

# Nuclear Density Functional Theory for Astrophysics

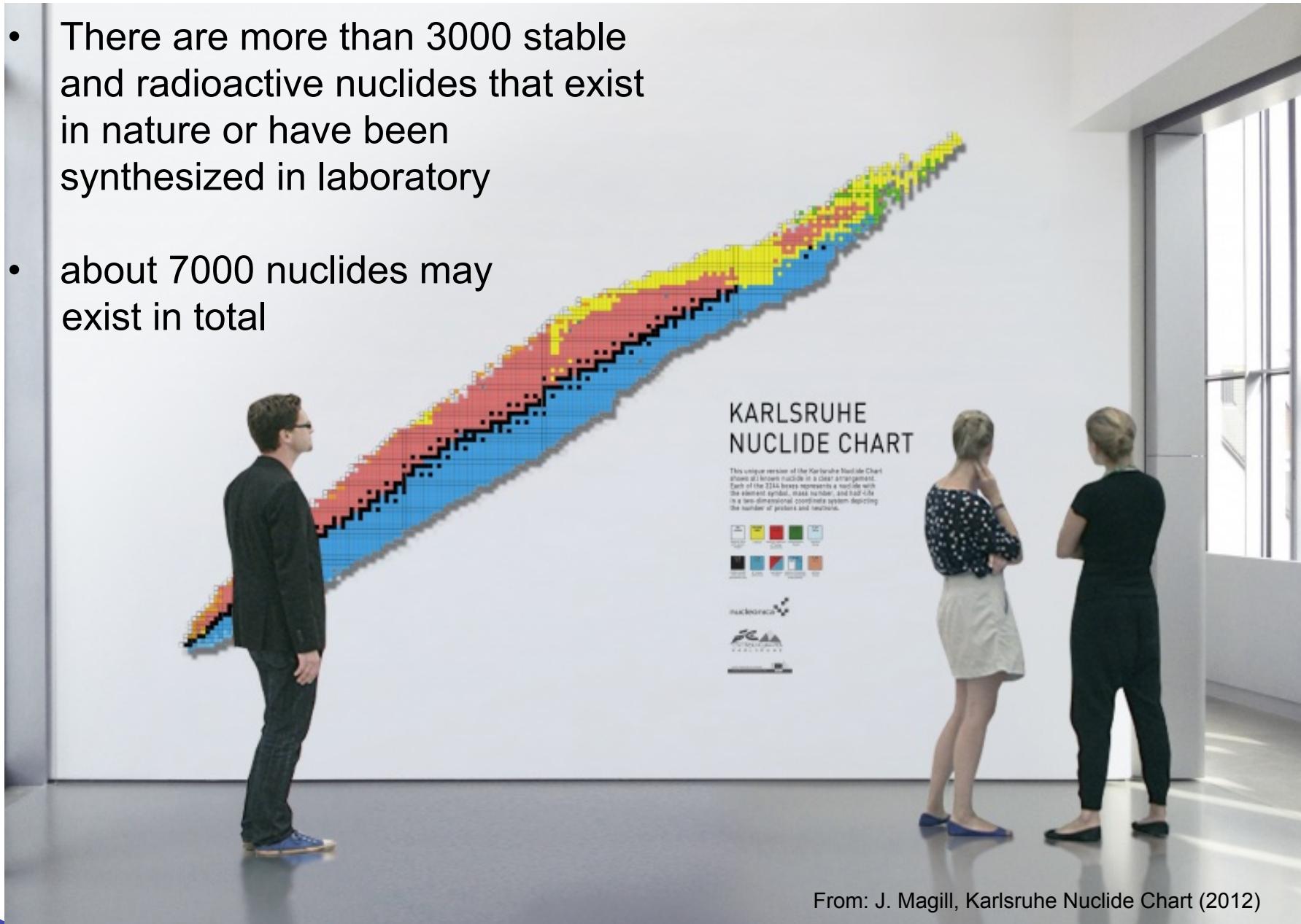
## PART I – Nuclear properties and excitations

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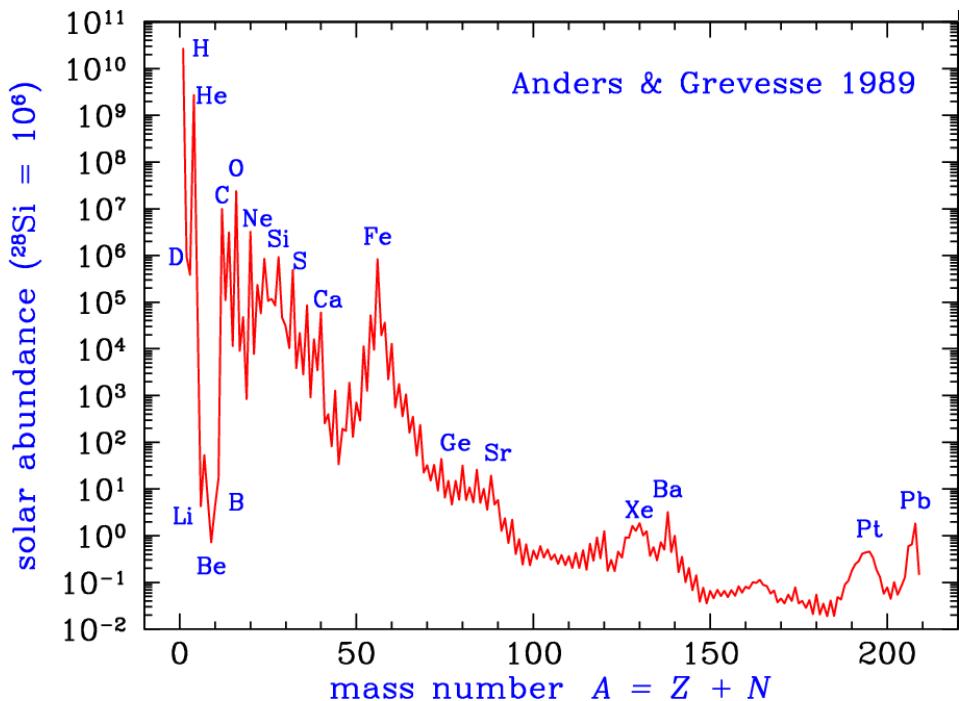
## THE CHART OF THE NUCLIDES

- There are more than 3000 stable and radioactive nuclides that exist in nature or have been synthesized in laboratory
- about 7000 nuclides may exist in total

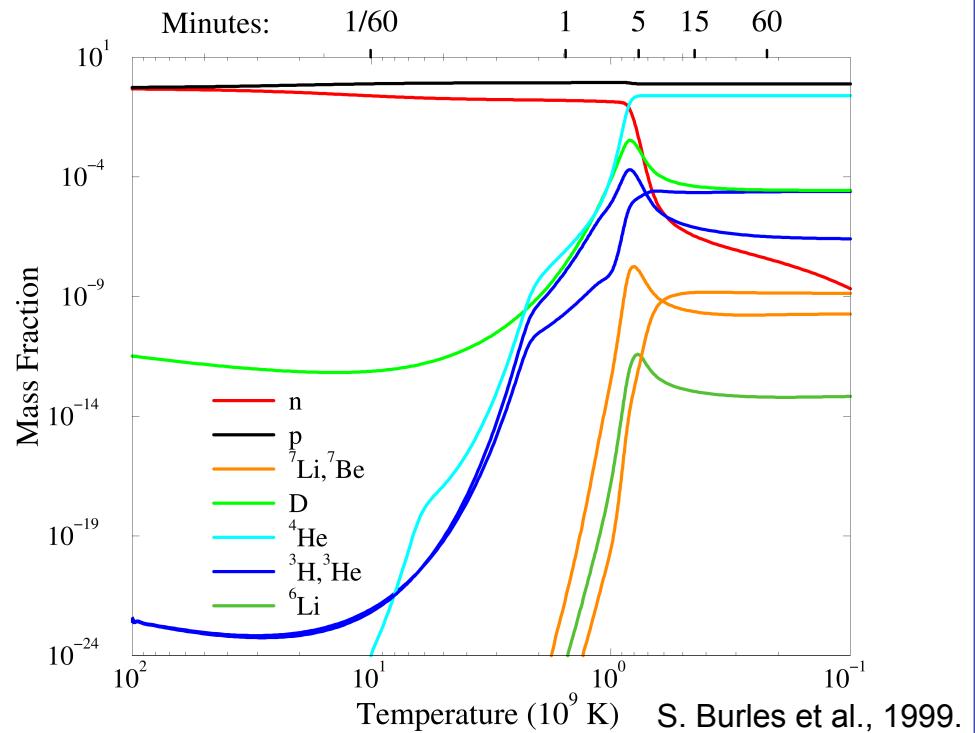


# THE SYNTHESIS OF ELEMENTS IN THE UNIVERSE

How are the elements in the universe produced?



Big-bang nucleosynthesis

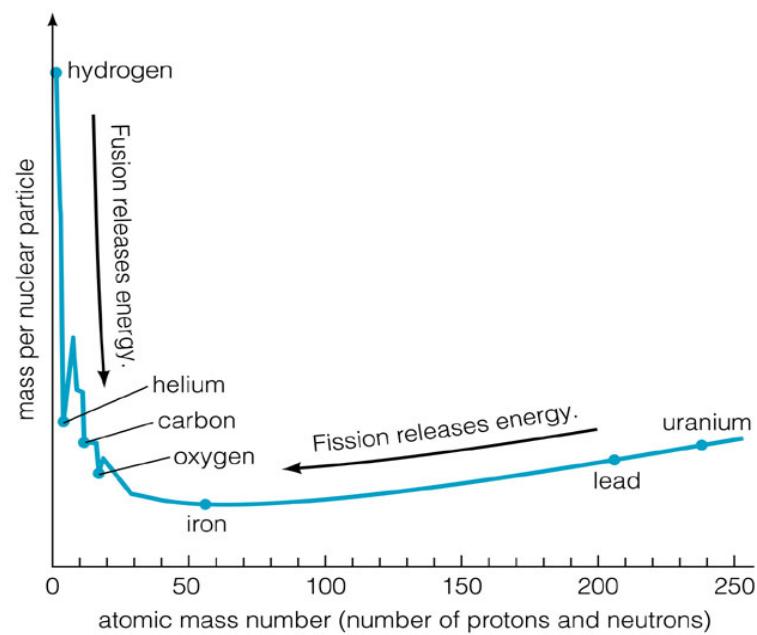


Hydrogen – 75 %  
Helium – 25 %  
Deuterium and tritium –  $10^{-3}$  %  
Lithium –  $10^{-8}$  %

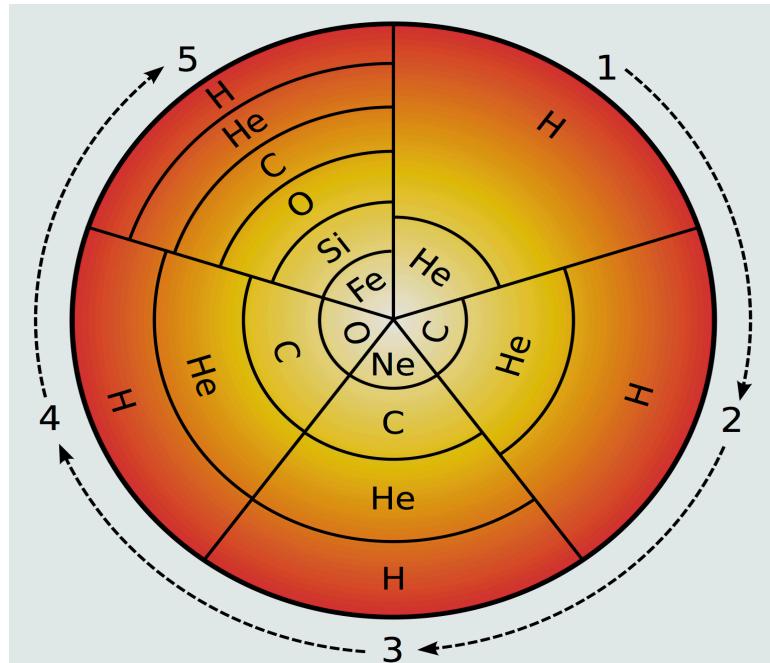
# THE SYNTHESIS OF ELEMENTS IN THE UNIVERSE

## Hydrostatic nucleosynthesis in stars

- Hydrogen burning continues until the fuel is spent, leading to contraction of the star and higher temperatures.
- Burning cycles with different fuel continue (depending on mass of the star) all the way to iron!



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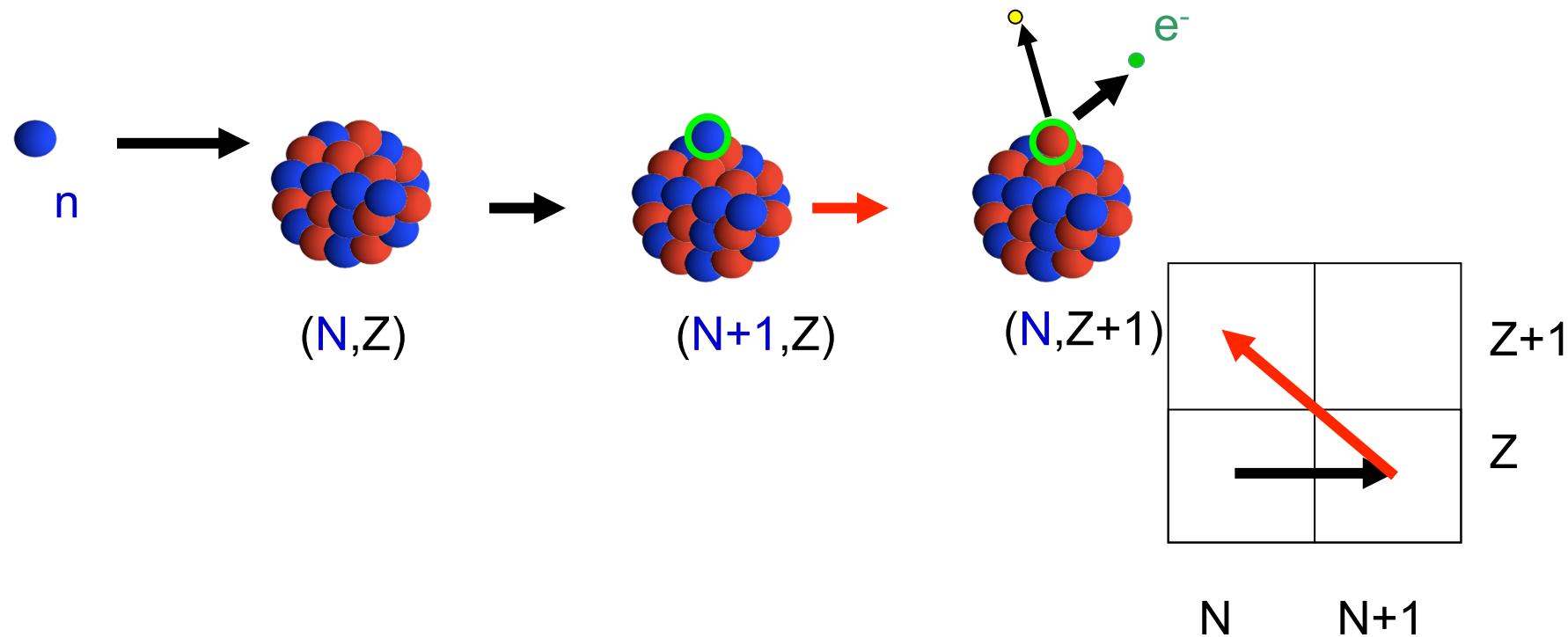


Iron and nickel have two most stable isotopes – combining them into even heavier nuclei would consume more energy than it would provide.

# THE SYNTHESIS OF ELEMENTS IN THE UNIVERSE

Nucleosynthesis of elements heavier than Fe:

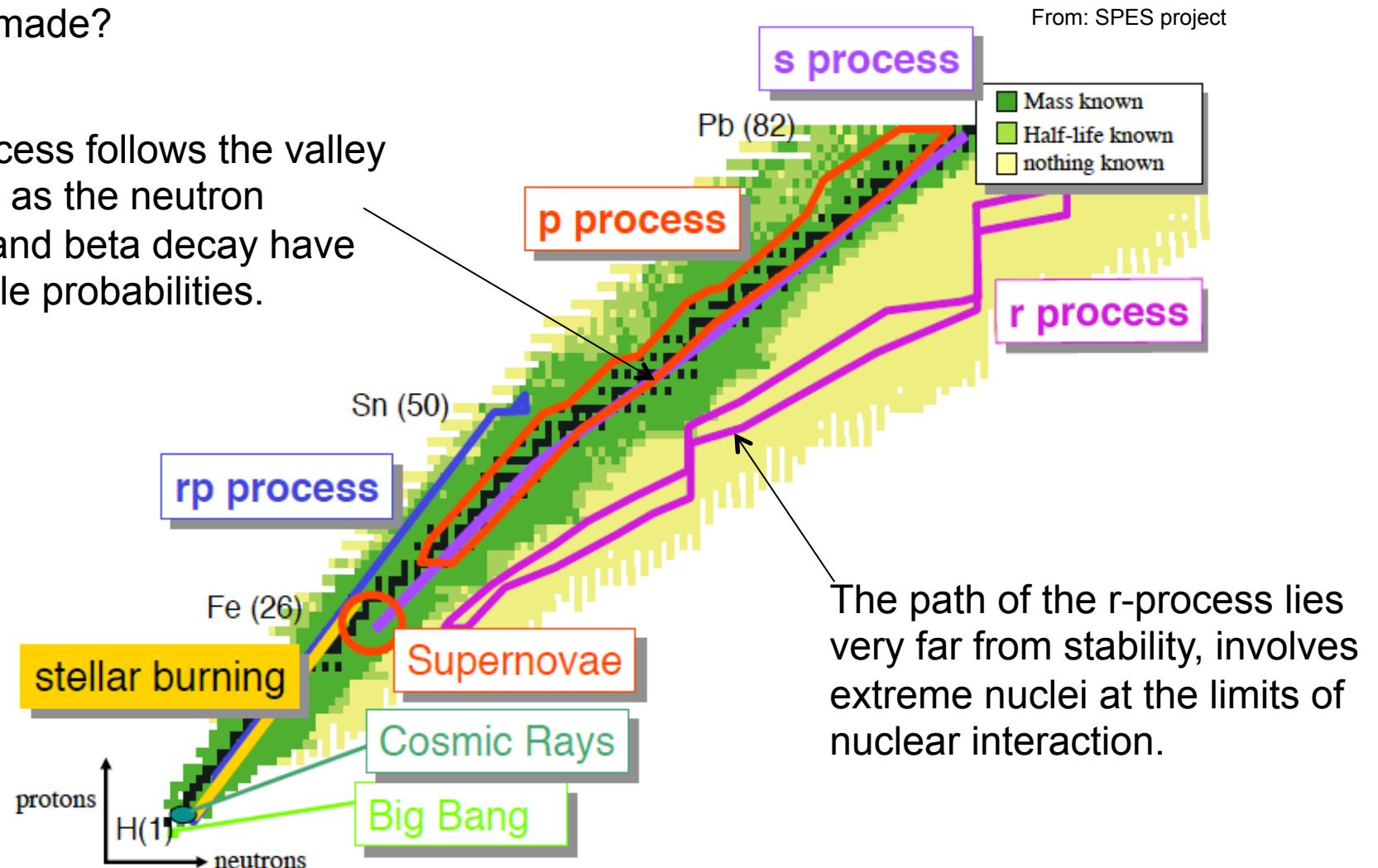
- Neutron capture and successive  $\beta^-$ -decay



# THE SYNTHESIS OF ELEMENTS IN THE UNIVERSE

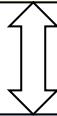
- How and where are the the heaviest elements made?

The s-process follows the valley of stability as the neutron captures and beta decay have comparable probabilities.



# Nuclear Astrophysics

(stellar evolution, nucleosynthesis)

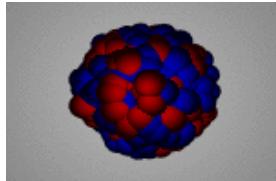


# Nuclear physics

(strong, weak, electromagnetic forces)  
nuclei and nuclear matter

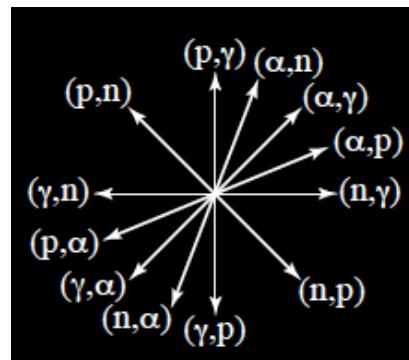
## Nuclear Structure and Dynamics

- masses
- deformations
- radii
- excitations
- collective effects
- ...



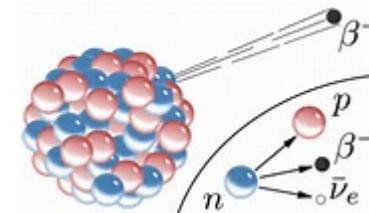
## Nuclear Reactions

- thermonuclear reactions
- ...



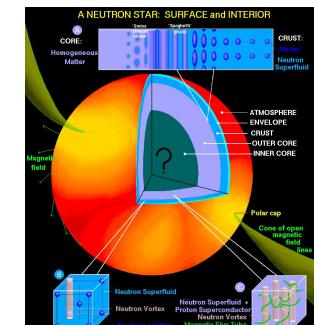
## Weak processes

- $\beta^\pm$  decays
- delayed n emission
- electron capture
- $\nu$ -nucleus processes
- ...



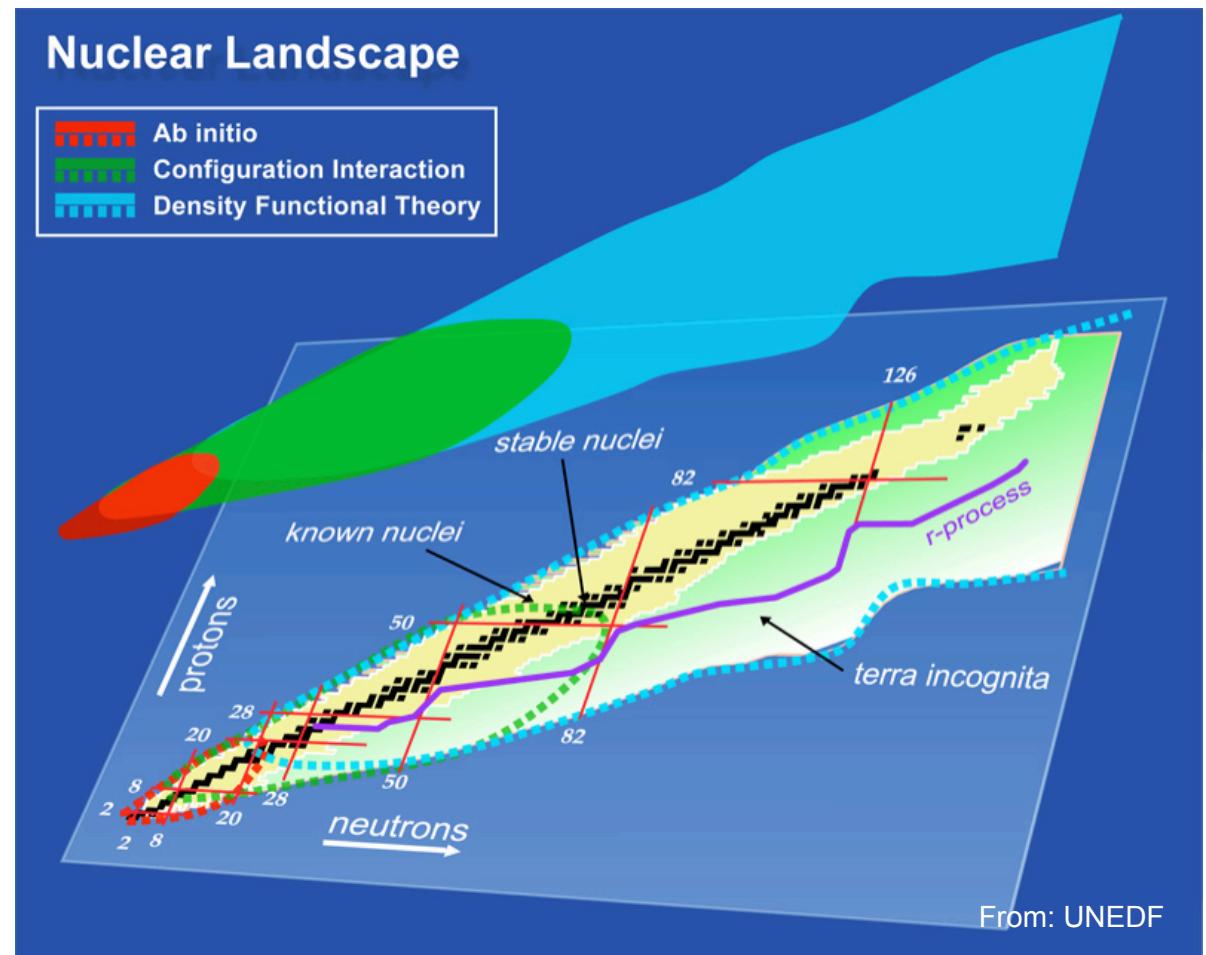
## Nuclear Matter

- equation of state
- symmetry energy
- neutron matter
- neutron stars
- ...



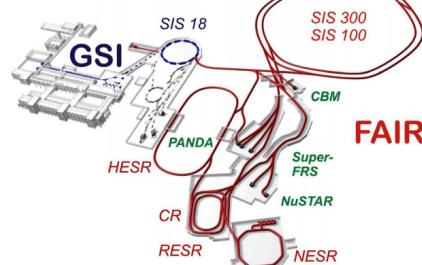
# NUCLEAR THEORY

- The goal: understanding the properties of nuclei for the synthesis of elements and properties of stars
- Nuclear theory approaches (microscopic)
  - Ab initio models
  - Interacting shell model
  - energy density functionals
  - ...
- Method of choice: Density functional theory allows a consistent approach to nuclear matter, finite nuclei and nuclear processes along the nuclide map
- Mainly based on mean field models (Skyrme, Gogny, relativistic)



# DENSITY FUNCTIONAL THEORY

## NEW GENERATION RIB FACILITIES



## Nuclear Energy Density Functional

Nuclear ground state properties and excitations; EOS

Stellar nuclear processes and reactions

A NEUTRON STAR: SURFACE and INTERIOR

CORE: Homogeneous Matter

CRUST: Neutron Superfluid

ATMOSPHERE: Neutron Superfluid

ENVOLPE: Neutron Superfluid

CRUST: Neutron Superfluid

OUTER CORE: Neutron Superfluid

INNER CORE: Neutron Superfluid

Magnetic field

Polar cap

Cone of open magnetic field lines

Neutron Superfluid

Neutron Vortex

Neutron in a lattice

Neutron Superfluid + Proton Superconductor

Neutron Vortex

Magnetic flux tube

Periodic Table of Elements

Michael Dayan

For a fully interactive experience, visit [www.ptable.com](http://www.ptable.com).

michael@ptable.com

## DENSITY FUNCTIONAL THEORY (DFT)



From: nobelprize.org

- **Walter Kohn** – The Nobel Prize in Chemistry 1998
  - ✧ “for his development of the density-functional theory”
- Kohn, Hohenberg, Sham, ...
- Successful applications of DFT in chemistry and condensed-matter physics
- Within the DFT it is not necessary to account for every electron's movement. Instead, one could look at the average density of electrons in the space.
- DFT shifts the emphasis from the individual wave functions to the density

$$\psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N) \longrightarrow \rho(\vec{r})$$

- Hohenberg-Kohn theorem – the exact energy of a quantum many body system is a functional  $E(\rho)$  of the local density  $\rho(\vec{r})$
- Ground state density and other ground state observables are obtained by minimizing a suitable energy functional  $E(\rho)$

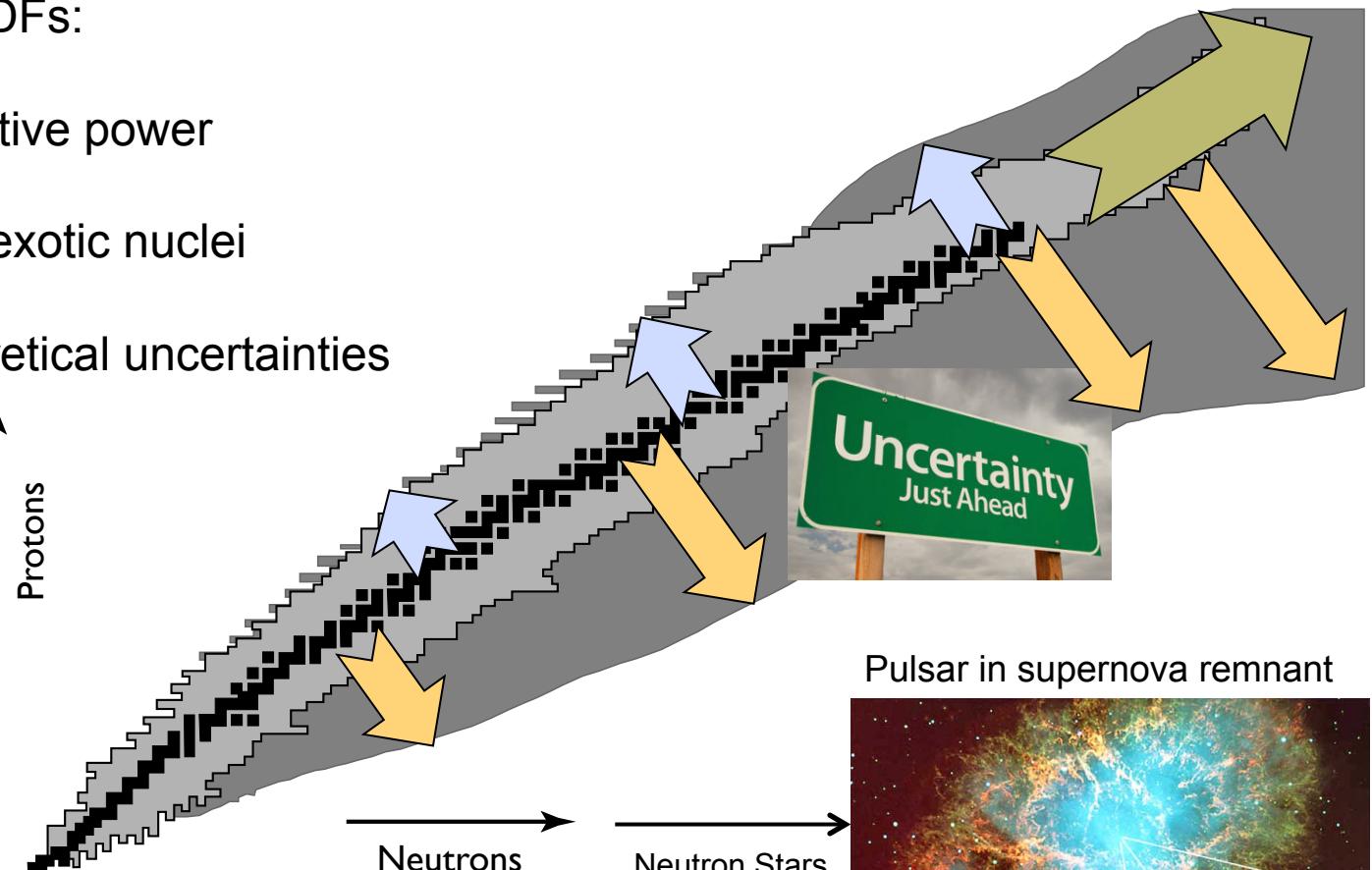
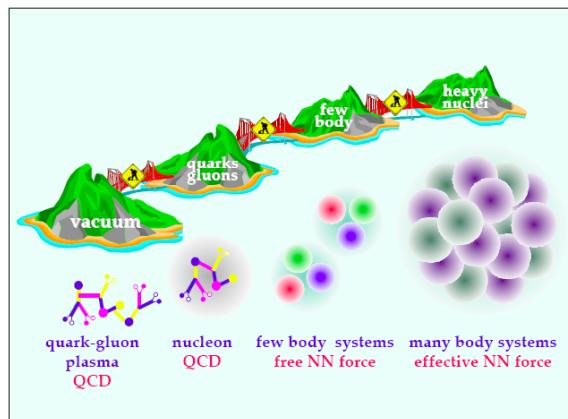
# The strategy in the nuclear EDF approach:

- Establish an optimal EDF based on some effective nuclear interaction
- the nuclear energy functional is so far phenomenological and not connected to realistic NN-interaction
- Constrain the empirical parameters of the functional and its validation using available many body observables such as masses, radii, pseudo-data, etc.
- Complicated many body effects are encoded in the empirical constants
- EDF valid through the entire chart of nuclides, light and heavy, spherical and deformed
- Develop theory frameworks for applications of the functional to address various static and dynamic nuclear phenomena, processes, nuclear equation of state, neutron stars,  
...

# ENERGY DENSITY FUNCTIONAL (EDF)

- Challenges for the EDFs:

- improving predictive power
- extrapolation to exotic nuclei
- quantifying theoretical uncertainties



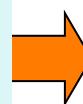
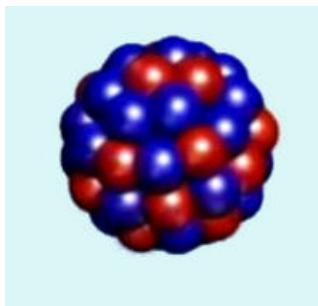
- connecting ab-initio methods and EDFs
- universal EDF for astrophysical models



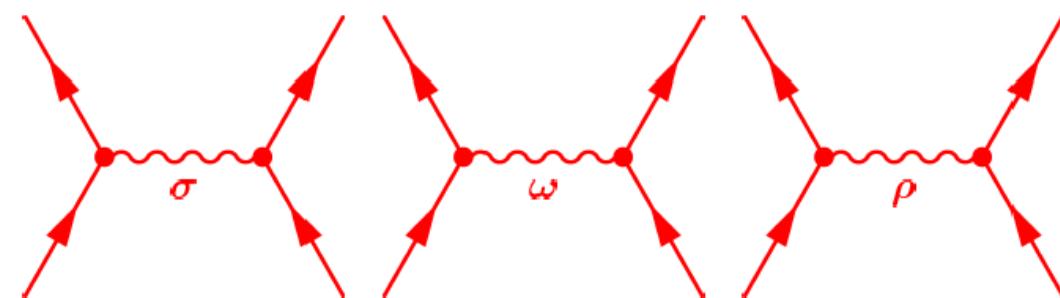
From: Crab Nebula from VLT: FORS Team, ESO; X-ray Image (inset): NASA/CXC/ASU/J. Hester et al.; Optical Image (inset): NASA/HST/ASU/J. Hester et al.

## SELF-CONSISTENT RELATIVISTIC MEAN FIELD MODEL

- The implementation of density functional theory in the relativistic framework in terms of self-consistent relativistic mean-field model
- The basis is an **effective Lagrangian** with relativistic symmetries



System of Dirac nucleons coupled by the exchange meson and the photon fields



sigma-meson:  
attractive scalar field

omega-meson:  
short-range repulsive

rho-meson:  
isovector field

### *Extensions:*

- pairing correlations (Relativistic Hartree-Bogoliubov model)

## SELF-CONSISTENT RELATIVISTIC MEAN FIELD MODEL

$$\mathcal{L} = \mathcal{L}_N + \mathcal{L}_m + \mathcal{L}_{int}$$

- the Lagrangian of the free nucleon:  $\mathcal{L}_N = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi$

- the Lagrangian of the free meson fields and the electromagnetic field:

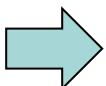
$$\begin{aligned}\mathcal{L}_m = & \frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - \frac{1}{2} m_\sigma^2 \sigma^2 - \frac{1}{4} \Omega_{\mu\nu} \Omega^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu \\ & - \frac{1}{4} \vec{R}_{\mu\nu} \vec{R}^{\mu\nu} + \frac{1}{2} m_\rho^2 \vec{\rho}_\mu \vec{\rho}^\mu - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}\end{aligned}$$

- minimal set of interaction terms:

$$\mathcal{L}_{int} = -\bar{\psi} \Gamma_\sigma \sigma \psi - \bar{\psi} \Gamma_\omega^\mu \omega_\mu \psi - \bar{\psi} \vec{\Gamma}_\rho^\mu \vec{\rho}_\mu \psi - \bar{\psi} \Gamma_e^\mu A_\mu \psi.$$

- with the vertices:  $\Gamma_\sigma = g_\sigma$ ,  $\Gamma_\omega^\mu = g_\omega \gamma^\mu$ ,  $\vec{\Gamma}_\rho^\mu = g_\rho \vec{\tau} \gamma^\mu$ ,  $\Gamma_e^m = e^{\frac{1-\tau_3}{2}} \gamma^\mu$

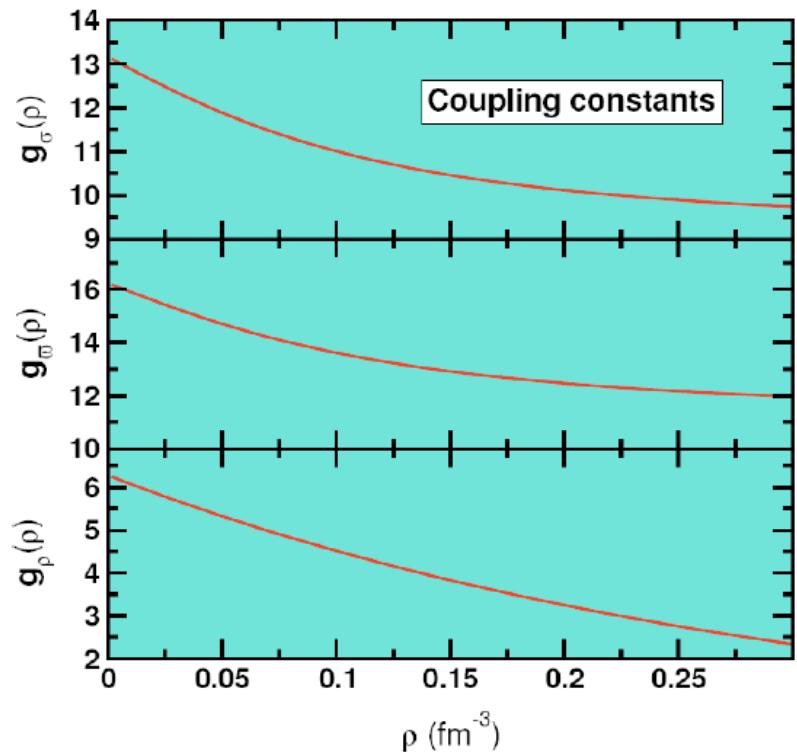
$$\partial_\mu \frac{\partial L}{\partial (\partial_\mu q_k)} - \frac{\partial L}{\partial q_k} = 0.$$



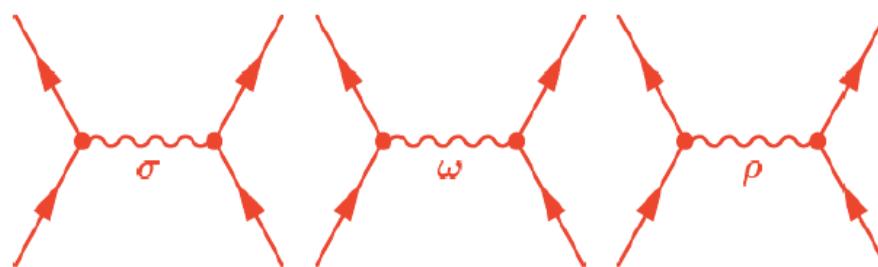
- Dirac equation (nucleons)
- Klein-Gordon eqs. (meson fields)
- Self-consistent solution

## SELF-CONSISTENT RELATIVISTIC MEAN FIELD MODEL

- Effective density dependence of the model – motivated by ab-initio calculations
- Density dependent meson-nucleon couplings



Effective interactions with medium-dependent couplings:



COUPLING PARAMETERS:

$g_\sigma(\rho)$ ,  $g_\omega(\rho)$ ,  $g_\rho(\rho)$

## SELF-CONSISTENT RELATIVISTIC MEAN FIELD MODEL

- Relativistic point coupling model
- The basis is an effective Lagrangian with four-fermion (contact) interaction terms; isoscalar-scalar, isoscalar-vector, isovector-vector, derivative term

$$\begin{aligned}\mathcal{L} = & \bar{\psi}(i\gamma \cdot \partial - m)\psi \\ & - \frac{1}{2}\alpha_S(\hat{\rho})(\bar{\psi}\psi)(\bar{\psi}\psi) - \frac{1}{2}\alpha_V(\hat{\rho})(\bar{\psi}\gamma^\mu\psi)(\bar{\psi}\gamma_\mu\psi) \\ & - \frac{1}{2}\alpha_{TV}(\hat{\rho})(\bar{\psi}\vec{\tau}\gamma^\mu\psi)(\bar{\psi}\vec{\tau}\gamma_\mu\psi) \\ & - \frac{1}{2}\delta_S(\partial_\nu\bar{\psi}\psi)(\partial^\nu\bar{\psi}\psi) - e\bar{\psi}\gamma \cdot A \frac{(1-\tau_3)}{2}\psi\end{aligned}$$

- many-body correlations encoded in density-dependent coupling functions that are motivated by microscopic calculations but parameterized in a phenomenological way
- Extensions: pairing correlations in finite nuclei
  - Relativistic Hartree-Bogoliubov model  
(e.g. with separable form of the pairing interaction [Y. Tian, Z. Y. Ma, P. Ring, PLB 676, 44 \(2009\).](#))

## SELF-CONSISTENT RELATIVISTIC MEAN FIELD MODEL

- Density dependence of the couplings - To establish the density dependence of the couplings one could start from a microscopic equation of state of symmetric and asymmetric nuclear matter.

$$\alpha_i(\rho) = a_i + (b_i + c_i x) e^{-d_i x} \quad (i \equiv S, V, TV)$$

$$x = \rho / \rho_{sat}$$

- 12 model parameters:

$$a_s, b_s, c_s, d_s$$

$$a_v, b_v, d_v$$

$$b_{TV}, d_{TV}$$

$$\delta_s$$

$$g_n, g_p$$

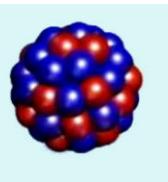
- isoscalar-scalar
- isoscalar-vector
- isovector-vector
- derivative term
- pairing correlations (strength parameters)

## CONSTRAINING THE FUNCTIONAL

- The model parameters  $\mathbf{p} = (p_1, \dots, p_n)$  are constrained directly by many-body observables using  $\chi^2$  minimization

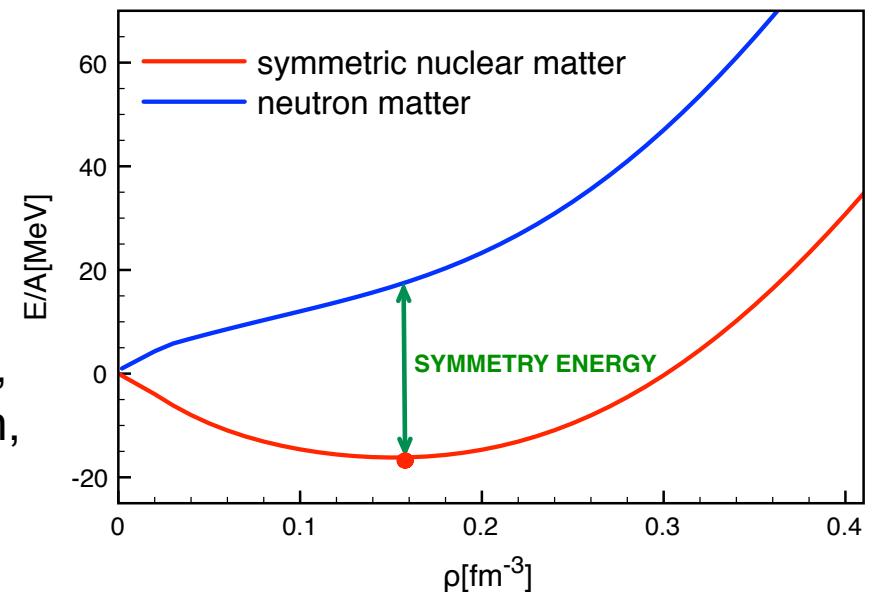
$$\chi^2(\mathbf{p}) = \sum_{i=1}^m \left( \frac{\mathcal{O}_i^{\text{theo.}}(\mathbf{p}) - \mathcal{O}_i^{\text{ref.}}}{\Delta \mathcal{O}_i^{\text{ref.}}} \right)^2$$

- Calculated values can be compared to experimental, observational, and pseudo-data



properties of finite nuclei – binding energies, charge radii, diffraction radii, surface thicknesses, pairing gaps, etc.,...

- nuclear matter properties – equation of state, binding energy and density at the saturation, symmetry energy J & L, incompressibility...



- Isovector channel of the EDF is weakly constrained by exp. data such as binding energies and charge radii. Possible observables for the isovector properties: *neutron radii, neutron skins, dipole polarizability, pygmy dipole strength, neutron star radii*

# NUCLEAR MATTER EQUATION OF STATE AND SYMMETRY ENERGY

Nuclear matter equation of state:

$$E(\rho, x) = E_{SNM}(\rho) + E_{sym}(\rho)(1 - 2x)^2 + \dots$$

$$\rho = \rho_n + \rho_p, x = \rho_p/\rho$$

Symmetry energy term:

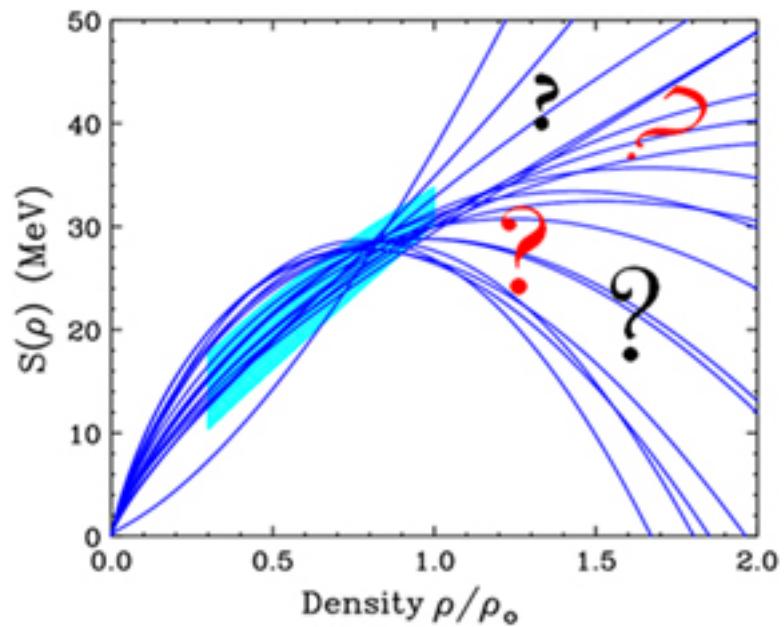
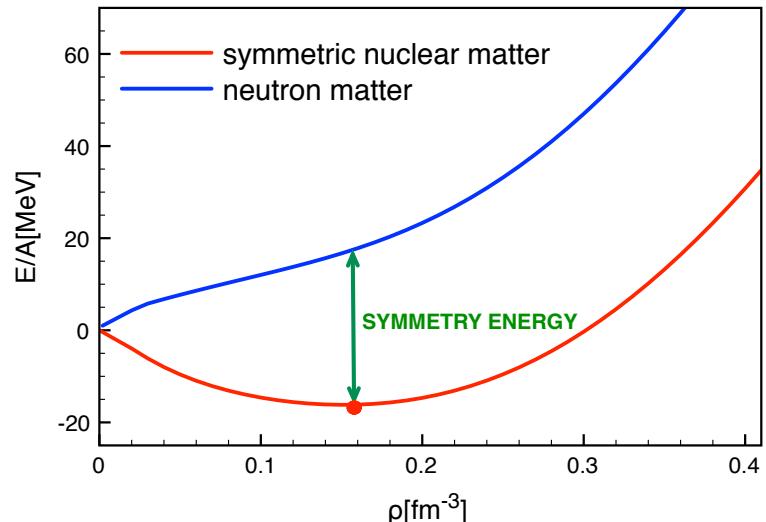
$$E_{sym}(\rho) \equiv S_2(\rho) = J - L\epsilon + \dots$$

$$\epsilon = (\rho_0 - \rho)/(3\rho_0)$$

$$L = 3\rho_0 \frac{dS_2(\rho)}{dr}|_{\rho_0}$$

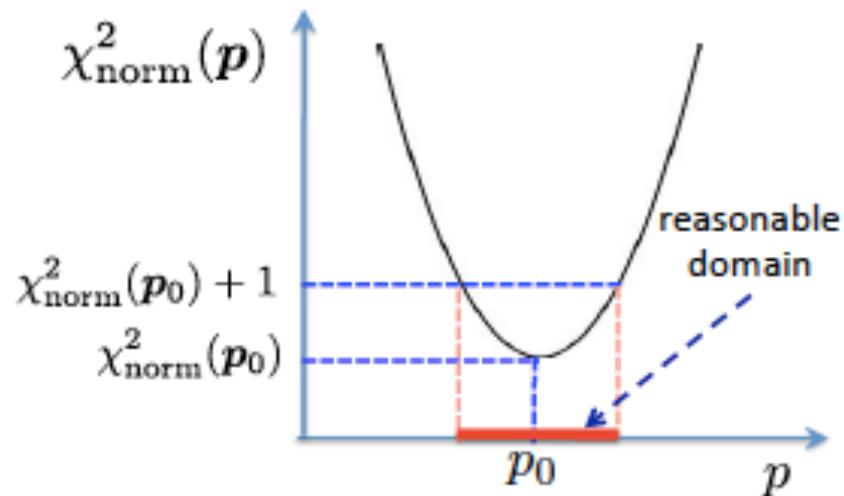
$J$  – symmetry energy at saturation density

$L$  – slope of the symmetry energy (related to the pressure of neutron matter)

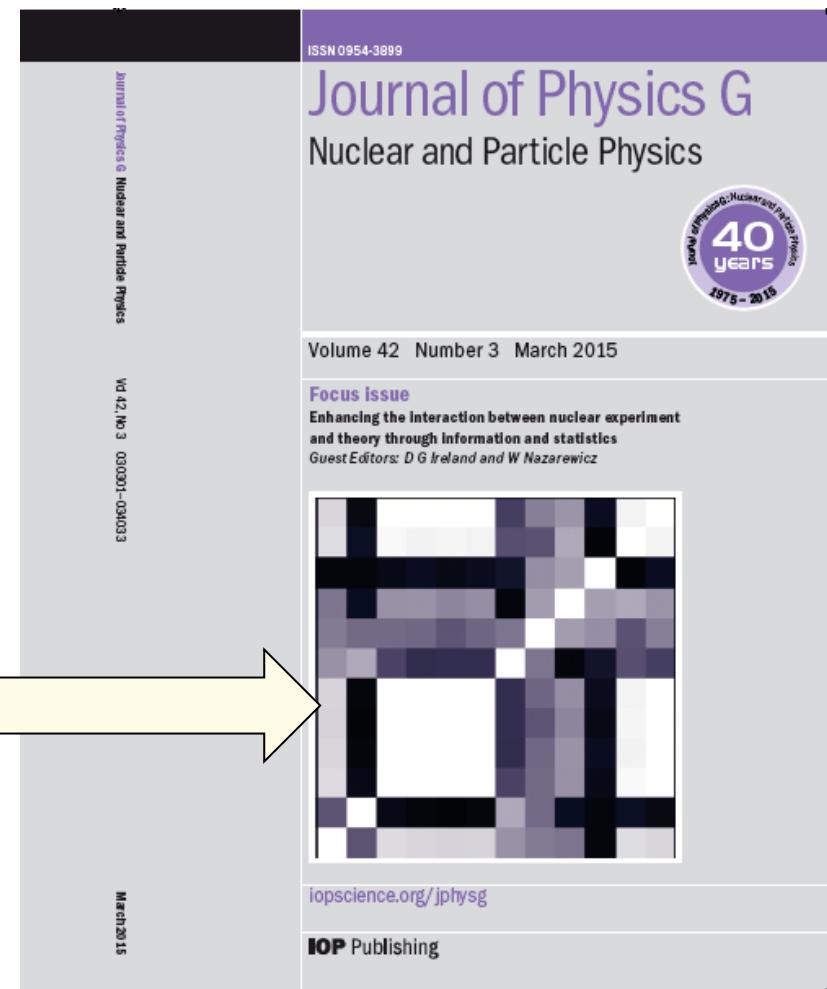


## COVARIANCE ANALYSIS IN THE FRAMEWORK OF EDFs

- The quality of  $\chi^2$  minimization is an indicator of the statistical uncertainty



- Correlation matrix shows the correlations between various quantities.
- J. Dobaczewski, W. Nazarewicz, P.-G. Reinhard, JPG 41, 074001 (2014)
- X. Roca Maza et al., JPG 42, 034033 (2015)



## COVARIANCE ANALYSIS IN THE FRAMEWORK OF EDFs

- Assume that  $\chi^2$  is a well behaved hyper-function of the parameters around their optimal value  $\mathbf{p}_0, \partial_{\mathbf{p}} \chi^2(\mathbf{p})|_{\mathbf{p}=\mathbf{p}_0} = 0$
- Near the minimum,  $\chi^2$  can be approximated by a Taylor expansion as an hyper-parabola in the parameter space

$$\chi^2(\mathbf{p}) - \chi^2(\mathbf{p}_0) \approx \frac{1}{2} \sum_{i,j}^n (p_i - p_{0i}) \partial_{p_i} \partial_{p_j} \chi^2(p_j - p_{0j})$$

Curvature matrix:

$$\mathcal{M}_{ij} = \frac{1}{2} \partial_{p_i} \partial_{p_j} \chi^2|_{\mathbf{p}_0}$$

Covariance between two quantities A and B:

$$\overline{\Delta A \Delta B} = \sum_{ij} \partial_{p_i} A (\hat{\mathcal{M}}^{-1})_{ij} \partial_{p_j} B$$

- Variance  $\overline{\Delta^2 A}$  and  $\overline{\Delta^2 B}$  define **statistical uncertainties of each quantity.**

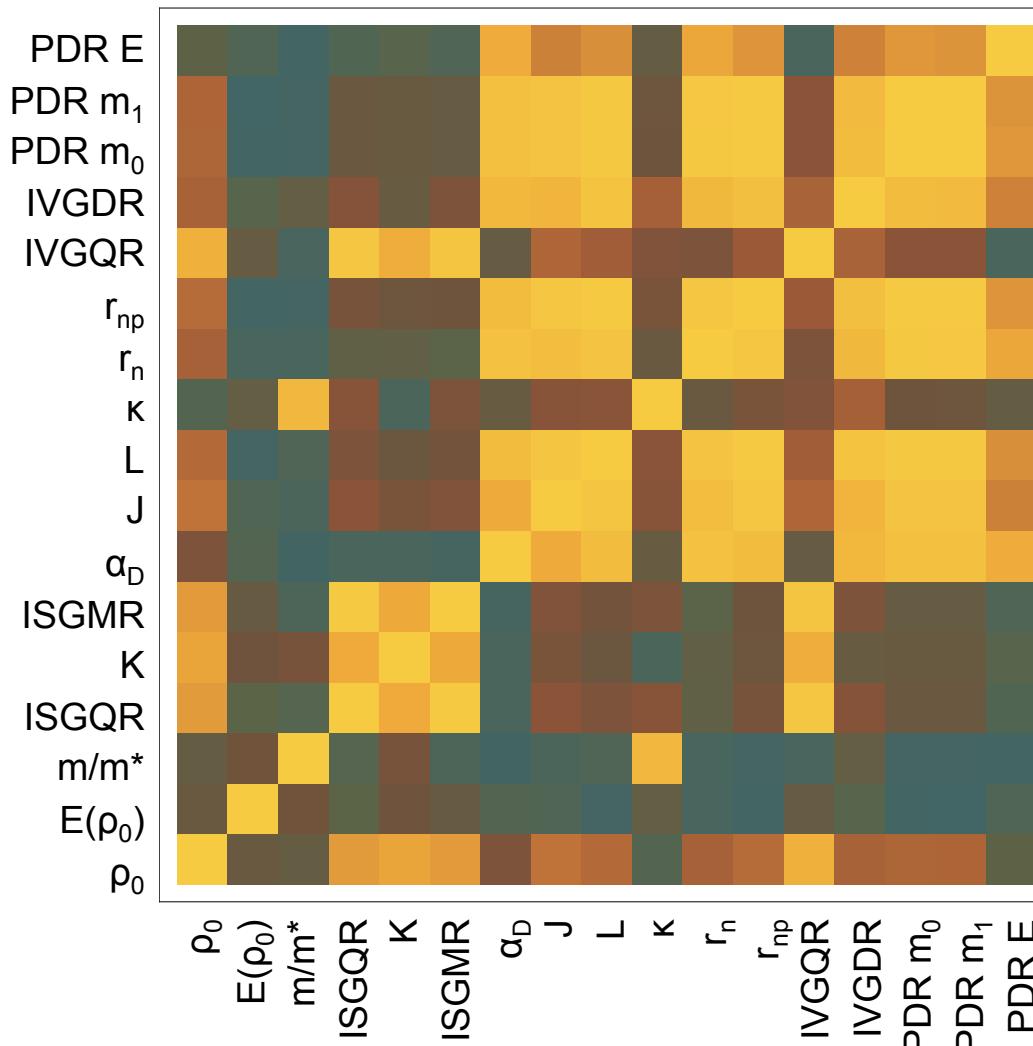
### Pearson product-moment correlation coefficient

provides a measure of the correlation (linear dependence)  
between two variables A and B.

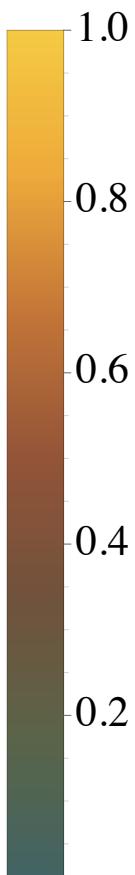
$$c_{AB} = \frac{|\overline{\Delta A \Delta B}|}{\sqrt{\overline{\Delta A^2} \overline{\Delta B^2}}}$$

# CORRELATIONS: NUCLEAR MATTER vs. PROPERTIES OF NUCLEI

$^{208}\text{Pb}$



$c_{AB}$



Correlation matrix between nuclear matter properties and several quantities in  $^{208}\text{Pb}$  (DDME-min1)

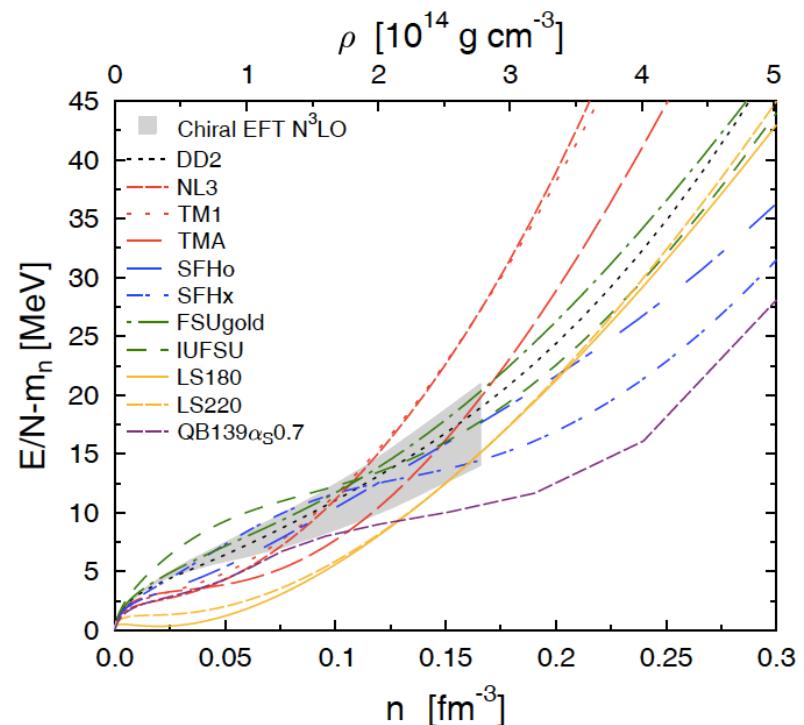
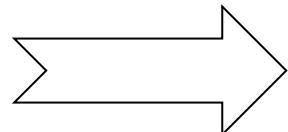
- neutron skin thickness, properties of giant resonances, pygmy strength

$c_{AB}=1$ : A & B strongly correlated

$c_{AB}=0$ : A & B uncorrelated

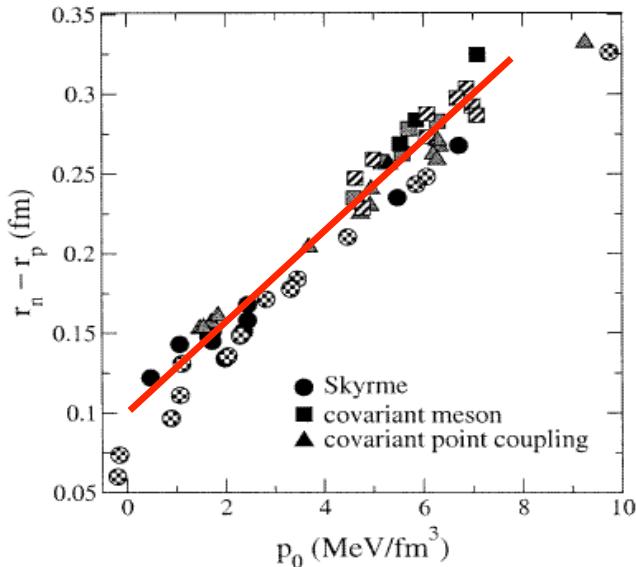
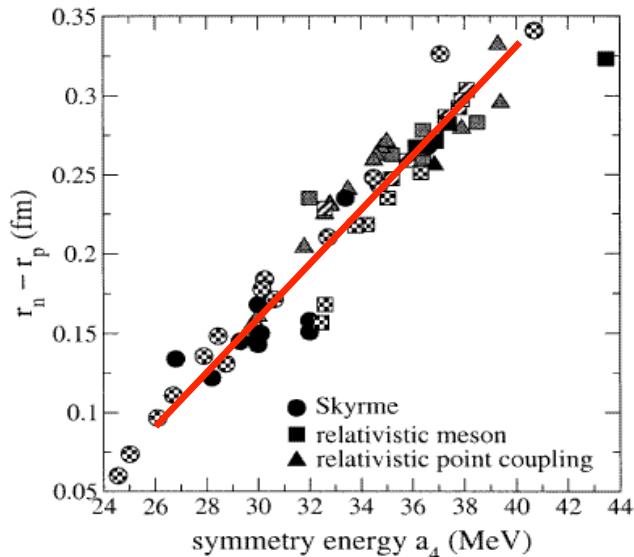
## NEUTRON SKINS AND DENSITY DEPENDENCE OF THE SYMMETRY ENERGY

- In nuclei, neutron densities are equally important as charge densities, but more difficult to assess
  - The thickness of the neutron skin  $r_{np} = r_n - r_p$  depends on the pressure of neutron matter  $P_{PNM} \sim L$ : the size of  $r_{np}$  increases with pressure as neutrons are pushed out against surface tension
  - The same pressure supports a neutron star against gravity  
(models with thicker neutron skins - neutron stars with larger radii)
  - The pressure of neutron matter  $P_{PNM} \sim L$  is poorly constrained
- Large theoretical uncertainties in the energy per particle as a function of the density for pure neutron matter.



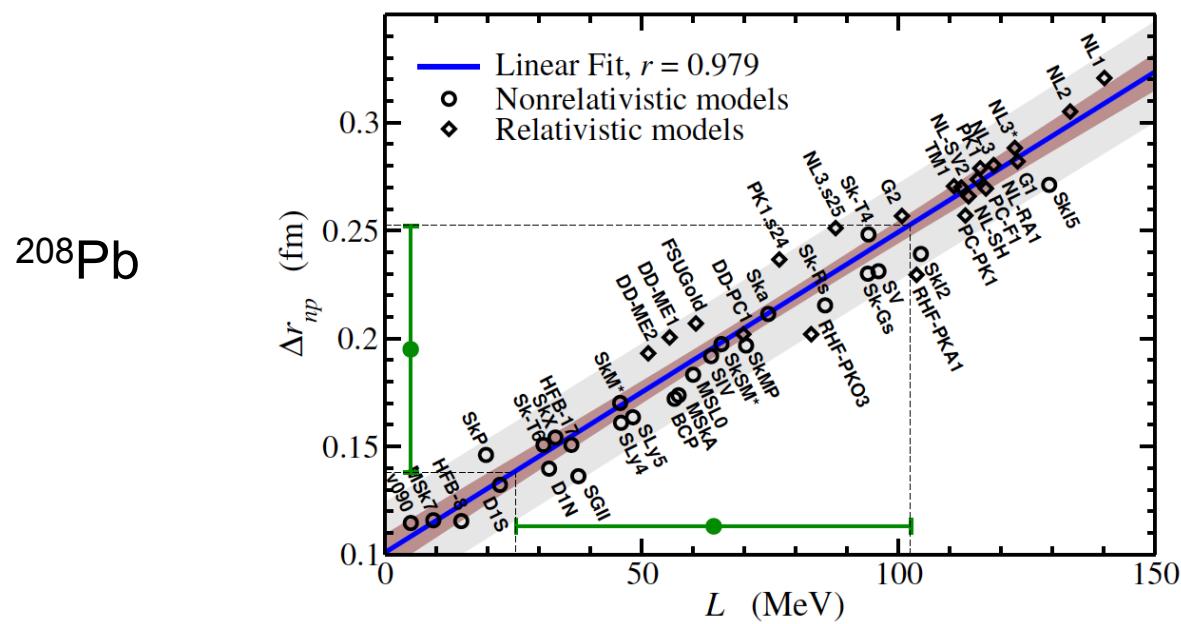
T. Fischer et al. EPJ A 50, 46 (2014).

# NEUTRON SKINS AND DENSITY DEPENDENCE OF THE SYMMETRY ENERGY



R.J.Furnstahl  
Nucl. Phys. A 706, 85 (2002)

- strong linear correlation between neutron skin thickness and parameters  $J(a_4)$ ,  $L(p_0)$



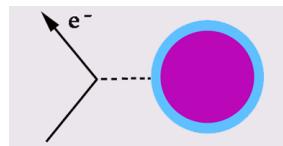
X. Roca-Maza et al.,  
Phys. Rev. Lett. 106, 252501 (2011)

## NEUTRON SKINS AND DENSITY DEPENDENCE OF THE SYMMETRY ENERGY

- An accurate measurement of the neutron radius / neutron skin thickness in  $^{208}\text{Pb}$  may have important implications for understanding the symmetry energy and the properties of neutron stars
- Abrahamyan et al. PRL 108, 112502 (2012) parity violating electron scattering

Lead Radius Experiment (PREx) @ JLab

$$R_n - R_p = 0.33^{+0.16}_{-0.18}$$



- From nuclear collective motion:

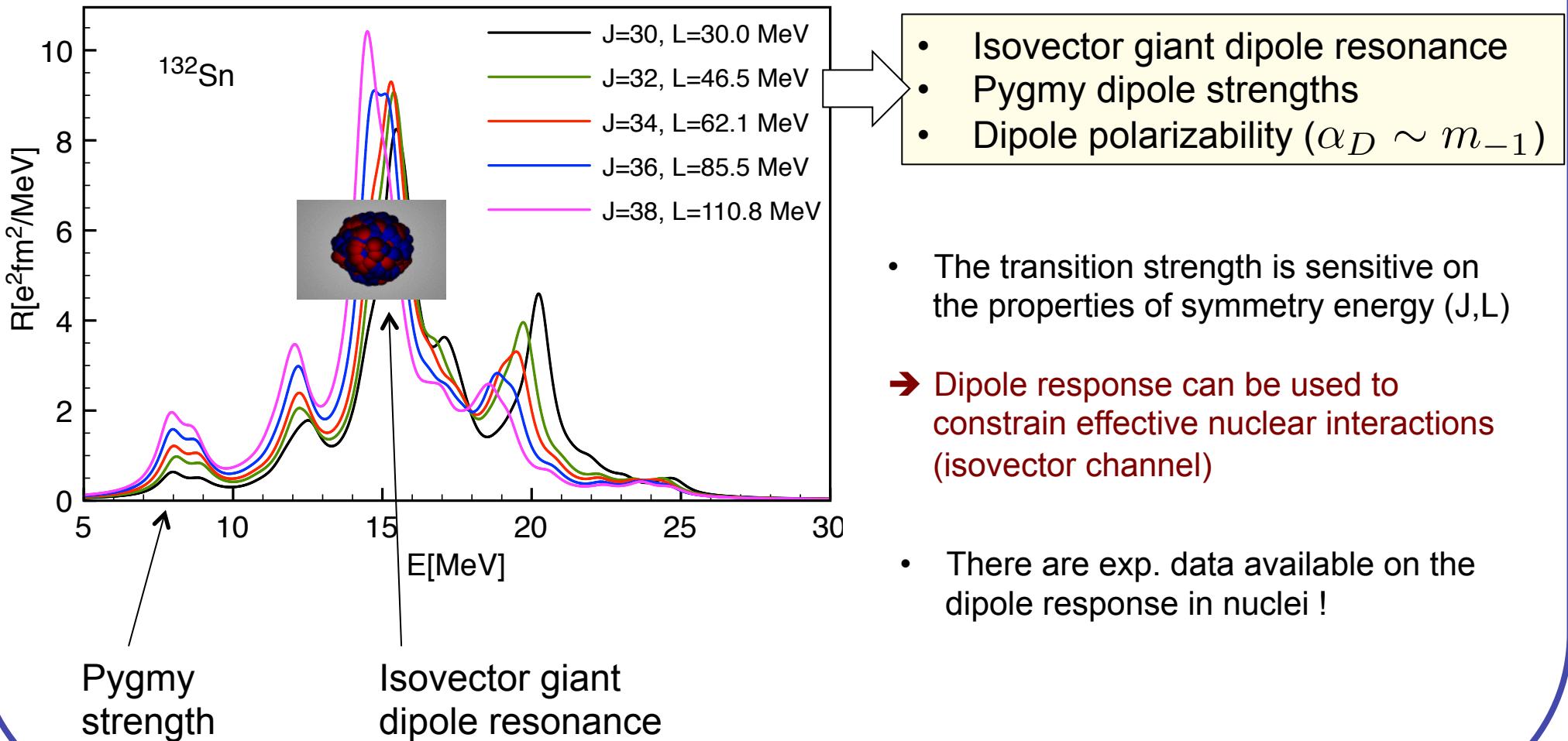
Various modes of excitation provide constraints on the neutron skin thickness, e.g.

- Pygmy dipole resonances: A. Carbone et al., PRC 81, 041301(R) (2010)  
A. Klimkiewitz et al., PRC 76, 051603(R) (2007)
- Dipole polarizability: A. Tamii et al., PRL 107, 062502 (2011)  
D.M. Rossi et al., PRL 111, 242503 (2013)
- Anti-analog GDR: A. Krasznahorkay et al., PLB 720, 428 (2013)
- Quadrupole resonances: S.S. Henshaw, M.W. Ahmed, G. Feldman et al, PRL 107, 222501 (2011)
- ...

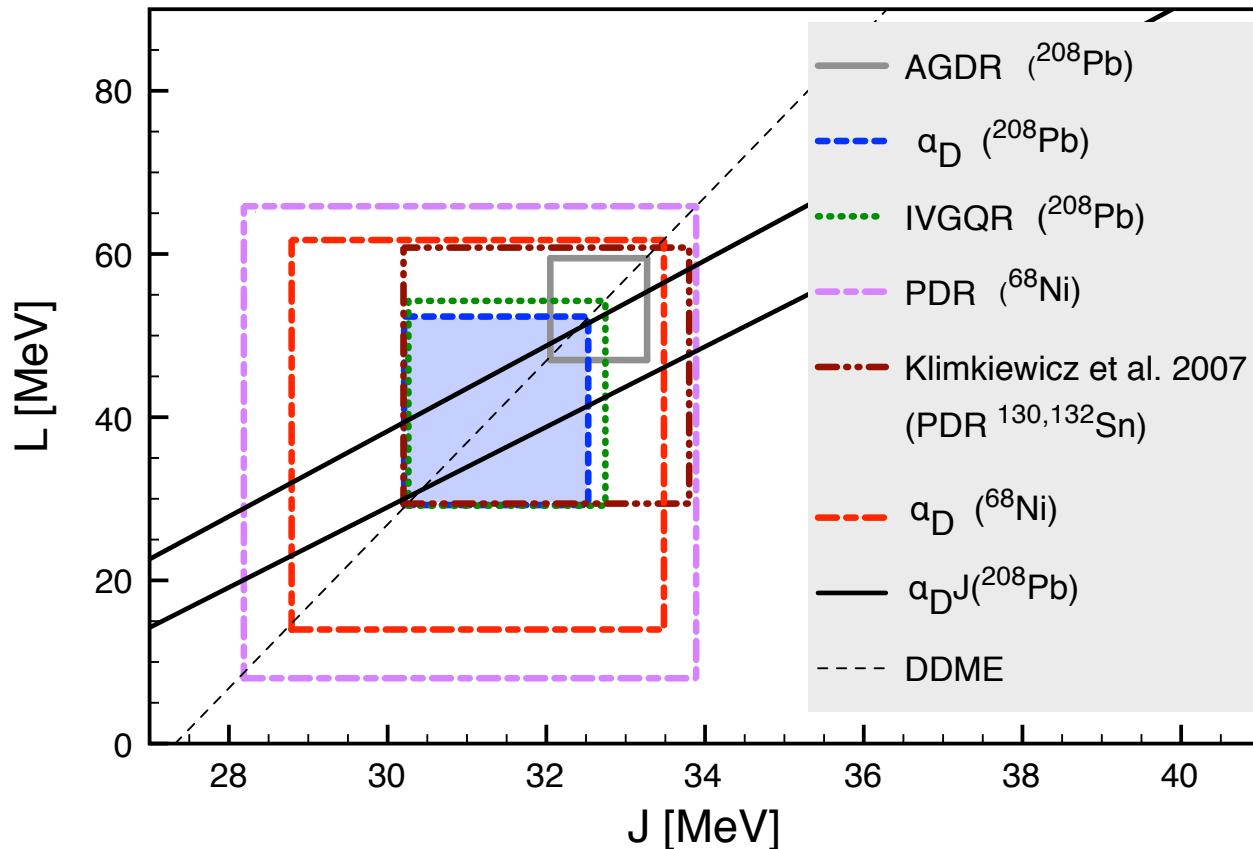
- Other approaches: pion photoproduction Taubert et al. PRL 112, 242502 (2014), etc.

## CONSTRAINING THE SYMMETRY ENERGY

- Isovector dipole transition strength – calculations are based on the same set of energy density functionals which vary the symmetry energy properties



# CONSTRAINING THE SYMMETRY ENERGY



- The same set of DD-ME interactions used in the analysis based of various giant resonances and pygmy strengths (consistent theory !)
- Excellent agreement, except for the AGDR – new measurements are needed for the AGDR

Exp. data  
for various  
excitations:

- $a_D$  ( $^{208}\text{Pb}$ ) → A. Tamii et al., PRL 107, 062502 (2011) – update A. Tamii et al. (2015) (no q-deuteron).
- $a_D$  ( $^{68}\text{Ni}$ ) → K. Boretzky, D. Rossi, T. Aumann, et al., (2015).
- PDR ( $^{68}\text{Ni}$ ) → O. Wieland, A. Bracco, F. Camera et al., PRL 102, 092502 (2009).
- ( $^{130,132}\text{Sn}$ ) → A. Klimkiewicz et al., PRC 76, 051603(R) (2007).
- IVGQR ( $^{208}\text{Pb}$ ) → S. S. Henshaw, M. W. Ahmed G. Feldman et al, PRL 107, 222501 (2011).
- AGDR ( $^{208}\text{Pb}$ ) → A. Krasznahorkay et al., arXiv:1311.1456 (2013)

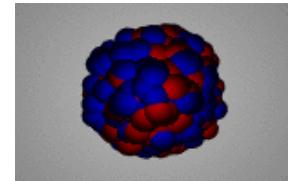
## THE NUCLEAR MATTER INCOMPRESIBILITY

- Nuclear matter incompressibility  $K_{nm} = 9\rho_0^2 \frac{d^2}{d\rho^2} \frac{E}{A} |_{\rho=\rho_0}$
- It can be determined from the energies of compression mode in nuclei:  
**Isoscalar Giant Monopole Resonance (ISGMR)**
- ISGMR energies are extracted from inelastic scattering of  $\alpha$ -particles

$$E_{ISGMR} = \hbar \sqrt{\frac{K_A}{m\langle r^2 \rangle}} \quad (\text{for nuclei})$$

- **Strategy to reach  $K_{nm}$  (nuclear matter) :**
  - 1) Build the energy density functional (EDF), each parameterization corresponds to a  $K_{nm}$
  - 2) Calculate ISGMR excitation energy using the same EDF (e.g., RPA)
  - 3) The  $K_{nm}$  value associated with the EDF that best describes the experimental ISGMR energy is considered as the “correct” one.

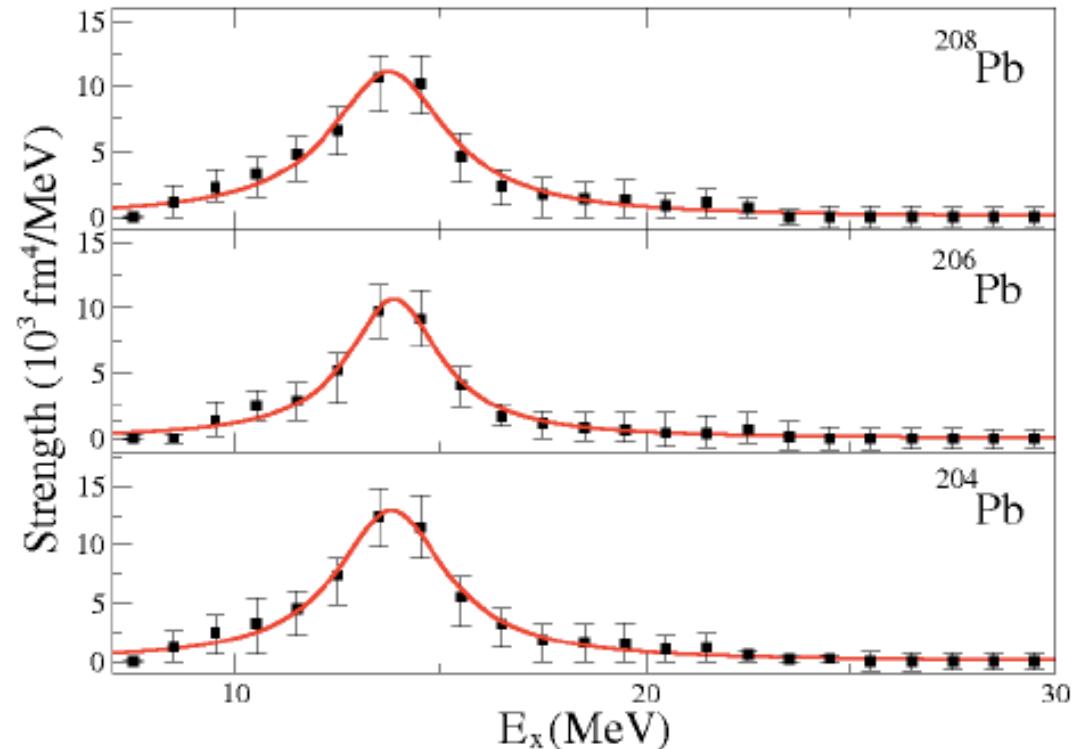
ISGMR



# THE NUCLEAR MATTER INCOMPRESIBILITY

Using inelastic  $\alpha$  scattering the strength distributions of the isoscalar giant monopole resonances (ISGMR) have been measured in nuclei

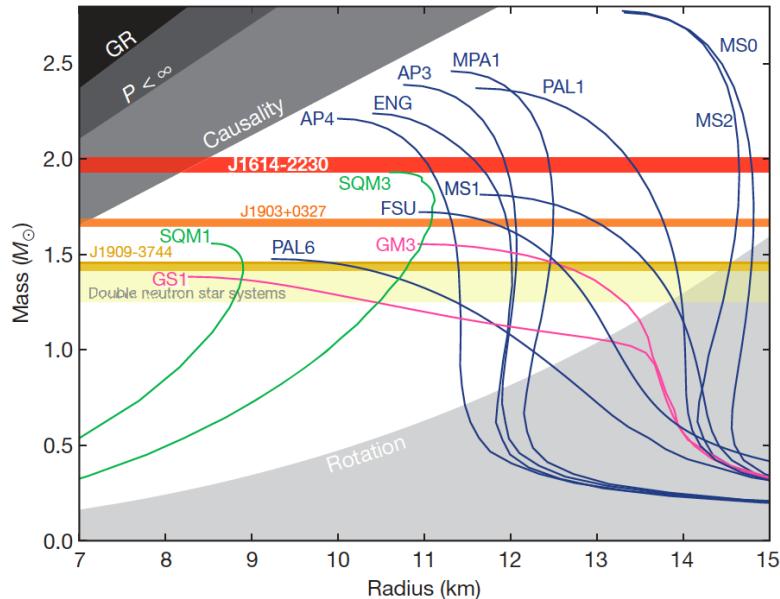
e.g., D. Patel et al., Phys. Lett. B 726, 178 (2013)



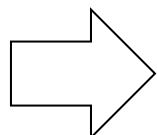
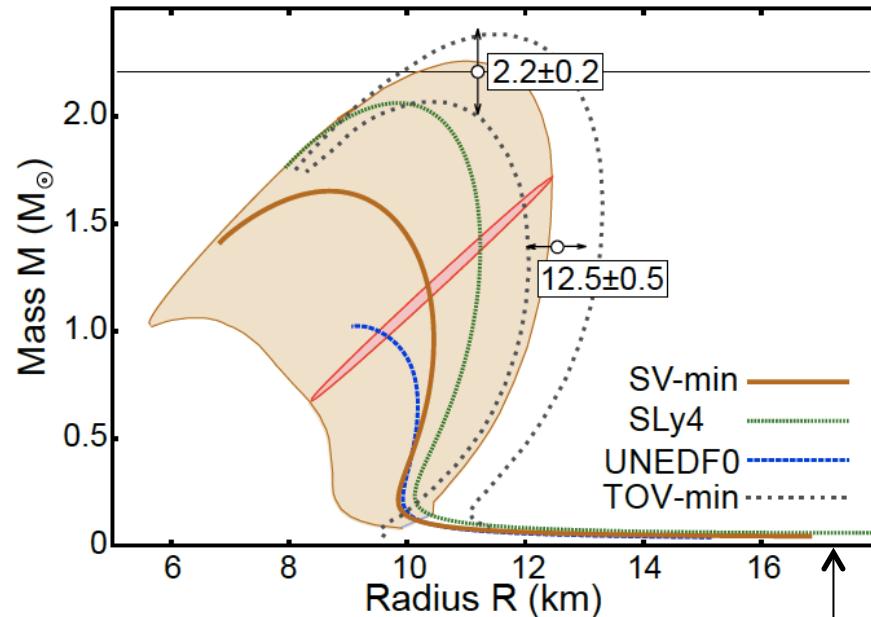
Target	E <sub>ISGMR</sub> (MeV)				$\Gamma_{ISGMR}$ (MeV)	$\sqrt{m_1/m_{-1}}$ (MeV)		E <sub>ISGMRA</sub> <sup>1/3</sup> (MeV)	
	This work	RCNP-U <sup>a</sup>	Texas A&M <sup>b</sup>	KVI <sup>c</sup>		This work	This work	Pairing+MEM <sup>d</sup>	This work
<sup>204</sup> Pb	13.8±0.1	-	-	-	3.3±0.2	13.7±0.1	13.4	81.2±0.6	78.9
<sup>206</sup> Pb	13.8±0.1	-	-	14.0 ±0.3	2.8±0.2	13.6±0.1	13.4	81.5±0.6	79.1
<sup>208</sup> Pb	13.7±0.1	13.5±0.2	13.91±0.11	13.9±0.3	3.3±0.2	13.5±0.1	14.0	81.2±0.6	82.9

# NEUTRON STAR PROPERTIES

- Mass-radius relations of cold neutron stars for different EOS – observational constraints on the neutron star mass rule out many models for EOS.



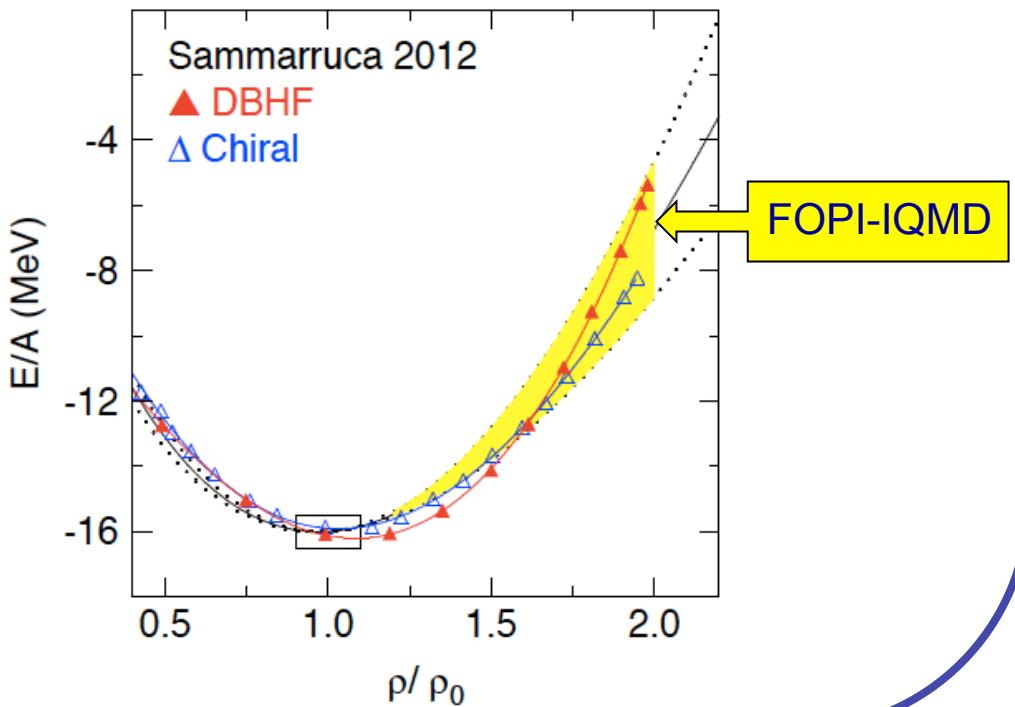
P. B. Demorest et al., Nature 467, 1081 (2010)



- Building EDFs for finite nuclei and neutron stars  
Wei-Chia Chen and J. Piekarewicz, PRC 90, 044305 (2014).  
J. Erler, C.J. Horowitz, W. Nazarewicz et al., PRC 87, 044320 (2013).
- Constraints on the maximal neutron star mass from observation:  
J. Antoniadis, P. C. C. Freire, N. Wex et al. Science 340, 448 (2013) → **2.01(4) Msun**  
P. B. Demorest et al., Nature 467, 1081 (2010) → **1.97(4) Msun**

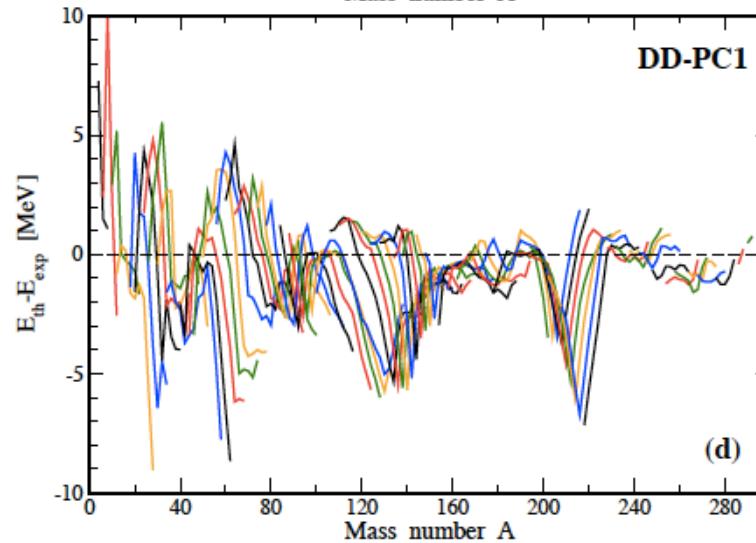
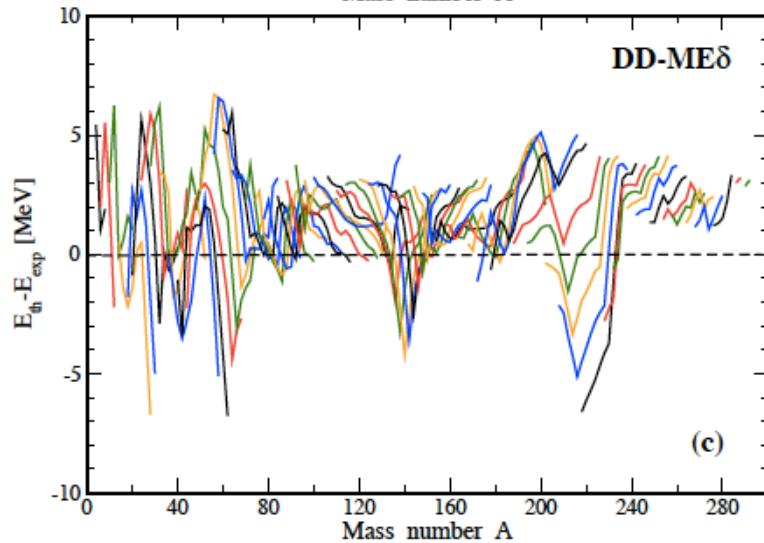
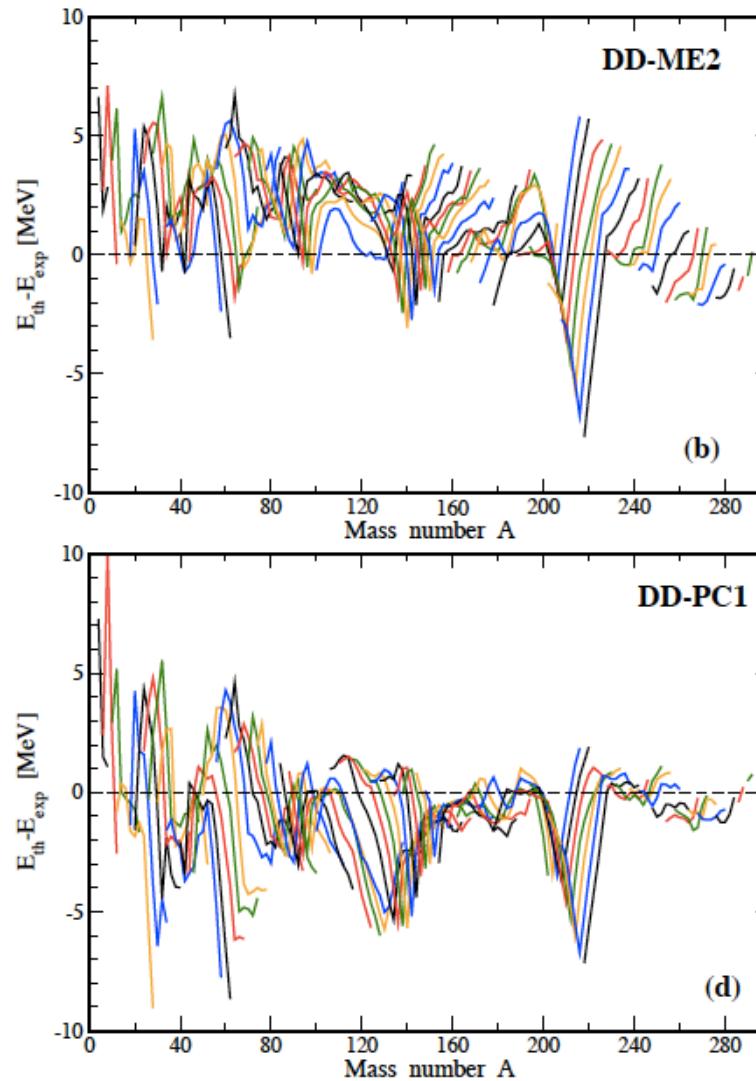
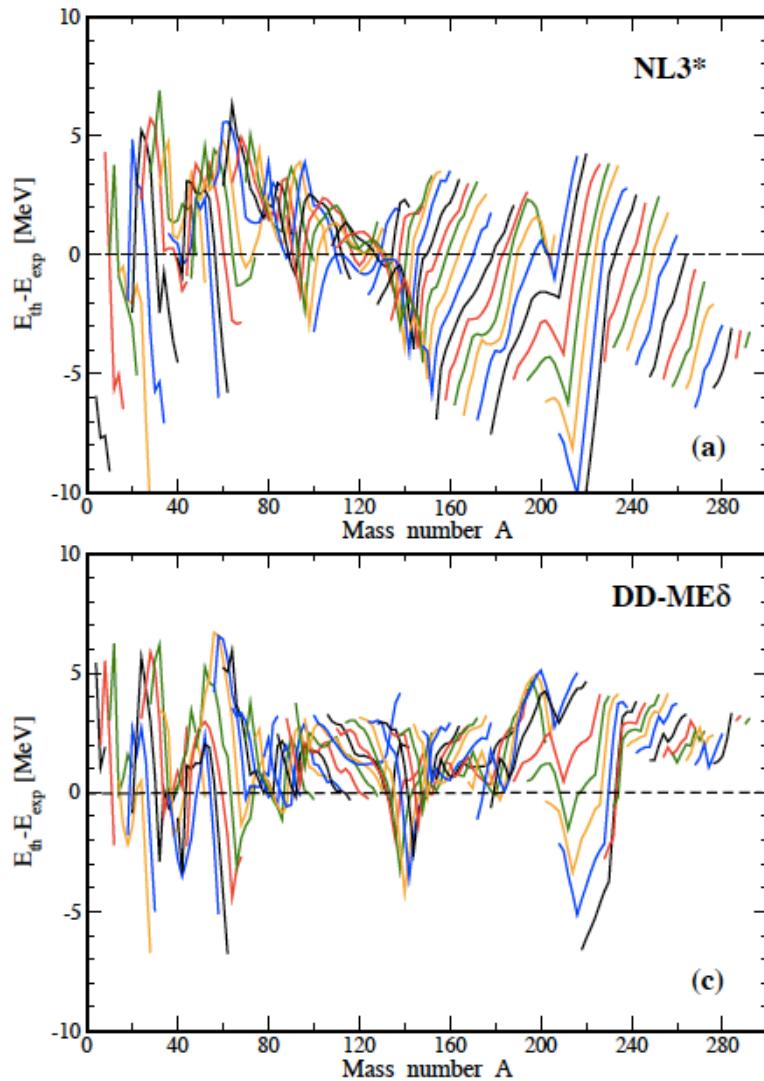
## CONSTRAINTS ON THE NUCLEAR EOS BEYOND SATURATION

- The knowledge on the nuclear matter equation of state (EOS) beyond the saturation density  $\rho_0$  is limited
- Some constraints on the EOS are possible from heavy ion collisions
- The FOPI (GSI) detector data on elliptic flow in Au+Au collisions between 0.4 and 1.5A GeV were used to establish empirical constraints on the nuclear EOS  
A. Le Fèvre, Y. Leifels, W. Reisdorf, J. Aichelin, Ch. Hartnack, arXiv:1501.05246 (2015).



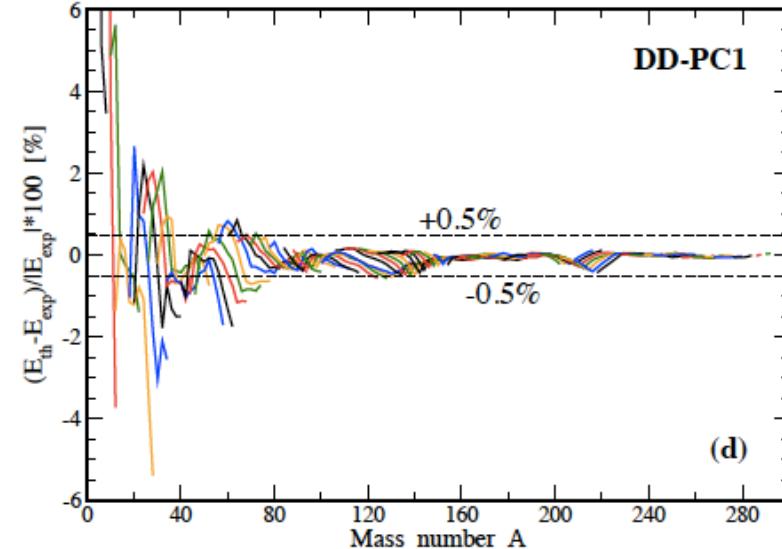
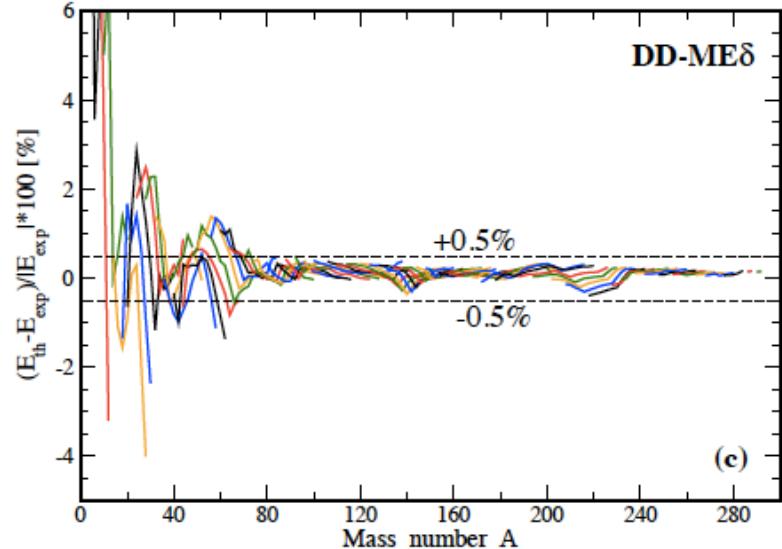
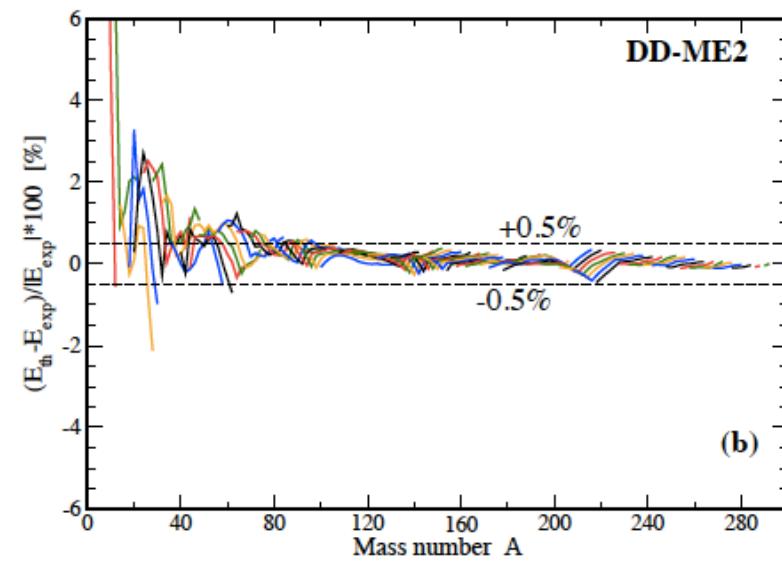
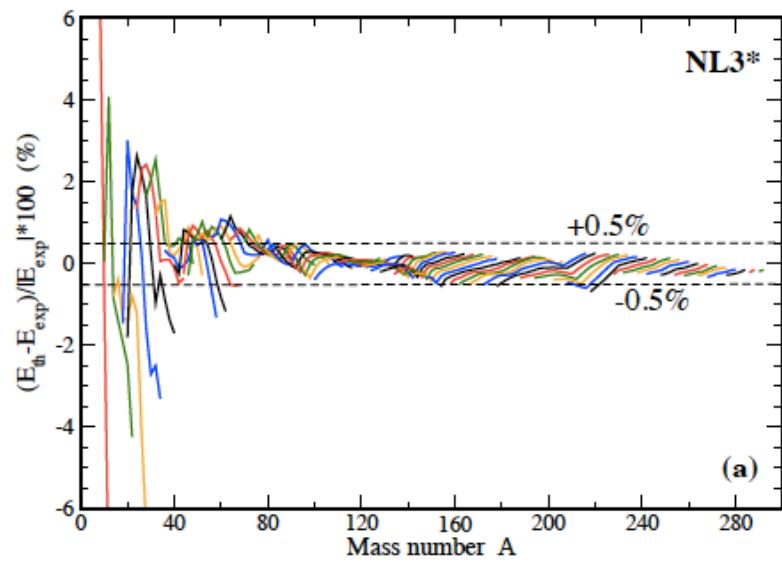
## NUCLEAR MASSES

- Description of experimental data on masses: [DD-ME2](#), [DD-PC1](#), [DD-ME \$\delta\$](#) , [NL3\\*](#)



# NUCLEAR MASSES

- Relative accuracies in description of the masses

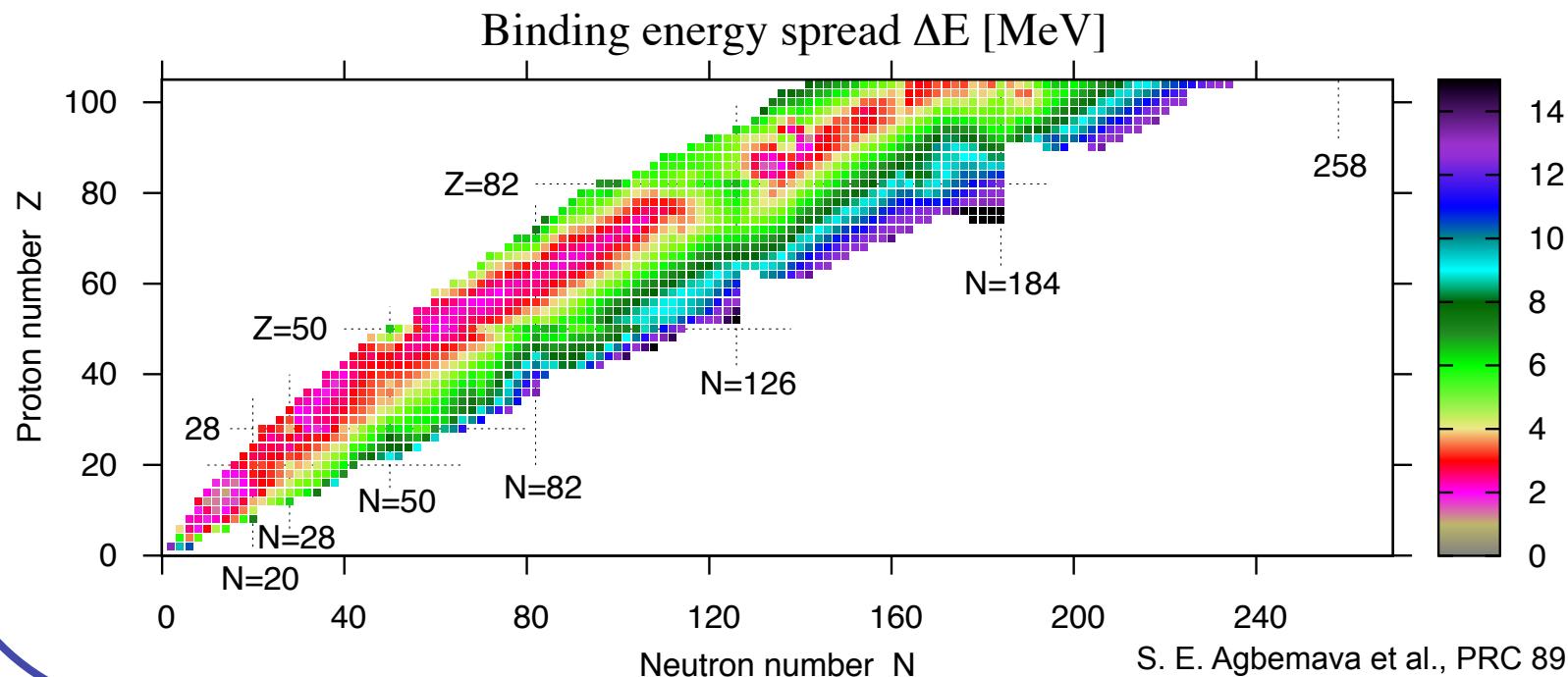


## NUCLEAR LANDSCAPE WITH RELATIVISTIC EDFs

**Systematical model uncertainties** – limitations of the model, deficient parametrizations, wrong assumptions, and missing physics due to our lack of knowledge.

- These uncertainties are difficult to estimate – systematic errors can be estimated e.g., by exploring the spread - difference between maximal and minimal values obtained for a set of EDFs: DD-ME2, DD-PC1, DD-ME $\delta$ , NL3\*

$$\Delta E(Z, N) = |E_{max}(Z, N) - E_{min}(Z, N)|$$



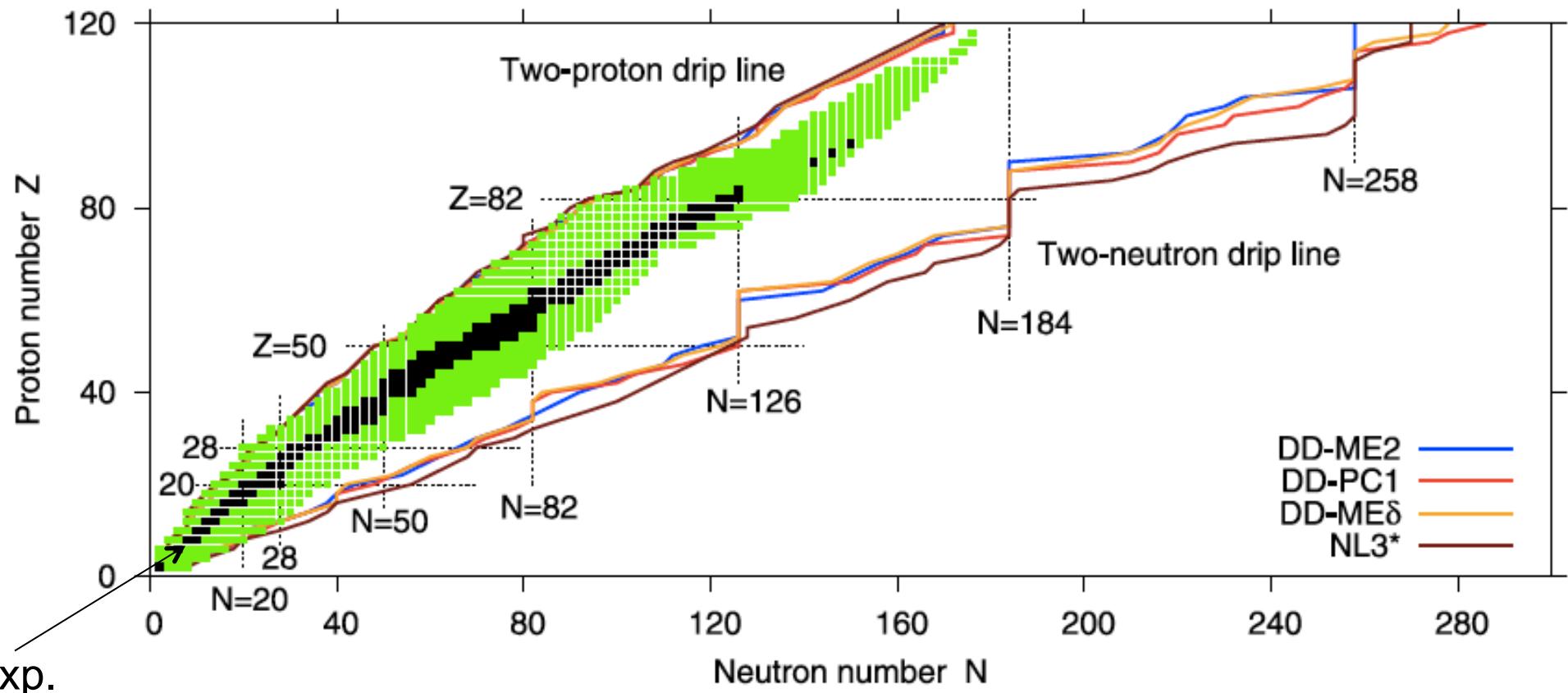
## NUCLEAR LANDSCAPE WITH RELATIVISTIC EDFs

- Limits of nuclear landscape – two-proton and two-neutron drip lines calculated with different relativistic EDFs: [DD-ME2](#), [DD-PC1](#), [DD-ME \$\delta\$](#) , [NL3\\*](#)

$$S_{2n} = B(Z, N - 2) - B(Z, N)$$

$$S_{2p} = B(Z - 2, N) - B(Z, N)$$

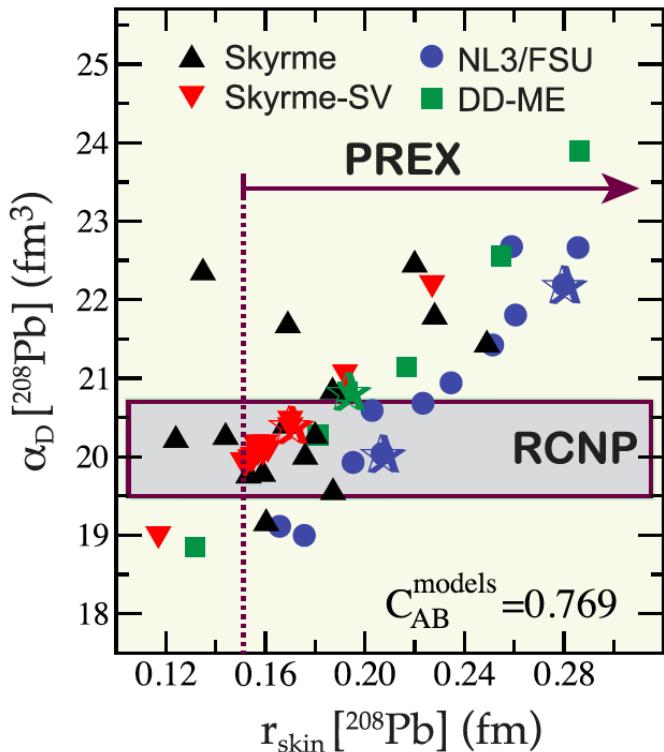
drip lines: 
$$\begin{cases} S_{2n} \leq 0 \\ S_{2p} \leq 0 \end{cases}$$



## SYSTEMATIC UNCERTAINTIES : NEUTRON SKIN THICKNESS

- Electric dipole polarizability  $\alpha_D$  and neutron skin thickness ( $r_{\text{skin}}$ ) for  $^{208}\text{Pb}$  using both nonrelativistic and relativistic EDFs:

$$\alpha_D = \frac{8\pi}{9} e^2 m_{-1}$$



By using only models consistent with measured  $\alpha_D$  (48 EDFs  $\rightarrow$  25 EDFs), systematic model uncertainty in  $r_{\text{skin}}$  is reduced.

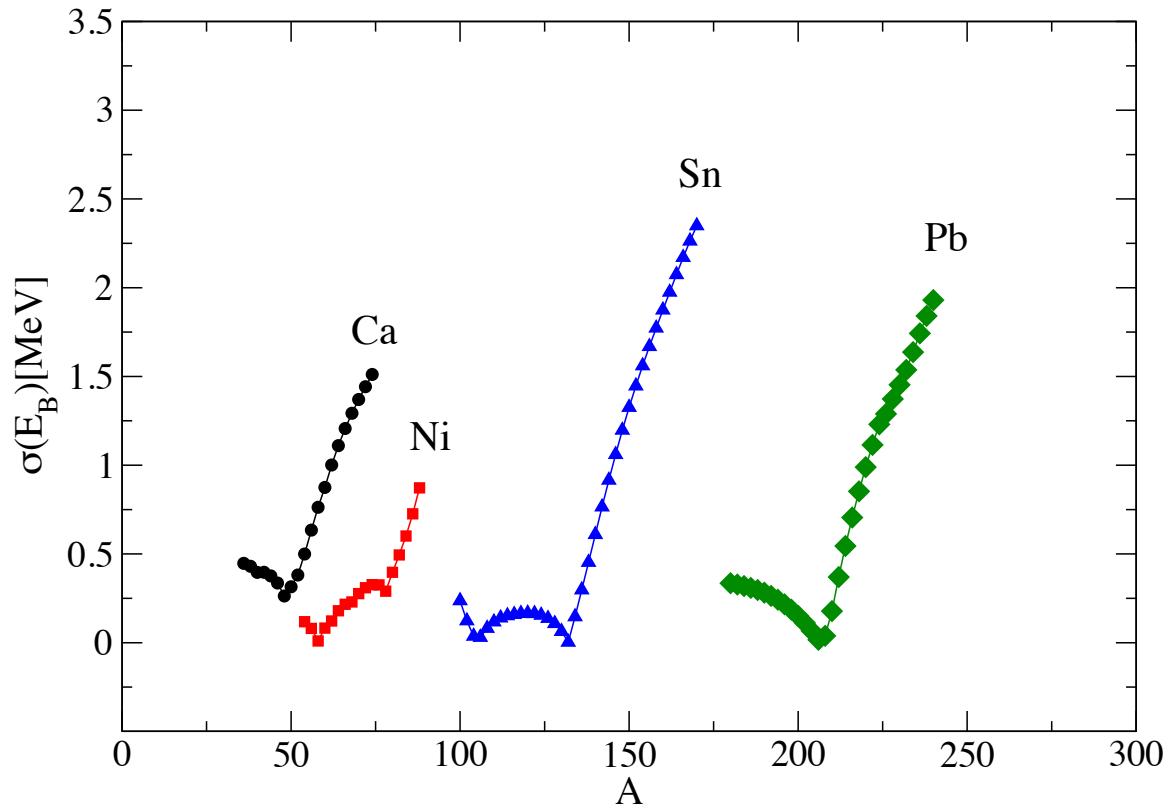
Model averaged value:

$$r_{\text{skin}}(^{208}\text{Pb}) = (0.168 \pm 0.022) \text{ fm}$$

J. Piekarewicz,et al., PRC 85, 041302 (R) (2012)

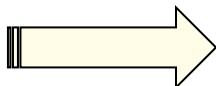
## STATISTICAL UNCERTAINTIES IN THE NUCLEAR BINDING ENERGIES

- The evolution of statistical uncertainties of the nuclear binding energies within isotope chains (RNEDF1)



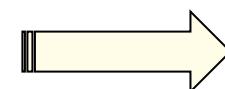
- The quality of  $\chi^2$  minimization of the EDF parameters to exp. data is an indicator of the statistical uncertainty
  - curvature matrix
- $$\mathcal{M}_{ij} = \frac{1}{2} \partial_{p_i} \partial_{p_j} \chi^2 |_{\mathbf{p}_0}$$
- Constraint on the dipole polarizability / symmetry energy improves the isovector properties of the EDF

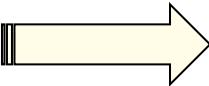
## Towards a universal relativistic nuclear energy density functional for astrophysical applications – RNEDF1 (N.P., M. Hempel et al. 2016)



### The strategy to constrain the functional (relativistic point coupling model)

- Adjust the properties of 72 spherical nuclei to exp. data (binding energies ( $\Delta=1$  MeV), charge radii (0.02 fm), diffraction radii (0.05 fm), surface thickness (0.05 fm))
- Improve description of open-shell nuclei by adjusting the pairing strength parameters to empirical paring gaps (n,p) (0.14 MeV)
- constrain the symmetry energy  $S_2(p_0)=J$  (2%) from exp. data on dipole polarizability ( $^{208}\text{Pb}$ ) A. Tamii et al., PRL 107, 062502 (2011) + update (2015).
- constrain the nuclear matter incompressibility  $K_{\text{nm}}$  (2%) from exp. data on ISGMR modes ( $^{208}\text{Pb}$ ); D. Patel et al., PLB 726, 178 (2013).

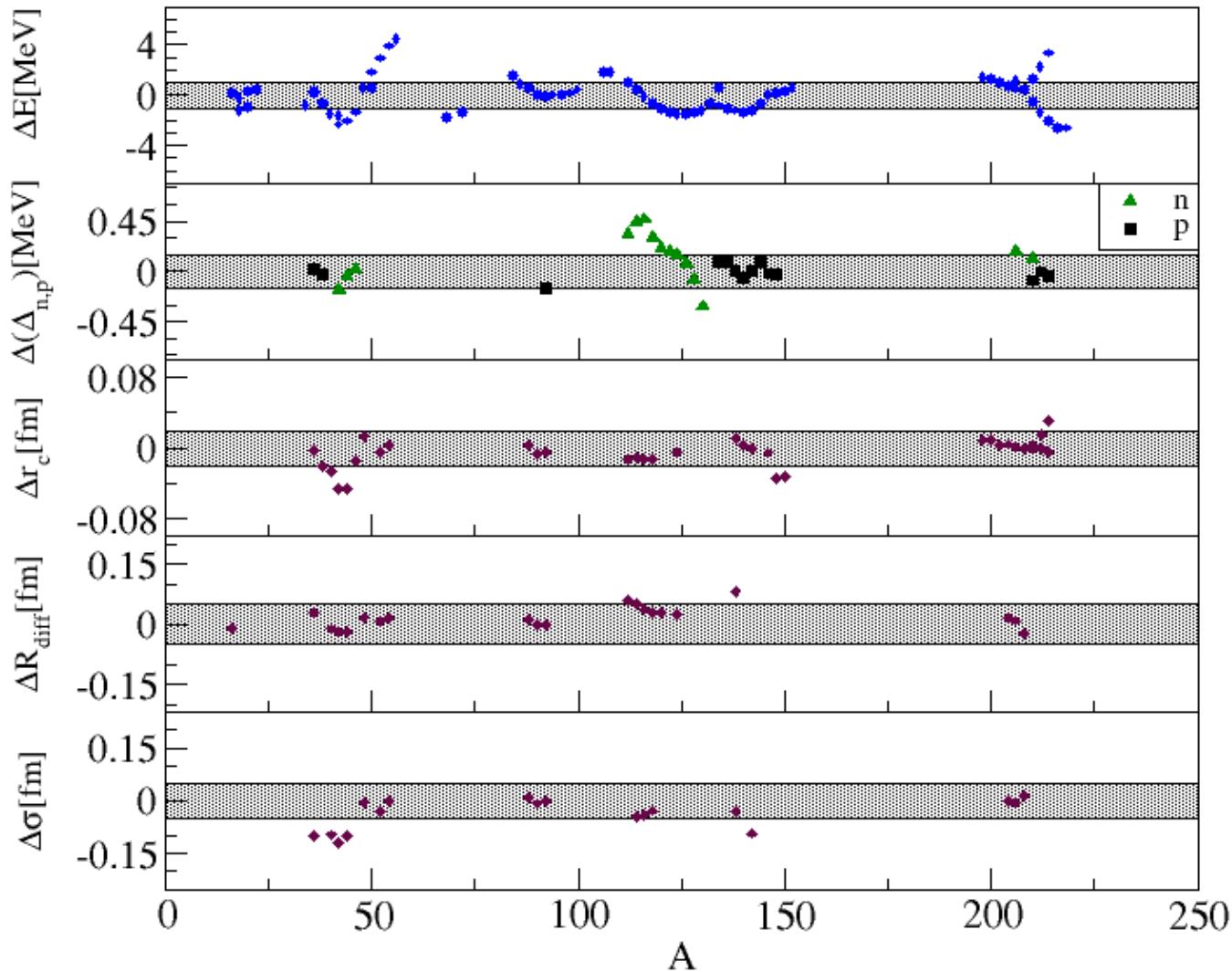




## ... the strategy to constrain the functional

- constrain the equation of state using the saturation point ( $\rho_0$ ) and point at twice the saturation density ( $2\rho_0$ ) from heavy ion collisions (FOPI-IQMD) (10%)  
[A. Le Fevre et al., arXiv:1501.05246v1 \(2015\)](#)
- constrain the maximal neutron star mass by solving the Tolman-Oppenheimer-Volkov (TOV) equations and using observational data (slightly larger value  $M_{\max} = 2.2M_{\odot}$  (5%); J. Erler. et al., PRC 87, 044320 (2013).)  
[J. Antoniadis, et al. Science 340, 448 \(2013\); P. B. Demorest et al., Nature 467, 1081 \(2010\)](#)
- the fitting protocol is supplemented by the covariance analysis
  - calculation of the curvature matrix, correlations, statistical uncertainties

# RNEDF1: DEVIATIONS FROM THE EXP. DATA

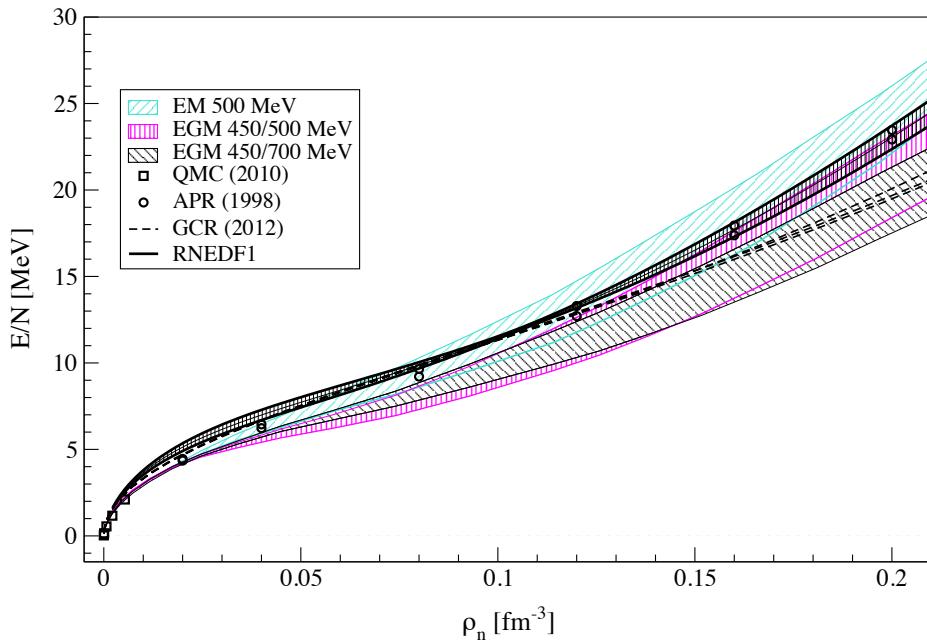


- binding energies
- pairing gaps
- charge radii
- diffraction radii
- surface thickness

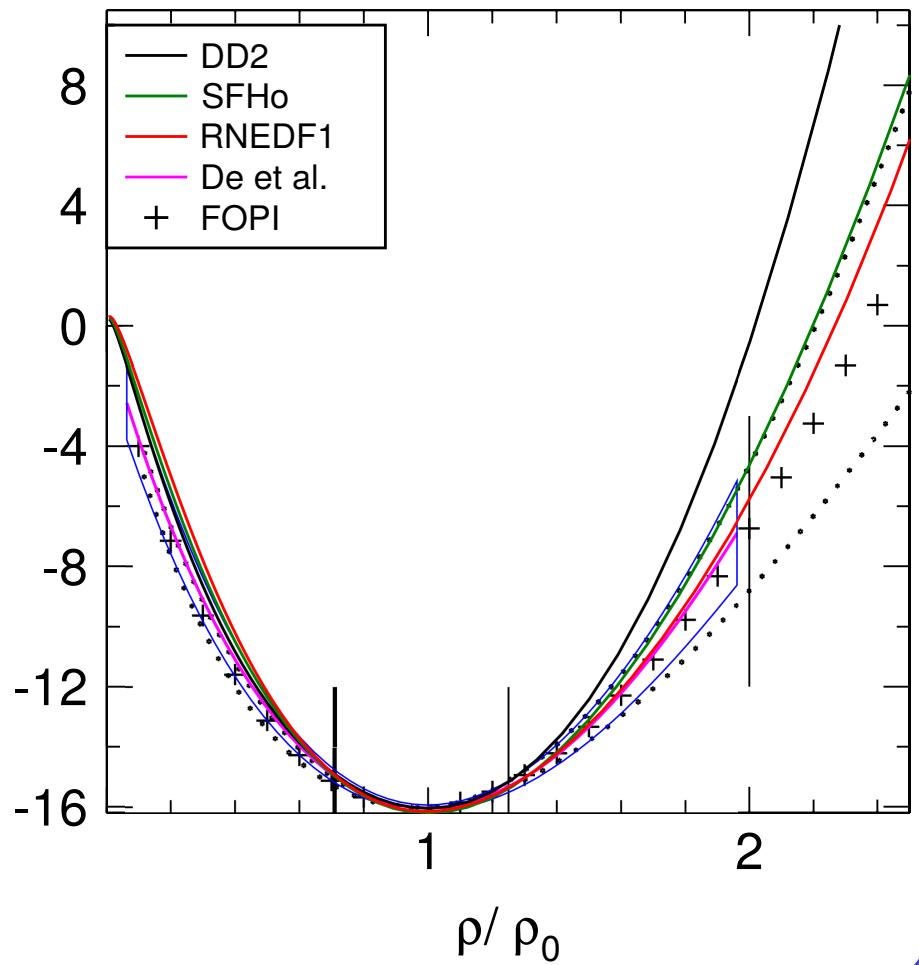
# RNEDF1: NUCLEAR MATTER PROPERTIES

- Neutron matter - comparison

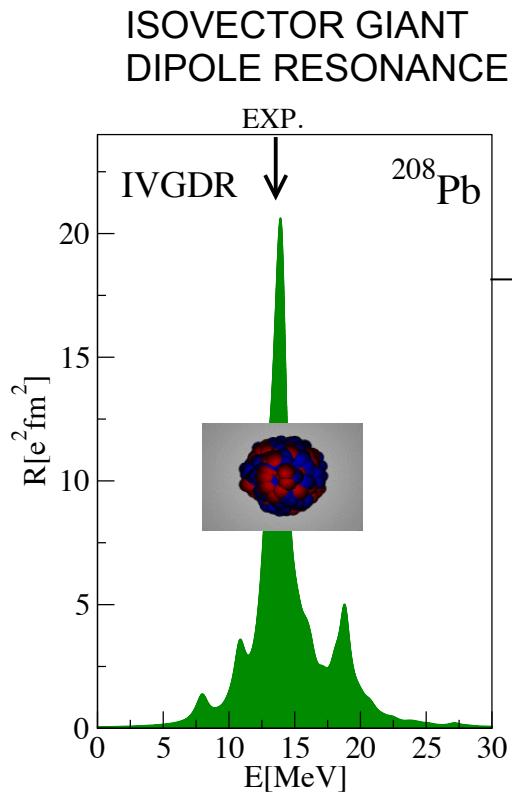
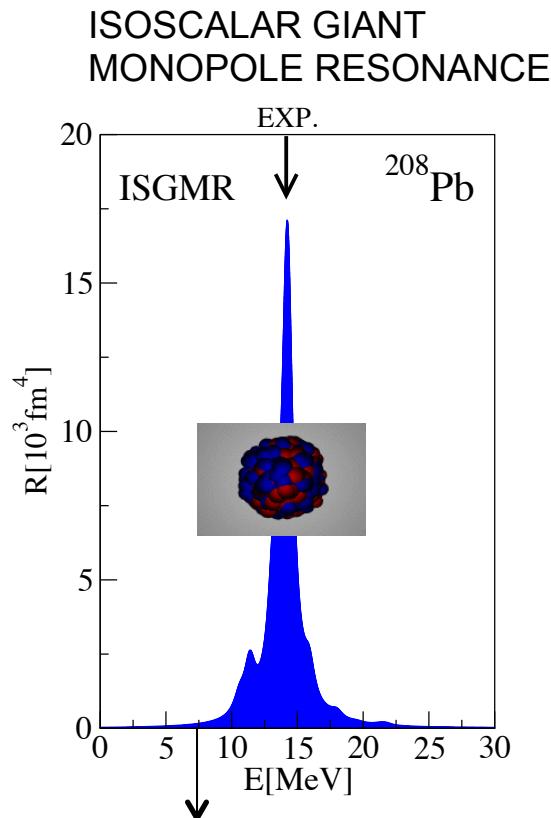
RNEDF1 vs. chiral EFT (I. Tews et al. 2013)



## SYMMETRIC NUCLEAR MATTER



## RNEDF1: COMPRESSIBILITY, SYMMETRY ENERGY



Dipole polarizability:  
 $\alpha_D = (19.68 \pm 0.21) \text{ fm}^3$

Exp.  
 $\alpha_D = (19.6 \pm 0.6) \text{ fm}^3$

A.Tamii et al., PRL 107, 062502  
 (2011). + update (2015).

- ISGMR energy determines the nuclear matter incompressibility:  
 $K_{nm} = 232.4 \text{ MeV}$

### ISGMR:

$$E(\text{Exp.}) = (13.91 \pm 0.11) \text{ MeV} \text{ (TAMU)}$$

$$E(\text{Exp.}) = (13.7 \pm 0.1) \text{ MeV} \text{ (RCNP)}$$

- IVGDR –  $\alpha_D$  constrains the symmetry energy of the EDF

$$\mathbf{J = 31.89 \text{ MeV}}$$

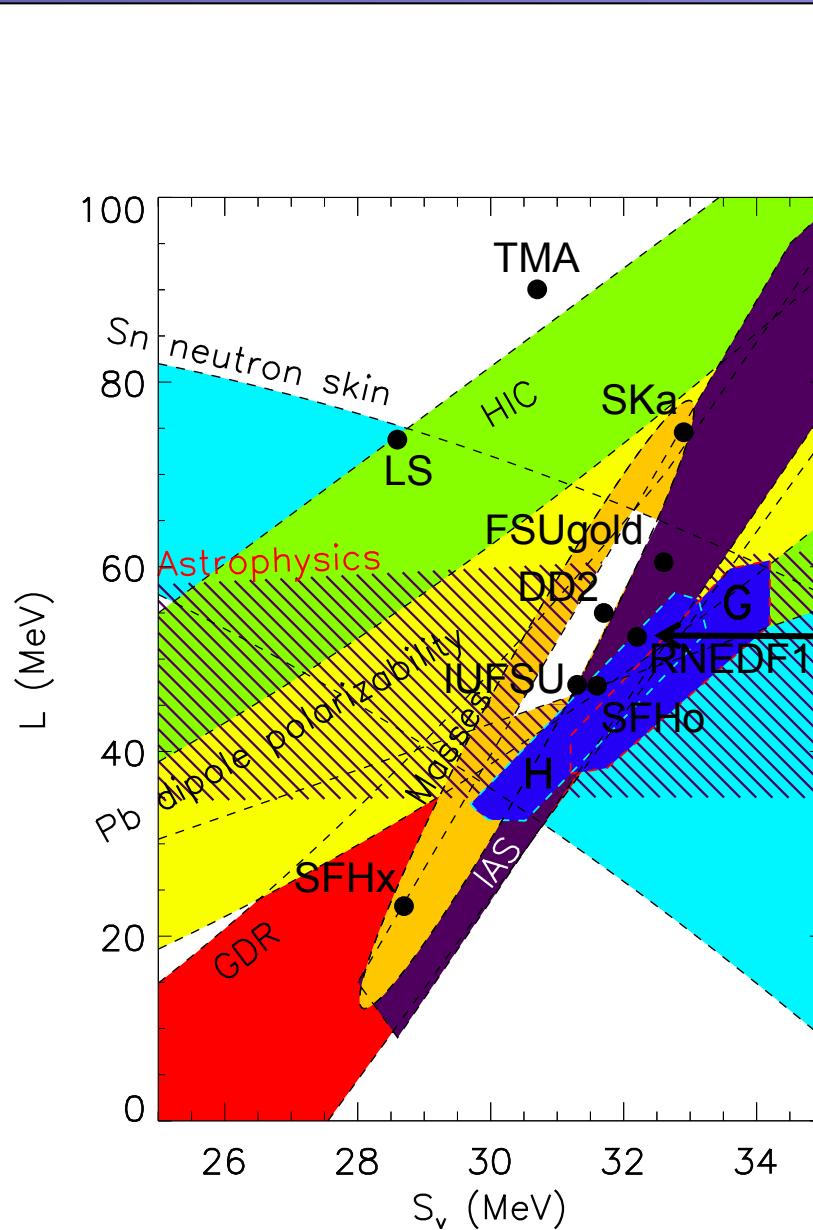
$$\mathbf{L = 51.48 \text{ MeV}}$$

- Lattimer & Lim, ApJ. 771, 51 (2013)

$$\mathbf{J = 29.0\text{--}32.7 \text{ MeV}}$$

$$\mathbf{L = 40.5\text{--}61.9 \text{ MeV}}$$

# THE SYMMETRY ENERGY



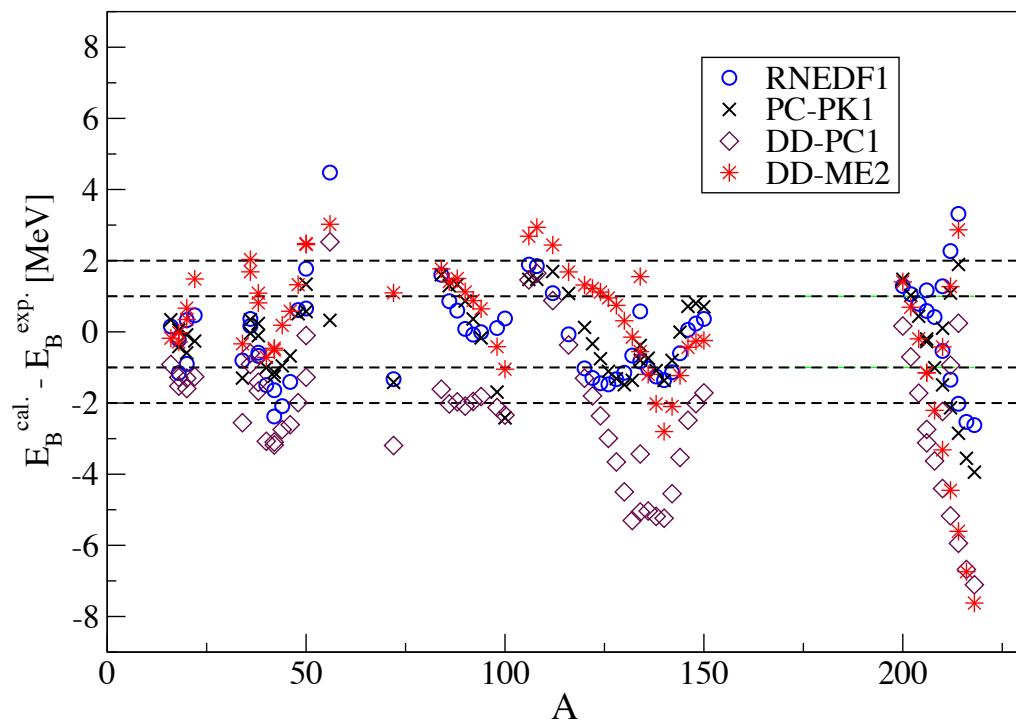
NL3 •

TM1 • ↗

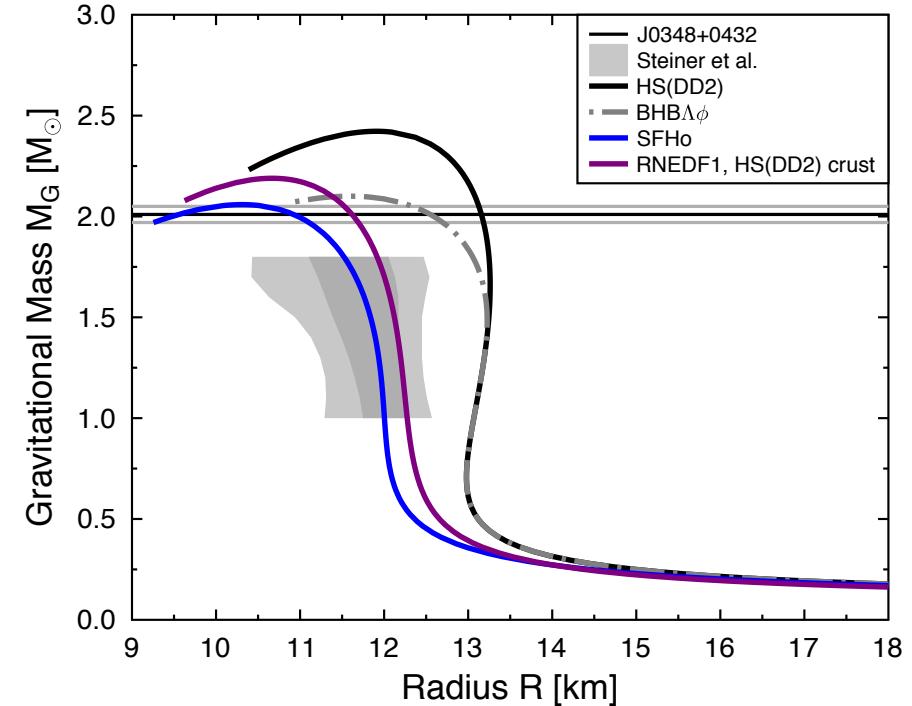
- Many functionals are inconsistent with the observational constraints
- RNEDF1:
  - $J = 31.89 \text{ MeV}$
  - $L = 51.48 \text{ MeV}$
- Lattimer & Lim, ApJ. 771, 51 (2013)
  - $J = 29.0\text{--}32.7 \text{ MeV}$
  - $L = 40.5\text{--}61.9 \text{ MeV}$

# MASSES: FROM FINITE NUCLEI TOWARD THE NEUTRON STAR

Nuclear binding energies (calc. – exp.)  
 - Including deformation (axial symmetry)

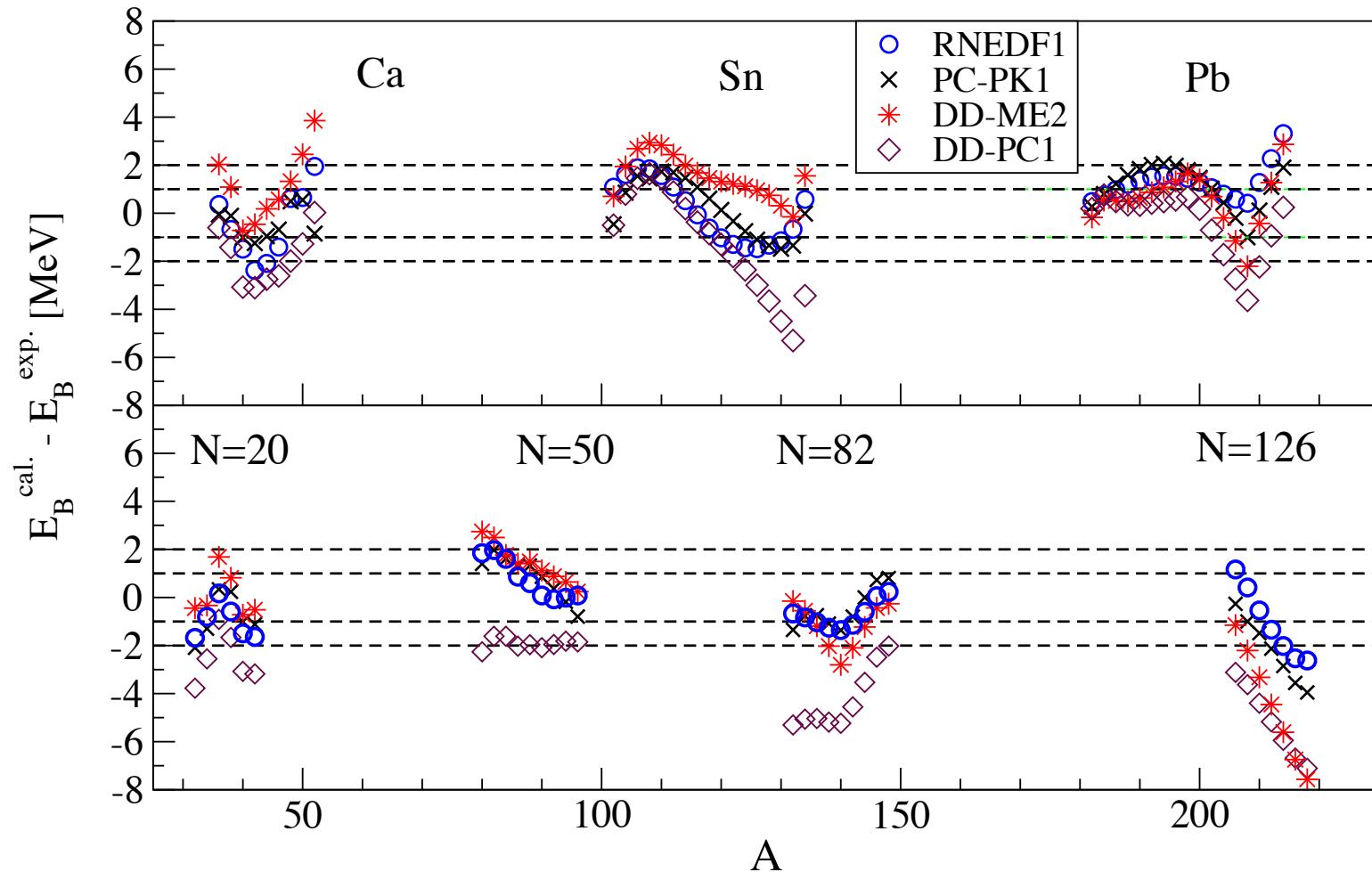


Neutron star  
 mass-radius relationship

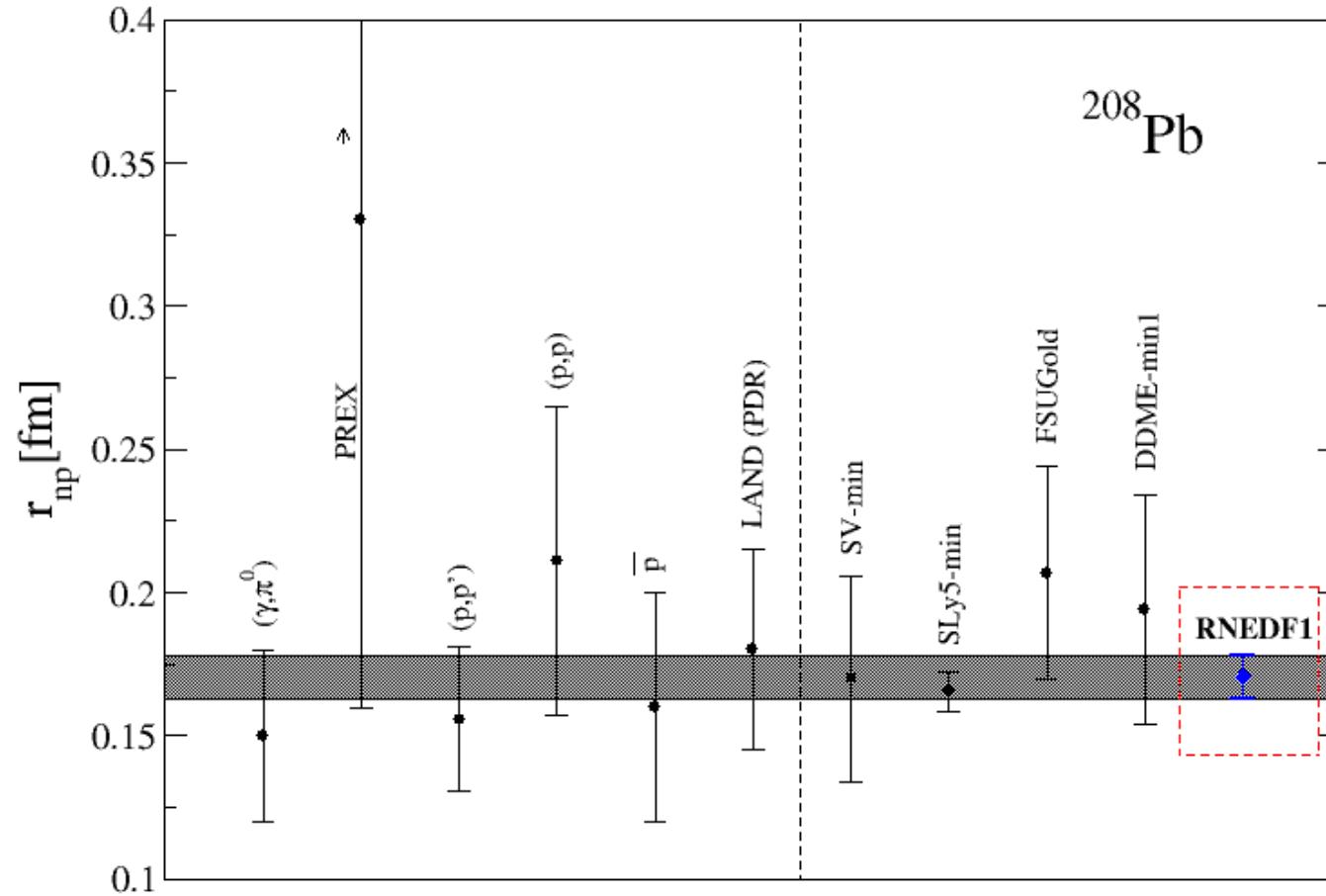


- PC-PK1: P.W.Zhao, Z. P. Li, J. M. Yao, and J. Meng, PRC 82, 054319 (2010)  
 - (K=238 MeV, J=35.6, L = 113 MeV)

# RNEDF1: ISOTOPE AND ISOTONE CHAINS



# RNEDF1: NEUTRON SKIN THICKNESS IN $^{208}\text{Pb}$



$(\gamma, \pi^0)$ : C.M. Tarbert et al., PRL 112, 242502 (2014)  
PREX: S. Abrahamyan et al., PRL 108, 112502 (2012)  
 $(p, p')$ : A. Tamii et al., PRL 107, 062502 (2011)  
 $(p, p)$ : J. Zenihiro et al., PRC 82, 044611 (2010)  
Antipr. at.: B. Kłos et al., Phys. Rev. C 76, 014311 (2007).  
LAND (PDR): A. Klimkiewicz et al., PRC 76, 051603 (2007).

SV-min: P.G. Reinhard et al.  
SLy5-min: X. Roca-Maza, G. Colò et al.  
FSUGold: J. Piekarewicz et al.  
DDME-min1: N.P. et al.