \mathbf{0}_\perp] \psi_q(0) P \rangle |_{\xi^+=0}$$

They took **off-light-like** gauge-link path specified by a 4-vector $v^\mu = (v^-, v^+, \mathbf{0}_\perp)$

$$\mathcal{L}[\infty, \infty_\perp; \xi^-, \boldsymbol{\xi}_\perp] = P e^{-ig \int_0^\infty d\lambda v \cdot A(\lambda v + \xi)}$$

to avoid **log. divergence** originating from **virtual gluon** with **zero plus-momentum**.

This enables them to prove **TMD factorization**.

Troublingly, however, it turned out that the TMD so defined does not reduce to an ordinary PDF after \mathbf{k}_\perp integration !

$$f_1^q(x) \neq \int d^2\mathbf{k}_\perp f_1^q(x, \mathbf{k}_\perp)$$

Theoretical researches of TMDs in these few years are focused on **this fundamental problem**.

Owing to efforts by many researchers, the problem has been positively resolved !

- J. C. Collins, *Foundations of Perturbative QCD*
(Cambridge University Press, Cambridge, 2011).
- J. C. Collins, Acta Phys. Polon., B34:3103–3120, 2003.
- S. M. Aybat and T. C. Rogers, Phys.Rev., D83:114042, 2011.
- I. Cherednikov and N. Stefanis, Phys.Rev., D77:094001, 2008.
- T. Becher and M. Neubert, Eur.Phys.J., C71:1665, 2011.
- M.G. Echevarría, Ahmad Idilbi, Ignazio Scimemi. [arXiv: 1211.1947]

For semi-inclusive-DIS (SIDIS) and Drell-Yan processes, **satisfactory definition of TMDs** were given, and their **evolution equations** are also derived.

establishment of **TMD factorization scheme**

However, the universality is likely to be broken, for more complicated processes ?

$$h_1 + h_2 \rightarrow h_3 + h_4 + X$$

Strong interest in TMDs was arose by the discovery of the phenomena called the **single spin asymmetry (SSA)** at FNAL.

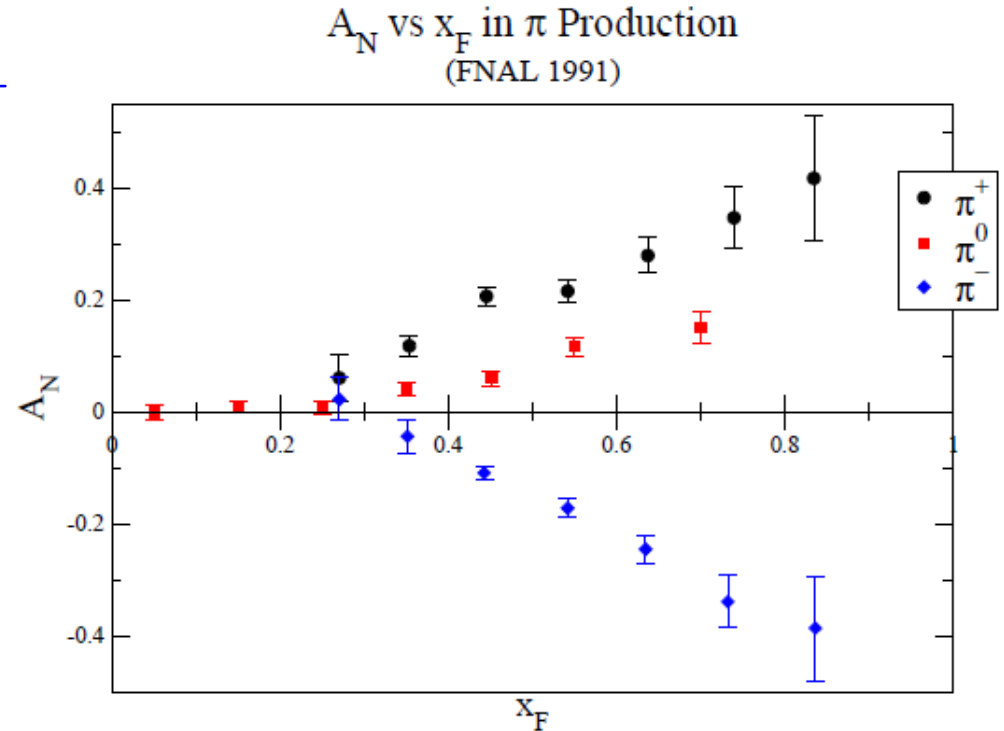
$$p^\uparrow + p \rightarrow \pi + X : \text{large } p_\perp$$

SSA

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

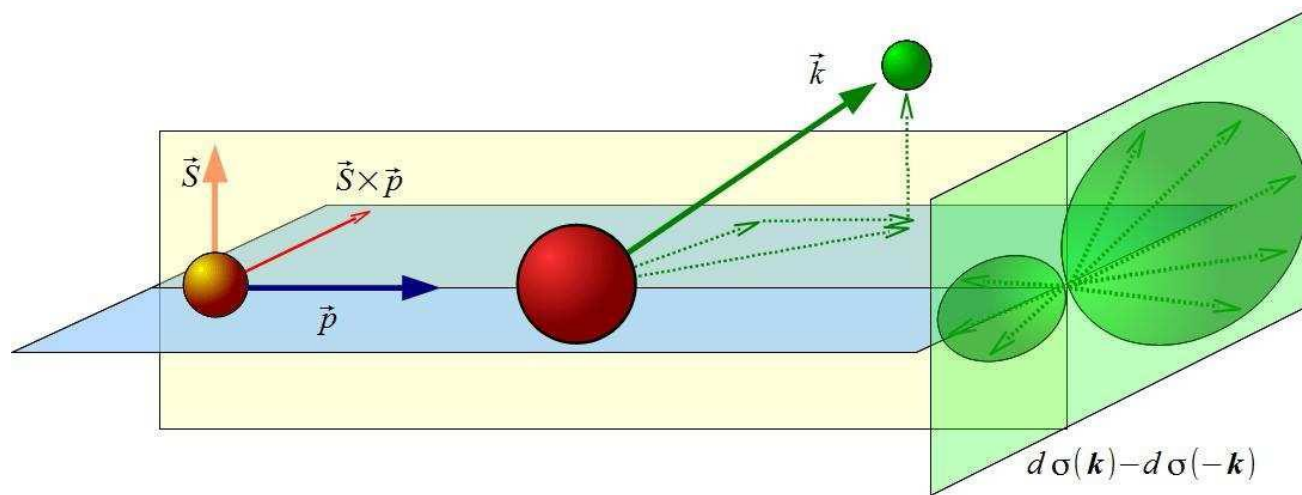
SSA represents the **asymmetry of the produced hadrons** with respect to the **direction of nucleon spin**.

SSA vanishes in **naïve parton model**, so that its explanation requires **some sort of extension** of naïve pQCD framework. \Rightarrow



- **TMD factorization approach**
Sivers, Collins, ...
- **Collinear factorization (twist-3) approach**
Qiu, Sterman, Koike, Tanaka, ...

SSA in TMD factorization approach

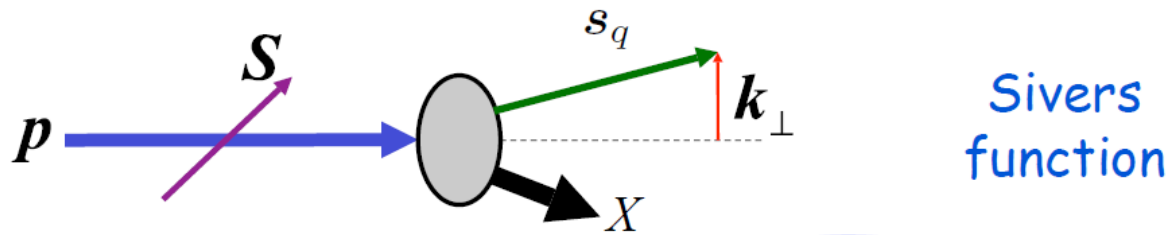


SSA is a reflection of the **spin-orbit correlation** of the form $(\vec{S} \times \vec{p}) \cdot \vec{k}$

SSA is a “**naively time-reversal-odd (T-odd) observable**”, so that it requires the **dependence** on the **spin direction** as well as on **T-odd imaginary phase**.

- T-odd mechanism in the **parton distribution (TMD)** : **Sivers function**
- T-odd mechanism in the **fragmentation function** : **Collins function**

Sivers mechanism in TMD formalism



$$\begin{aligned}
 f_{q/p, \mathbf{S}}(x, \mathbf{k}_\perp) &= f_{q/p}(x, k_\perp) + \frac{1}{2} \Delta^N f_{q/p^\uparrow}(x, k_\perp) \mathbf{S} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_\perp) \\
 &= f_{q/p}(x, k_\perp) - \frac{k_\perp}{M} f_{1T}^{\perp q}(x, k_\perp) \mathbf{S} \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_\perp)
 \end{aligned}$$

Because the **Sivers function** represents the **spin-orbit correlation** (correlation between the **transverse momentum of quarks** and the **nucleon spin**), it is often claimed that it must be **sensitive** to the **OAM of quarks** in the nucleon.

We shall see later that this **naïve expectation** would be **qualitatively OK**, but it is not supported in a **quantitative sense** !

No exact relation exists between **Sivers function** and **quark OAM** ?