

2. The nucleon spin decomposition of QCD

2.1. Introduction to the problem

Although one might think it a little “academic problem”, to get a complete decomposition of nucleon spin is a fundamentally important task of QCD.

In fact, if our research ends up without accomplishing this task, a tremendous efforts since the first discovery of nucleon spin crisis would go up in smoke.

Unfortunately, this is an extremely delicate problem, which has rejected a clear answer for more than 20 years since the first seminal the paper by

- R.L. Jaffe and A.V. Manohar, Nucl. Phys. B337, 509 (1990).

Recently, two reviews appeared to overview controversial status of the problem :

- E. Leader and C. Lorcé, Phys. Rept. 541, 163 (2014) [arXiv : 1309.4235].
- M. Wakamatsu, Int. J. Mod. Phys. A29, 1430012 (2014) [arXiv:1402.4193].

Remember first the **Ji sum rule**, which gives $J^q + J^G = 1/2$ with

$$J^q = \frac{1}{2} \int x [H^q(x, 0, 0) + E^q(x, 0, 0)] dx$$

$$J^G = \frac{1}{2} \int x [H^G(x, 0, 0) + E^G(x, 0, 0)] dx$$

At first sight, further decomposition seems easy, since in pQCD framework

$$\Delta\Sigma^q = \int \Delta q(x) dx \quad : \quad \text{quark spin fraction}$$

$$\Delta G = \int \Delta g(x) dx \quad : \quad \text{gluon spin fraction}$$

We would therefore get the decomposition

$$\frac{1}{2} = J^q + J^G = \left(L^q + \frac{1}{2} L^q \right) + \left(L^G + \Delta G \right)$$

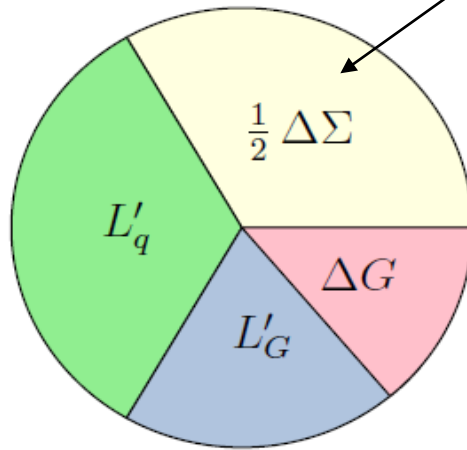
with

$$L^q \equiv J^q - \frac{1}{2} \Delta\Sigma^q, \quad L^G \equiv J^G - \Delta G$$

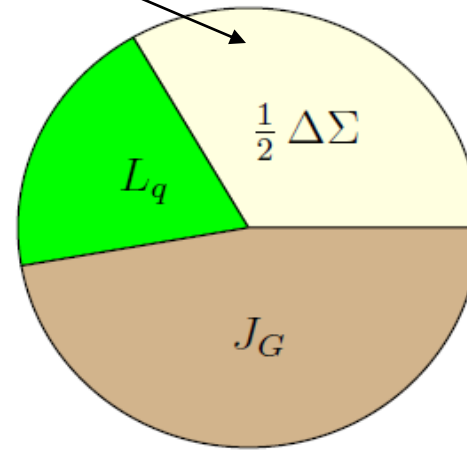
Life is not so easy, however, because of color gauge-invariance.

two popular decompositions of the nucleon spin

Jaffe-Manohar decomposition



Ji decomposition



common

$$\begin{aligned}
 \mathbf{J}_{QCD} &= \int \psi^\dagger \frac{1}{2} \boldsymbol{\Sigma} \psi d^3x \\
 &+ \int \psi^\dagger \mathbf{x} \times \frac{1}{i} \boldsymbol{\nabla} \psi d^3x \\
 &+ \int \mathbf{E}^a \times \mathbf{A}^a d^3x \\
 &+ \int E^{ai} \mathbf{x} \times \boldsymbol{\nabla} A^{ai} d^3x
 \end{aligned}$$

$$\begin{aligned}
 \mathbf{J}_{QCD} &= \int \psi^\dagger \frac{1}{2} \boldsymbol{\Sigma} \psi d^3x \\
 &+ \int \psi^\dagger \mathbf{x} \times \frac{1}{i} \mathbf{D} \psi d^3x \\
 &+ \int \mathbf{x} \times (\mathbf{E}^a \times \mathbf{B}^a) d^3x \\
 &\quad \swarrow \boxed{J_G}
 \end{aligned}$$

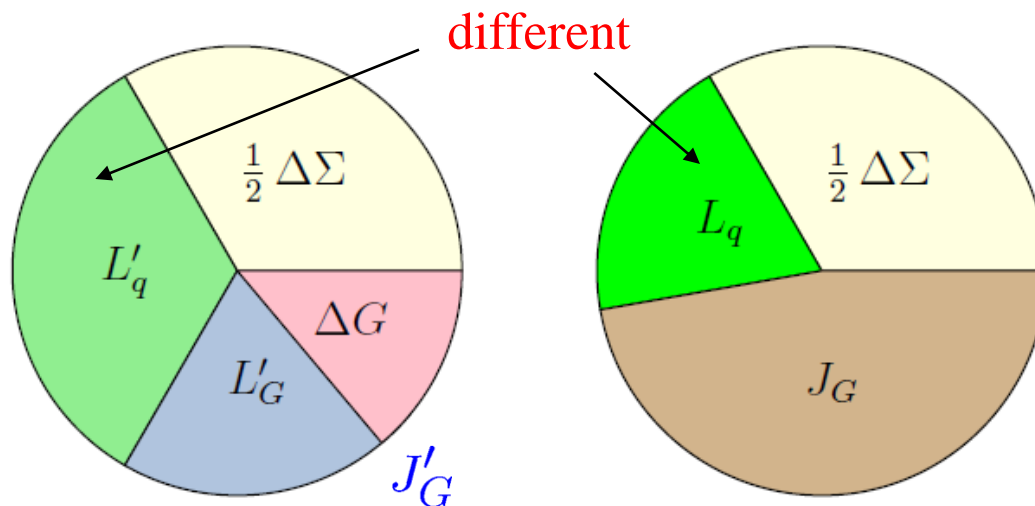
Each term is not separately gauge-invariant !

No further GI decomposition !

two popular decompositions of the nucleon spin - continued -

Jaffe-Manohar decomposition

Ji decomposition



An especially annoying observation here was that, since

$$L'_q \neq L_q$$

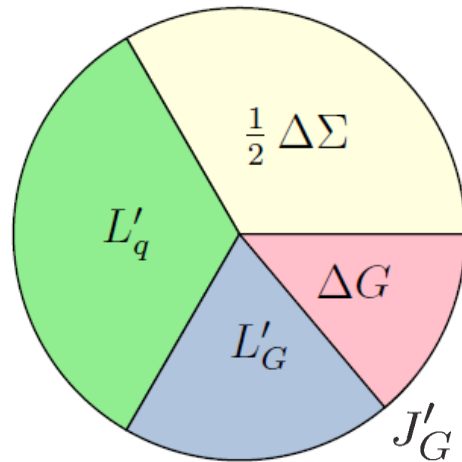
one must inevitably conclude that

$$J'_G = \Delta G + L'_G \neq J_G !$$

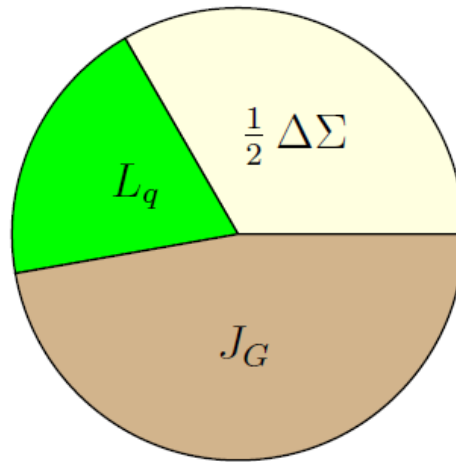
Now we know the answer of this puzzle.

- M.W. , Phys. Rev. D81 (2010) 114010.

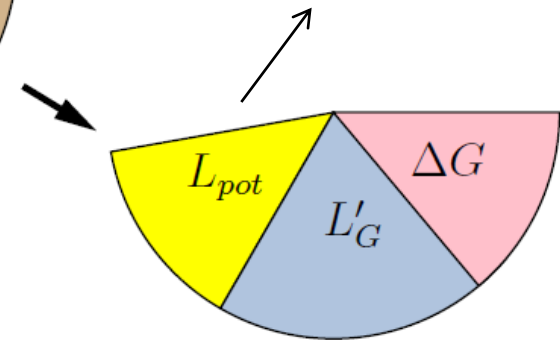
Jaffe-Manohar decomposition



Ji decomposition



potential angular momentum



L_{pot} characterizes the difference between $J_G(\text{Ji})$ and $J'_G(\text{JM})$.

$$J_G(\text{Ji}) = J'_G(\text{JM}) + L_{pot}$$

Pay attention to the **difference** of **quark OAMs** in the two decompositions.

$$L_Q(\text{JM}) \sim \psi^\dagger \mathbf{x} \times \mathbf{p} \psi$$

canonical OAM

not gauge invariant !

$$L_Q(\text{Ji}) \sim \psi^\dagger \mathbf{x} \times (\mathbf{p} - g \mathbf{A}) \psi$$

mechanical OAM

(or **kinetic OAM**)

gauge invariant !

gauge principle

observables must be gauge-invariant !

- **Observability** of **canonical OAM** has long been **questioned** ?
- On the other hand, it has been shown that the **mechanical quark OAM** can be related to **observables** through **GPDs**. (X. Ji, 1997)

The recent intensive dispute began with Chen et al.'s papers.

- X.-S. Chen et al., Phys. Rev. Lett. 103, 062001 (2009) ; 100, 232002 (2008).

basic idea

$$A^\mu = A_{phys}^\mu + A_{pure}^\mu$$

which is a generalization of the familiar decomposition of **photon** field in **QED** into the **transverse** and **longitudinal** components :

$$A_{phys} \Leftrightarrow A_\perp (\text{gauge-invariant}), \quad A_{pure} \Leftrightarrow A_\parallel$$

Their decomposition is given in the following form :

$$\begin{aligned} J_{QCD} &= S'_q + L'_q + S'_G + L'_G \\ &= \int \psi^\dagger \frac{1}{2} \Sigma \psi d^3x + \int \psi^\dagger \mathbf{x} \times \left(\frac{1}{i} \nabla - g \mathbf{A}_{pure} \right) \psi d^3x \\ &+ \int \mathbf{E}^a \times \mathbf{A}_{phys}^a d^3x + \int E^{aj} (\mathbf{x} \times \mathcal{D}_{pure}) \mathbf{A}_{phys}^{aj} d^3x \end{aligned}$$

It can be shown that each term is **separately gauge-invariant** !

- **GI version** of Jaffe-Manohar decomposition ? -

Soon after, we noticed that the way of gauge-invariant decomposition of nucleon spin is not necessarily unique, and proposed another G.I. decomposition :

- M.W. , Phys. Rev. D81 (2010) 114010.

$$J_{QCD} = S_q + L_q + S_G + L_G$$

where

$$S_q = S'_q, \quad S_G = S'_G$$

$$L_q = \int \psi \mathbf{x} \times \left(\frac{1}{i} \nabla - g \mathbf{A} \right) \psi^\dagger d^3x = L_q(\mathbf{J}_i)$$

$$L_G = L'_G + \boxed{\int \rho^a (\mathbf{x} \times \mathbf{A}_{phys}^a) d^3x} \longrightarrow L_{pot}$$

“potential angular momentum”

The QED correspondent of L_{pot} is the orbital angular momentum carried by electromagnetic potential, appearing in the famous Feynman paradox.

An arbitrariness of the spin decomposition arises, because this potential angular momentum term is solely gauge-invariant ! Shifting it to the quark OAM part

$$\left. \begin{array}{l} L_q + L_{pot} = L'_q \text{ (Chen)} \\ L_G - L_{pot} = L'_G \text{ (Chen)} \end{array} \right\} \Rightarrow \begin{array}{l} J_G = J'_G + L_{pot} \\ \mathbf{J}_i \quad \mathbf{J}\text{-M or Chen} \end{array}$$

We are thus left with **two gauge-invariant decompositions** of the nucleon spin :

“**canonical**” decomposition

$$J_{QCD} = S'_q + L'_q + S'_G + L'_G$$

with

$$S'_q = \int \psi^\dagger \frac{1}{2} \Sigma \psi d^3x$$

$$L'_q = \int \psi^\dagger \mathbf{x} \times \left(\frac{1}{i} \nabla - g \mathbf{A}_{pure} \right) \psi d^3x$$

$$S'_G = \int \mathbf{E}^a \times \mathbf{A}_{phys}^a d^3x$$

$$L'_G = \int E^{aj} \left(\mathbf{x} \times \mathcal{D}_{pure} A_{phys}^{aj} \right) d^3x$$

“**mechanical**” decomposition

$$J_{QCD} = S_q + L_q + S_G + L_G$$

with

$$S_q = S'_q$$

$$L_q = \int \psi^\dagger \left(\frac{1}{i} \nabla - g \mathbf{A} \right) \psi d^3x$$

$$S_G = S'_G$$

$$L_G = L'_G + L_{pot}$$

[Word of caution]

- These decompositions are based on the familiar **transverse-longitudinal decomposition** of the gauge field.
- However, the **transverse-longitudinal decomposition** is given only **after fixing the Lorentz-frame of reference**.

- **breaks Lorentz-covariance** -

“Seemingly” covariant decomposition of the angular momentum operator

The **most general forms** of gauge-invariant complete decomposition of the nucleon spin, which have “**seemingly**” **covariant appearances**, was given in

- M.W. , Phys. Rev. D83, 014012 (2011)

“**canonical**” decomposition

$$M_{QCD}^{\lambda\mu\nu} = M_{q-spin}^{\prime\lambda\mu\nu} + M_{q-OAM}^{\prime\lambda\mu\nu} + M_{G-spin}^{\prime\lambda\mu\nu} + M_{G-OAM}^{\prime\lambda\mu\nu} \\ + \text{boost} + \text{total divergence}$$

where

$$M_{q-spin}^{\prime\lambda\mu\nu} = \frac{1}{2} \epsilon^{\lambda\mu\nu\sigma} \bar{\psi} \gamma_\sigma \gamma_5 \psi \\ M_{q-OAM}^{\prime\lambda\mu\nu} = \bar{\psi} \gamma^\lambda (x^\mu i D_{pure}^\nu - x^\nu i D_{pure}^\mu) \psi \\ M_{G-spin}^{\prime\lambda\mu\nu} = 2 \text{Tr} \{ F^{\lambda\nu} A_{phys}^\mu - F^{\lambda\mu} A_{phys}^\nu \} \\ M_{G-OAM}^{\prime\lambda\mu\nu} = 2 \text{Tr} \{ F^{\lambda\alpha} (x^\mu D_{pure}^\nu - x^\nu D_{pure}^\mu) A_\alpha^{phys} \}$$

“**mechanical**” decomposition

$$M_{QCD}^{\lambda\mu\nu} = M_{q-spin}^{\lambda\mu\nu} + M_{q-OAM}^{\lambda\mu\nu} + M_{G-spin}^{\mu\nu\lambda} + M_{G-OAM}^{\lambda\mu\nu} \\ + \text{boost} + \text{total divergence}$$

where

$$M_{q-spin}^{\lambda\mu\nu} = M^{\prime\lambda\mu\nu} \\ M_{q-OAM}^{\lambda\mu\nu} = \bar{\psi} \gamma^\lambda (x^\mu i D^\nu - x^\nu i D^\mu) \psi \\ M_{G-spin}^{\lambda\mu\nu} = M_{G-spin}^{\prime\lambda\mu\nu} \\ M_{g-OAM}^{\lambda\mu\nu} = M_{G-OAM}^{\prime\lambda\mu\nu} \\ + 2 \text{Tr} [(D_\alpha F^{\alpha\lambda}) (x^\mu A_{phys}^\nu - x^\nu A_{phys}^\mu)]$$

The startingpoint of these gauge-invariant decompositions of the 4-vector potential

$$A^\mu = A_{phys}^\mu + A_{pure}^\mu$$

To obtain the above two “seemingly” covariant complete decompositions of the QCD angular momentum tensor, we need to impose very general conditions only :

$$F_{pure}^{\mu\nu} \equiv \partial^\mu A_{pure}^\nu - \partial^\nu A_{pure}^\mu - i g [A_{pure}^\mu, A_{pure}^\nu] = 0$$

and

$$\begin{aligned} A_{phys}^\mu(x) &\rightarrow U(x) A_{phys}^\mu(x) U^{-1}(x) \\ A_{pure}^\mu(x) &\rightarrow U(x) \left(A_{pure}^\mu(x) + \frac{i}{g} \partial^\mu \right) U^{-1}(x) \end{aligned}$$

- Actually, these conditions are not enough to fix the decomposition uniquely !
- It is nevertheless true that one of our decompositions, i.e. the “canonical” type decomposition contains the LC-gauge motivated Bashinsky-Jaffe (or Hatta) decomposition as well as the Coulomb-gauge motivated Chen decomposition, after a suitable choice of the Lorentz frame.

[critiques to non-uniqueness nature]

It was criticized by several researchers that our formal decomposition of the gauge field into its physical and pure-gauge components is **not unique** at all and there are in principle **infinitely many such decompositions**, which in turn leads to **infinitely many decomposition of the nucleon spin**.

According to

- X. Ji, Y. Xu, and Y. Zhao, JHEP 08 (2010) 082.

the **arbitrariness** of the decomposition comes from the **path-dependence of the Wilson line**, which is necessary for explicitly fixing the **decomposition** of the gauge field into the **physical** and **pure-gauge** components.

Another argument in favor of the existence of infinitely many decomposition of the nucleon spin was advocated by

- C. Lorcé, Phys. Lett. B719, 185 (2013).

based on what-he-call the (hidden) **Stueckelberg symmetry** of gauge-trans., which **changes** both of A_{phys} and A_{pure} , while **leaving their sum intact**.

After long debate, we realize that the **remaining issues** in the gauge-invariant decomposition problem of the nucleon spin are the following **two** :

- 1) Are there **infinitely many decompositions** of the nucleon spin ? If not, what **physical principle** favors one particular decomposition among many candidates ?
- 2) Among the two different decompositions, i.e. the “**canonical**” type and “**mechanical**” type decompositions, which can we say is **more physical** ?
(More “physical” here means that it is **closer to direct observation**.)

Actually, the 1st question above is closely connected with the long-lasting fundamental question of the nucleon spin decomposition problem.

- 1’) Can the **total gluon angular momentum** be **gauge-invariantly** decomposed into its **spin** and **orbital parts** **without causing conflict** with the **textbook negative statement** on the similar question on the **total photon angular momentum** ?

We believe that a clear answer to both these questions are given in

- M. Wakamatsu, Eur. Phys. J. A51 (2015) 52 ; arXiv : 1409.4474 [hep-ph]

2.2. Uniqueness problem of the nucleon spin decomposition

- the role of Lorentz-symmetry -

Ji et al. argued that the **total gluon helicity in a polarized proton** is shown to be **large momentum limit** of a gauge-invariant operator $\mathbf{E} \times \mathbf{A}_\perp$, with \mathbf{A}_\perp being the usual **transverse component** of the **gauge potential**.

- X. Ji, J.-H. Zhang, and Y. Zhao, Phys. Rev. 111 (2013) 112002.

(1) First, they pointed out that, for the abelian case, the **gluon spin operator** S_G , which corresponds to **DIS measurements**, can be expressed in the form :

$$S_G = (\mathbf{E}(0) \times \mathbf{A}_{phys}(0))^3$$

with

$$\mathbf{A}_{phys}(0) = \mathbf{A}(0) - \frac{1}{\nabla^+} \nabla A^+(\xi^-) \Big|_{\xi^-=0}$$

(2) Next, they showed that the above operator is just the **IMF limit** of

$$\mathbf{E} \times \mathbf{A}_\perp : \text{ gluon spin operator of Chen et al.}$$

From this fact, they concluded that, to identify $(\mathbf{E} \times \mathbf{A}_\perp)^3$ as the gluon helicity, one must have the following conditions :

Infinite Momentum Frame & physical gauge (\sim LC gauge)

The statement is nothing wrong, but it has a danger of causing a misunderstanding.

In fact, the gluon spin, or more generally, the longitudinally polarized gluon distribution, must be a Lorentz-frame independent quantity.

This is clear from the fact that the measurement of these quantities is carried out in the laboratory frame not in the IMF !

This especially means that the gluon spin or the longitudinally polarized gluon distribution should not depend on the magnitude of nucleon momentum P_z .

$$\Delta G(\cancel{P_z}), \quad \Delta g(x, \cancel{P_z})$$

On the Lorentz-frame independence of PDF (from Collins' textbook)

definition of unpolarized PDF (n being the light-like vector with $n^2 = 0$)

$$\int \frac{d\lambda}{2\pi} e^{i\lambda k \cdot n} \langle P | \bar{\psi}(0) \not{n} \psi(\lambda n) | P \rangle$$

Since the r.h.s is a scalar function, it must be a function of $k \cdot n$ and $P \cdot n$:

$$\tilde{q}(k \cdot n, P \cdot n)$$

The formula is invariant under scaling of n by an arbitrary positive factor, so that only the combination $x \equiv k \cdot n / P \cdot n$ is allowed :

$$q\left(x \equiv \frac{k \cdot n}{P \cdot n}\right)$$

This gives

$$q(x) = \int \frac{d\lambda}{2\pi} e^{i\lambda x (P \cdot n)} \langle P | \bar{\psi}(0) \not{n} \psi(\lambda n) | P \rangle$$

It is invariant under the boost along the direction of the nucleon momentum.

$$p^0 \rightarrow \gamma(p^0 - v p^3), \quad p^1 \rightarrow p^1, \quad p^2 \rightarrow p^2, \quad p^3 \rightarrow \gamma(p^3 - v p^0)$$

To see the **importance of the constraint** from **Lorentz-frame independence** in our **decomposition problem**, it would be instructive to compare a **vital difference** between the **various definitions** of the **“physical” component** of the gauge field :

- Y. Hatta, X. Ji, and Y. Zhao, Phys. Rev. D89 (2014) 085030.

- LC gauge motivated ($A^+ = 0$) $\Rightarrow A_{phys}^\mu = \frac{1}{D^+} F^{+\mu}$
- temporal gauge motivated ($A^0 = 0$) $\Rightarrow A_{phys}^\mu = \frac{1}{D^0} F^{0\mu}$
- spatial axial gauge motivated ($A^3 = 0$) $\Rightarrow A_{phys}^\mu = \frac{1}{D^3} F^{3\mu}$
- Coulomb gauge motivated ($\nabla \cdot \mathbf{A} = 0$) $\Rightarrow \mathbf{A}_{phys} = \mathbf{A} - \nabla \frac{1}{\nabla^2} \nabla \cdot \mathbf{A}$

A distinguishing feature of the **LC gauge motivated choice** is that it is **invariant** under the **Lorentz-boost along the 3-direction**, i.e. the **direction of nucleon momentum** !

In fact, under the Lorentz boost along the 3-direction

$$x^1 \rightarrow x^1, \quad x^2 \rightarrow x^2, \quad x^3 \rightarrow \gamma(x^3 - v x^0), \quad x^0 \rightarrow \gamma(x^0 - v x^3)$$

one can easily verify that (for $k = 1, 2$)

$$\begin{aligned} A_{phys}^k &\equiv \frac{1}{D^+} F^{+k} = \frac{1}{\partial^+ - i g A^+} F^{+k} \\ &\rightarrow \frac{1}{\gamma(1-v)(\partial^+ - i g A^+)} \gamma(1-v) F^{+k} = \frac{1}{D^+} F^{+k} = A_{phys}^k \end{aligned}$$

On the contrary, any other definitions of A_{phys}^k is **not invariant** under the **boost**.

We therefore conclude that what plays a **key role** in the **uniqueness problem** of the **GI decomposition** of the nucleon spin is the **Lorentz-frame independence**.

Somewhat ironically, then, what selects a **particular GI nucleon spin decomposition** is not the **gauge symmetry** but the **Lorentz symmetry** !

Still noteworthy observation is as follows. In the **free field limit** with

$$A^0 = A^3 = 0$$

we see that

$$\begin{aligned} \text{LC} & : A_{phys}^\mu = \frac{1}{D^+} F^{+\mu} \rightarrow \frac{1}{\partial^+} \partial^+ A^\mu = A^\mu \\ \text{temporal} & : A_{phys}^\mu = \frac{1}{D^0} F^{0\mu} \rightarrow \frac{1}{\partial^0} \partial^0 A^\mu = A^\mu \\ \text{spatial axial} & : A_{phys}^\mu = \frac{1}{D^3} F^{3\mu} \rightarrow \frac{1}{\partial^3} \partial^3 A^\mu = A^\mu \end{aligned}$$

This indicates **perturbative equivalence** of these three. In fact, we find that the **1-loop anomalous dimension** of the gluon spin operators are just the same.

- M.W., Phys. Rev. D87 (2013) 094035.

$$\begin{aligned} \langle PS | \tilde{F}^{+k} A_{phys}^k PS \rangle_G |_{A^+=0} &= \left[1 + \frac{\alpha_S}{4\pi} \cdot \frac{\beta_0}{\varepsilon} \right] \langle PS | \tilde{F}^{+k} A_{phys}^k PS \rangle_G^{tree} \\ \langle PS | \tilde{F}^{0k} A_{phys}^k PS \rangle_G |_{A^0=0} &= \left[1 + \frac{\alpha_S}{4\pi} \cdot \frac{\beta_0}{\varepsilon} \right] \langle PS | \tilde{F}^{0k} A_{phys}^k PS \rangle_G^{tree} \\ \langle PS | \tilde{F}^{3k} A_{phys}^k PS \rangle_G |_{A^3=0} &= \left[1 + \frac{\alpha_S}{4\pi} \cdot \frac{\beta_0}{\varepsilon} \right] \langle PS | \tilde{F}^{3k} A_{phys}^k PS \rangle_G^{tree} \end{aligned}$$

Now, the definition of the **gluon spin operator** corresponding to **DIS** measurements seems **unique**, so that **there is only one** (or two) **nucleon spin decomposition**.

$$\Delta G = \frac{1}{2P^+} \langle PS | 2 \text{Tr} \left[\epsilon_{\perp}^{jk} \tilde{F}^{j+}(0) A_{phys}^k(0) \right] | PS \rangle$$

where

$$A_{phys}^k(0) = -\frac{1}{2} \int d\xi^- \epsilon(\xi^-) \mathcal{L}[0, \xi^-] F^{+k}(\xi^-) \mathcal{L}[\xi^-, 0]$$

It is **gauge-invariant** as well as **Lorentz-boost invariant** along the 3-direction.

- Any contradiction with the standard textbook knowledge ?
- Is the **lack of full covariance** an indication of the fact that the gluon spin is not a gauge-invariant quantity in an ordinary sense ?



A key is the existence of **particular spatial direction** in the DIS observables !

- **direction of nucleon momentum** -

One can convince it if one remembers

decomposition problem of the total photon angular momentum

- S.J. Van Enk and G. Nienhuis, Europhys. Lett. 25, 497 (1994).
- S.J. Van Enk and G. Nienhuis, J. Mod. Optics 41, 963 (1994).

They argue that the **total angular momentum** of **free electromagnetic field** **can** be **gauge-invariantly** decomposed into “spin” and “orbital” parts, $\mathbf{J}_\gamma = \mathbf{S} + \mathbf{L}$.

(1) This separation is **not Lorentz invariant**.

(2) **Neither \mathbf{S} nor \mathbf{L} does** obey the **SU(2) commutation relation**.

(1) causes no problem, because the **photon spin measurement** is performed in a **fixed laboratory frame** by making use of the interaction with atoms.

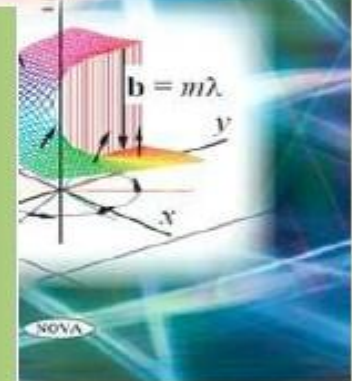
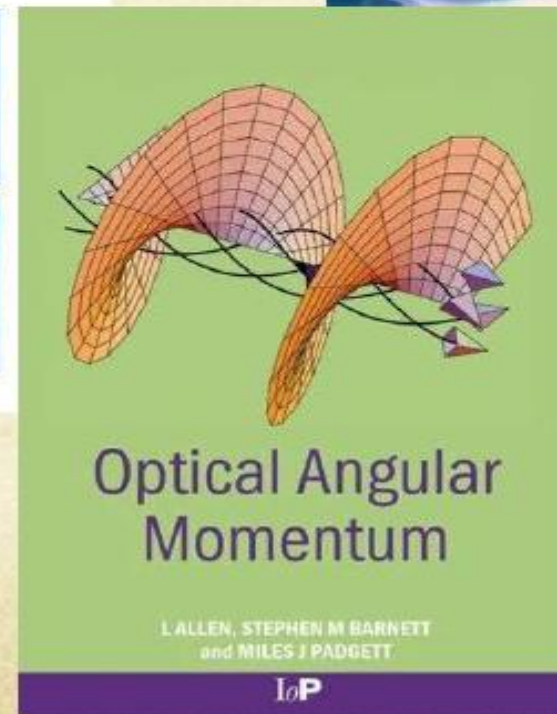
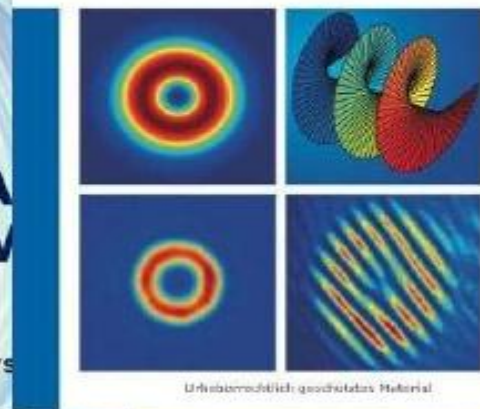
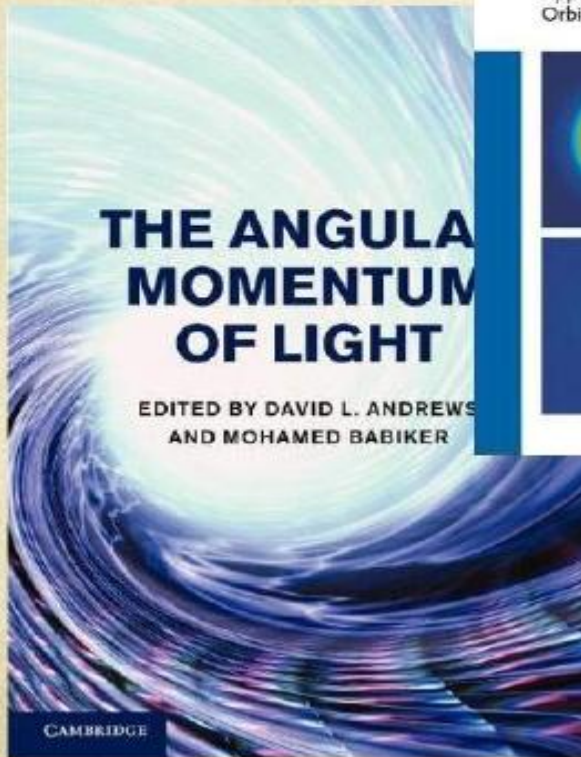
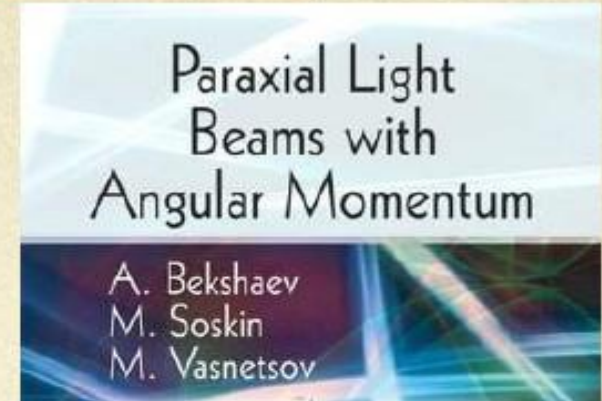
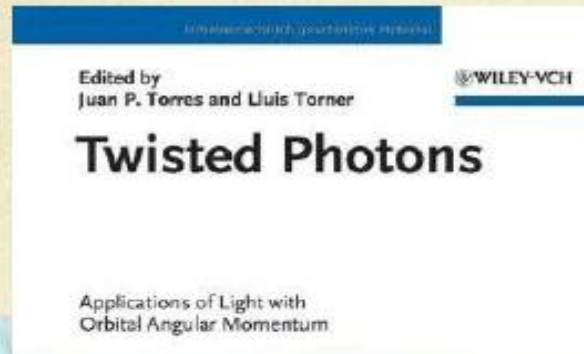
(2) is not also the problem, because both of \mathbf{S} and \mathbf{L} (actually their **components along the photon beam direction**) can be **separately measured**.



It appears that the **key** is again the **existence of a particular spatial direction** in the measurement, i.e. the **direction of paraxial laser beam**.

SAM and OAM in paraxial beams

Since 1992:



It may be fun to inspect the **physical contents** of the resultant gluon spin operator.

In the **LC gauge** ($A^+ = 0$), it reduces to the following form :

$$\begin{aligned}\Delta G &= \frac{1}{2P^+} \langle PS | 2 \text{Tr} \left[\epsilon_{\perp}^{jk} F^{j+}(0) A_{phys}^k(0) \right] | PS \rangle \\ &\Rightarrow \frac{1}{2P^+} \langle PS | (\mathbf{E}_{\perp} \times \mathbf{A}_{\perp})^3 + \mathbf{B}_{\perp} \cdot \mathbf{A}_{\perp} | PS \rangle\end{aligned}$$

We emphasize that the presence of the **2nd term** is essential, because **the 1st term alone is not invariant** under the **Lorentz boost along the 3-direction**.

Jaffe once estimated the contributions of both terms in the bag model as well as in the quark model.

- R.L. Jaffe, Phys. Lett. B365 (1996) 359.

Jaffe already recognized that, since the **sum is boost-invariant**, the above ΔG can be calculated in **any Lorentz frame**, including the **rest frame** of the nucleon, **provided that** the above \mathbf{A}_{\perp} is the **gauge potential in the LC gauge**.

What is curious here is the **physical meaning** of the peculiar 2nd term.

Very interestingly, it resembles the quantity :

$$S = \int \mathbf{B} \cdot \mathbf{A} d^3x$$

except the absence of the **3-component** in $\mathbf{B}_\perp \cdot \mathbf{A}_\perp$.

In the field of **space and laboratory plasma physics**, the above **S** is called the **magnetic helicity**, which gives a measure of the **topological configuration of magnetic field**.

magnetic helicity = topological invariant

- M. Berger, Plasma. Phys. Control. Fusion 41 (1999) B167.

This might indicates that, if a **topological configuration of the gluon field** plays some role in the **gluon spin** in the nucleon, it is through this 2nd term (?)

Leaving aside such a speculation, a **perturbative consideration** gives transparent **physical meaning** of the term $\mathbf{B}_\perp \cdot \mathbf{A}_\perp$.

Using the **free field expansion** of the gauge potential

$$\mathbf{A}_\perp(\mathbf{x}, t) = \int d^3\tilde{\mathbf{k}}^3 \sum_{\lambda=\pm 1} [a(\mathbf{k}, \lambda) \boldsymbol{\varepsilon}(\mathbf{k}, \lambda) e^{-i\mathbf{k}\cdot\mathbf{x}} + a^\dagger(\mathbf{k}, \lambda) \boldsymbol{\varepsilon}^*(\mathbf{k}, \lambda) e^{i\mathbf{k}\cdot\mathbf{x}}]$$

one can easily show that

$$\int \mathbf{E}_\perp \times \mathbf{A}_\perp d^3x = \int d\tilde{\mathbf{k}}^3 \sum_{\lambda=\pm 1} \hat{\mathbf{k}} \lambda a^\dagger(\mathbf{k}, \lambda) a(\mathbf{k}, \lambda)$$

and

$$\int \mathbf{B}_\perp \cdot \mathbf{A}_\perp d^3x = \int d\tilde{\mathbf{k}}^3 \sum_{\lambda=\pm 1} \lambda a^\dagger(\mathbf{k}, \lambda) a(\mathbf{k}, \lambda)$$

Thus

$$\frac{1}{2} \int [(\mathbf{E}_\perp \times \mathbf{A}_\perp)^3 + \mathbf{B}_\perp \cdot \mathbf{A}_\perp] d^3x = \sum_{\lambda=\pm 1} \lambda a^\dagger(\mathbf{k}, \lambda) a(\mathbf{k}, \lambda)$$

reduces to the **ordinary helicity operator**.

Now we can make a clear statement on our “**seemingly**” covariant decompositions of the angular momentum operator (Phys. Rev. D83, 014012 (2011)).

$$M_{QCD}^{\lambda\mu\nu} = M_{q-spin}^{\prime\lambda\mu\nu} + M_{q-OAM}^{\prime\lambda\mu\nu} + M_{G-spin}^{\prime\lambda\mu\nu} + M_{G-OAM}^{\prime\lambda\mu\nu} \\ + \text{boost} + \text{total divergence}$$

$$M_{QCD}^{\lambda\mu\nu} = M_{q-spin}^{\lambda\mu\nu} + M_{q-OAM}^{\lambda\mu\nu} + M_{G-spin}^{\mu\nu\lambda} + M_{G-OAM}^{\lambda\mu\nu} \\ + \text{boost} + \text{total divergence}$$

where

$$M_{q-spin}^{\prime\lambda\mu\nu} = \frac{1}{2} \epsilon^{\lambda\mu\nu\sigma} \bar{\psi} \gamma_\sigma \gamma_5 \psi \\ M_{q-OAM}^{\prime\lambda\mu\nu} = \bar{\psi} \gamma^\lambda (x^\mu i D_{pure}^\nu - x^\nu i D_{pure}^\mu) \psi$$

$$M_{G-spin}^{\prime\lambda\mu\nu} = 2 \text{Tr} \{ F^{\lambda\nu} A_{phys}^\mu - F^{\lambda\mu} A_{phys}^\nu \} \\ M_{G-OAM}^{\prime\lambda\mu\nu} = 2 \text{Tr} \{ F^{\lambda\alpha} (x^\mu D_{pure}^\nu - x^\nu D_{pure}^\mu) A_\alpha^{phys} \}$$

where

$$M_{q-spin}^{\lambda\mu\nu} = M^{\prime\lambda\mu\nu} \\ M_{q-OAM}^{\lambda\mu\nu} = \bar{\psi} \gamma^\lambda (x^\mu i D^\nu - x^\nu i D^\mu) \psi$$

$$M_{G-spin}^{\lambda\mu\nu} = M_{G-spin}^{\prime\lambda\mu\nu} \\ M_{g-OAM}^{\lambda\mu\nu} = M_{G-OAM}^{\prime\lambda\mu\nu} \\ + 2 \text{Tr} [(D_\alpha F^{\alpha\lambda}) (x^\mu A_{phys}^\nu - x^\nu A_{phys}^\mu)]$$

Both of these decompositions looks covariant, but it is only seemingly so.

The reason is that the decomposition of the gauge field into its **physical** and **pure-gauge component** can be done **only in non-covariant manner**.

Now the **problem (1)**, the very delicate gauge-invariance issue of the gluon spin, has been essentially **resolved**, so that what remains is the **problem (2)**, i.e.

relative merits of “**canonical**” and “**mechanical**” decompositions

(We recall that the **gluon spin part** is **just common** in the two decompositions !)

Often-claimed **advantages (?)** of “**canonical**” decomposition.

(1) Each piece of the decomposition satisfies the **SU(2) commutation relation**

$$[L_{can}^i, L_{can}^j] = i \epsilon^{ijk} L_{can}^k$$

(2) L_{can} is compatible with **free partonic picture** of **constituent orbital motion**.

The 1st advantage was already **denied** for the **massless particle**.

- M.W., Int. J. Mod. Phys. A29, 1430012 (2014).
- W.-M. Sun, arXiv : 1407.2035 [quant-ph].

The underlying reason is that a **massless particle** is described by a **little group** $E(2) \sim ISO(2)$ of the Lorentz group.

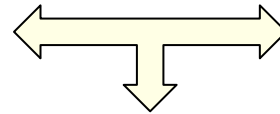
- P. M. Zhang and D. G. Pak, Eur. Phys. J. A 48, 91 (2012).

Just a reminder on power balance (?) of “canonical” or “mechanical” party

From the slide of my talk at “Transversity 2011”, Veli Losinj, Croatia

canonical OAM party

- Jaffe-Manohar
- Bashinsky-Jaffe
- Chen et al.
- Cho et al.
- Leader



mechanical OAM party

- Ji
- Wakamatsu

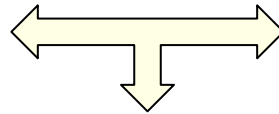
Neutral party

- Burkardt-BC

Current status (2014-2015 ?)

canonical OAM party

- Jaffe-Manohar
- Bashinsky-Jaffe
- Chen et al.
- Leader
- Hatta
- Ji (?)
- ...



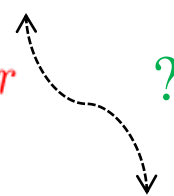
dynamical OAM party

- Wakamatsu

Neutral party

- Burkardt
- Lorce (?)
- Tiwari (?)

Widespread superstition originating from the “appearance” of the two OAMs :

$$\begin{aligned}
 \mathbf{L}_{mech} &= \int \psi^\dagger \mathbf{r} \times \frac{1}{i} (\nabla - i g \mathbf{A}) \psi d^3r \xrightarrow{G.F.} \int \psi^\dagger \mathbf{r} \times \frac{1}{i} (\nabla - i g \mathbf{A}_{phys}) \psi d^3r \\
 \mathbf{L}_{can} &= \int \psi^\dagger \mathbf{r} \times \frac{1}{i} (\nabla - i g \mathbf{A}_{pure}) \psi d^3r \xrightarrow{G.F.} \int \psi^\dagger \mathbf{r} \times \frac{1}{i} \nabla \psi d^3r \quad \text{?}
 \end{aligned}$$


- The “mechanical” OAM appears to **contains** quark-gluon interaction.
- The “canonical” OAM **does not** contain quark-gluon interaction, so that it seems compatible with the **partonic interpretation**.

That this understanding is not necessarily correct was argued in Sect.6 of

- M.W., Int. J. Mod. Phys. A29, 1430012 (2014).

We shall discuss now that this **misconception** arises, because they are too much accustomed with a **weak-coupling treatment of gauge theory** as exemplified by

hydrogen atom problem of QED

2.3. What is “potential angular momentum” ? - Lessons from CED & QED -

The **key quantity**, which distinguishes the **two OAMs** appearing in the **two decompositions**, is what-we-call the “**potential angular momentum**” term.

To understand its **physical meaning**, we find it instructive to study **easier QED case**, especially a system of **charged particles** and **photons**.

$$H = \sum_i \frac{1}{2} m_i \dot{\mathbf{r}}_i^2 + \frac{1}{2} \int d^3r [\mathbf{E}^2 + \mathbf{B}^2]$$

longitudinal-transverse decomposition :

$$\mathbf{A} = \mathbf{A}_{\parallel} + \mathbf{A}_{\perp}$$

with the properties

$$\nabla \times \mathbf{A}_{\parallel} = 0, \quad \nabla \cdot \mathbf{A}_{\perp} = 0$$

corresponding decomposition for electric and magnetic fields

$$\mathbf{E} = \mathbf{E}_{\parallel} + \mathbf{E}_{\perp}, \quad \mathbf{B} = \mathbf{B}_{\perp}$$

with

$$\mathbf{E}_{\parallel} = -\nabla A^0 - \frac{\partial}{\partial t} \mathbf{A}_{\parallel}, \quad \mathbf{E}_{\perp} = -\frac{\partial}{\partial t} \mathbf{A}_{\perp}, \quad \mathbf{B}_{\perp} = \nabla \times \mathbf{A}_{\perp}$$

Then we have

$$H = \sum_i \frac{1}{2} m_i \dot{\mathbf{r}}_i^2 + \frac{1}{2} \int d^3r \mathbf{E}_{\parallel}^2 + \frac{1}{2} \int d^3r [\mathbf{E}_{\perp}^2 + \mathbf{B}_{\perp}^2]$$

Here, by using the Gauss law $\nabla \cdot \mathbf{E}_{\parallel} = \rho$, we can show that

$$\frac{1}{2} \int d^3r \mathbf{E}_{\parallel}^2 = \frac{1}{2} \int d^3r d^3r' \frac{\rho(\mathbf{r}) \rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} = V_{Coul}$$

V_{Coul} : Coulomb interaction between charged particles

We are thus led to

$$H = \sum_i \frac{1}{2} m_i \dot{\mathbf{r}}_i^2 + V_{Coul} + \frac{1}{2} \int d^3r [\mathbf{E}_{\perp}^2 + \mathbf{B}_{\perp}^2]$$

total momentum (of the electron photon system)

$$\begin{aligned}
 P_{tot} &= \sum_i m_i \dot{\mathbf{r}}_i + \int d^3r \mathbf{E} \times \mathbf{B} \quad \xrightarrow{\text{Poynting vector}} \\
 &= \sum_i m_i \dot{\mathbf{r}}_i + \int d^3r (\mathbf{E}_{\parallel} + \mathbf{E}_{\perp}) \times \mathbf{B}_{\perp} \\
 &= \sum_i m_i \dot{\mathbf{r}}_i + \mathbf{P}_{long} + \mathbf{P}_{trans} \\
 &= \sum_i m_i \dot{\mathbf{r}}_i + \sum_i q_i \mathbf{A}_{\perp}(\mathbf{r}_i) + \mathbf{P}_{trans} \\
 &\quad \text{mechanical momentum} \quad \searrow \text{potential momentum : a la Konopinski}
 \end{aligned}$$

Note that it was originally contained in $\int d^3r \mathbf{E} \times \mathbf{B}$.

If we combine it with the **mechanical momentum** $m_i \dot{\mathbf{r}}_i$

$$\begin{aligned}
 m_i \dot{\mathbf{r}}_i + q_i \mathbf{A}_{\perp}(\mathbf{r}_i) &= m_i \dot{\mathbf{r}}_i + q_i (\mathbf{A}(\mathbf{r}_i) - \mathbf{A}_{\parallel}(\mathbf{r}_i)) \\
 &= (m_i \dot{\mathbf{r}}_i + q_i \mathbf{A}(\mathbf{r}_i)) - q_i \mathbf{A}_{\parallel}(\mathbf{r}_i) \\
 &= \mathbf{p}_i - q_i \mathbf{A}_{\parallel}(\mathbf{r}_i)
 \end{aligned}$$

with $\mathbf{p}_i \equiv m_i \dot{\mathbf{r}}_i + q_i \mathbf{A}(\mathbf{r}_i)$ being the standard **canonical momentum**.

We therefore obtain

$$\begin{aligned} P_{tot} &= \sum_i m_i \dot{\mathbf{r}}_i + \sum_i q_i \mathbf{A}_\perp(\mathbf{r}_i) + P_{trans} \\ &= \sum_i (\mathbf{p}_i - q_i \mathbf{A}_\parallel(\mathbf{r}_i)) + P_{trans} = P^{\text{"can"}} + P_{trans} \end{aligned}$$

with

$$P^{\text{"can"}} \equiv \sum_i (\mathbf{p}_i - q_i \mathbf{A}_\parallel(\mathbf{r}_i))$$

being the **generalized (gauge-invariant) canonical momentum** of Chen et al.

In the Coulomb gauge ($\mathbf{A}_\parallel = 0$), it reduces to the usual canonical one.

$$P^{\text{"can"}} \rightarrow \sum_i \mathbf{p}_i = P_{can}$$

In any case, we have two different decomposition of P_{tot} .

$$\begin{aligned} P_{tot} &= P_{mech} + P_{pot} + P_{trans} \\ &= P^{\text{"can"}} + P_{trans} \end{aligned}$$

total angular momentum

$$\begin{aligned}
 \mathbf{J}_{tot} &= \sum_i \mathbf{r}_i \times m_i \dot{\mathbf{r}}_i + \int d^3r \mathbf{r} \times [(\mathbf{E}_{\parallel} + \mathbf{E}_{\perp}) \times \mathbf{B}_{\perp}] \\
 &= \sum_i \mathbf{r}_i \times m_i \dot{\mathbf{r}}_i + \mathbf{J}_{long} + \mathbf{J}_{trans} \\
 &= \sum_i \mathbf{r}_i \times m_i \dot{\mathbf{r}}_i + \sum_i \mathbf{r}_i \times q_i \mathbf{A}_{\perp}(\mathbf{r}_i) + \mathbf{J}_{trans}
 \end{aligned}$$

mechanical OAM

what-we-call the “**potential angular momentum**”

originally contained in $\int d^3r \mathbf{r} \times (\mathbf{E} \times \mathbf{B})$.

Again, combining this term with the **mechanical angular momentum**, we get

$$\begin{aligned}
 \mathbf{J}_{tot} &= \mathbf{L}_{mech} + \mathbf{L}_{pot} + \mathbf{J}_{trans} \\
 &= \sum_i \mathbf{r}_i \times m_i \dot{\mathbf{r}}_i + \sum_i \mathbf{r}_i \times q_i \mathbf{A}_{\perp}(\mathbf{r}_i) + \mathbf{J}_{trans} \\
 &= \sum_i \mathbf{r}_i \times (\mathbf{p}_i - q_i \mathbf{A}_{\parallel}(\mathbf{r}_i)) + \mathbf{J}_{trans} \\
 &= \mathbf{L}^{“can”} + \mathbf{J}_{trans}
 \end{aligned}$$

Incidentally, the transverse part can be decomposed into two pieces :

$$\begin{aligned}\mathbf{J}_{trans} &\equiv \int d^3r \mathbf{r} \times (\mathbf{E}_\perp \times \mathbf{B}) \\ &= \int d^3r E_\perp^k (\mathbf{r} \times \nabla) A_\perp^k + \int d^3r \mathbf{E}_\perp \times \mathbf{A}_\perp \\ &= \mathbf{L}'_\gamma + \mathbf{S}'_\gamma\end{aligned}$$

which respectively correspond to the **OAM** and **intrinsic spin** of **free photon**.



After all these steps, we arrive at **two different decompositions** of \mathbf{J}_{tot} .

Two physically different decompositions

$$\mathbf{J}_{tot} = \mathbf{L}'_p + \mathbf{S}'_\gamma + \mathbf{L}'_\gamma = \mathbf{L}_p + \mathbf{S}_\gamma + \mathbf{L}_\gamma$$

“**canonical**” decomposition

“**mechanical**” decomposition

where

$$\mathbf{L}'_p = \sum_i \mathbf{r}_i \times (\mathbf{p}_i - q_i \mathbf{A}_\parallel(\mathbf{r}_i))$$

$$\Rightarrow \sum_i \mathbf{r}_i \times \frac{1}{i} \mathbf{D}_{i,pure}$$

$$\mathbf{S}'_\gamma = \int d^3r \mathbf{E}_\perp \times \mathbf{A}_\perp$$

$$\mathbf{L}'_\gamma = \int d^3r E_\perp^k (\mathbf{r} \times \nabla) A_\perp^k$$



Chen decomposition

$$\mathbf{L}_p = \sum_i m_i \mathbf{r}_i \times \dot{\mathbf{r}}_i$$

$$\Rightarrow \sum_i \mathbf{r}_i \times \frac{1}{i} \mathbf{D}_i$$

$$\mathbf{S}_\gamma = \mathbf{S}'_\gamma$$

$$\mathbf{L}_\gamma = \mathbf{L}'_\gamma + \mathbf{L}_{pot}$$



Our decomposition

Important remark

It is a **wide-spread belief** that, among the following two quantities :

$$\mathbf{L}^{\text{"can"}} = \mathbf{r} \times (\mathbf{p} - e \mathbf{A}_{\parallel}) \iff \mathbf{L}_{\text{mech}} = \mathbf{r} \times (\mathbf{p} - e \mathbf{A}_{\perp})$$

what is closer to physical image of **orbital motion** is the former, because the latter appears to contain an **genuine interaction term with the gauge field** !

The fact is just opposite !

$$\begin{aligned} \mathbf{L}^{\text{"can"}} &= \mathbf{L}_{\text{mech}} + \sum_i \mathbf{r}_i \times q_i \mathbf{A}_{\perp}(\mathbf{r}_i) \\ &= \underbrace{\sum_i m_i \mathbf{r}_i \times \dot{\mathbf{r}}_i}_{\text{orbital motion !}} + \int d^3r \mathbf{r} \times (\mathbf{E}_{\parallel} \times \mathbf{B}_{\perp}) \end{aligned}$$

- It is the **“mechanical”** angular momentum \mathbf{L}_{mech} not the **“canonical”** angular momentum $\mathbf{L}^{\text{"can"}}$ that has a **natural physical interpretation as orbital motion of particles** under the presence of gauge potential

\mathbf{A}_{\perp}

Also for the nucleon spin decomposition problem of QCD, there are many who believe that the ``canonical'' OAM rather than the ``mechanical'' OAM matches the idea of **partonic orbital motion** of quarks.

I would say that this understanding is not correct.

This **misconception** arises, because they are too much accustomed with a **weak-coupling treatment of gauge theory** as exemplified by

hydrogen atom problem of QED

In such problems, although the **Coulomb force** is handled **nonperturbatively**, the **transverse photons** are treated **only perturbatively**.

[Cf.] QCD requires **nonperturbative treatment** of **transverse gluon field**.

Hydrogen atom Hamiltonian (in Coulomb gauge) $m \dot{\mathbf{r}} = \mathbf{p} - e \mathbf{A}_\perp(\mathbf{r})$

$$H = \frac{1}{2} m \dot{\mathbf{r}}^2 + V_{Coul} + H_{trans} = H_0 + H_{int} + H_{trans}$$

$$H_0 = \frac{\mathbf{p}^2}{2m} + V_{Coul}(\mathbf{r})$$

$$H_{trans} = \sum_{\mathbf{k}} \sum_{\lambda=1,2} \hbar \omega_{\mathbf{k}} a_{\mathbf{k},\lambda}^\dagger a_{\mathbf{k},\lambda}$$

interaction term !

$$H_{int} = \frac{e}{2m} [\mathbf{p} \cdot \mathbf{A}_\perp(\mathbf{r}) + \mathbf{A}_\perp(\mathbf{r}) \cdot \mathbf{p}] + \frac{e^2}{2m} \mathbf{A}_\perp(\mathbf{r}) \cdot \mathbf{A}_\perp(\mathbf{r})$$

general form of eigen-states of H : $|\psi_n\rangle \otimes |\{n_{\mathbf{k},\lambda}\}\rangle$

$$H_0 |\psi_n\rangle = E_n |\psi_n\rangle$$

$$|\{n_{\mathbf{k},\lambda}\}\rangle = \prod_{\alpha} |n_{\mathbf{k}_\alpha, \lambda_\alpha}\rangle$$

In the standard description of hydrogen atom, we **do not include**

Fock components of transverse photons !

$$|\{n_{\mathbf{k},\lambda}\}\rangle \Rightarrow |0\rangle_{\text{photon}}$$

eigen-equation of hydrogen atom (relativistic Dirac equation)

$$H \psi_n = \left(\frac{\boldsymbol{\alpha} \cdot \nabla}{i} + \beta m - \frac{\alpha}{r} \right) \psi_n = E_n \psi_n$$

eigen wave function

$$\psi_{jm}^l = \begin{pmatrix} i \frac{G_{lj}(r)}{r} \varphi_{jm}^l \\ \frac{F_{lj}(r)}{r} (\boldsymbol{\sigma} \cdot \hat{\mathbf{r}}) \varphi_{jm}^l \end{pmatrix}$$

where

$$\varphi_{jm}^l = \begin{cases} \varphi_{jm}^{(+)} & \text{if } j = l + 1/2 \\ \varphi_{jm}^{(-)} & \text{if } j = l - 1/2 \end{cases} \quad \text{with } \boldsymbol{\sigma} \cdot \hat{\mathbf{r}} \varphi_{jm}^{(+)} = \varphi_{jm}^{(-)}$$

spin and orbital angular momentum

$$\mathbf{J} = \mathbf{L} + \frac{1}{2} \boldsymbol{\Sigma} = \begin{pmatrix} \mathbf{L} & \mathbf{0} \\ \mathbf{0} & \mathbf{L} \end{pmatrix} + \frac{1}{2} \begin{pmatrix} \boldsymbol{\sigma} & \mathbf{0} \\ \mathbf{0} & \boldsymbol{\sigma} \end{pmatrix}$$

We know that

$$[\mathbf{J}, H] = 0 \quad \text{but} \quad [\mathbf{L}, H] \neq 0, \quad [\boldsymbol{\Sigma}, H] \neq 0$$

Expectation value

$$\langle O \rangle \equiv \langle \psi_{jm}^l | O | \psi_{jm}^l \rangle$$

It holds that

$$\begin{aligned} \langle L_3 \rangle &= m \left\{ \frac{2j-1}{2j} \int_0^\infty G_{lj}^2 dr + \frac{2j+3}{2(j+1)} \int_0^\infty F_{lj}^2 dr \right\} \\ \left\langle \frac{1}{2} \Sigma_3 \right\rangle &= m \left\{ \frac{1}{2j} \int_0^\infty G_{lj}^2 dr - \frac{1}{2(j+1)} \int_0^\infty F_{lj}^2 dr \right\} \\ \langle J_3 \rangle &= m \int_0^\infty [G_{lj}^2 + F_{lj}^2] dr = m \end{aligned}$$

Electron alone saturates the spins of hydrogen atom !

In this problem, there is no difference between

$$\mathbf{L}_{can} = \mathbf{r} \times \mathbf{p} \quad \Longleftrightarrow \quad \mathbf{L}_{mech} = \mathbf{r} \times (\mathbf{p} - e \mathbf{A}_\perp)$$

because $\langle \mathbf{A}_\perp \rangle = 0$ in the restricted Fock space of hydrogen w.f.

no transverse photon Fock components !

The situation is absolutely different for the **nucleon spin problem of QCD**.

strongly-coupled gauge system of quark and gluons !

The **nucleon w.f.** contains the **Fock-components** of **transverse gluon A_{\perp}** .

Otherwise, we would have

$$g(x) = 0, \quad \Delta g(x) = 0$$

In other words, **nonzero gluon distributions** means the existence of **strong vector potential inside the nucleon**, and the quarks necessarily undergo this background field so that **they are not free partons**.

The **intrinsic OAM** of quarks inside the nucleon is therefore

$$L_{mech} \quad \text{not} \quad L_{can}$$

We shall later show more convincing **QCD argument** to support this statement.