

Perturbative QCD and Hard Processes

**Jianwei Qiu
Brookhaven National Laboratory
Stony Brook University**

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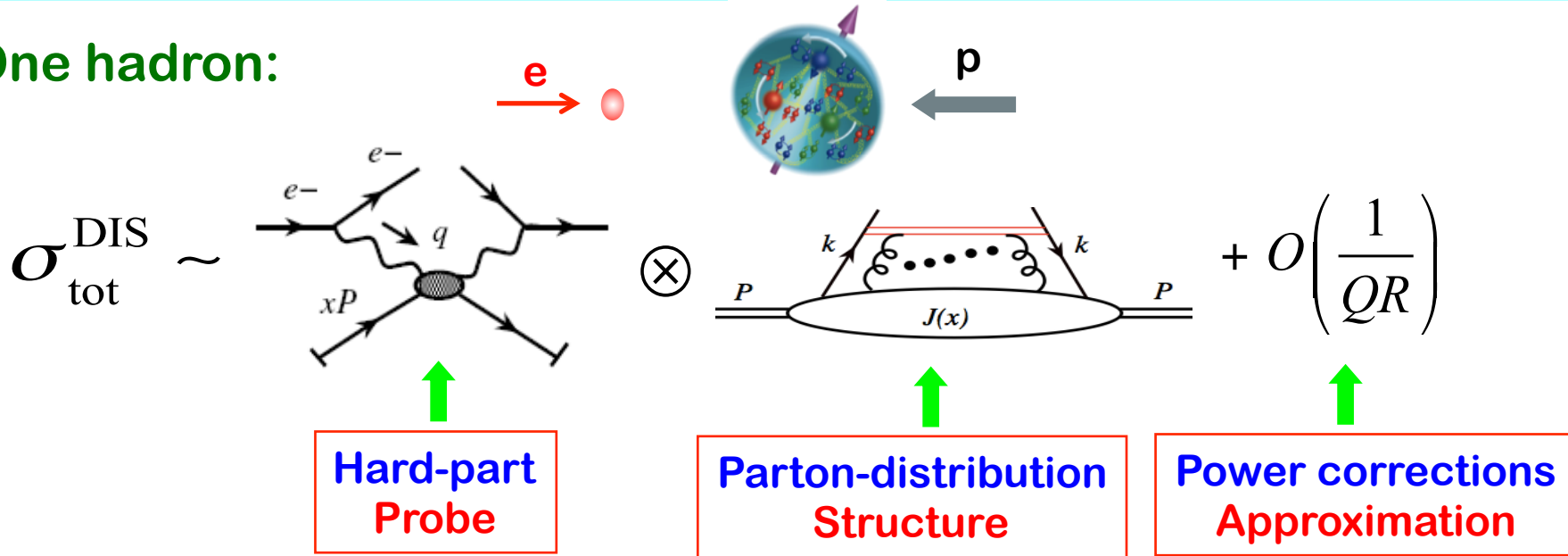
KEK Tokai campus, Tokai, Ibaraki, Japan, July 6 – 31, 2015

Summary of lecture one

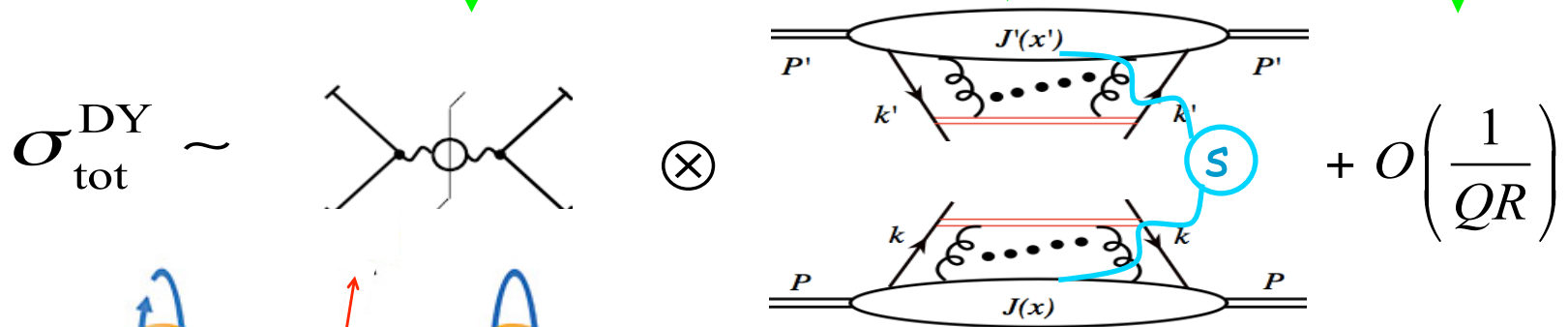
- ❑ QCD is a SU(3) color non-Abelian gauge theory of quark and gluon fields
- ❑ QCD perturbation theory works at high energy because of the Asymptotic Freedom
- ❑ Perturbative QCD calculations make sense only for infrared safe (IRS) quantities – e^+e^- total cross section
- ❑ Jets in high energy collisions provide us the “trace” of energetic quarks and gluons
- ❑ Factorization is necessary for pQCD to treat observables (cross sections) with “identified hadrons”
- ❑ Predictive power of QCD factorization relies on the universality of PDFs (or TMDs, GPDs, ...), the calculations of perturbative coefficient functions – hard parts

From one hadron to two hadrons

One hadron:



Two hadrons:



Predictive power:
Universal Parton Distributions

Drell-Yan process – two hadrons

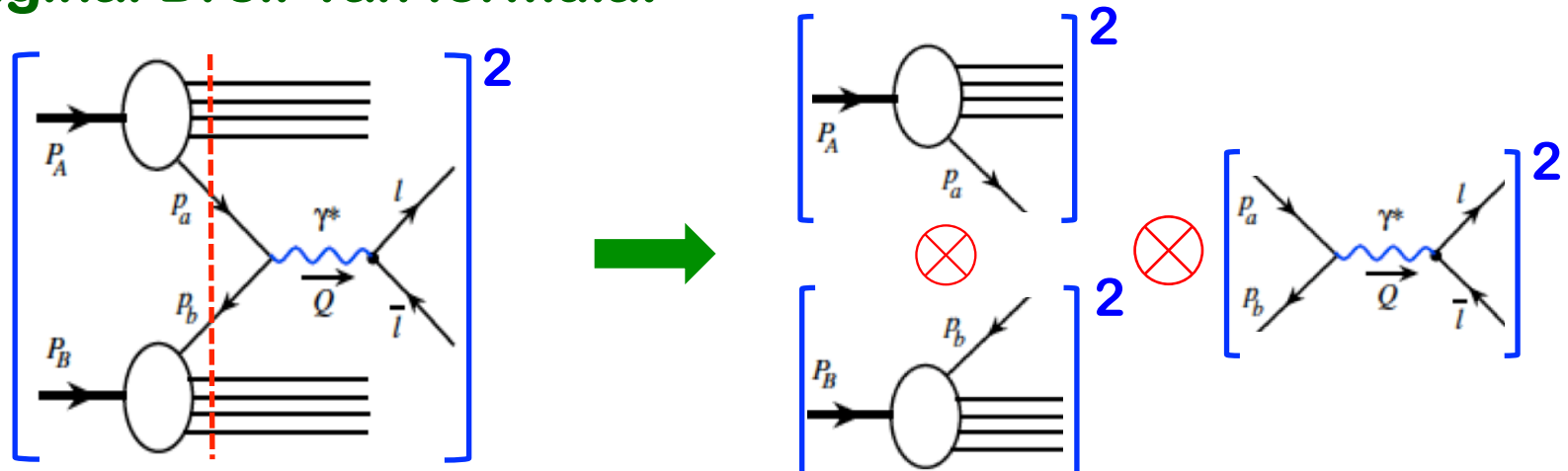
□ Drell-Yan mechanism:

S.D. Drell and T.-M. Yan
Phys. Rev. Lett. 25, 316 (1970)

$$A(P_A) + B(P_B) \rightarrow \gamma^*(q) [\rightarrow \bar{l}l(q)] + X \quad \text{with} \quad q^2 \equiv Q^2 \gg \Lambda_{\text{QCD}}^2 \sim 1/\text{fm}^2$$

Lepton pair – from decay of a virtual photon, or in general, a massive boson, e.g., W, Z, H⁰, ... (called Drell-Yan like processes)

□ Original Drell-Yan formula:



$$\frac{d\sigma_{A+B \rightarrow \bar{l}l+X}}{dQ^2 dy} = \frac{4\pi\alpha_{em}^2}{3Q^4} \sum_{p,\bar{p}} x_A \phi_{p/A}(x_A) x_B \phi_{\bar{p}/B}(x_B)$$

No color yet!

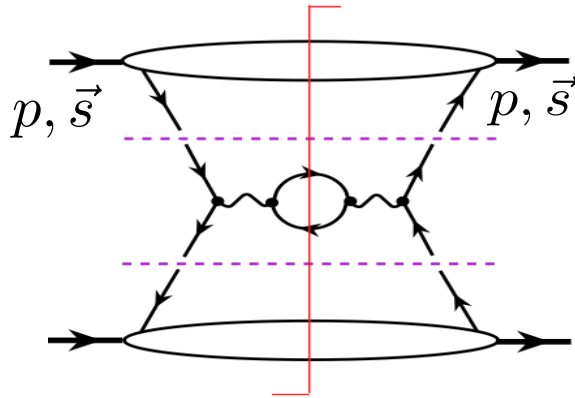
Rapidity: $y = \frac{1}{2} \ln(x_A/x_B)$

$$x_A = \frac{Q}{\sqrt{S}} e^y \quad x_B = \frac{Q}{\sqrt{S}} e^{-y}$$

Right shape – But – not normalization

Drell-Yan process in QCD

□ Spin decomposition – cut diagram notation:



⇐ all γ structure: $\gamma^\alpha, \gamma^\alpha \gamma^5, \sigma^{\alpha\beta}$ (or $\gamma^5 \sigma^{\alpha\beta}$), I, γ^5

⇐ all γ structure: $\gamma^\alpha, \gamma^\alpha \gamma^5, \sigma^{\alpha\beta}$ (or $\gamma^5 \sigma^{\alpha\beta}$), I, γ^5

□ Factorized cross section:

$$\sigma(Q, \vec{s}) \pm \sigma(Q, -\vec{s}) \propto \langle p, \vec{s} | \mathcal{O}(\psi, A^\mu) | p, \vec{s} \rangle \pm \langle p, -\vec{s} | \mathcal{O}(\psi, A^\mu) | p, -\vec{s} \rangle$$

□ Parity-Time reversal invariance:

$$\langle p, -\vec{s} | \mathcal{O}(\psi, A^\mu) | p, -\vec{s} \rangle = \langle p, \vec{s} | \mathcal{P} \mathcal{T} \mathcal{O}^\dagger(\psi, A^\mu) \mathcal{T}^{-1} \mathcal{P}^{-1} | p, \vec{s} \rangle$$

□ Good operators:

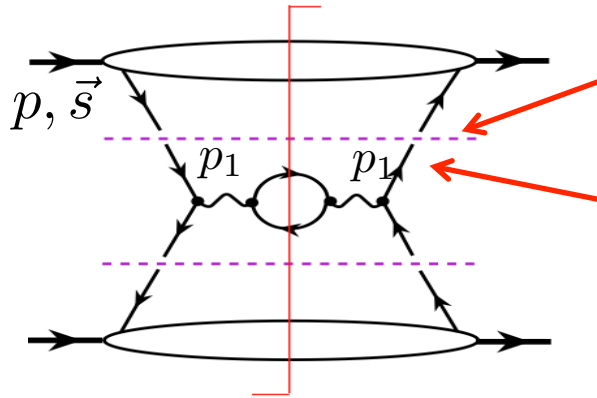
$$\langle p, \vec{s} | \mathcal{P} \mathcal{T} \mathcal{O}^\dagger(\psi, A^\mu) \mathcal{T}^{-1} \mathcal{P}^{-1} | p, \vec{s} \rangle = \pm \langle p, \vec{s} | \mathcal{O}(\psi, A^\mu) | p, \vec{s} \rangle$$

“+” for spin-averaged cross section \longrightarrow PDFs:

$$\langle p, \vec{s} | \bar{\psi}(0) \gamma^+ \psi(y^-) | p, \vec{s} \rangle, \quad \langle p, \vec{s} | F^{+i}(0) F^{+j} | p, \vec{s} \rangle (-g_{ij})$$

Drell-Yan process in QCD

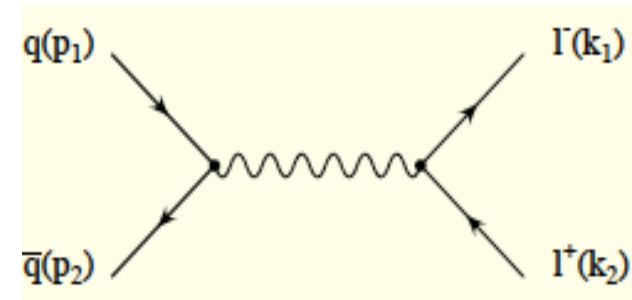
□ Spin-averaged cross section – Lowest order:



$$\frac{1}{2p^+} \gamma^+ \delta(x - p_1^+ / p^+) dx$$

$$\frac{1}{2} \gamma \cdot p = \frac{1}{2} \sum_s u_s(p) \bar{u}_s(p)$$

$$\hat{s} = (p_1 + p_2)^2 = Q^2$$



□ Lowest order partonic cross section:

$$\bar{\Sigma} |M|^2 = \frac{e_q^2 e^4}{\hat{s}^2} \left[\frac{1}{2} \right] \left[\frac{1}{2} \right] 3 \left\{ \frac{1}{3} \right\} \left\{ \frac{1}{3} \right\} \text{Tr}[\not{p}_1 \gamma^\nu \not{p}_2 \gamma^\mu] \text{Tr}[\not{k}_1 \gamma_\nu \not{k}_2 \gamma_\mu] = \left\{ \frac{1}{3} \right\} e_q^2 e^4 (1 + \cos^2 \theta)$$

$$PS^{(2)} = \frac{d^2 k_1}{(2\pi)^3 2E_1} \frac{d^2 k_2}{(2\pi)^3 2E_2} (2\pi)^4 \delta^4(p_1 + p_2 - k_1 - k_2) = \frac{1}{16\pi} d \cos(\theta)$$

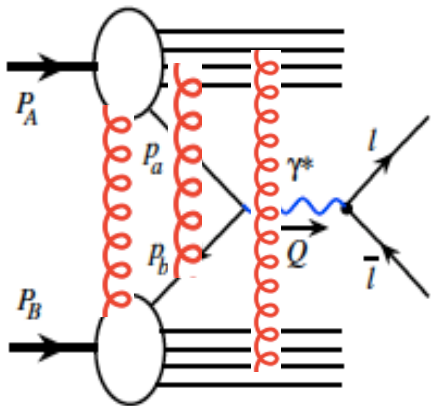
$$\sigma(q\bar{q} \rightarrow l^+l^-) = \left\{ \frac{1}{3} \right\} \frac{4\pi\alpha^2}{3\hat{s}} e_q^2 \equiv \sigma_0$$

□ Drell-Yan cross section:

$$\frac{d\sigma}{dQ^2 dy} = \sum_q \int dx_A dx_B \phi_{q/A}(x_A) \phi_{\bar{q}/B}(x_B) \left[\left\{ \frac{1}{3} \right\} \frac{4\pi\alpha^2}{3\hat{s}} e_q^2 \right] \delta(Q^2 - \hat{s}) \delta(y - \frac{1}{2} \ln(\frac{x_A}{x_B}))$$

Drell-Yan process in QCD

□ Beyond the lowest order:

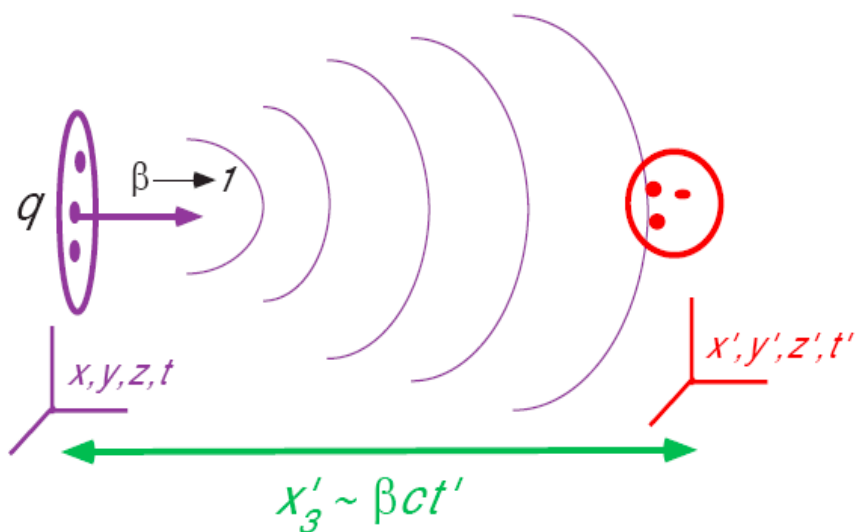


- ✧ Soft-gluon interaction takes place all the time
- ✧ Long-range gluon interaction before the hard collision



Break the Universality of PDFs
Loss the predictive power

□ Factorization – power suppression of soft gluon interaction:



x -Frame

$$A^-(x) = \frac{e}{|\vec{x}|}$$

$$E_3(x) = \frac{e}{|\vec{x}|^2}$$

x' -Frame

$$A^-(x') = \frac{e\gamma(1+\beta)}{(x_T^2 + \gamma^2\Delta^2)^{1/2}}$$

$$\Rightarrow 1 \text{ "not contracted!"}$$

$$E_3(x') = \frac{-e\gamma\Delta}{(x_T^2 + \gamma^2\Delta^2)^{3/2}}$$

$$\Rightarrow \frac{1}{\gamma^2} \text{ "strongly contracted!"}$$

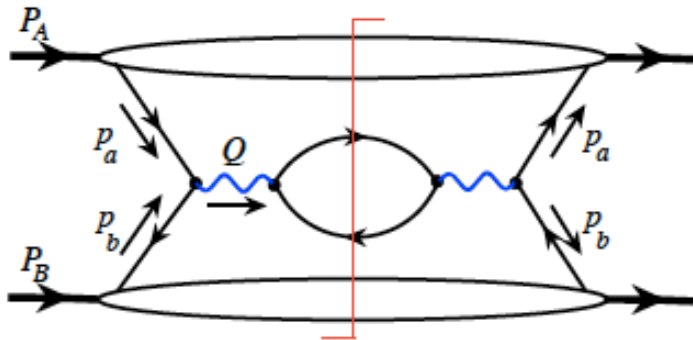
Drell-Yan process in QCD

Factorization – approximation:

Collins, Soper, Sterman, 1988

- Suppression of quantum interference between short-distance ($1/Q$) and long-distance ($\text{fm} \sim 1/\Lambda_{\text{QCD}}$) physics

Need “long-lived” active parton states linking the two



$$\int d^4 p_a \frac{1}{p_a^2 + i\epsilon} \frac{1}{p_a^2 - i\epsilon} \rightarrow \infty$$

Perturbatively pinched at $p_a^2 = 0$

Active parton is effectively on-shell for the hard collision

- Maintain the universality of PDFs:

Long-range soft gluon interaction has to be power suppressed

- Infrared safe of partonic parts:

Cancelation of IR behavior

Absorb all CO divergences into PDFs

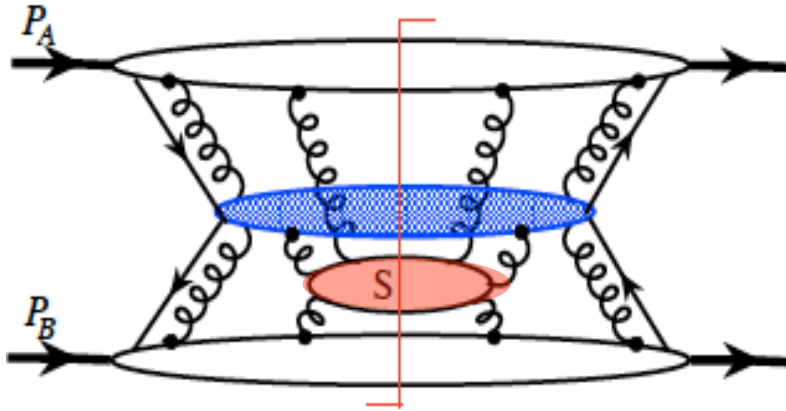
on-shell: $p_a^2, p_b^2 \ll Q^2$;

collinear: $p_{aT}^2, p_{bT}^2 \ll Q^2$;

higher-power: $p_a^- \ll q^-$; and $p_b^+ \ll q^+$

Drell-Yan process in QCD

□ Leading singular integration regions (pinch surface):



Hard: all lines off-shell by Q

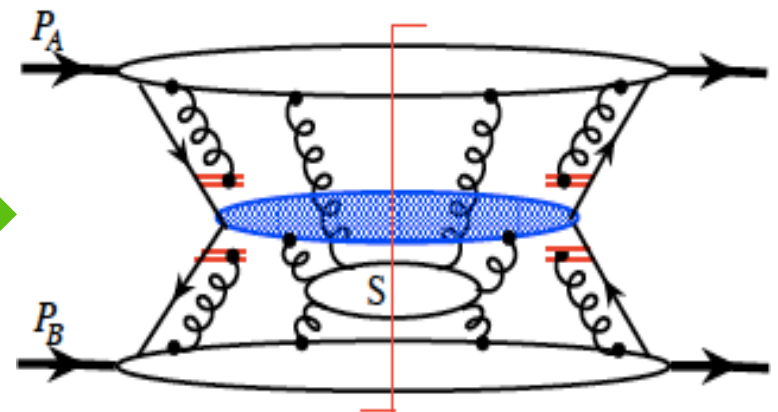
Collinear:

- ✧ lines collinear to A and B
- ✧ One “physical parton” per hadron

Soft: all components are soft

□ Collinear gluons:

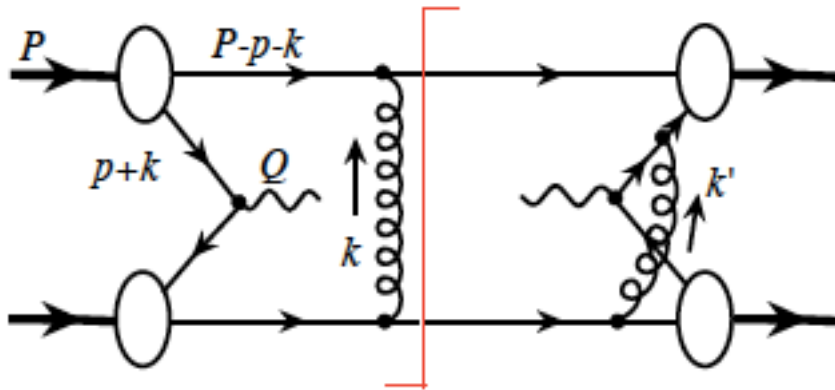
- ✧ Collinear gluons have the polarization vector: $\epsilon^\mu \sim k^\mu$
- ✧ The sum of the effect can be represented by the eikonal lines,



which are needed to make the PDFs gauge invariant!

Drell-Yan process in QCD

□ Trouble with soft gluons:



$$(xp + k)^2 + i\epsilon \propto k^- + i\epsilon$$

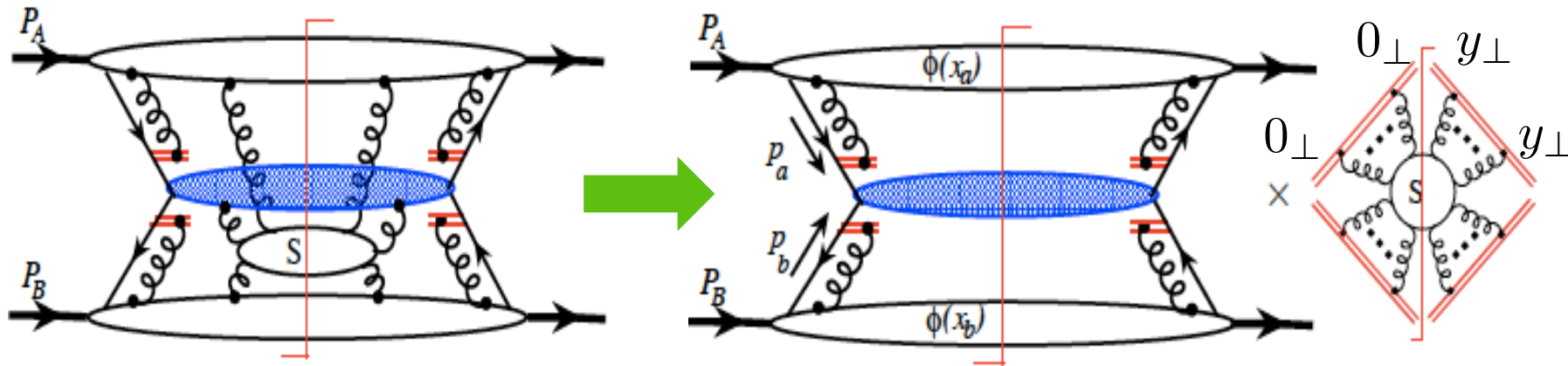
$$((1-x)p - k)^2 + i\epsilon \propto k^- - i\epsilon$$

- ✧ Soft gluon exchanged between a spectator quark of hadron B and the active quark of hadron A could rotate the quark's color and keep it from annihilating with the antiquark of hadron B
- ✧ The soft gluon approximations (with the eikonal lines) need k^\pm not too small. But, k^\pm could be trapped in “too small” region due to the pinch from spectator interaction: $k^\pm \sim M^2/Q \ll k_\perp \sim M$

Need to show that soft-gluon interactions are power suppressed

Drell-Yan process in QCD

□ Most difficult part of factorization:



- ✧ Sum over all final states to remove all poles in one-half plane
 - no more pinch poles
- ✧ Deform the k^\pm integration out of the trapped soft region
- ✧ Eikonal approximation \longrightarrow soft gluons to eikonal lines
 - gauge links
- ✧ Collinear factorization: Unitarity \longrightarrow soft factor = 1

All identified leading integration regions are factorizable!

Factorized Drell-Yan cross section

□ TMD factorization ($q_{\perp} \ll Q$):

$$\frac{d\sigma_{AB}}{d^4q} = \sigma_0 \int d^2k_{a\perp} d^2k_{b\perp} d^2k_{s\perp} \delta^2(q_{\perp} - k_{a\perp} - k_{b\perp} - k_{s\perp}) \mathcal{F}_{a/A}(x_A, k_{a\perp}) \mathcal{F}_{b/B}(x_B, k_{b\perp}) \mathcal{S}(k_{s\perp}) + \mathcal{O}(q_{\perp}/Q)$$
$$x_A = \frac{Q}{\sqrt{s}} e^y \quad x_B = \frac{Q}{\sqrt{s}} e^{-y}$$

The soft factor, \mathcal{S} , is universal, could be absorbed into the definition of TMD parton distribution

□ Collinear factorization ($q_{\perp} \sim Q$):

$$\frac{d\sigma_{AB}}{d^4q} = \int dx_a f_{a/A}(x_a, \mu) \int dx_b f_{b/B}(x_b, \mu) \frac{d\hat{\sigma}_{ab}}{d^4q}(x_a, x_b, \alpha_s(\mu), \mu) + \mathcal{O}(1/Q)$$

□ Spin dependence:

The factorization arguments are independent of the spin states of the colliding hadrons



same formula with polarized PDFs for γ^* , W/Z, H^0 ...

Cross section with a single hard scale

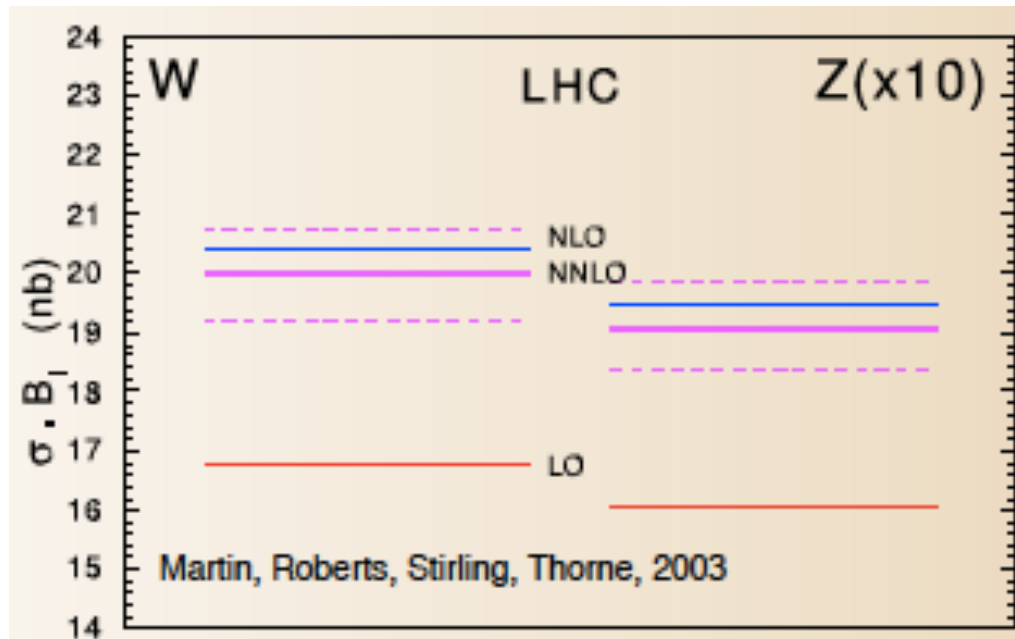
□ Partonic hard parts:

$$\hat{\sigma}(\alpha_s, \mu_F, \mu_R) = [\alpha_s(\mu_R)]^{n_\alpha} \left[\underbrace{\hat{\sigma}^{(0)}}_{\text{LO}} + \frac{\alpha_s}{2\pi} \underbrace{\hat{\sigma}^{(1)}}_{\text{NLO}}(\mu_F, \mu_R) + \left(\frac{\alpha_s}{2\pi}\right)^2 \underbrace{\hat{\sigma}^{(2)}}_{\text{NNLO}}(\mu_F, \mu_R) + \dots \right]$$

□ NNLO total x-section $\sigma(AB \rightarrow W, Z)$:

(Hamberg, van Neerven, Matsuura; Harlander, Kilgore 1991)

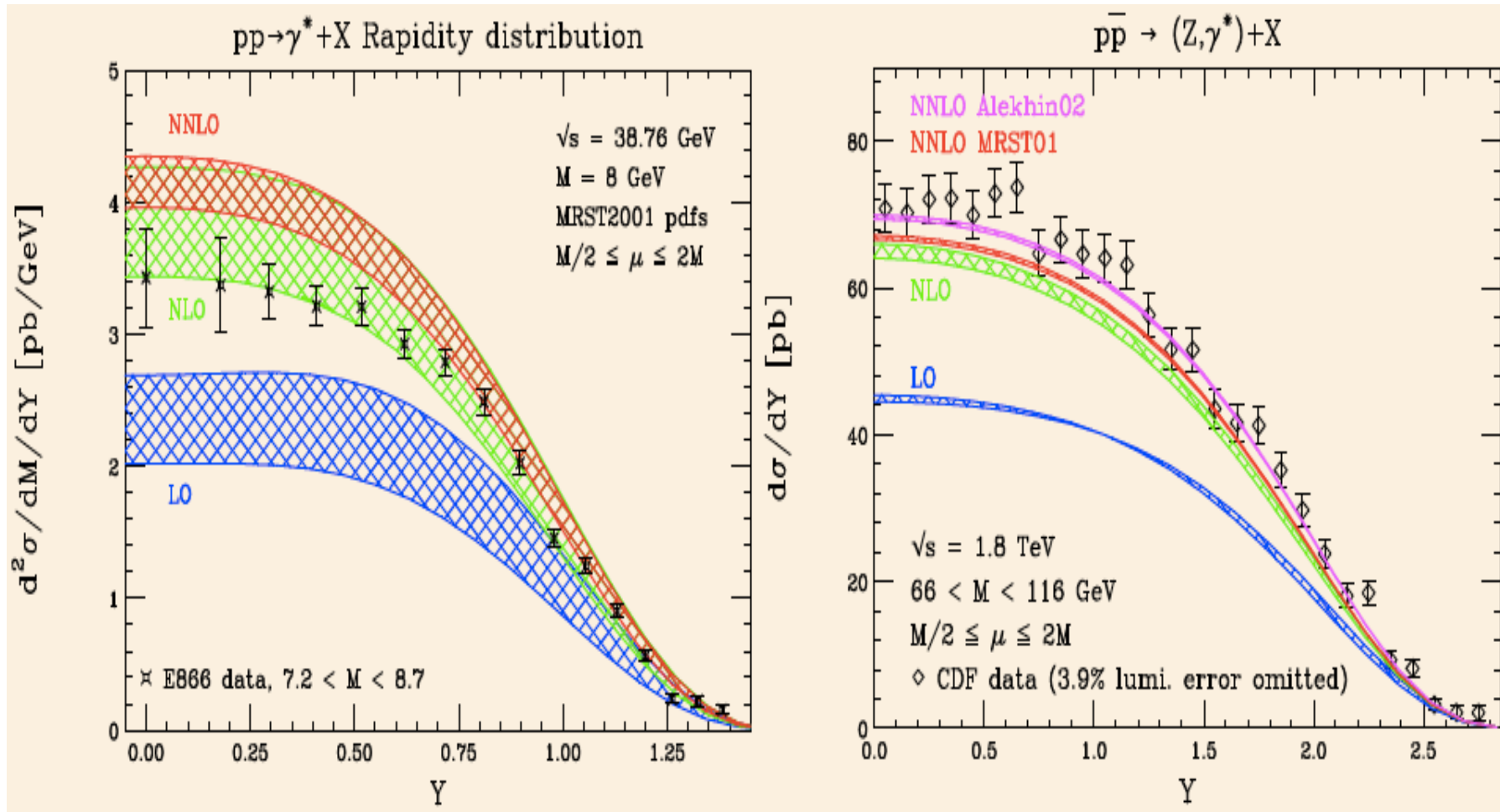
- ✧ Scale dependence:
a few percent
- ✧ NNLO K-factor is about
0.98 for LHC data, 1.04
for Tevatron data



Cross section with a single hard scale

□ NNLO differential x-section:

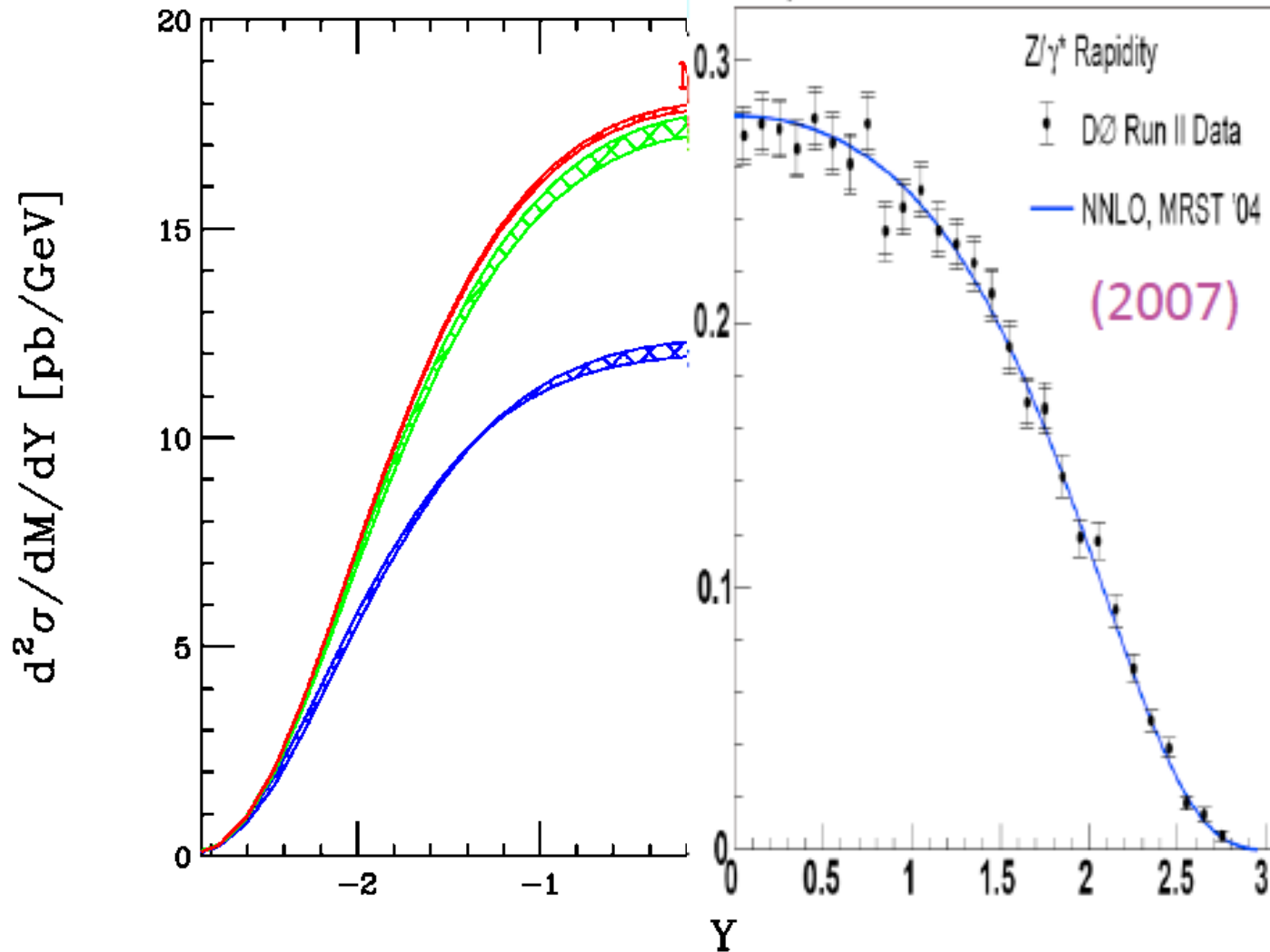
Anastasiou, Dixon, Melnikov, Petriello, 2003-05



Cross section with a single hard scale

□ NNLO differential x-section:

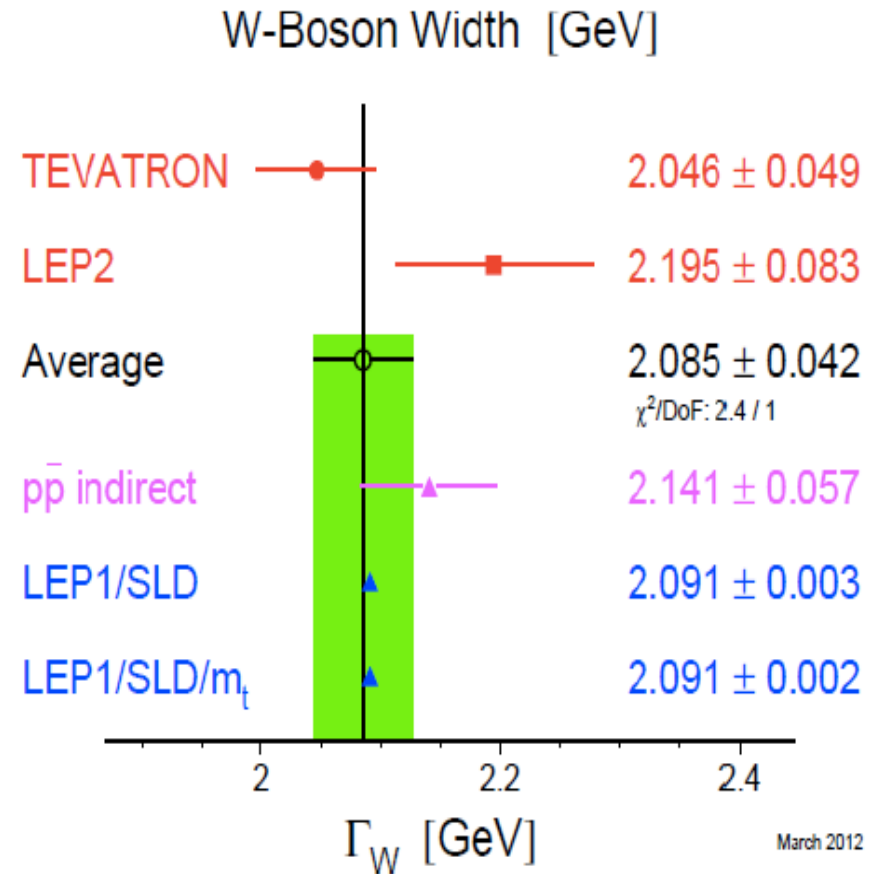
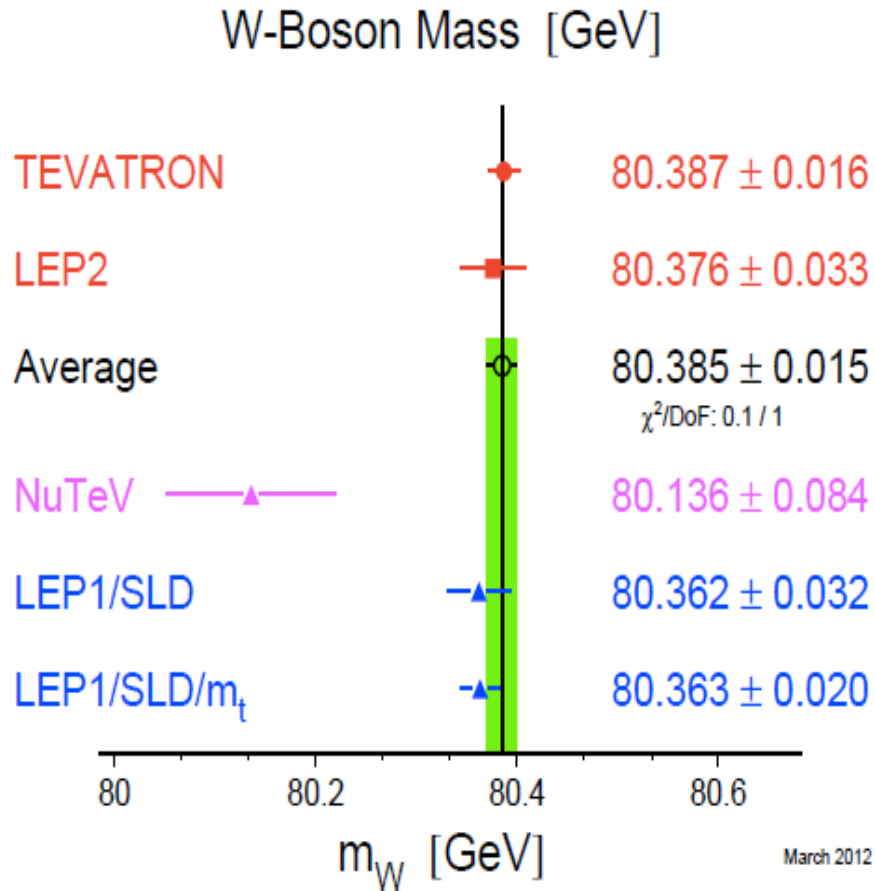
Anastasiou, Dixon, Melnikov, Petriello, 2003-05



Cross section with a single hard scale

Fernando Febres Cordero, CTEQ SS2012

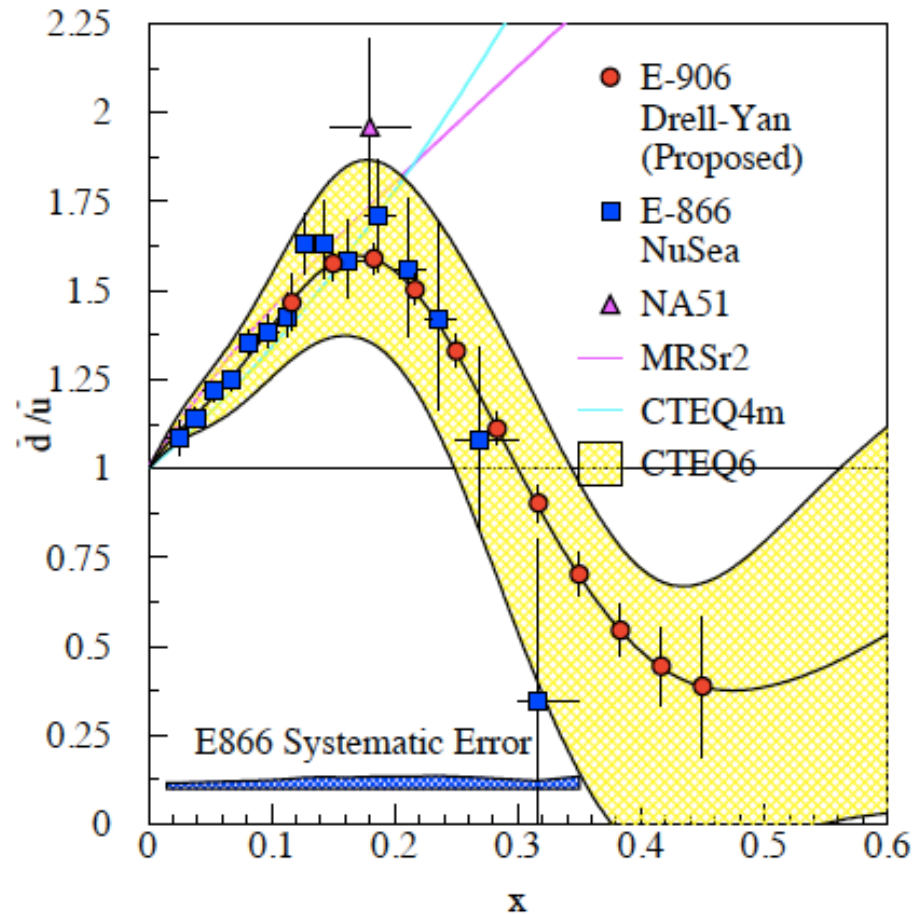
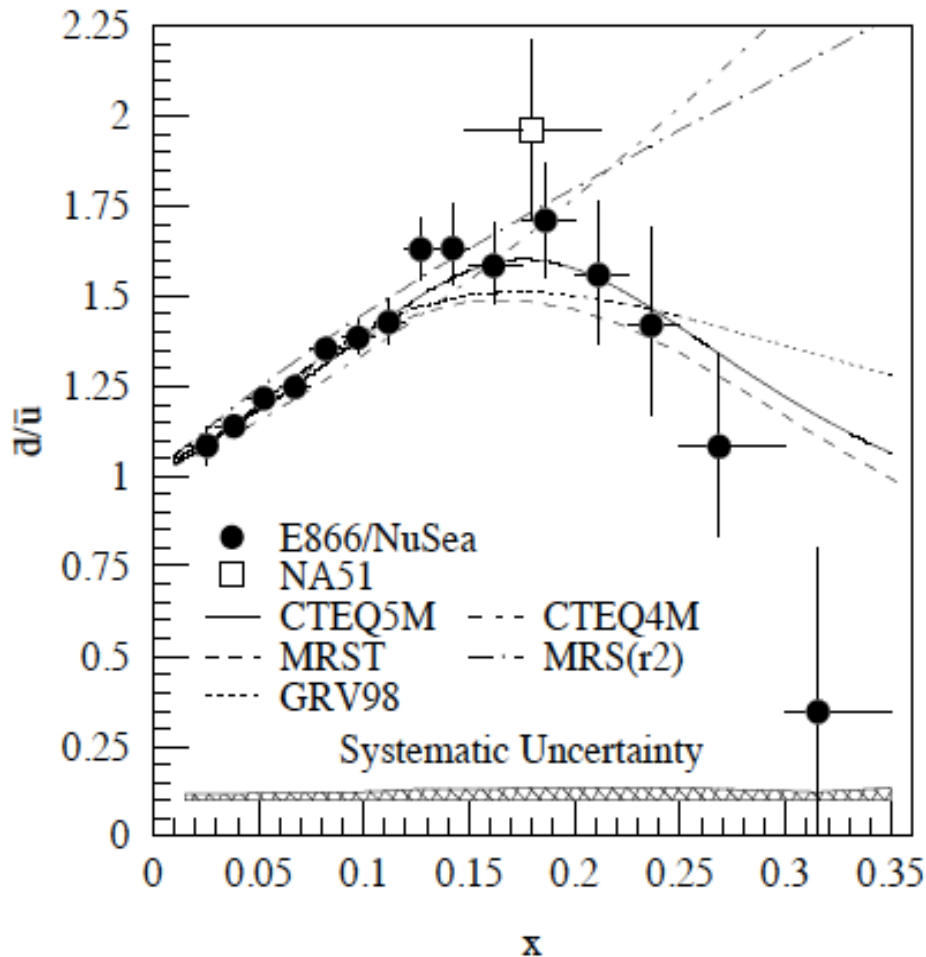
W mass & width:



Cross section with a single hard scale

Flavor asymmetry of the sea:

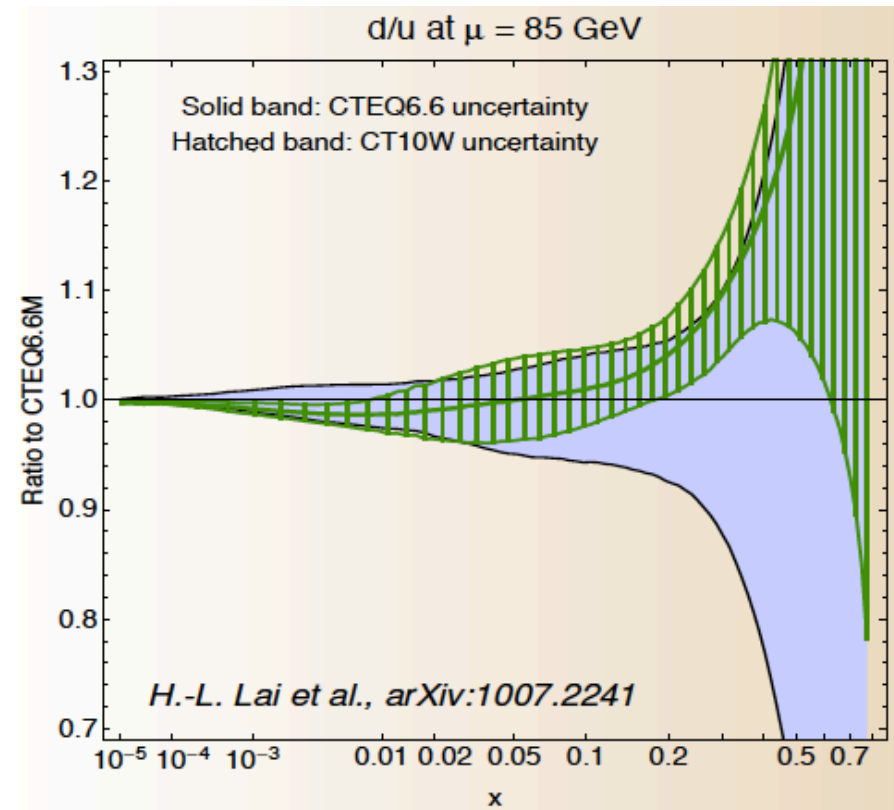
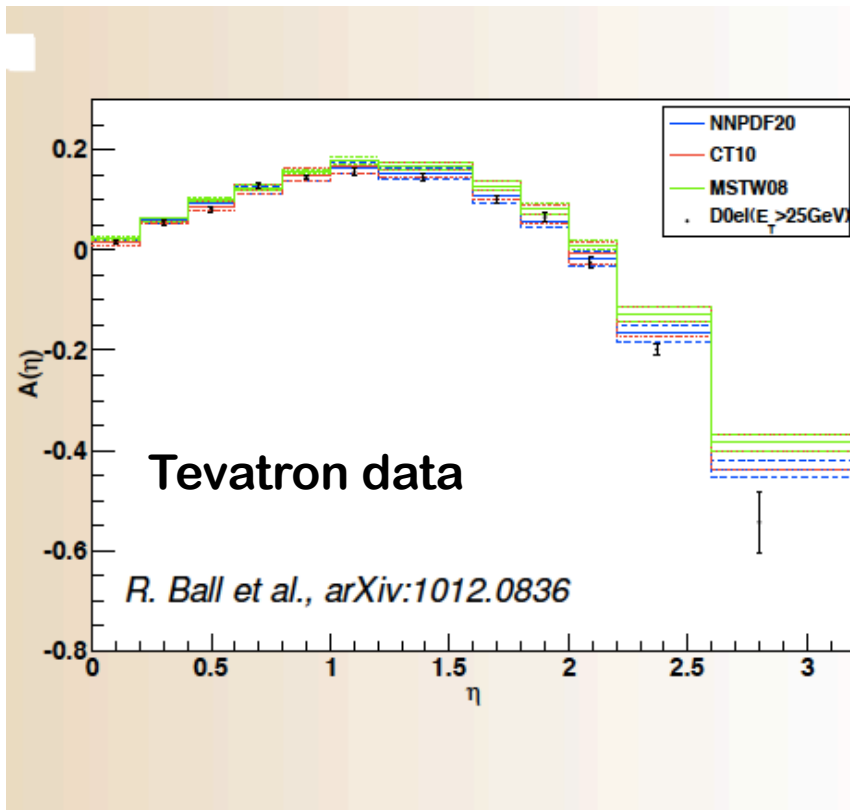
$$\sigma_{DY}(p+d)/2\sigma_{DY}(p+p) \simeq [1 + \bar{d}(x)/\bar{u}(x)] / 2.$$



Cross section with a single hard scale

□ **Charged lepton asymmetry:** $y \rightarrow y_{\max}$

$$A_{ch}(y_e) = \frac{d\sigma^{W^+}/dy_e - d\sigma^{W^-}/dy_e}{d\sigma^{W^+}/dy_e + d\sigma^{W^-}/dy_e} \rightarrow \frac{d(x_B, M_W)/u(x_B, M_W) - d(x_A, M_W)/u(x_A, M_W)}{d(x_B, M_W)/u(x_B, M_W) + d(x_A, M_W)/u(x_A, M_W)}$$



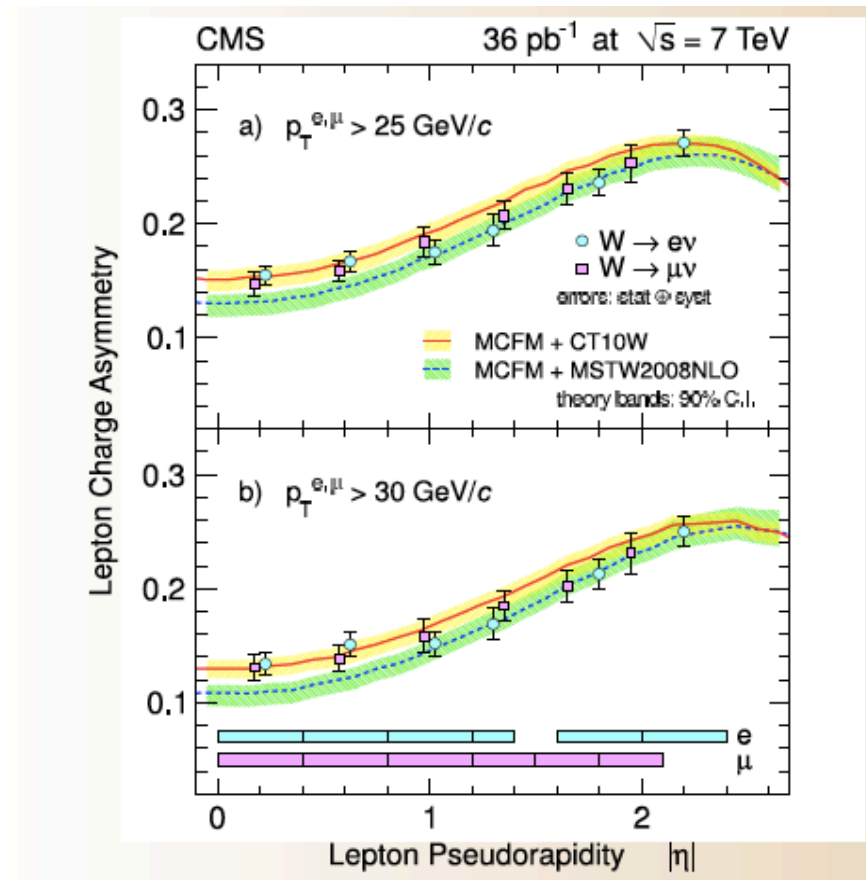
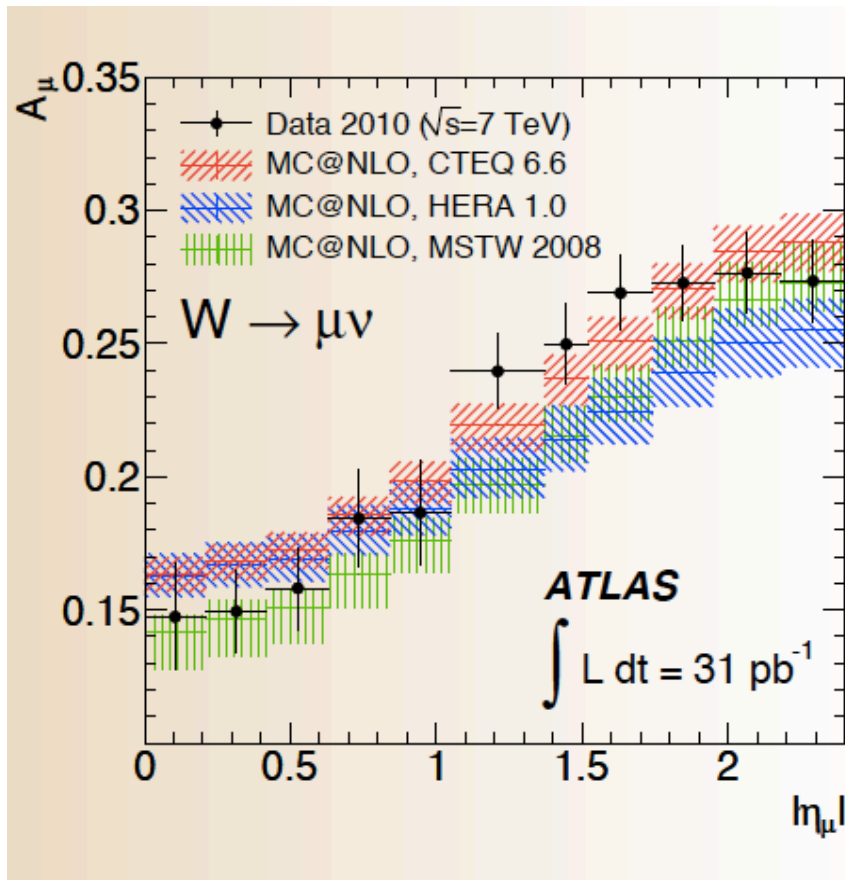
The A_{ch} data distinguish between the PDF models,
 reduce the PDF uncertainty

D0 – W charge asymmetry

Cross section with a single hard scale

□ **Charged lepton asymmetry:** $y \rightarrow y_{\max}$

$$A_{ch}(y_e) = \frac{d\sigma^{W^+}/dy_e - d\sigma^{W^-}/dy_e}{d\sigma^{W^+}/dy_e + d\sigma^{W^-}/dy_e} \rightarrow \frac{d(x_B, M_W)/u(x_B, M_W) - d(x_A, M_W)/u(x_A, M_W)}{d(x_B, M_W)/u(x_B, M_W) + d(x_A, M_W)/u(x_A, M_W)}$$

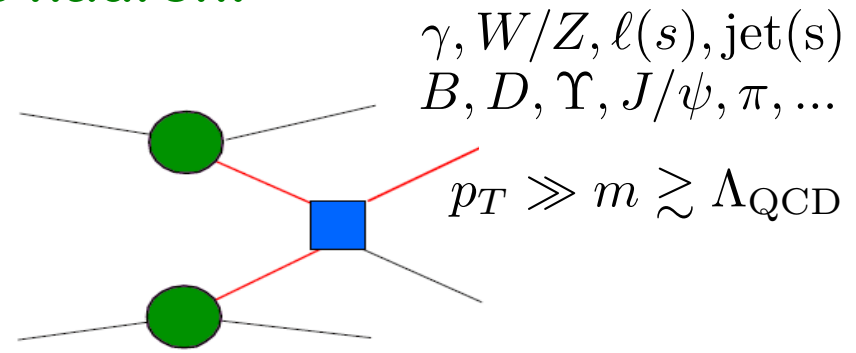
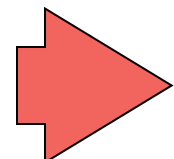
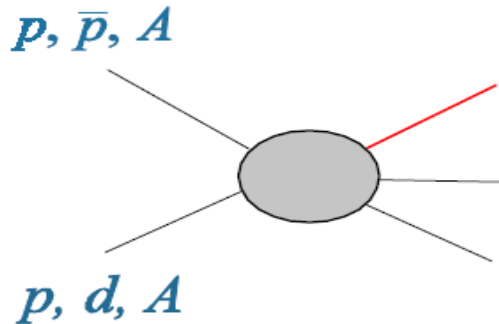


Sensitive both to d/u at $x > 0.1$ and u/d at $x \sim 0.01$

Factorization for more than two hadrons

Factorization for high p_T single hadron:

Nayak, Qiu, Sterman, 2006



$$\frac{d\sigma_{AB \rightarrow C+X}(p_A, p_B, p)}{dy dp_T^2} = \sum_{a,b,c} \phi_{A \rightarrow a}(x, \mu_F^2) \otimes \phi_{B \rightarrow b}(x', \mu_F^2) \otimes \frac{d\hat{\sigma}_{ab \rightarrow c+X}(x, x', z, y, p_T^2, \mu_F^2)}{dy dp_T^2} \otimes D_{c \rightarrow C}(z, \mu_F^2)$$

Fragmentation function: $D_{c \rightarrow C}(z, \mu_F^2)$

Choice of the scales: $\mu_{\text{Fac}}^2 \approx \mu_{\text{ren}}^2 \approx p_T^2$

To minimize the size of logs in the coefficient functions

Why photons?

□ Photon is a EM probe:

It can be produced at any stage of the collision

It does not interact strongly once produced

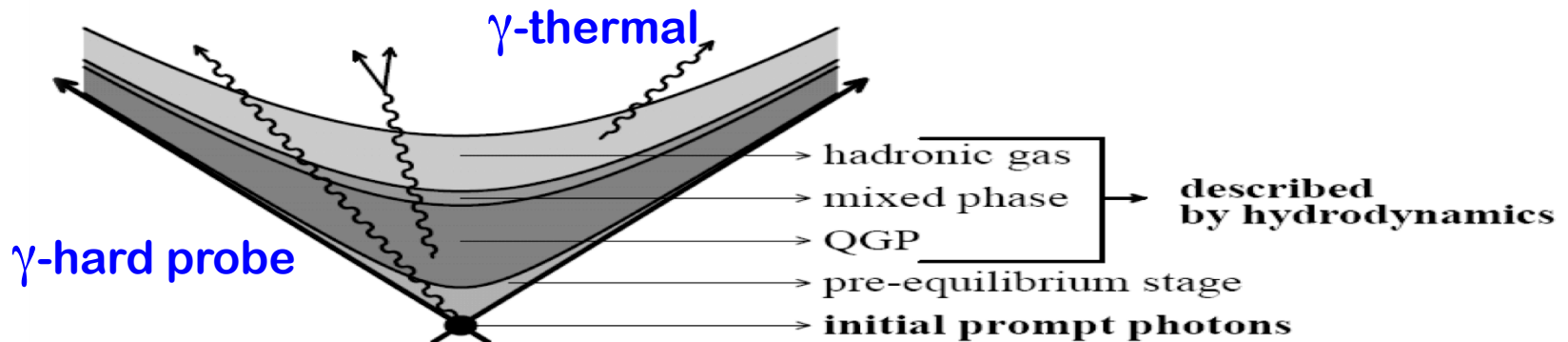
□ Good probe of short-distance strong interaction:

Isolated or “direct” photon is produced at a distance $1/p_T \ll \text{fm}$

“snap shot” of what happened at the distance scale $1/p_T$

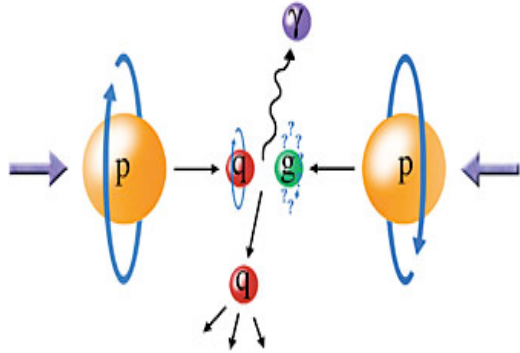
Key signal, as well as background of Higgs production: $H^0 \rightarrow \gamma + \gamma$

□ Photon can tell the full history of heavy ion collision:



Theory behind the high p_T photon

□ Production mechanism – leading power factorization:



$$\frac{d\sigma_{AB}}{dy dp_T^2} = \int dx f_{a/A}(x, \mu) \int dx' f_{b/B}(x', \mu) \frac{d\hat{\sigma}_{ab}(\alpha_s(\mu))}{dy dp_T^2} + \text{frag contribution} + \mathcal{O}\left(\frac{1}{p_T^n}\right)$$

Hard part: $\hat{\sigma}_{ab}(\alpha_s(\mu)) = \hat{\sigma}_{ab}^0 \alpha_s^m(\mu) + \hat{\sigma}_{ab}^1(\log(\mu)) \alpha_s^{m+1}(\mu) + \dots$

□ Predictive power:

- ✧ Short-distance part is Infrared-Safe, and calculable
- ✧ Long-distance part at the leading power is Universal – PDFs, FFs

□ Factorization and renormalization scale dependence:

- ✧ NLO is necessary

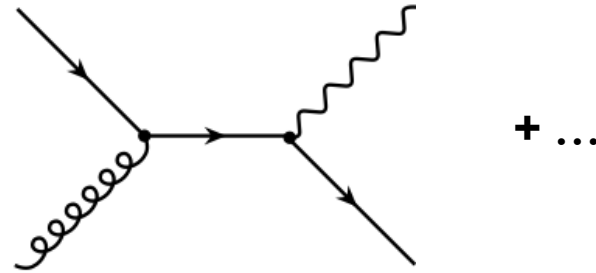
□ Power correction could be important at low p_T

Direct photon is sensitive to gluon

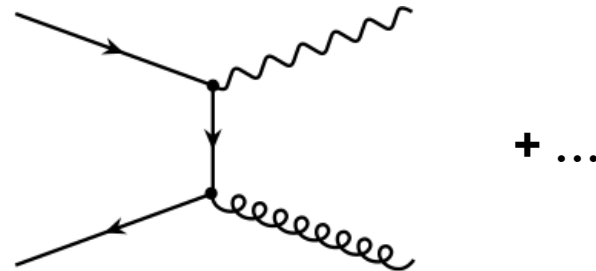
□ Sensitive to gluon at the leading order – hadronic collision:

✧ Lowest order direct $\mathcal{O}(\alpha_{em}\alpha_s)$:

Compton: $q(\bar{q}) + g \rightarrow \gamma + q(\bar{q})$



Annihilation: $q + \bar{q} \rightarrow \gamma + g$



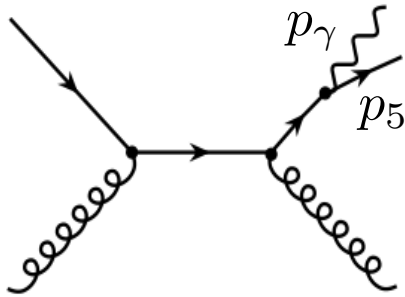
✧ Compton dominates in pp collision:

$$f_{g/p}(x, \mu^2) \gg f_{\bar{q}/p}(x, \mu^2) \quad \text{for all } x$$

Direct photon production could be a good probe of gluon distribution

Complication from high orders

□ Final-state collinear singularity:



$$\overline{\sum} |M(qg \rightarrow \gamma qg)|^2 \approx \frac{\alpha_{em}}{2\pi} \mathcal{P}_{q \rightarrow \gamma}^{(0)}(z) \frac{1}{s_{\gamma q}} \overline{\sum} |M(qg \rightarrow qg)|^2$$

$$\mathcal{P}_{q \rightarrow \gamma}^{(0)}(z) = \frac{1 + (1-z)^2}{z}$$

$$s_{\gamma q} = (p_\gamma + p_5)^2 \rightarrow 0 \quad \text{when } p_\gamma \parallel p_5$$

An internal quark line goes on-shell signaling long-distance physics

□ Fragmentation contribution:

$$\frac{d\sigma_{AB \rightarrow \gamma}^{\text{Frag}}}{dy dp_T^2} = \sum_{abc} \int \frac{dz}{z^2} D_{c \rightarrow \gamma}(z, \mu) \int dx f_{a/A}(x, \mu) \int dx' f_{b/B}(x', \mu) \frac{d\hat{\sigma}_{ab \rightarrow c}^{\text{Frag}}}{dy dp_T^2}$$

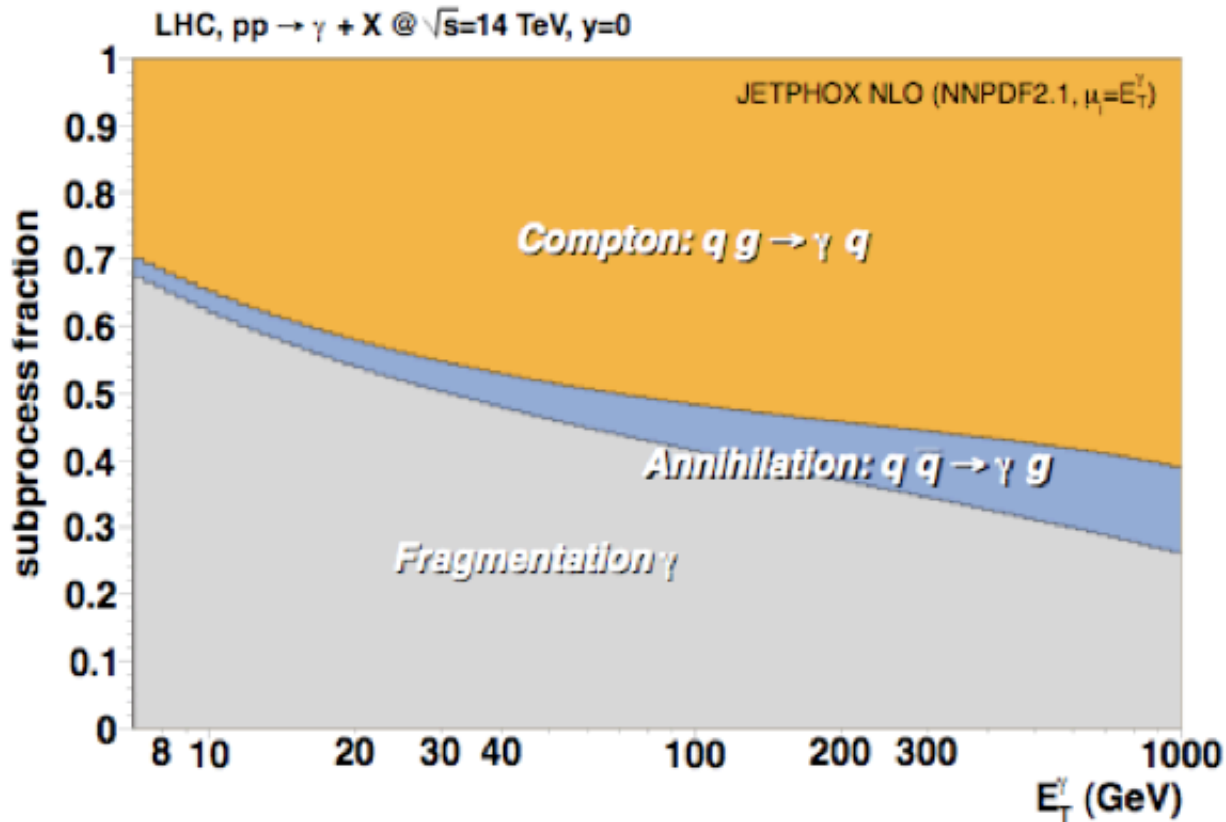
□ Photon fragmentation functions – inhomogeneous evolution:

$$\frac{\partial D_{c \rightarrow \gamma}(z, \mu)}{\partial \log(\mu)} = \frac{\alpha_{em}}{2\pi} \mathcal{P}_{c \rightarrow \gamma}(z) + \sum_{a=q\bar{q}g} \frac{\alpha_s}{2\pi} P_{ac}(z) \otimes D_{a \rightarrow \gamma}(z, \mu)$$

Size of fragmentation

Campbell, CTEQ SS2013

☐ Inclusive direct photon:

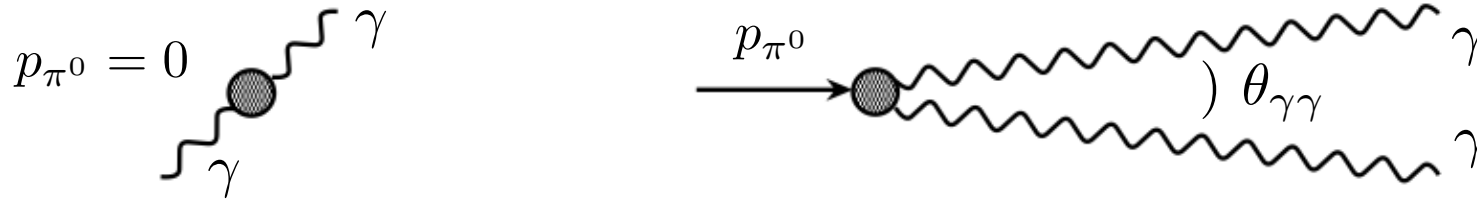


- ✧ Production at NLO – available, e.g., in MCFM and **JETPHOX** (shown here)
- ✧ Fragmentation contribution is huge for inclusive production:

$$\sigma^{\text{Frag}} / \sigma^{\text{Total}} > 50\% \text{ at } p_T=20 \text{ GeV @ LHC (role of FF!)}$$

Complication from the measurement

□ Separation the signal photon from $\pi^0 \rightarrow \gamma\gamma$:



- ✧ When p_{π^0} increases, the opening angle $\theta_{\gamma\gamma}$ decreases
- ✧ Two photons could be misidentified as one photon at high p_T

□ Isolation cut – algorithms (like jet):

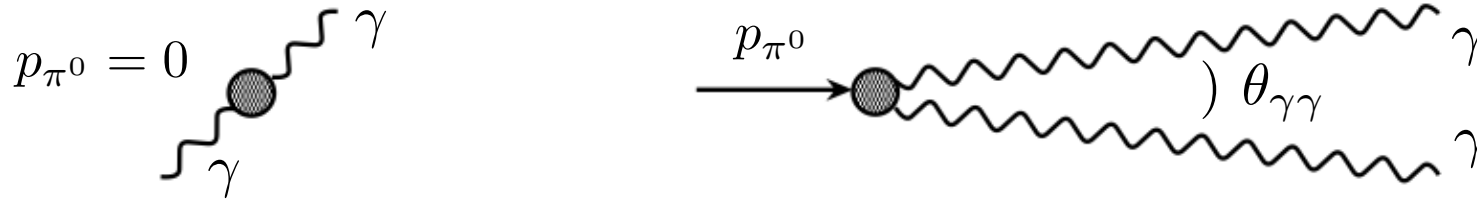
- ✧ Cone algorithm – reduction of fragmentation contribution

Require that there is less than 1 GeV hadronic transverse energy

in a cone of radius (CDF): $R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} \sim 0.7$

Complication from the measurement

□ Separation the signal photon from $\pi^0 \rightarrow \gamma\gamma$:



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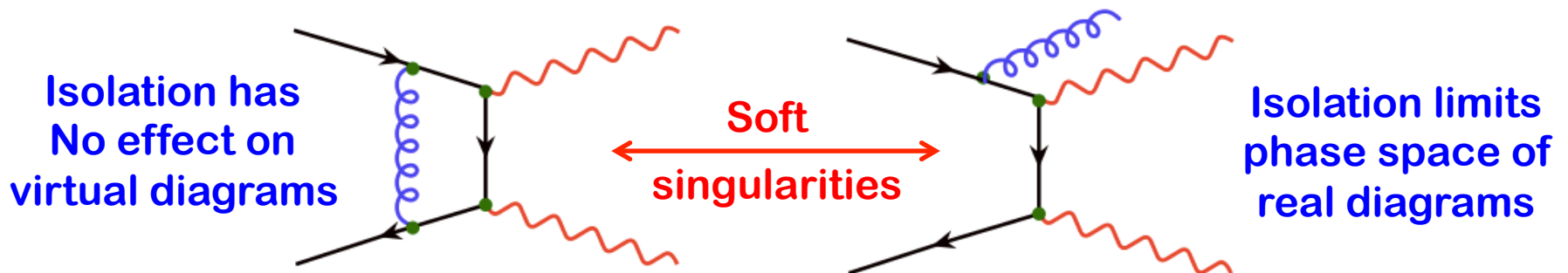
□ Isolation cut – algorithms:

Needed for IR safety

- ✧ Cone algorithm – reduction of fragmentation contribution

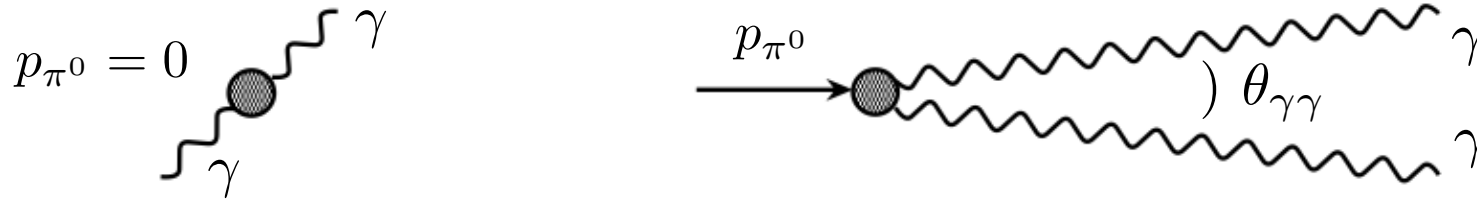
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- ✧ Cone algorithm – reduction of fragmentation contribution

Require that there is less than 1 GeV hadronic transverse energy

in a cone of radius (CDF): $R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} \sim 0.7$

- ✧ Modified cone algorithm – NO fragmentation contribution

$$\sum_{R_{j\gamma} \in R_0} E_T(\text{had}) < \epsilon_h p_T^\gamma \left(\frac{1 - \cos R_{j\gamma}}{1 - \cos R_0} \right)$$

✧ Parton is softer as it closer to photon

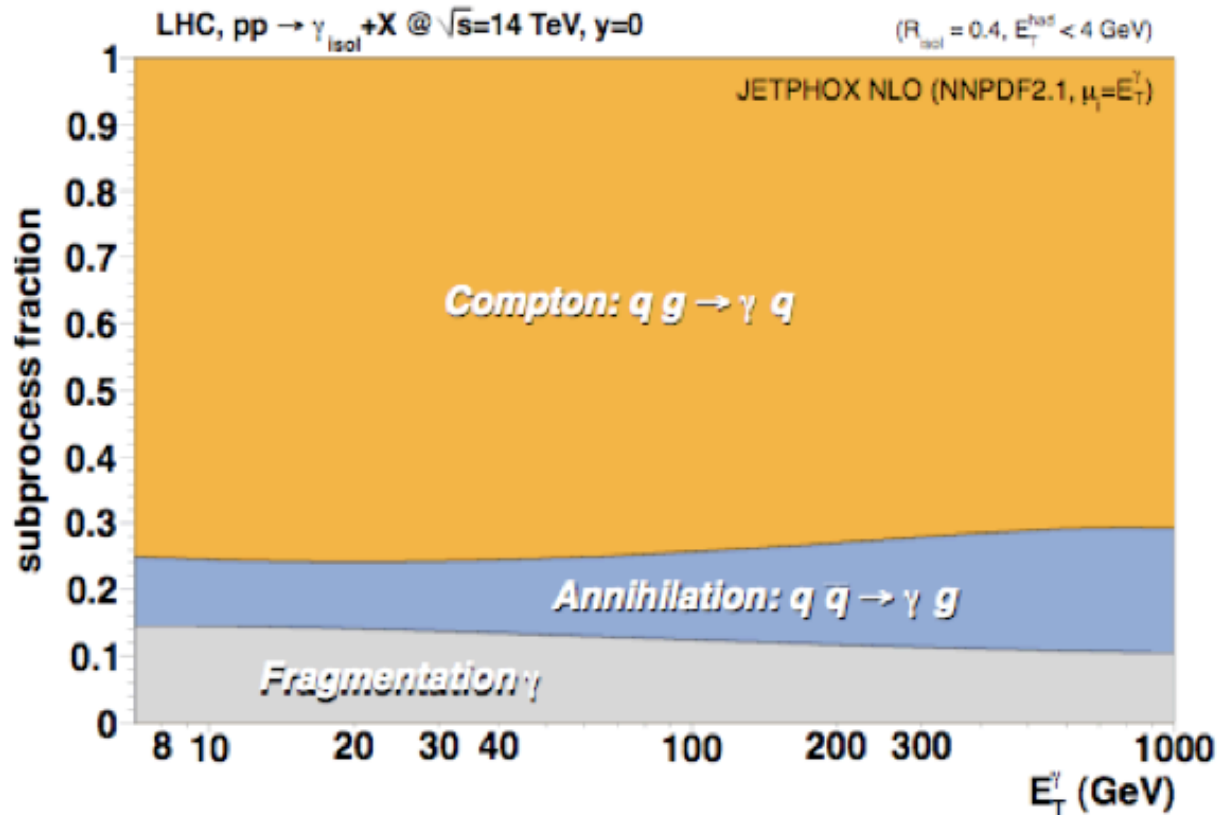
✧ No contribution at CO singularity

Hard to implement experimentally (detector resolution)

Size of fragmentation

Campbell, CTEQ SS2013

□ Isolated direct photon:

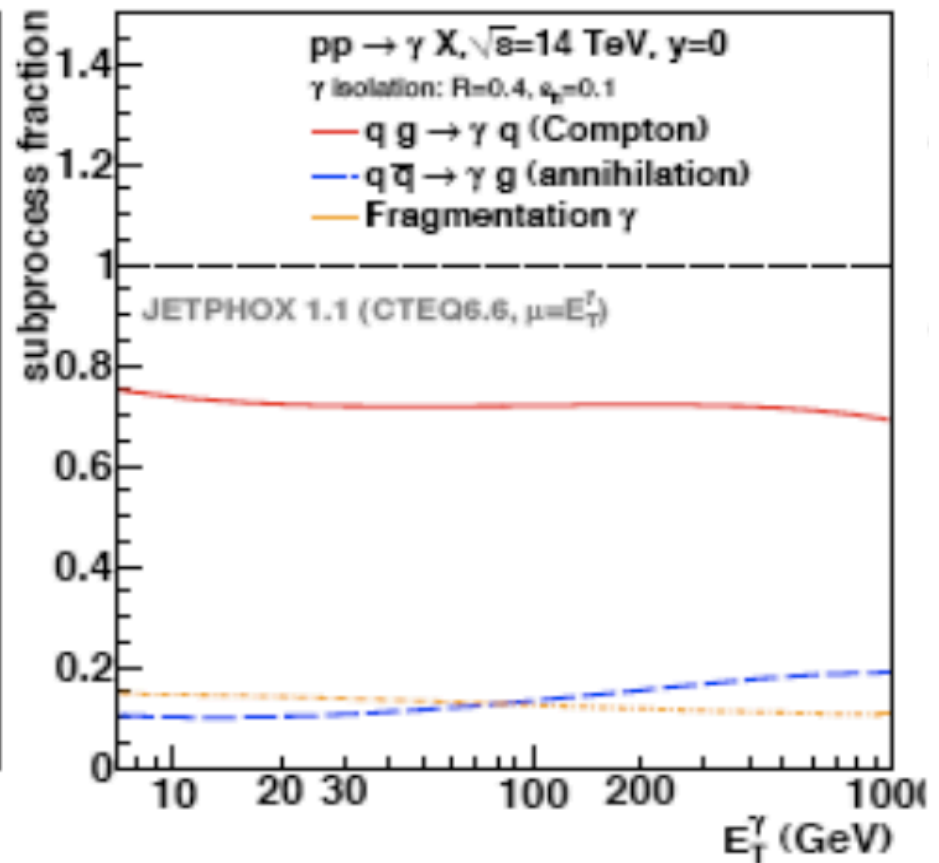
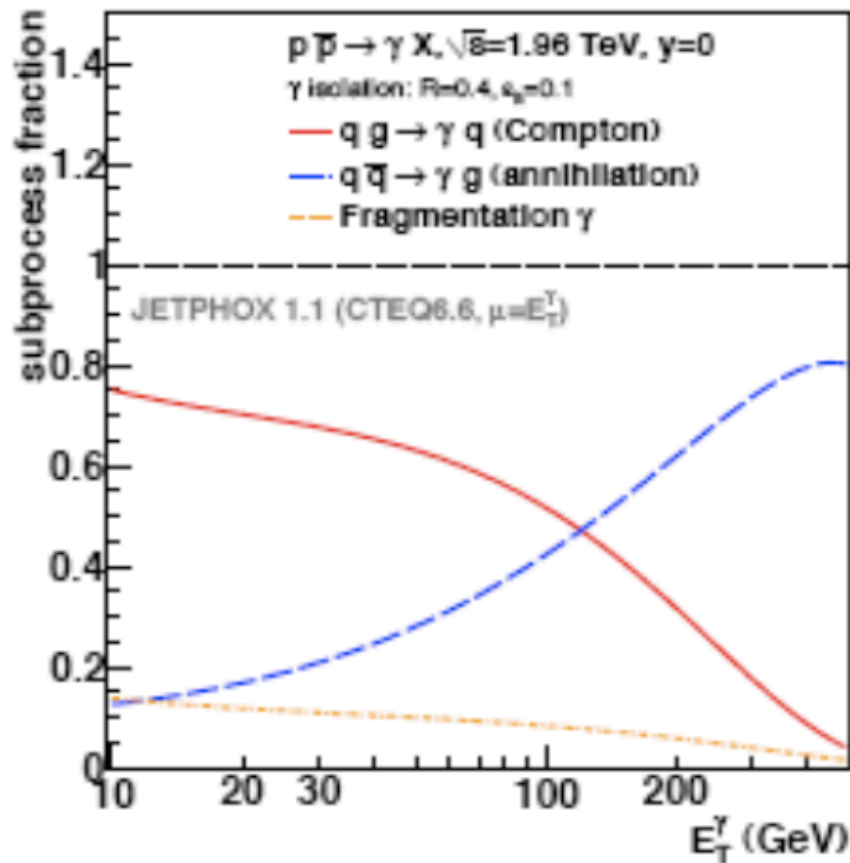


- ✧ Isolation removes the most of fragmentation contribution! (down to 10%)
- ✧ About 75% of production rate is from gluon initiated subprocesses

Potentially, a useful probe of gluon PDF

Role of gluon in pp collision

□ pp vs p \bar{p} :

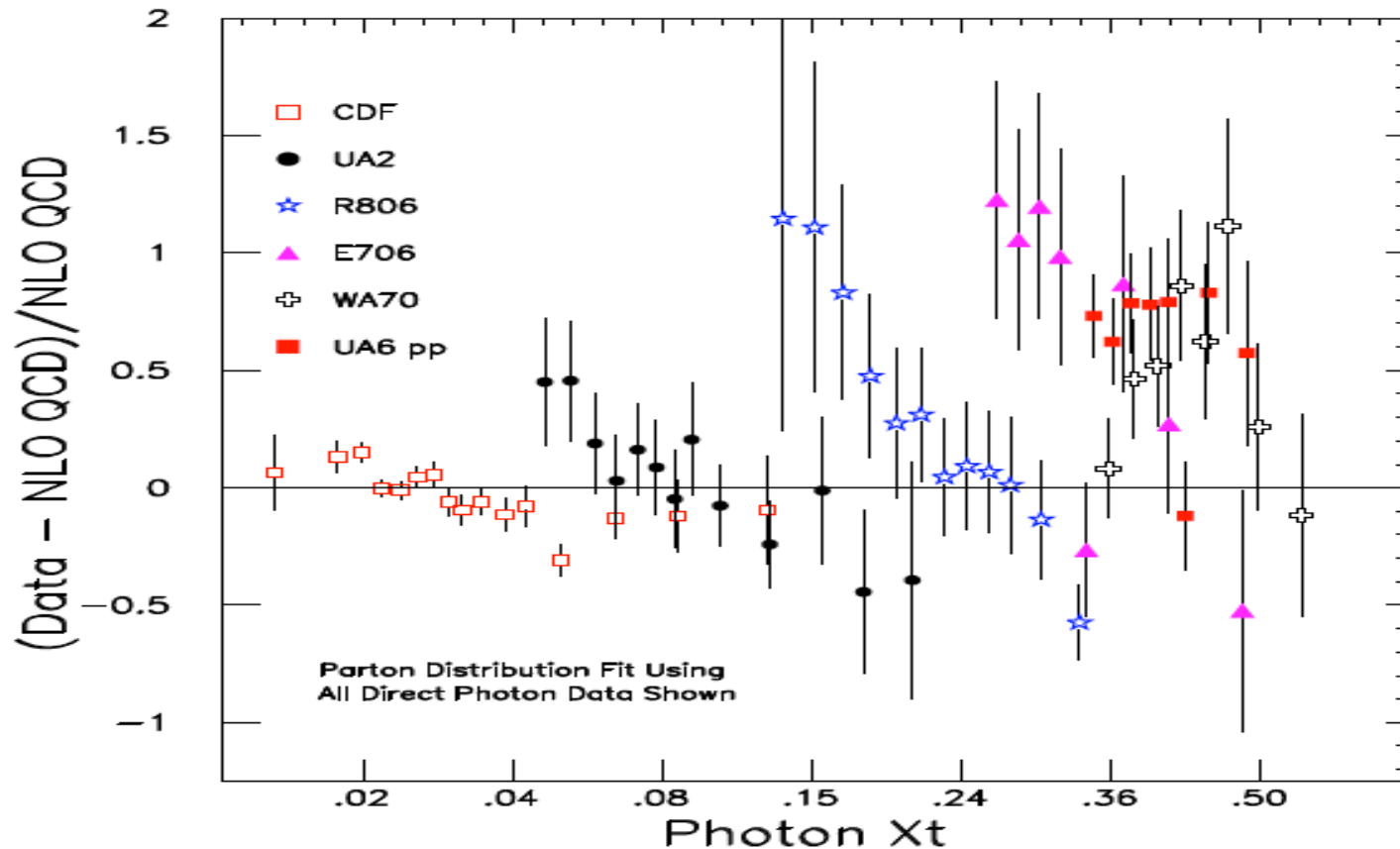


- ✧ Dominant role of the gluon in pp collision!
- ✧ Even more dominance in the forward region!

Compare with data from different expt's

□ CTEQ global analysis:

CTEQ Huston et al.



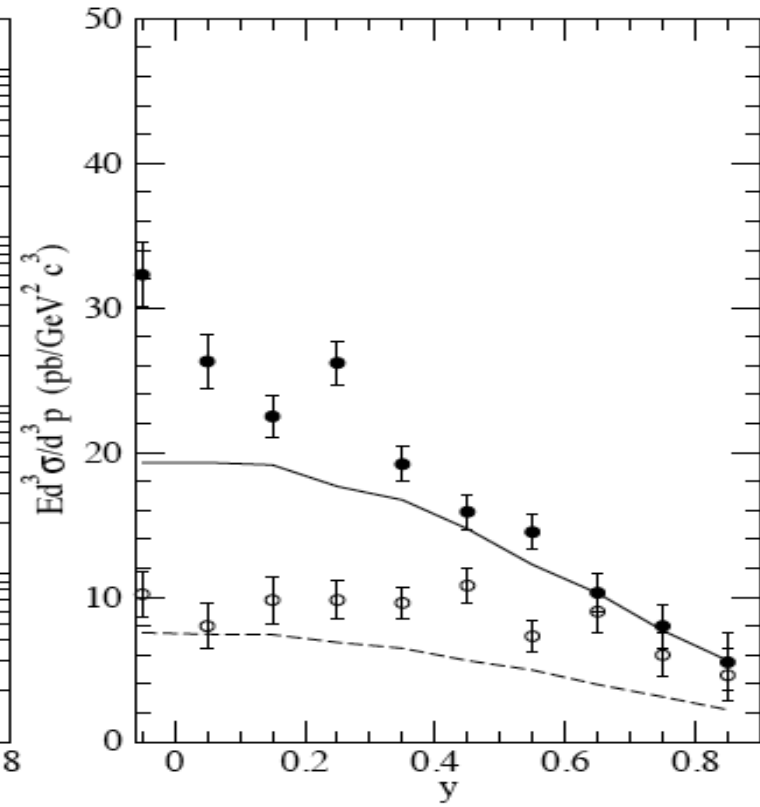
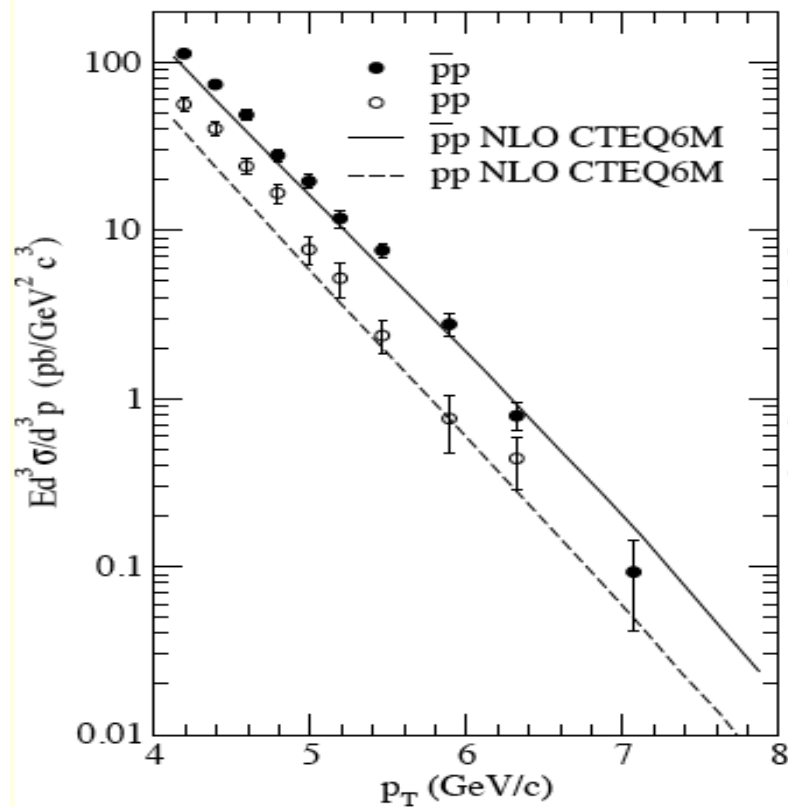
$$x_T = \frac{2p_T}{\sqrt{s}}$$

- ✧ Neither PDFs nor photon FFs can significantly improve the shape
- ✧ Direct photon data were excluded from most global fits

Experiments with both pp and $p\bar{p}$

□ **UA6:** both pp and $p\bar{p}$ at $\sqrt{s} = 24.3$ GeV

UA-6 $p\bar{p} \rightarrow \gamma + X$ and $pp \rightarrow \gamma + X$
 $-0.10 < y < 0.9$ $4.1 < p_T < 7.7$ GeV/c



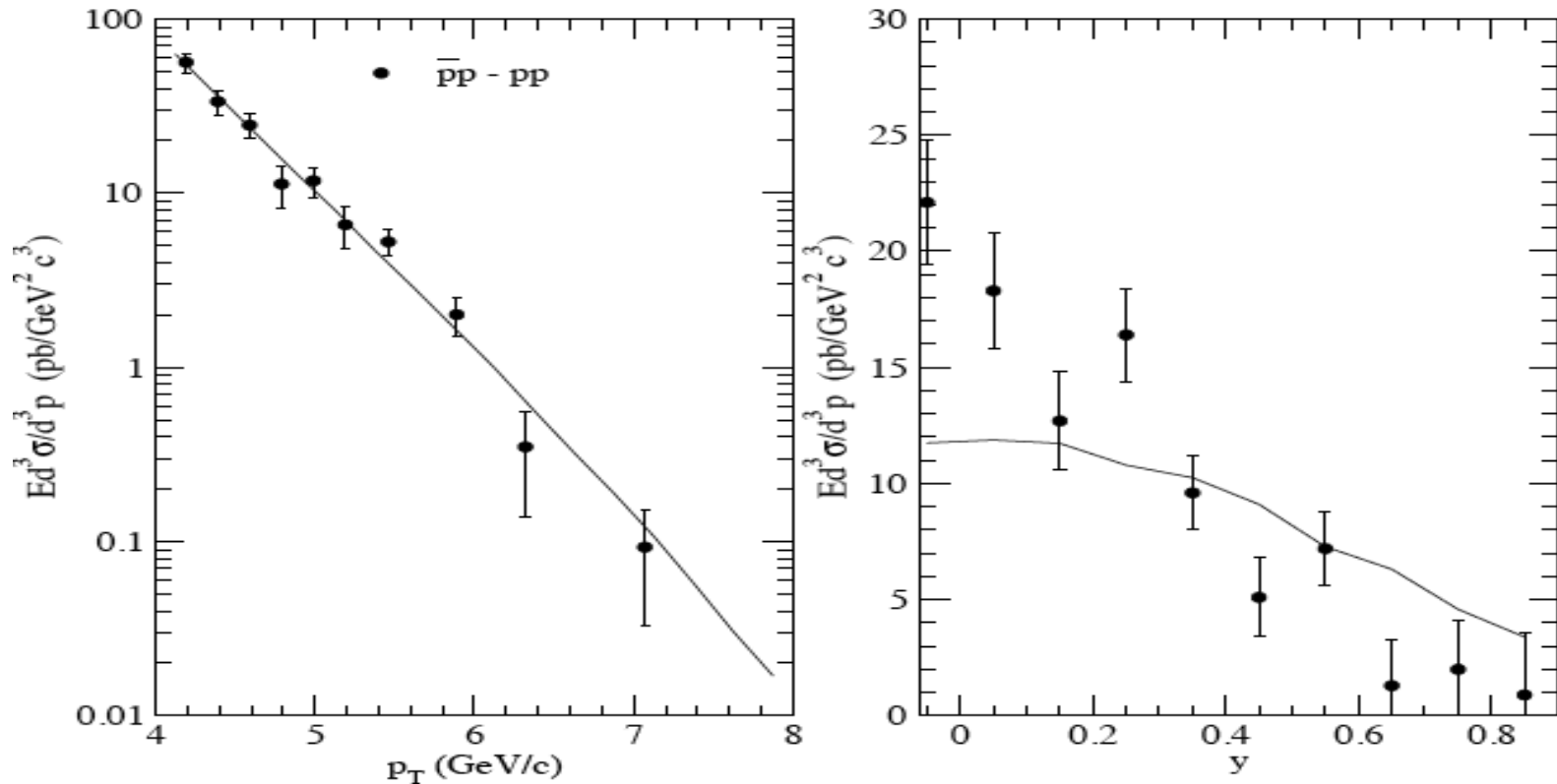
✧ Theory curves are below the data

✧ Rapidity curves are flatter

Role of gluon distribution?

□ UA6: $\bar{p}p$ - pp both pp and $\bar{p}p$ at $\sqrt{s} = 24.3$ GeV

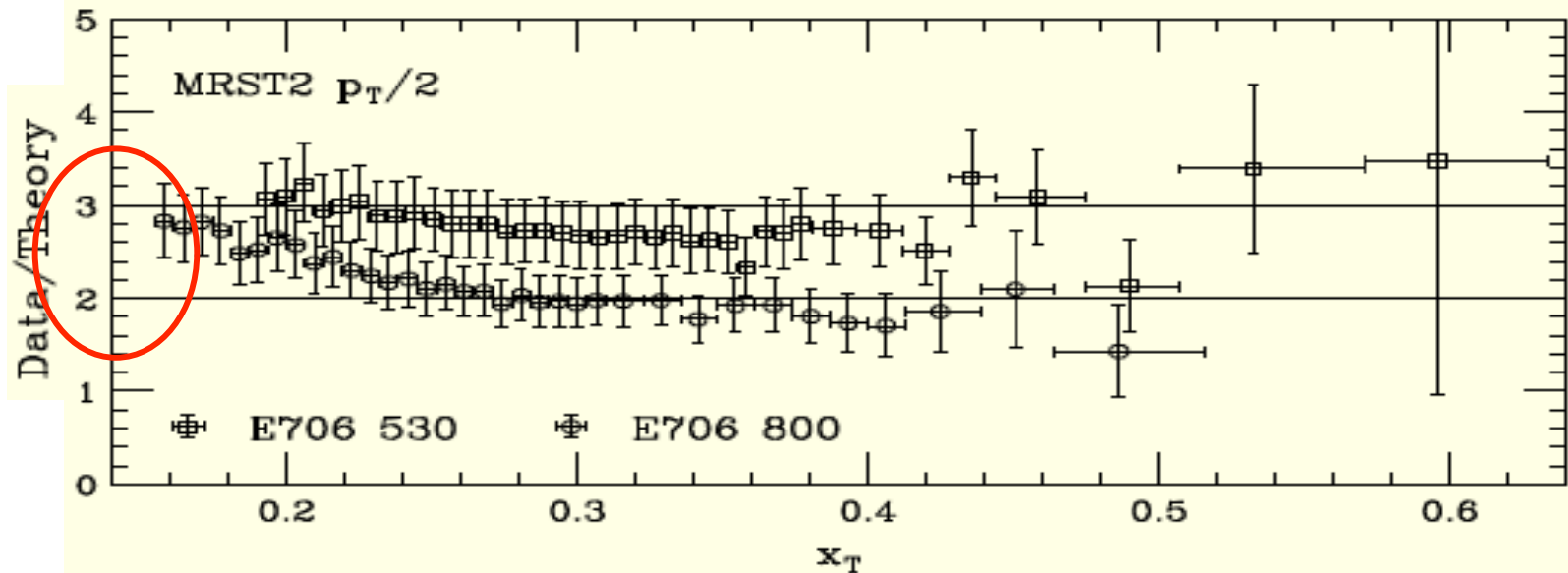
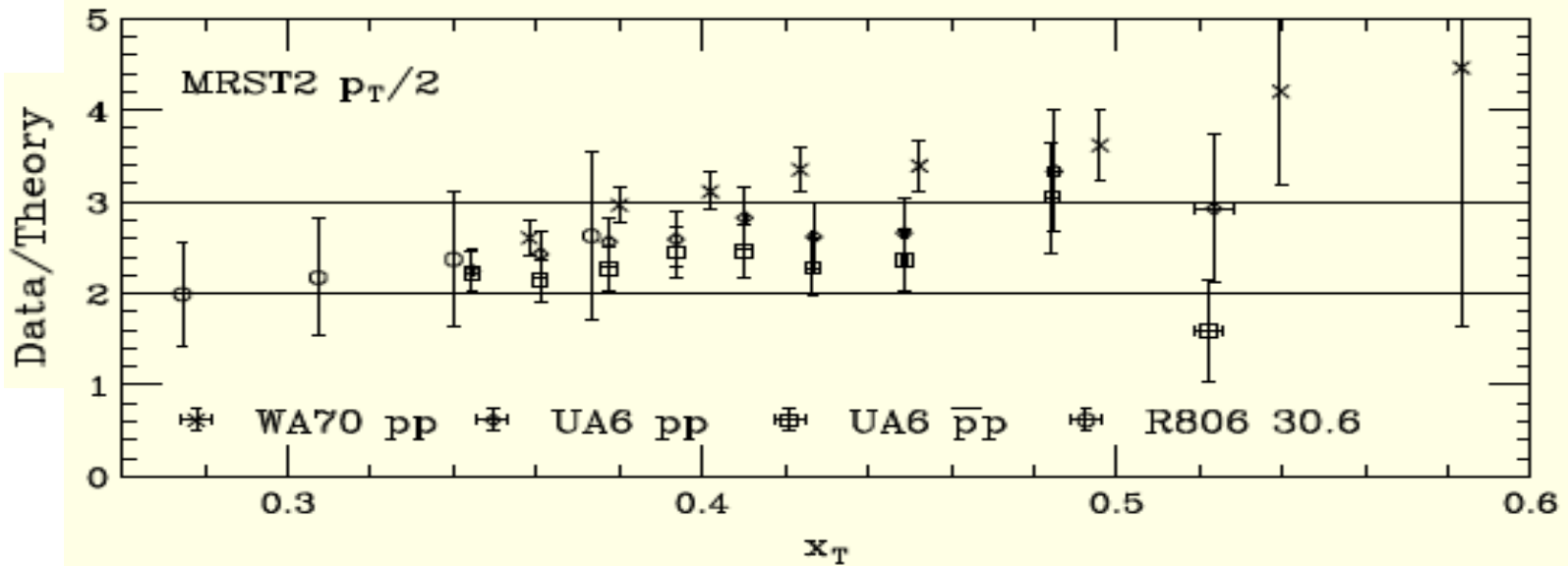
UA-6 $\bar{p}p \rightarrow \gamma + X$ and $pp \rightarrow \gamma + X$
 $-0.10 < y < 0.9$ $4.1 < p_T < 7.7$ GeV/c



✧ NO gluon contribution to the difference!

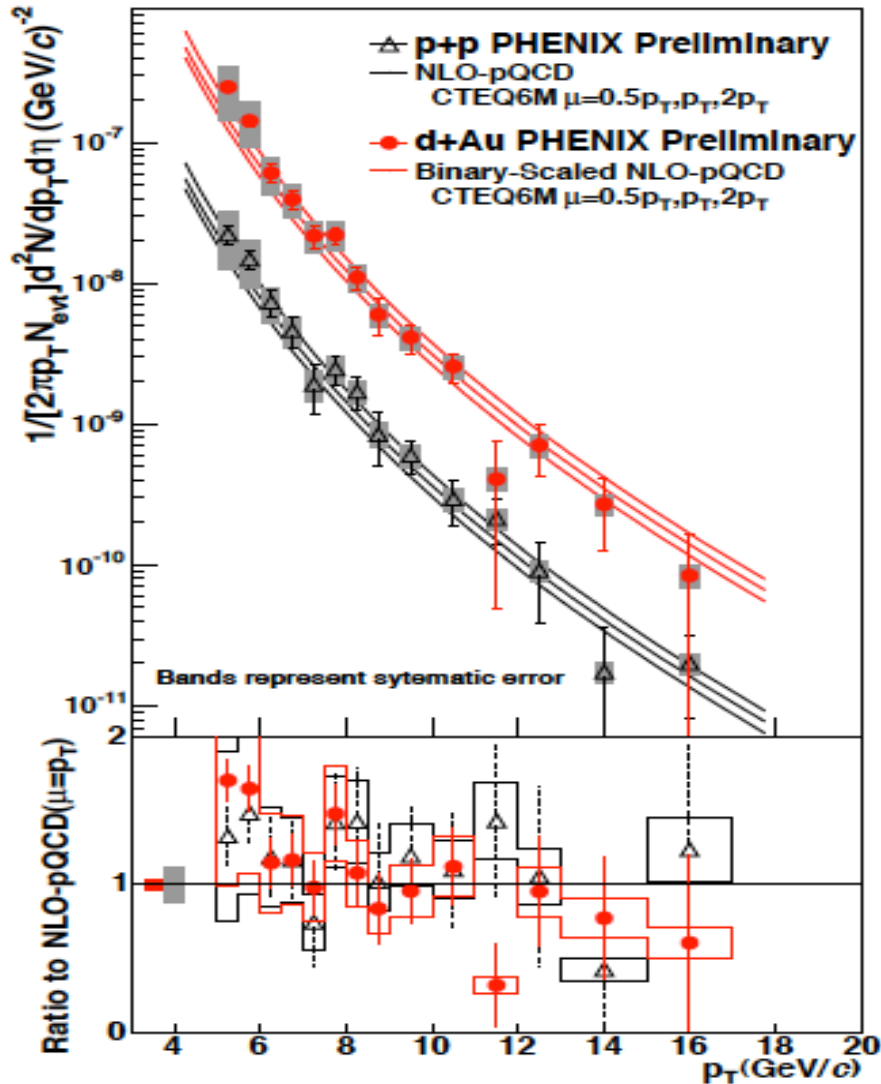
✧ Theory matches the data better – role of gluon?

Same excess seen in π^0 production

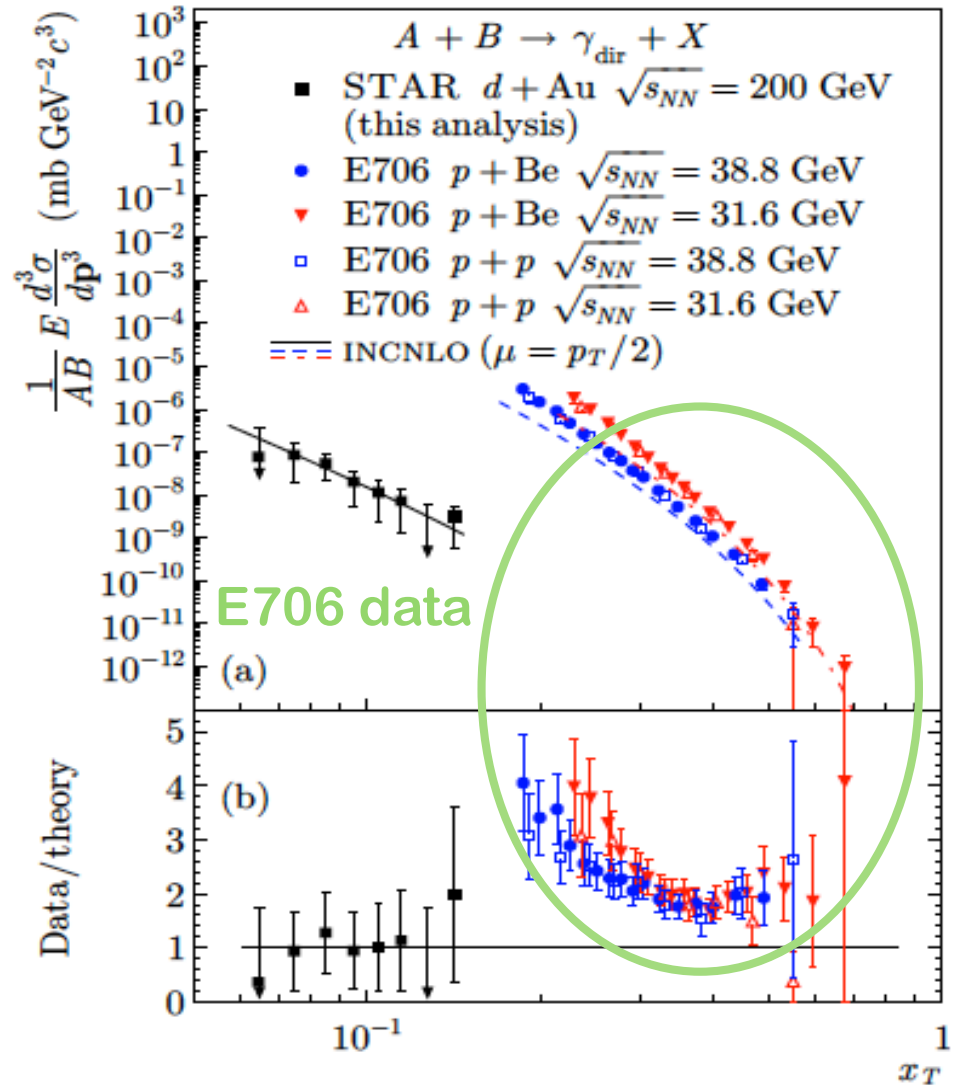


Theory works well at RHIC energy

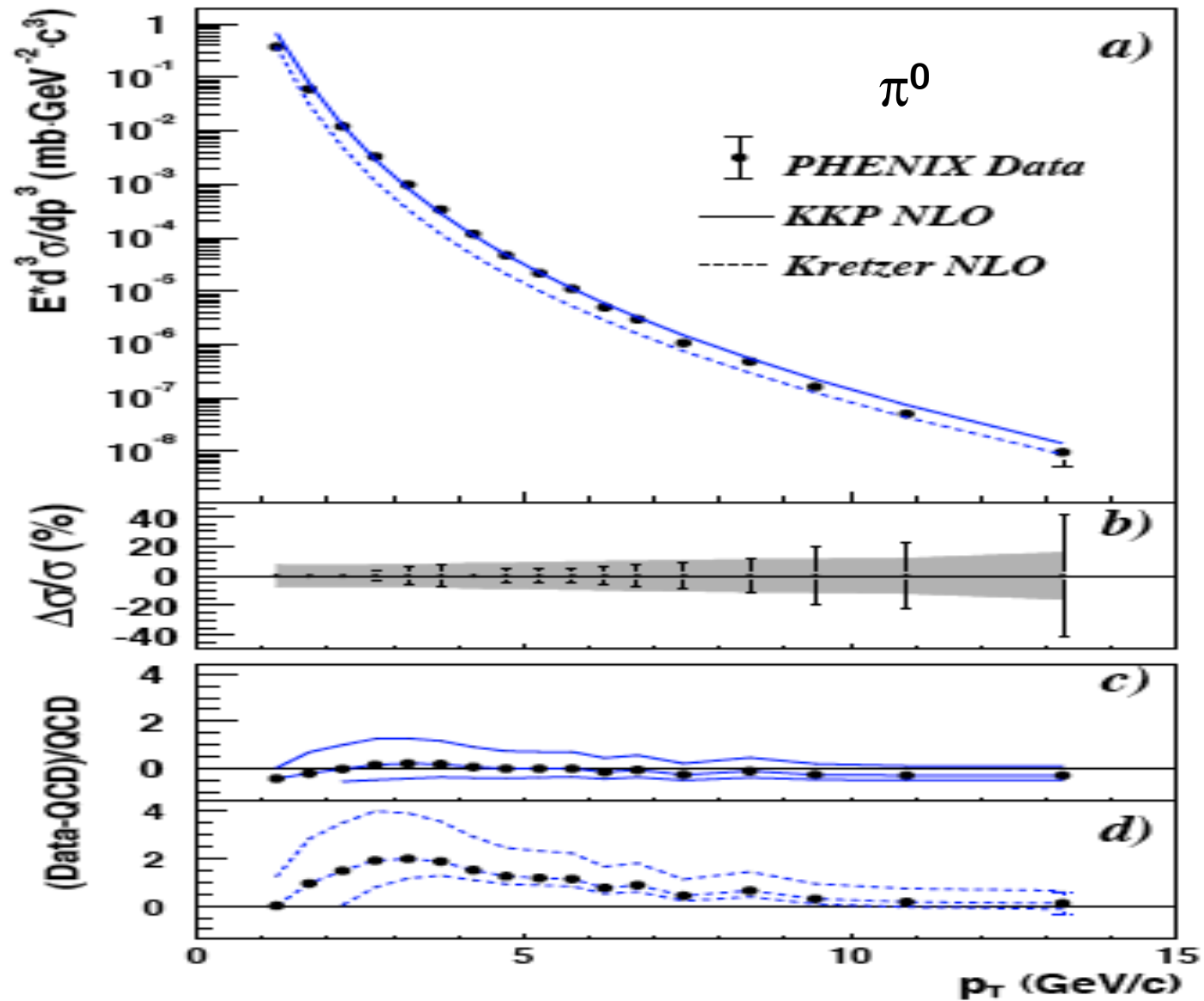
PHENIX



STAR



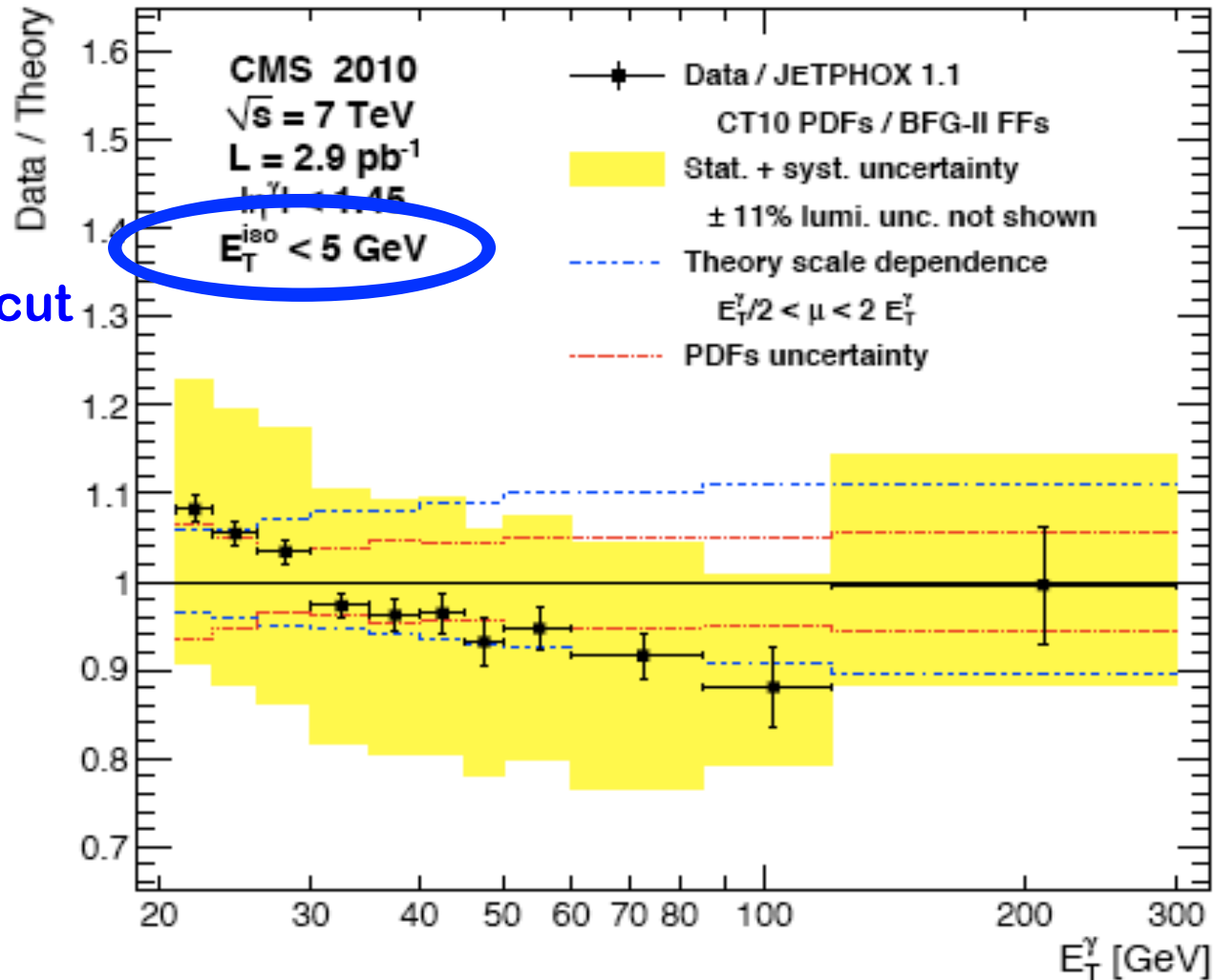
But, works at RHIC energy



How about at the LHC?

□ CMS:

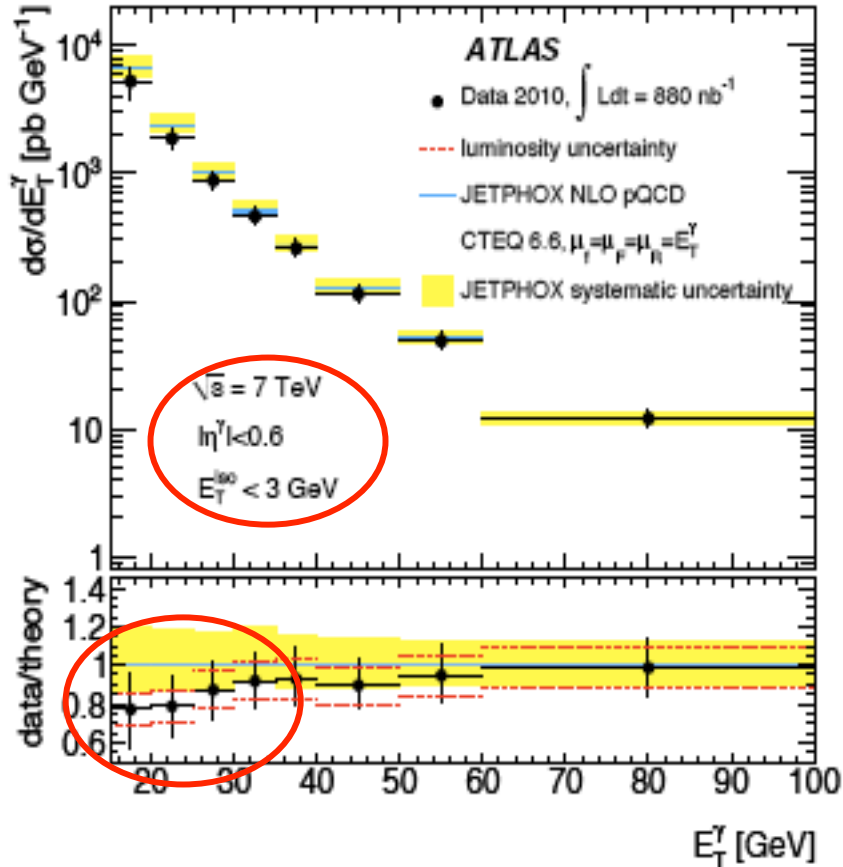
Isolation cut



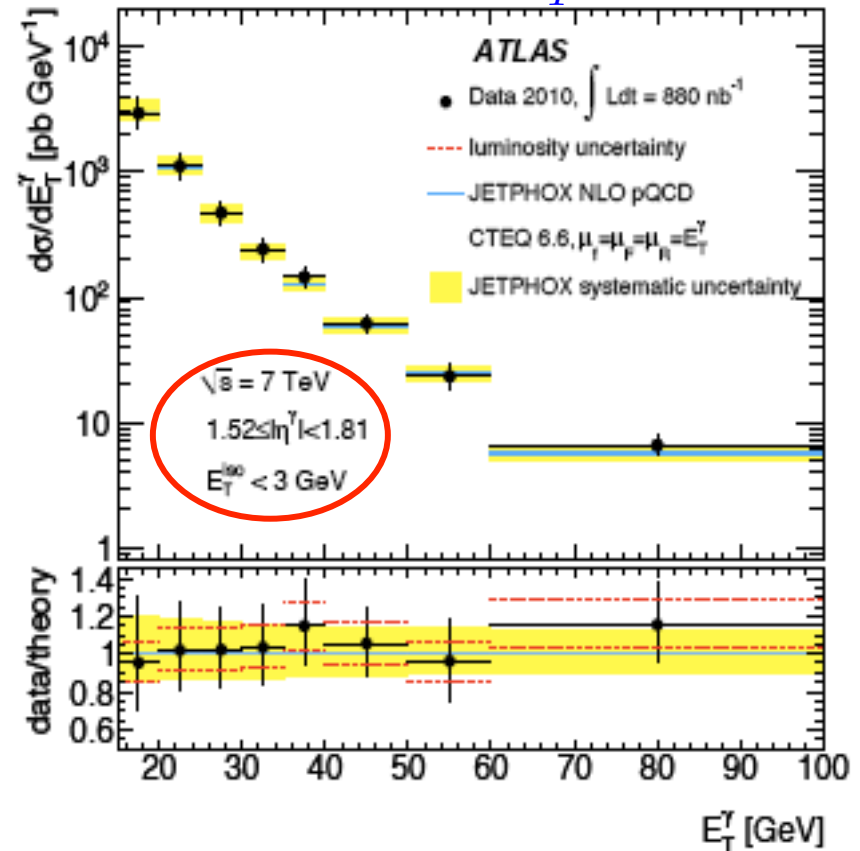
✧ Shape in x_T – within the PDF uncertainty?

Rapidity dependence at the LHC

□ ATLAS:



Note: CMS has $E_T^{\text{iso}} < 5 \text{ GeV}$



✧ Data seems to be lower than theory at central $|\eta^\gamma|$ and small E_T^γ

Overall consistency is better at collider energies!

Where do we stand?

- Agreement between theory and data improves with increasing energy and is excellent at $\sqrt{s} = 200$ GeV

- Situation with fixed target direct photon data is confusing:
 - ✧ Disagreement between experiments
 - ✧ A reassessment of systematic errors on the existing fixed target photon experiments might help resolve the discrepancies

- We need an improved method of calculating single particle inclusive cross sections in the fixed target energy
 - Threshold resummation helps

- All experiments see an **excess** of data over theory at fixed target energies, but, **less** than theory at low p_T at the LHC

More data from the LHC should help (the gluon dominance)!

Global QCD analyses – test of pQCD

□ Factorization for observables with identified hadrons:

✧ One-hadron (DIS):

$$F_2(x_B, Q^2) = \sum_f C_f(x_B/x, \mu^2/Q^2) \otimes f(x, \mu^2)$$

✧ Two-hadrons (DY, Jets, W/Z, ...):

$$\frac{d\sigma}{dy dp_T^2} = \sum_{ff'} \hat{\sigma}_{ff'}(x) \otimes f(x) \otimes f'(x')$$

✧ DGLAP Evolution:

$$\frac{\partial f(x, \mu^2)}{\partial \ln \mu^2} = \sum_{f'} P_{ff'}(x/x') \otimes f'(x', \mu^2)$$

□ Input for QCD Global analysis/fitting:

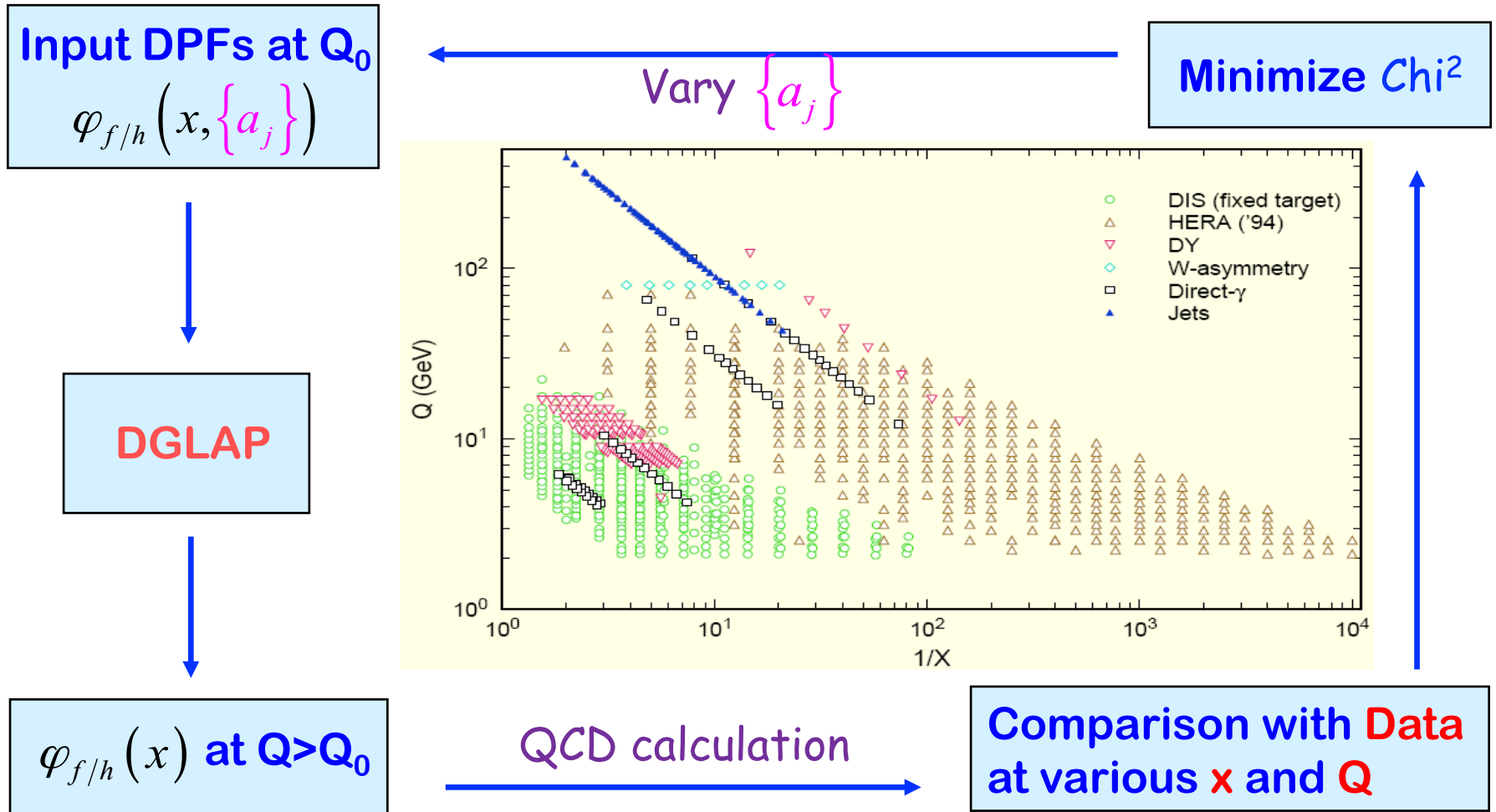
✧ World data with “Q” > 2 GeV

✧ PDFs at an input scale: $\phi_{f/h}(x, \mu_0^2, \{\alpha_j\})$

Input scale ~ GeV

Fitting parameters

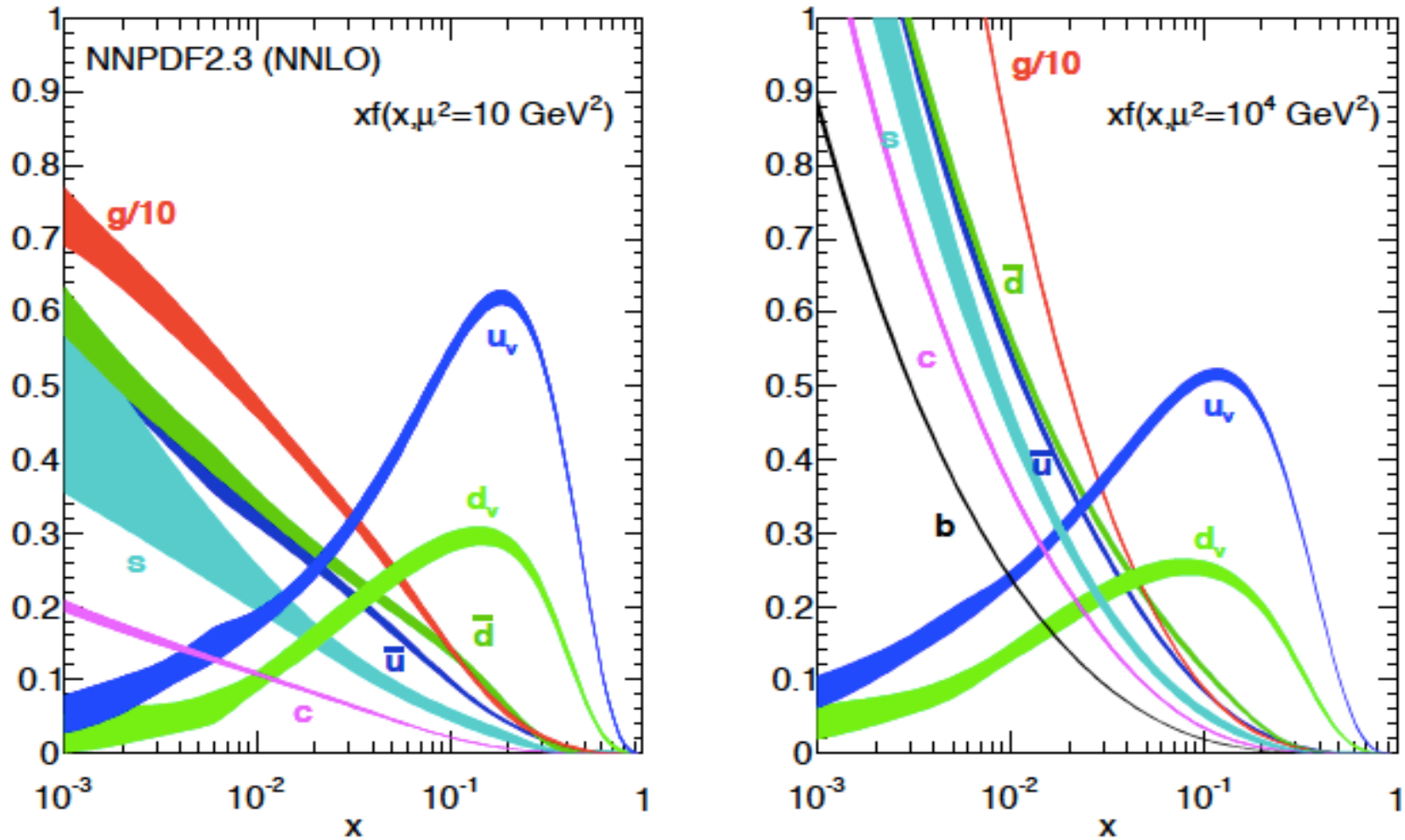
Global QCD analysis for PDFs



Procedure: Iterate to find the best set of $\{a_j\}$ for the input DPFs

PDFs of a spin-averaged proton

□ Modern sets of PDFs @NNLO with uncertainties:



K.A. Olive et al. (Particle Data Group), *Chin. Phys. C*, 38, 090001 (2014)

Consistently fit almost all data with $Q > 2\text{GeV}$

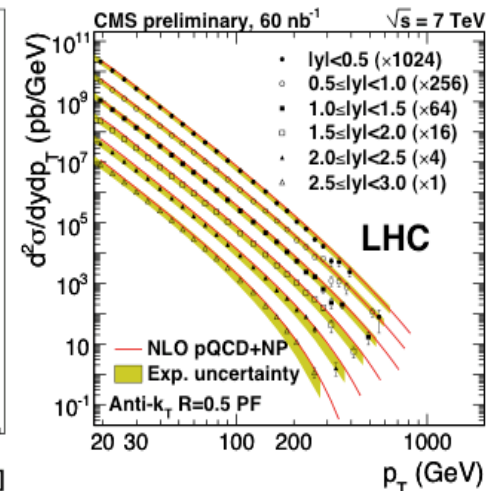
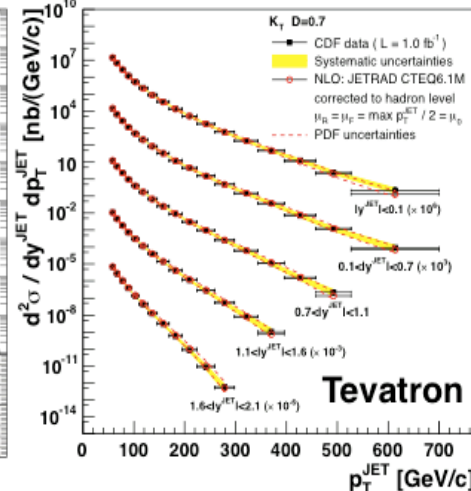
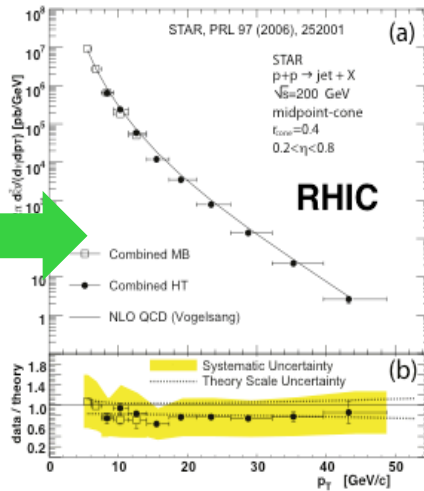
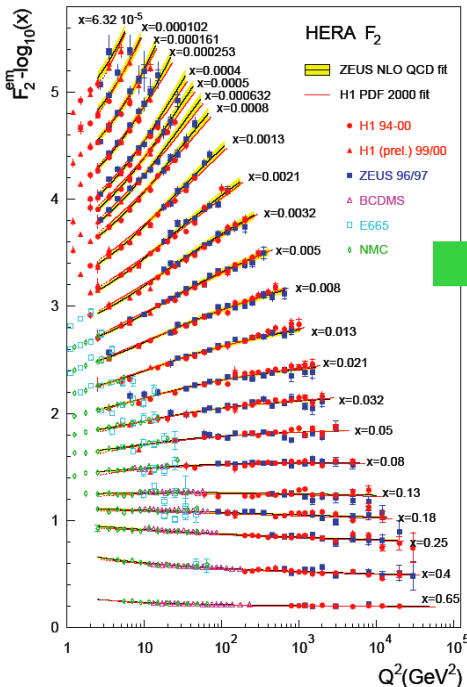
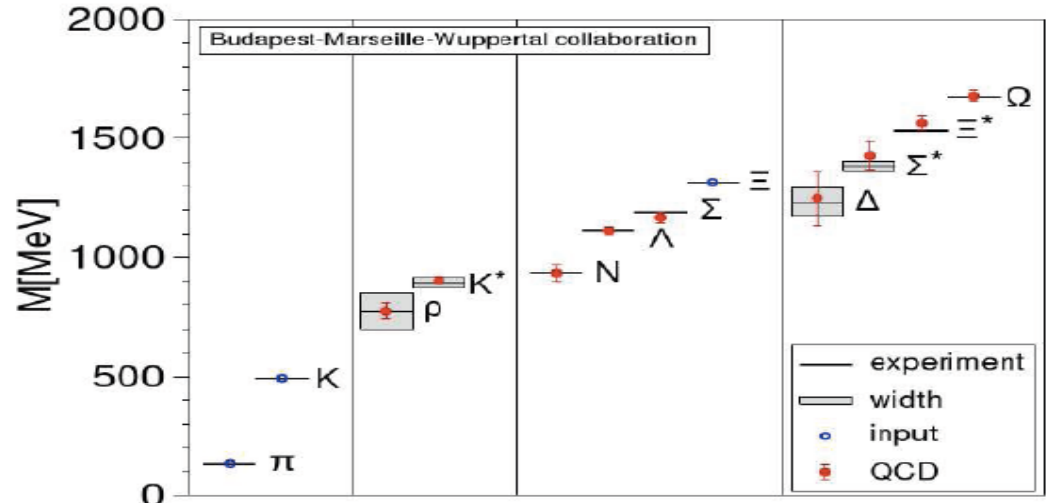
Successes of QCD

□ @low energy:

Hadron mass spectrum
from lattice QCD

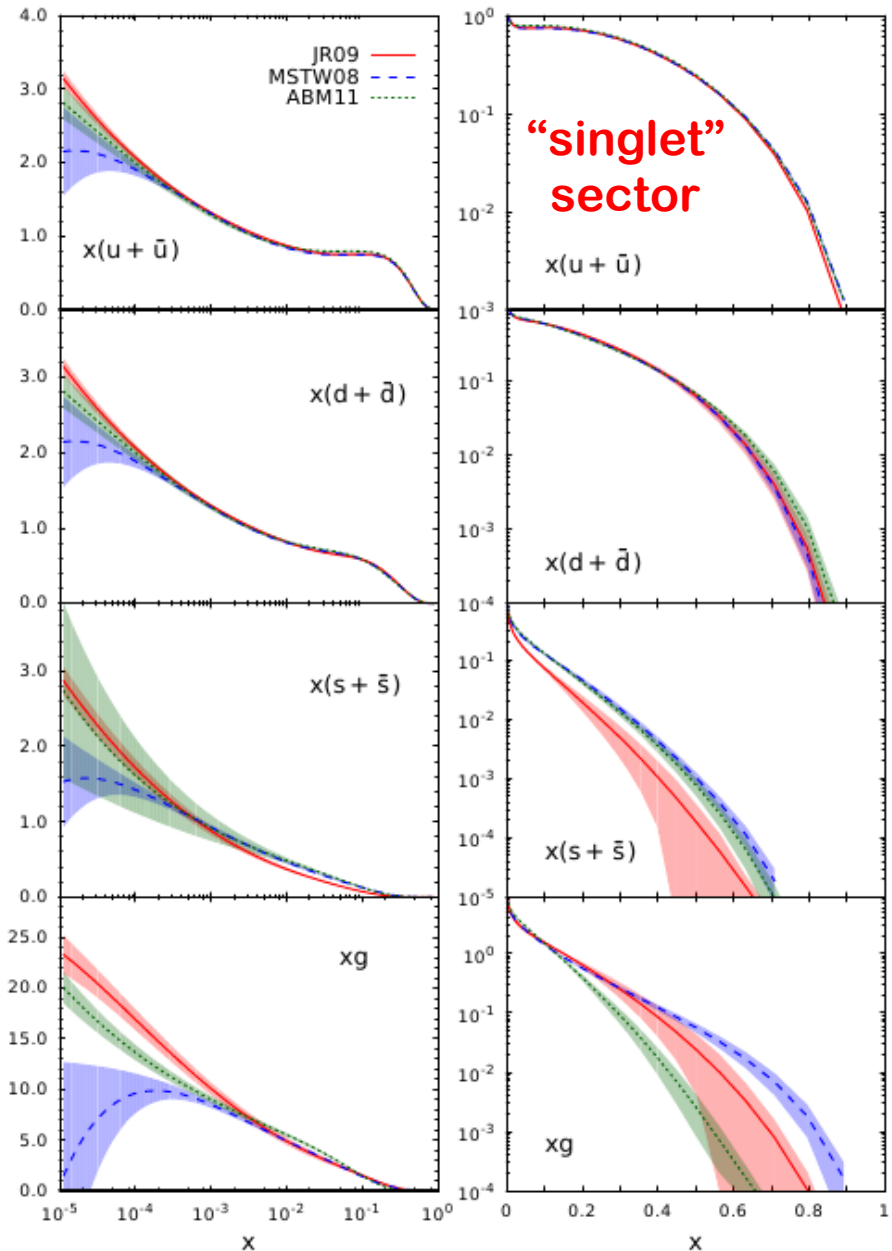
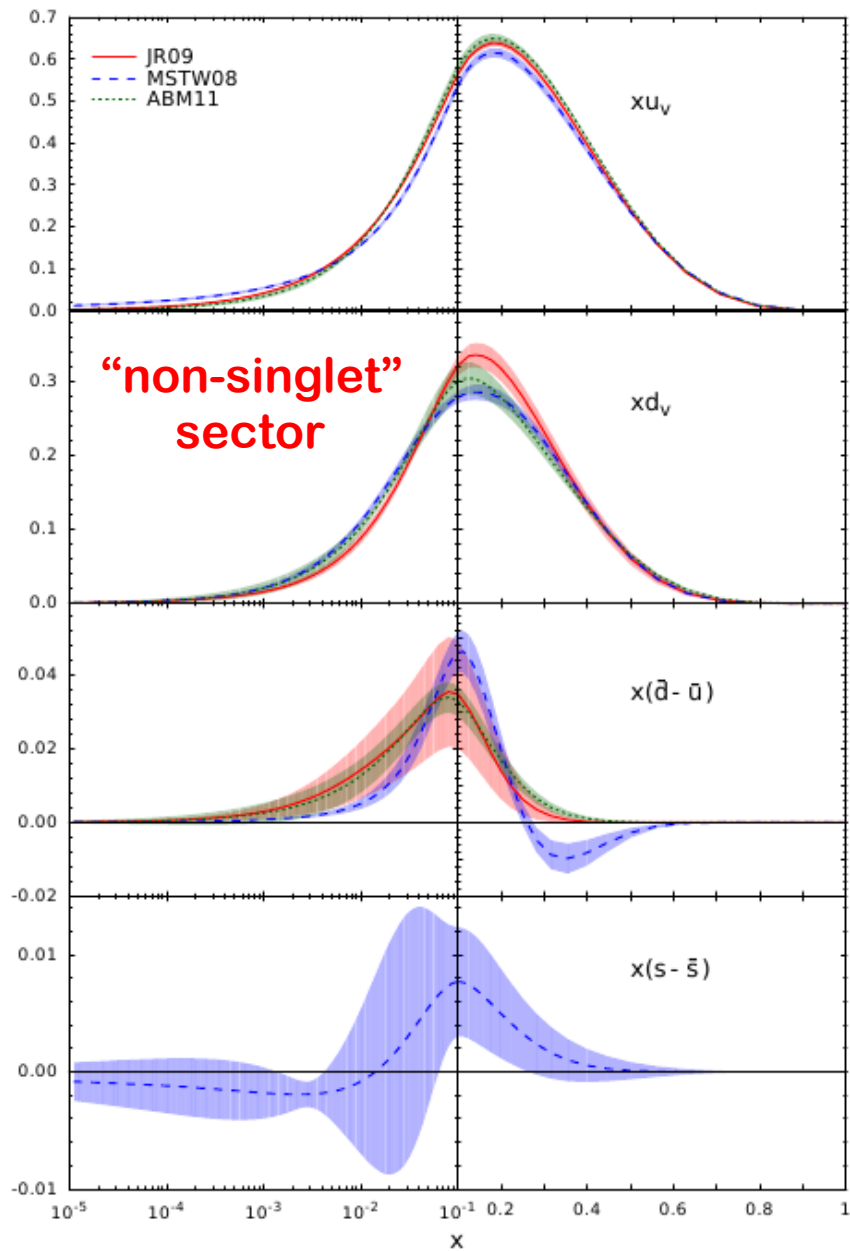
□ @high energy:

Asymptotic freedom
+ perturbative QCD



Measure $e-p$ at 0.3 TeV (HERA)
 Predict $p-p$ and $p-\bar{p}$ at 0.2, 1.96, and 7 TeV

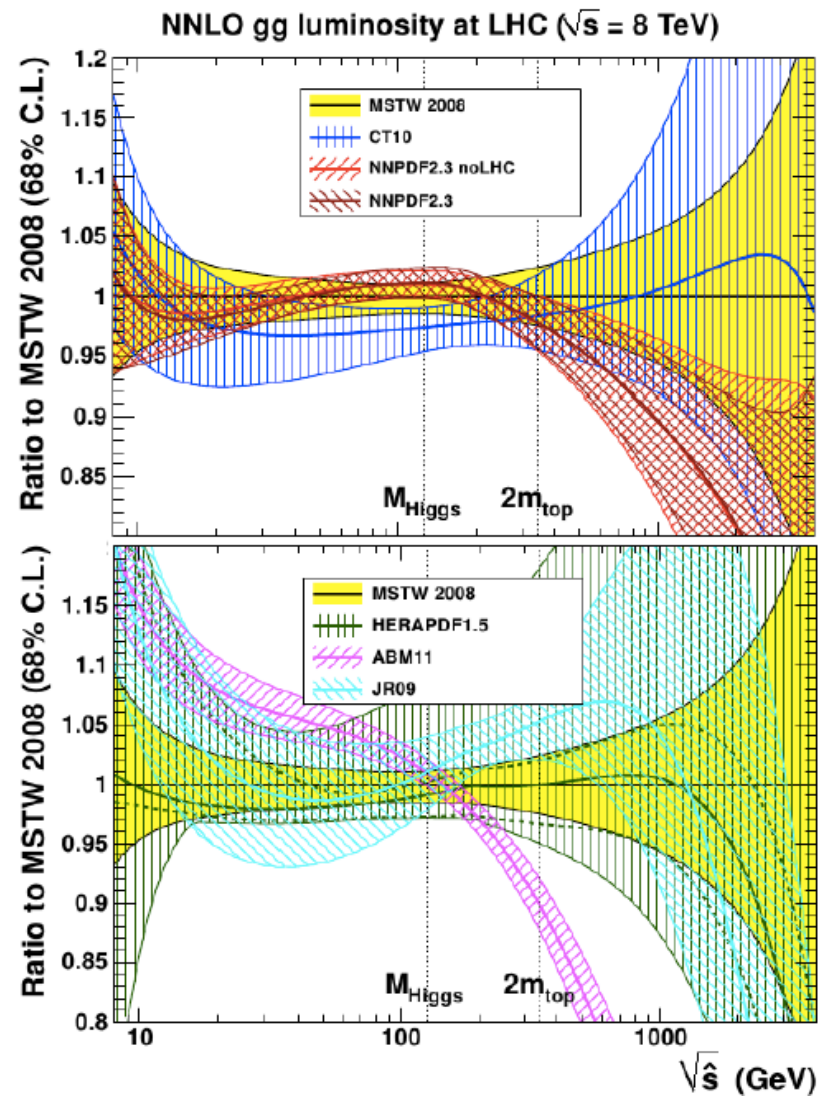
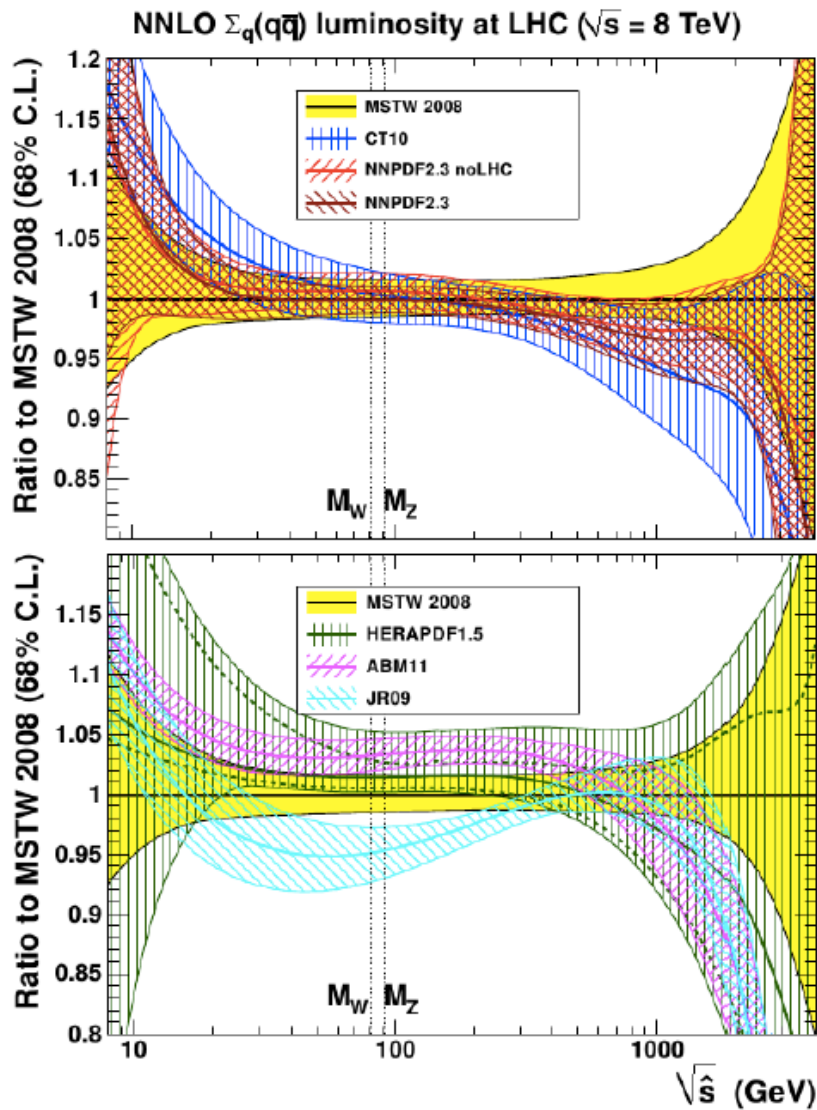
Uncertainties of PDFs



Partonic luminosities

q - qbar

g - g



Improvement from resummation

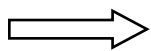
- Beyond the Born term (lowest order), partonic hard-parts are NOT unique, due to the PDFs' scheme dependence
- Same parton-level PDFs should be used for calculations of partonic parts of all observables

- All partonic hard parts have: $P_{qq}(x) \ell n \left(\frac{Q^2}{\mu_F^2} \right)$

Suggests to choose the scale: $\mu_F^2 \sim Q^2$

- Hard parts have potentially large logarithms:

$$\ell n(x), \quad \frac{1}{(1-x)_+}, \quad \left(\frac{\ell n(1-x)}{1-x} \right)_+$$



Resummation of the large logarithms

PDFs at large x

□ Testing ground for hadron structure at $x \rightarrow 1$:

✧ $d/u \rightarrow 1/2$

SU(6) Spin-flavor symmetry

✧ $d/u \rightarrow 0$

Scalar diquark dominance

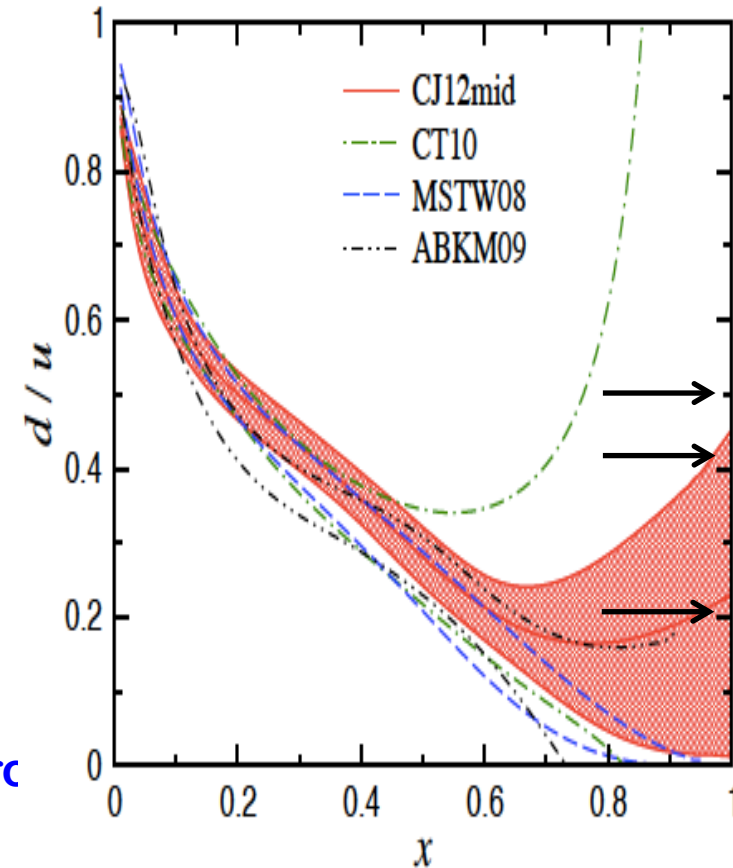
✧ $d/u \rightarrow 1/5$

pQCD power counting

✧ $d/u \rightarrow \frac{4\mu_n^2/\mu_p^2 - 1}{4 - \mu_n^2/\mu_p^2}$

Local quark-hadron duality

≈ 0.42



PDFs at large x

□ Testing ground for hadron structure at $x \rightarrow 1$:

$$\diamond d/u \rightarrow 1/2$$

SU(6) Spin-flavor
symmetry

$$\diamond \Delta u/u \rightarrow 2/3$$
$$\Delta d/d \rightarrow -1/3$$

$$\diamond d/u \rightarrow 0$$

Scalar diquark
dominance

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow -1/3$$

$$\diamond d/u \rightarrow 1/5$$

pQCD power
counting

$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow 1$$

$$\diamond d/u \rightarrow \frac{4\mu_n^2/\mu_p^2 - 1}{4 - \mu_n^2/\mu_p^2}$$
$$\approx 0.42$$

Local quark-hadron
duality

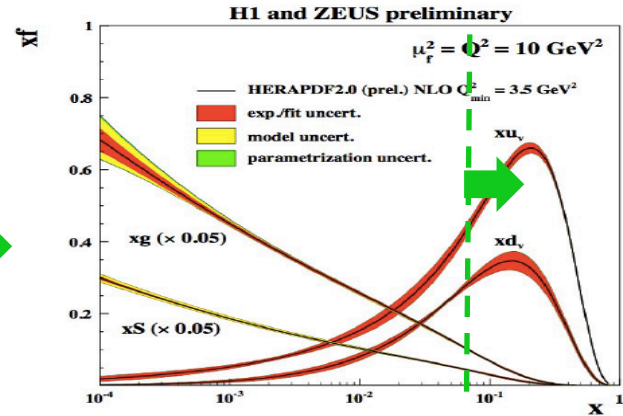
$$\diamond \Delta u/u \rightarrow 1$$
$$\Delta d/d \rightarrow 1$$

Can lattice QCD help?

Lattice calculations of hadron structure



Lattice QCD



X-dep distributions

□ New ideas – from quasi-PDFs (lattice calculable) to PDFs:

✧ High P_z effective field theory approach:

$$\tilde{q}(x, \mu^2, P_z) = \int_x^1 \frac{dy}{y} Z\left(\frac{x}{y}, \frac{\mu}{P_z}\right) q(y, \mu^2) + \mathcal{O}\left(\frac{\Lambda^2}{P_z^2}, \frac{M^2}{P_z^2}\right)$$

Ji, et al.,
arXiv:1305.1539
1404.6680

✧ QCD collinear factorization approach:

$$\tilde{q}(x, \mu^2, P_z) = \sum_f \int_0^1 \frac{dy}{y} C_f\left(\frac{x}{y}, \frac{\mu^2}{\bar{\mu}^2}, P_z\right) f(y, \bar{\mu}^2) + \mathcal{O}\left(\frac{1}{\mu^2}\right)$$

Ma and Qiu,
arXiv:1404.6860
1412.2688
Ishikawa, Qiu, Yoshida,

Parameter
like \sqrt{s}

Factorization
scale

High twist
Power corrections

Unmatched potential: PDFs of proton, neutron, pion, ..., and TMDs and GPDs, ...

Summary of lecture two

- ❑ QCD factorization has been extremely successful in predicting and interpreting high energy scattering data with the momentum transfer $> 2 \text{ GeV}$
- ❑ PQCD factorization approach is mature, NLO calculations are available for most observables, NNLO are becoming available for the search of new physics
- ❑ Direct photon data are still puzzling and challenging
- ❑ NLO PDFs are very stable now, and NNLO PDFs are becoming available
- ❑ New ideas: Lattice QCD calculation of partonic structure of hadrons

Hadron structure beyond PDFs, quantum correlation between hadron spin and its confined parton motions, ... ?

Backup slides

How to calculate the perturbative parts?

□ Use DIS structure function F_2 as an example:

$$F_{2h}(x_B, Q^2) = \sum_{q,f} C_{q/f} \left(\frac{x_B}{x}, \frac{Q^2}{\mu^2}, \alpha_s \right) \otimes \varphi_{f/h}(x, \mu^2) + O\left(\frac{\Lambda_{\text{QCD}}^2}{Q^2}\right)$$

✧ Apply the factorized formula to parton states: $h \rightarrow q$

Feynman diagrams

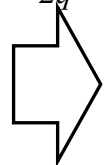
$$F_{2q}(x_B, Q^2) = \sum_{q,f} C_{q/f} \left(\frac{x_B}{x}, \frac{Q^2}{\mu^2}, \alpha_s \right) \otimes \varphi_{f/q}(x, \mu^2)$$

Feynman diagrams

✧ Express both SFs and PDFs in terms of powers of α_s :

0th order:

$$F_{2q}^{(0)}(x_B, Q^2) = C_q^{(0)}(x_B/x, Q^2/\mu^2) \otimes \varphi_{q/q}^{(0)}(x, \mu^2)$$



$$C_q^{(0)}(x) = F_{2q}^{(0)}(x)$$

$$\varphi_{q/q}^{(0)}(x) = \delta_{qq} \delta(1-x)$$

1th order:

$$F_{2q}^{(1)}(x_B, Q^2) = C_q^{(1)}(x_B/x, Q^2/\mu^2) \otimes \varphi_{q/q}^{(0)}(x, \mu^2)$$

$$+ C_q^{(0)}(x_B/x, Q^2/\mu^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$



$$C_q^{(1)}(x, Q^2/\mu^2) = F_{2q}^{(1)}(x, Q^2) - F_{2q}^{(0)}(x, Q^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$

PDFs of a parton

Change the state without changing the operator:

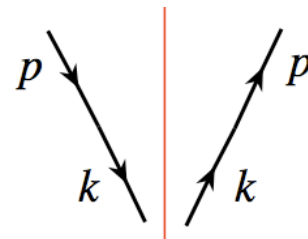
$$\phi_{q/h}(x, \mu^2) = \int \frac{dy^-}{2\pi} e^{ixp^+y^-} \langle h(p) | \bar{\psi}_q(0) \frac{\gamma^+}{2} U_{[0,y^-]}^n \psi_2(y^-) | h(p) \rangle$$

$$|h(p)\rangle \Rightarrow |\text{parton}(p)\rangle \quad \rightarrow \quad \phi_{f/q}(x, \mu^2) \text{ – given by Feynman diagrams}$$

Lowest order quark distribution:

From the operator definition:

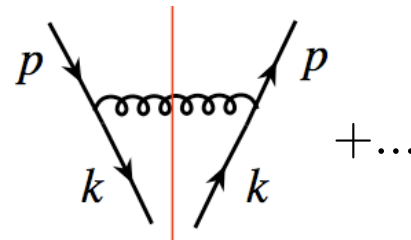
$$\begin{aligned} \phi_{q'/q}^{(0)}(x) &= \delta_{qq'} \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[\left(\frac{1}{2} \gamma \cdot p \right) \left(\frac{\gamma^+}{2p^+} \right) \right] \delta \left(x - \frac{k^+}{p^+} \right) (2\pi)^4 \delta^4(p - k) \\ &= \delta_{qq'} \delta(1 - x) \end{aligned}$$



Leading order in α_s quark distribution:

Expand to $(g_s)^2$ – logarithmic divergent:

$$\phi_{q/q}^{(1)}(x) = C_F \frac{\alpha_s}{2\pi} \int \frac{dk_T^2}{k_T^2} \left[\frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right] + \text{UVCT}$$



UV and CO divergence

Partonic cross sections

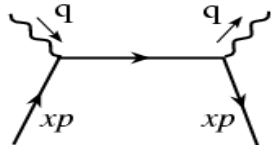
□ Projection operators for SFs:

$$W_{\mu\nu} = -\left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2}\right) F_1(x, Q^2) + \frac{1}{p \cdot q} \left(p_\mu - q_\mu \frac{p \cdot q}{q^2}\right) \left(p_\nu - q_\nu \frac{p \cdot q}{q^2}\right) F_2(x, Q^2)$$

$$F_1(x, Q^2) = \frac{1}{2} \left(-g^{\mu\nu} + \frac{4x^2}{Q^2} p^\mu p^\nu\right) W_{\mu\nu}(x, Q^2)$$

$$F_2(x, Q^2) = x \left(-g^{\mu\nu} + \frac{12x^2}{Q^2} p^\mu p^\nu\right) W_{\mu\nu}(x, Q^2)$$

□ 0th order:

$$F_{2q}^{(0)}(x) = x g^{\mu\nu} W_{\mu\nu, q}^{(0)} = x g^{\mu\nu} \left[\frac{1}{4\pi} \text{diagram} \right]$$


$$= \left(x g^{\mu\nu}\right) \frac{e_q^2}{4\pi} \text{Tr} \left[\frac{1}{2} \gamma \cdot p \gamma_\mu \gamma \cdot (p+q) \gamma_\nu \right] 2\pi \delta((p+q)^2)$$

$$= e_q^2 x \delta(1-x)$$

$$C_q^{(0)}(x) = e_q^2 x \delta(1-x)$$

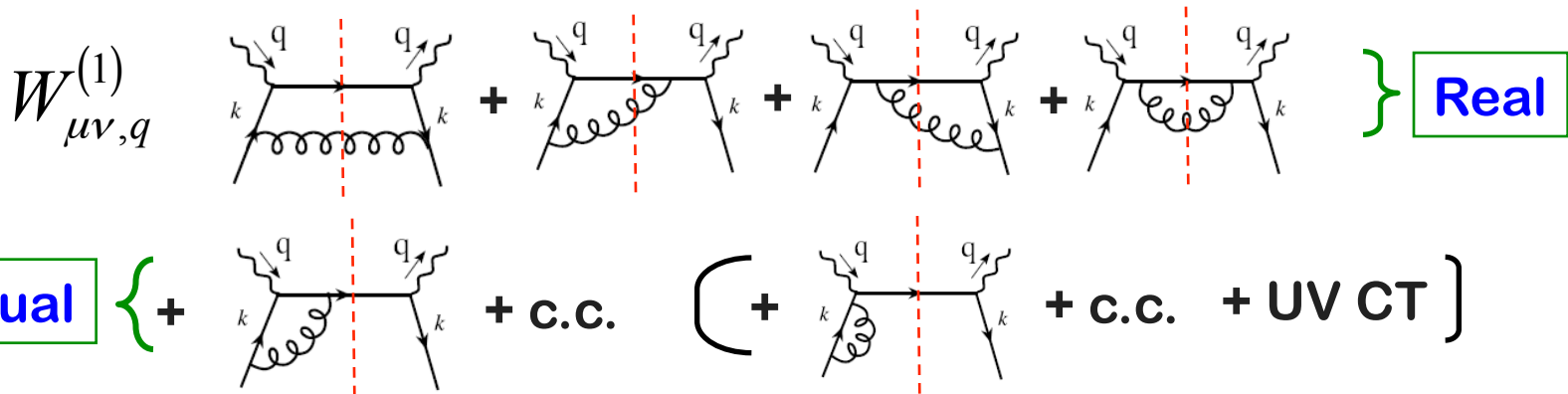
NLO coefficient function – complete example

$$C_q^{(1)}(x, Q^2 / \mu^2) = F_{2q}^{(1)}(x, Q^2) - F_{2q}^{(0)}(x, Q^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$

□ Projection operators in n-dimension: $g_{\mu\nu} g^{\mu\nu} = n \equiv 4 - 2\varepsilon$

$$(1 - \varepsilon) F_2 = x \left(-g^{\mu\nu} + (3 - 2\varepsilon) \frac{4x^2}{Q^2} p^\mu p^\nu \right) W_{\mu\nu}$$

□ Feynman diagrams:



□ Calculation: $-g^{\mu\nu} W_{\mu\nu, q}^{(1)}$ and $p^\mu p^\nu W_{\mu\nu, q}^{(1)}$

Contribution from the trace of $W_{\mu\nu}$

□ **Lowest order in n-dimension:**

$$-g^{\mu\nu} W_{\mu\nu,q}^{(0)} = e_q^2 (1-\varepsilon) \delta(1-x)$$

□ **NLO virtual contribution:**

$$-g^{\mu\nu} W_{\mu\nu,q}^{(1)V} = e_q^2 (1-\varepsilon) \delta(1-x) * \left(-\frac{\alpha_s}{\pi} \right) C_F \left[\frac{4\pi\mu^2}{Q^2} \right]^\varepsilon \frac{\Gamma(1+\varepsilon)\Gamma^2(1-\varepsilon)}{\Gamma(1-2\varepsilon)} \left[\frac{1}{\varepsilon^2} + \frac{3}{2} \frac{1}{\varepsilon} + 4 \right]$$

□ **NLO real contribution:**

$$-g^{\mu\nu} W_{\mu\nu,q}^{(1)R} = e_q^2 (1-\varepsilon) C_F \left(-\frac{\alpha_s}{2\pi} \right) \left[\frac{4\pi\mu^2}{Q^2} \right]^\varepsilon \frac{\Gamma(1+\varepsilon)}{\Gamma(1-2\varepsilon)} * \left\{ -\frac{1-\varepsilon}{\varepsilon} \left[1-x + \left(\frac{2x}{1-x} \right) \left(\frac{1}{1-2\varepsilon} \right) \right] + \frac{1-\varepsilon}{2(1-2\varepsilon)(1-x)} + \frac{2\varepsilon}{1-2\varepsilon} \right\}$$

□ The “+” distribution:

$$\left(\frac{1}{1-x}\right)^{1+\varepsilon} = -\frac{1}{\varepsilon} \delta(1-x) + \frac{1}{(1-x)_+} + \varepsilon \left(\frac{1n(1-x)}{1-x}\right)_+ + O(\varepsilon^2)$$

$$\int_z^1 dx \frac{f(x)}{(1-x)_+} \equiv \int_z^1 dx \frac{f(x) - f(1)}{1-x} + 1n(1-z)f(1)$$

□ One loop contribution to the trace of $W_{\mu\nu}$:

$$\begin{aligned} -g^{\mu\nu} W_{\mu\nu,q}^{(1)} = e_q^2 (1-\varepsilon) \left(\frac{\alpha_s}{2\pi}\right) & \left\{ -\frac{1}{\varepsilon} P_{qq}(x) + P_{qq}(x) 1n\left(\frac{Q^2}{\mu^2 (4\pi e^{-\gamma_E})}\right) \right. \\ & + C_F \left[(1+x^2) \left(\frac{1n(1-x)}{1-x}\right)_+ - \frac{3}{2} \left(\frac{1}{1-x}\right)_+ - \frac{1+x^2}{1-x} 1n(x) \right. \\ & \left. \left. + 3 - x - \left(\frac{9}{2} + \frac{\pi^2}{3}\right) \delta(1-x) \right] \right\} \end{aligned}$$

□ Splitting function:

$$P_{qq}(x) = C_F \left[\frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right]$$

□ One loop contribution to $p^\mu p^\nu W_{\mu\nu}$:

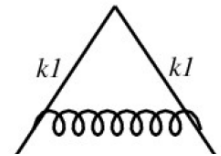
$$p^\mu p^\nu W_{\mu\nu,q}^{(1)V} = 0 \qquad p^\mu p^\nu W_{\mu\nu,q}^{(1)R} = e_q^2 C_F \frac{\alpha_s}{2\pi} \frac{Q^2}{4x}$$

□ One loop contribution to F_2 of a quark:

$$F_{2q}^{(1)}(x, Q^2) = e_q^2 x \frac{\alpha_s}{2\pi} \left\{ \left(-\frac{1}{\epsilon} \right)_{\text{CO}} P_{qq}(x) \left(1 + \epsilon \ln(4\pi e^{-\gamma_E}) \right) + P_{qq}(x) \ln \left(\frac{Q^2}{\mu^2} \right) \right. \\ \left. + C_F \left[(1+x^2) \left(\frac{\ln(1-x)}{1-x} \right)_+ - \frac{3}{2} \left(\frac{1}{1-x} \right)_+ - \frac{1+x^2}{1-x} \ln(x) + 3 + 2x - \left(\frac{9}{2} + \frac{\pi^2}{3} \right) \delta(1-x) \right] \right\} \\ \Rightarrow \infty \quad \text{as } \epsilon \rightarrow 0$$

□ One loop contribution to quark PDF of a quark:

$$\varphi_{q/q}^{(1)}(x, \mu^2) = \left(\frac{\alpha_s}{2\pi} \right) P_{qq}(x) \left\{ \left(\frac{1}{\epsilon} \right)_{\text{UV}} + \left(-\frac{1}{\epsilon} \right)_{\text{CO}} \right\} + \text{UV-CT}$$



– in the dimensional regularization

Different UV-CT = different factorization scheme!

□ Common UV-CT terms:

✧ **MS scheme:**
$$\text{UV-CT}|_{\text{MS}} = -\frac{\alpha_s}{2\pi} P_{qq}(x) \left(\frac{1}{\epsilon} \right)_{\text{UV}}$$

✧ **$\overline{\text{MS}}$ scheme:**
$$\text{UV-CT}|_{\overline{\text{MS}}} = -\frac{\alpha_s}{2\pi} P_{qq}(x) \left(\frac{1}{\epsilon} \right)_{\text{UV}} \left(1 + \epsilon \ln(4\pi e^{-\gamma_E}) \right)$$

✧ **DIS scheme:** choose a UV-CT, such that
$$C_q^{(1)}(x, Q^2 / \mu^2)|_{\text{DIS}} = 0$$

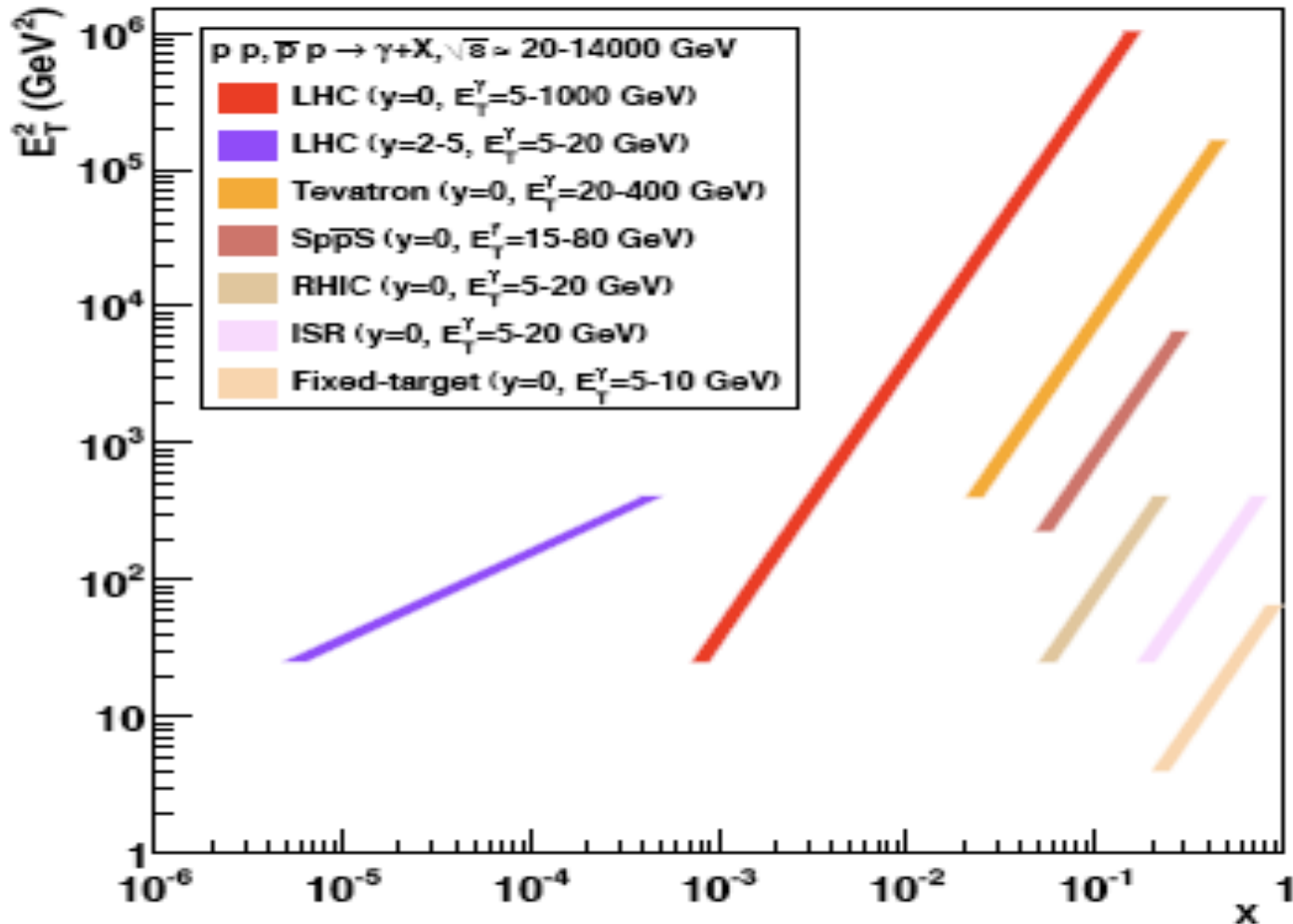
□ One loop coefficient function:

$$C_q^{(1)}(x, Q^2 / \mu^2) = F_{2q}^{(1)}(x, Q^2) - F_{2q}^{(0)}(x, Q^2) \otimes \varphi_{q/q}^{(1)}(x, \mu^2)$$

$$C_q^{(1)}(x, Q^2 / \mu^2) = e_q^2 x \frac{\alpha_s}{2\pi} \left\{ P_{qq}(x) \ln \left(\frac{Q^2}{\mu_{\overline{\text{MS}}}^2} \right) + C_F \left[(1+x^2) \left(\frac{1n(1-x)}{1-x} \right)_+ - \frac{3}{2} \left(\frac{1}{1-x} \right)_+ - \frac{1+x^2}{1-x} 1n(x) + 3 + 2x - \left(\frac{9}{2} + \frac{\pi^2}{3} \right) \delta(1-x) \right] \right\}$$

Direct photon covers a wide range of x and Q^2

□ Photon energy vs gluon momentum fraction x :



Direct photon data

□ **Fixed target energies** $\sqrt{s} = 20 - 40$ GeV:

✧ With $p_T = 3-10$ GeV, data have high $x_T = \frac{2p_T}{\sqrt{s}}$

✧ Challenge for NLO theory to fit data – wrong shape!

□ **Collider energies:**

✧ pp at ISR with $\sqrt{s} = 44 - 62$ GeV

✧ pp at CERN and Fermilab with $\sqrt{s} = 540 - 1960$ GeV

✧ $p\bar{p}$ at RHIC with $\sqrt{s} = 200 - 500$ GeV, dA and AA as well

✧ pp at LHC with $\sqrt{s} = 7 - 14$ TeV, and PbPb as well

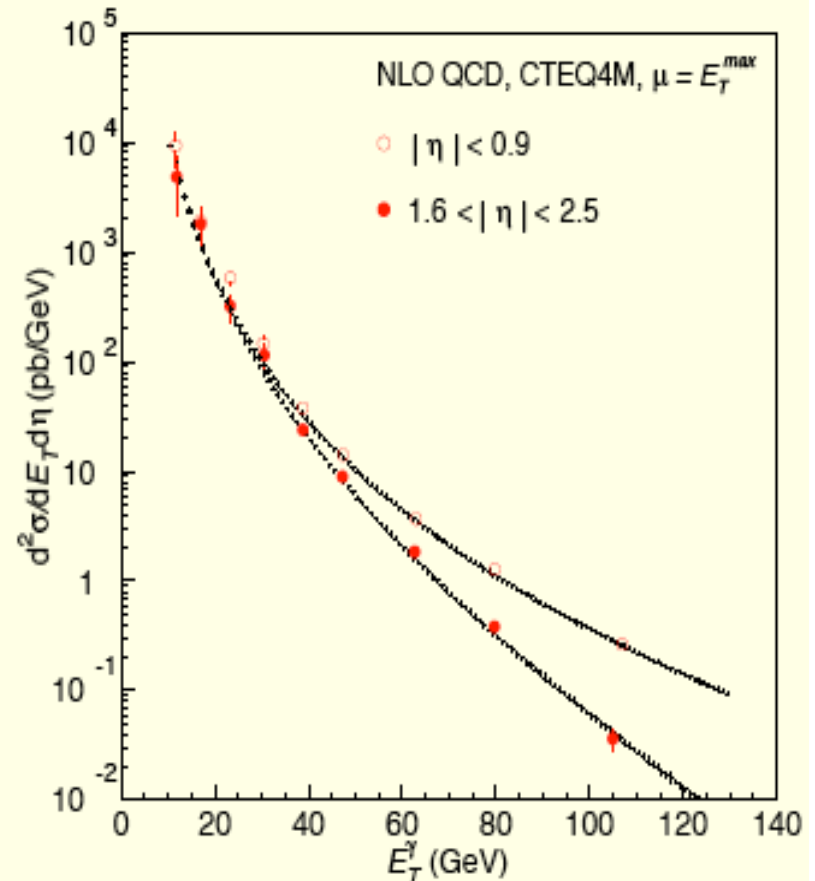
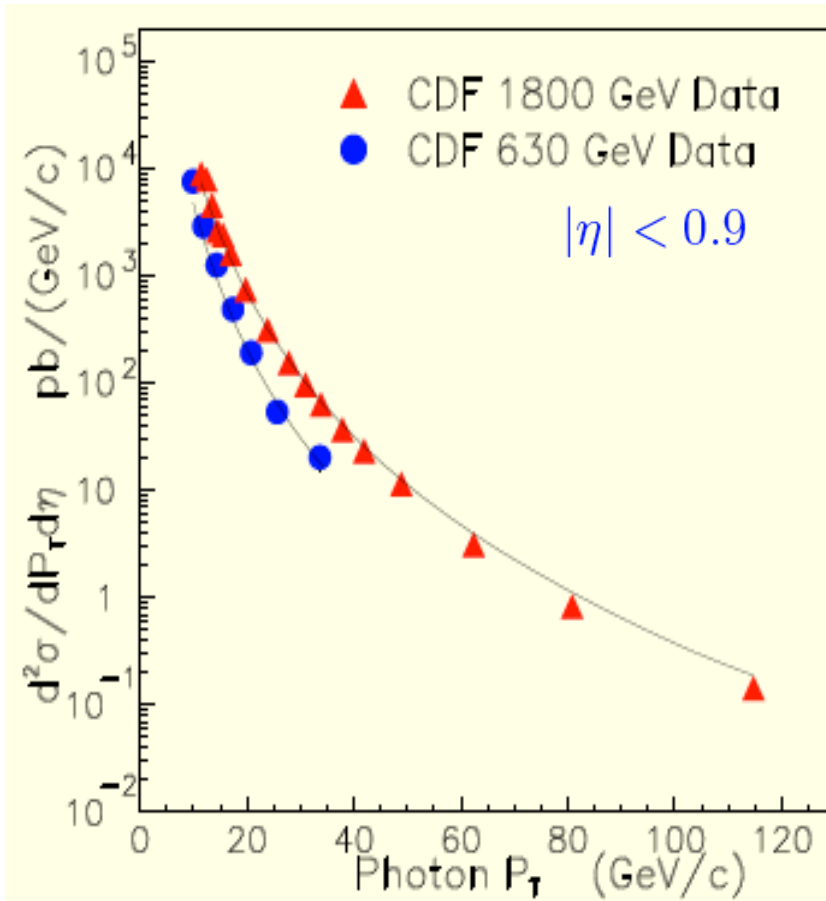
□ **Data sources:**

✧ Data review by W. Vogelsang and M.R. Whalley,
J. Phys. G23, Suppl. 7A, A1 (1997)

✧ Online database at <http://durpdg.dur.ac.uk/HEPDATA>

Theory vs experimental data

□ Tevatron data:



- ✧ Agreement looks good when plotted on a logarithmic scale
- ✧ QCD description of direct photon production works

QCD and hadrons