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New quantum toroidal algebras from supersymmetric gauge theories

Jean-Emile Bourgine

Korea Institute for Advanced Study

New trends in Integrable Systems Osaka City University 2019-09-14

JEB, Saebyeok Jeong [arXiv:1906.01625]

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What are quantum toroidal algebras?

In a nutshell

Toroidal algebras are central extensions of 2-loop algebras (= double affine algebras).

~> Quantum toroidal algebras are affine versions of quantum affine algebras.

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What are quantum toroidal algebras?

In a nutshell

Toroidal algebras are central extensions of 2-loop algebras (= double affine algebras).

→ Quantum toroidal algebras are affine versions of quantum affine algebras.

Main applications:

- Construction of integrable systems using the Hopf algebra structure [Feigin, Jimbo, Miwa, Mukhin 2015]
- Non-perturbative symmetries of supersymmetric (SUSY) gauge theories
 From string theory realizations: (p,q)-branes web or topological strings [Awata, Feigin, Shiraishi 2011]
 - Solution Main motivation for introducing our deformation of quantum toroidal $\mathfrak{gl}(p)$.
- Correspondence with W-algebras (AGT correspondence) [Awata, Feigin, Hoshino, Kanai, Shiraishi, Yanagida 2011]

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4 Representations and application to gauge theories





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Consider a simple Lie algebra g with Chevalley basis x_{ω}^+ , x_{ω}^- , h_{ω} ($\omega = 1 \cdots$ rank). \bigwedge Notations: $x_{\omega}^+ \equiv e_{\omega} \equiv e_{\alpha_{\omega}}$, $x_{\omega}^- \equiv f_{\omega} \equiv e_{-\alpha_{\omega}}$. Example: $\mathfrak{sl}(2)$ generators x^{\pm} , h: $[h, x^{\pm}] = \pm 2x^{\pm}$, $[x^+, x^-] = h$.

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Affinization is achieved by one of two equivalent methods:

 Add an extra root to obtain a generalized Cartan matrix C_{ωω'} Example s(2): x[±]_ω, h_ω (ω = 0, 1), [h_ω, x[±]_{ω'}] = ±C_{ωω'}x[±]_{ω'}, [x⁺_ω, x⁻_{ω'}] = δ_{ωω'} h_{ω'}.

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- Add an extra root to obtain a generalized Cartan matrix $C_{\omega\omega'}$ Example $\widehat{\mathfrak{sl}(2)}$: x_{ω}^{\pm} , h_{ω} ($\omega = 0, 1$), $[h_{\omega}, x_{\omega'}^{\pm}] = \pm C_{\omega\omega'} x_{\omega'}^{\pm}$, $[x_{\omega}^{+}, x_{\omega'}^{-}] = \delta_{\omega\omega'} h_{\omega'}$.
- **(a)** Central extension of the loop algebra: $\mathbb{C}[t, t^{-1}] \otimes \mathfrak{g} \oplus \mathbb{C}c$. Example $\widehat{\mathfrak{sl}(2)}$: $x_k^{\pm} = t^k \otimes x^{\pm}$, $h_k = t^k \otimes h$, $[h_k, x_l^{\pm}] = \pm 2x_{k+l}^{\pm}$, $[x_k^+, x_l^-] = h_{k+l} + ck\delta_{k+l}$, $[h_k, h_l] = ck\delta_{k+l}$

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- Toroidal algebras were formulated by combining these two methods.
 [Moody, Rao, Yokonuma 1990]

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Quantization

SQuantization is used to define a non-trivial coalgebraic structure.

Reminder: coalgebras have a coproduct $\Delta : \mathcal{A} \to \mathcal{A} \otimes \mathcal{A}$, a counit $\epsilon : \mathcal{A} \to \mathbb{C}$ Hopf algebra: antipode $S : \mathcal{A} \to \mathcal{A}$ such that $\nabla(S \otimes 1)\Delta = \nabla(1 \otimes S)\Delta = \epsilon$.

(~~ R-matrix, Yang-Baxter equation, quantum integrable systems,...)

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Solution Replace the Cartan sector with operators ψ^{\pm}_{ω} in the universal envelopping algebra. A parameter $q \in \mathbb{C}^{\times}$ is also introduced.

Example $U_q(\mathfrak{sl}(2))$: generators x^{\pm} , $\psi^{\pm} = q^{\pm h}$,

$$\begin{split} \psi^{+}x^{\pm} &= q^{\pm 2}x^{\pm}\psi^{+}, \quad \psi^{-}x^{\pm} = q^{\mp 2}x^{\pm}\psi^{-}, \quad [x^{+},x^{-}] = \frac{\psi^{+} - \psi^{-}}{q - q^{-1}} \\ \Delta(x^{+}) &= x^{+} \otimes 1 + \psi^{-} \otimes x^{+}, \quad \Delta(x^{-}) = 1 \otimes x^{-} + x^{-} \otimes \psi^{+}, \quad \Delta(\psi^{\pm}) = \psi^{\pm} \otimes \psi^{\pm} \\ \mathcal{S}(x^{+}) &= -(\psi^{-})^{-1}x^{+}, \quad \mathcal{S}(x^{-}) = -x^{-}(\psi^{+})^{-1}, \quad \mathcal{S}(\psi^{\pm}) = (\psi^{\pm})^{-1}, \\ \epsilon(x^{\pm}) &= 0, \quad \epsilon(\psi^{\pm}) = 1. \end{split}$$

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Obtain quantum toroidal algebras [Ginzburg, Kapranov, Vasserot 1995]

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Quantum toroidal $\mathfrak{gl}(p)$: definition

When $\mathfrak{g} = \mathfrak{gl}(p)$, an extra parameter $\kappa \in \mathbb{C}^{\times}$ can be introduced. We use:

$$q_1=q^{-1}\kappa, \quad q_2=q^{-1}\kappa^{-1}, \quad q_3=q^2 \quad \Rightarrow \quad q_1q_2q_3=1.$$

The algebra is formulated in terms of a central element c and 4p Drinfeld currents

$$x^{\pm}_{\omega}(z) = \sum_{k \in \mathbb{Z}} z^{-k} x^{\pm}_{\omega,k}, \quad \psi^{\pm}_{\omega}(z) = \sum_{k \ge 0} z^{\mp k} \psi^{\pm}_{\omega,\pm k}.$$

It has a second central element \bar{c} obtained as

$$q_3^{\pm \frac{1}{2}ar{c}} = \prod_{\omega=0}^{p-1} \psi_{\omega,0}^{\pm}.$$

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Quantum toroidal $\mathfrak{gl}(p)$: definition

The algebraic relations read

$$\begin{split} \psi_{\omega}^{+}(z) x_{\omega'}^{\pm}(w) &= g_{\omega\omega'}(q_{3}^{\pm c/4} z/w)^{\pm 1} x_{\omega'}^{\pm}(w) \psi_{\omega}^{\pm}(z), \\ \psi_{\omega}^{-}(z) x_{\omega'}^{\pm}(w) &= g_{\omega\omega'}(q_{3}^{\mp c/4} z/w)^{\pm 1} x_{\omega'}^{\pm}(w) \psi_{\omega}^{-}(z), \\ [\psi_{\omega}^{\pm}(z), \psi_{\omega'}^{\pm}(w)] &= 0, \quad \psi_{\omega,0}^{+} \psi_{\omega,0}^{-} = \psi_{\omega,0}^{-} \psi_{\omega,0}^{+} = 1 \\ \psi_{\omega}^{+}(z) \psi_{\omega'}^{-}(w) &= \frac{g_{\omega\omega'}(q_{3}^{c/2} z/w)}{g_{\omega\omega'}(q_{3}^{-c/2} z/w)} \psi_{\omega'}^{-}(w) \psi_{\omega}^{+}(z), \\ x_{\omega}^{\pm}(z) x_{\omega'}^{\pm}(w) &= g_{\omega\omega'}(z/w)^{\pm 1} x_{\omega'}^{\pm}(w) x_{\omega}^{\pm}(z), \\ [x_{\omega}^{+}(z), x_{\omega'}^{-}(w)] &= \frac{\delta_{\omega,\omega'}}{q_{3}^{1/2} - q_{3}^{-1/2}} \left[\delta(q_{3}^{-c/2} z/w) \psi_{\omega}^{+}(q_{3}^{-c/4} z) - \delta(q_{3}^{c/2} z/w) \psi_{\omega}^{-}(q_{3}^{c/4} z) \right], \end{split}$$

together with the Serre relations

 $\sum_{\sigma \in S_2} \left[x_{\omega}^{\pm}(z_{\sigma(1)}) x_{\omega}^{\pm}(z_{\sigma(2)}) x_{\omega\pm1}^{\pm}(w) - (q_3^{1/2} + q_3^{-1/2}) x_{\omega}^{\pm}(z_{\sigma(1)}) x_{\omega\pm1}^{\pm}(w) x_{\omega}^{\pm}(z_{\sigma(2)}) + x_{\omega\pm1}^{\pm}(w) x_{\omega}^{\pm}(z_{\sigma(1)}) x_{\omega}^{\pm}(z_{\sigma(2)}) \right] = 0.$

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Quantum toroidal $\mathfrak{gl}(p)$: definition

The structure functions $g_{\omega\omega'}(z)$ are defined as $(\delta_{\omega,\omega'}$ is the Kronecker delta mod p)

$$g_{\omega\omega'}(z) = \left(q_3^{-1} \frac{1 - q_3 z}{1 - q_3^{-1} z}\right)^{\delta_{\omega,\omega'}} \left(q_3^{1/2} \frac{1 - q_1 z}{1 - q_2^{-1} z}\right)^{\delta_{\omega,\omega'-1}} \left(q_3^{1/2} \frac{1 - q_2 z}{1 - q_1^{-1} z}\right)^{\delta_{\omega,\omega'+1}}$$

Example: For p = 6,



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Quantum toroidal $\mathfrak{gl}(p)$: coalgebraic structure

The algebra has the structure of a Hopf algebra with the Drinfeld coproduct

$$\begin{split} &\Delta(x_{\omega}^{+}(z)) = x_{\omega}^{+}(z) \otimes 1 + \psi_{\omega}^{-}(q_{3}^{c_{(1)}/4}z) \otimes x_{\omega}^{+}(q_{3}^{c_{(1)}/2}z), \\ &\Delta(x_{\omega}^{-}(z)) = x_{\omega}^{-}(q_{3}^{c_{(2)}/2}z) \otimes \psi_{\omega}^{+}(q_{3}^{c_{(2)}/4}z) + 1 \otimes x_{\omega}^{-}(z), \\ &\Delta(\psi_{\omega}^{\pm}(z)) = \psi_{\omega}^{\pm}(q_{3}^{\pm c_{(2)}/4}z) \otimes \psi_{\omega}^{\pm}(q_{3}^{\mp c_{(1)}/4}z), \end{split}$$

the counit $\epsilon(x^{\pm}_{\omega}(z))=$ 0, $\epsilon(\psi^{\pm}_{\omega}(z))=$ 1, and the antipode

$$\begin{split} \mathcal{S}(x_{\omega}^{+}(z)) &= -\psi_{\omega}^{-}(q_{3}^{-c/4}z)^{-1}x_{\omega}^{+}(q_{3}^{-c/2}z), \quad \mathcal{S}(x_{\omega}^{-}(z)) = -x_{\omega}^{-}(q_{3}^{-c/2}z)\psi_{\omega}^{+}(q_{3}^{-c/4}z)^{-1}, \\ \mathcal{S}(\psi_{\omega}^{\pm}(z)) &= \psi_{\omega}^{\pm}(z)^{-1}. \end{split}$$

Remarks:

★ We denoted $c_{(1)} = c \otimes 1$, $c_{(2)} = 1 \otimes c$, and $\Delta(c) = c_{(1)} + c_{(2)}$, $\epsilon(c) = 0$, S(c) = -c. ★ We recover the Ding-Iohara-Miki algebra when p = 1. [Ding, Iohara 1997 - Miki 2007]

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Motivation

• In applications to SUSY gauge theories, two types of representations are used. In both representations, the following functions play a central role:

$$S_{\omega\omega'}(z) = \frac{(1-q_1z)^{\delta_{\omega,\omega'-1}}(1-q_2z)^{\delta_{\omega,\omega'+1}}}{(1-z)^{\delta_{\omega,\omega'}}(1-q_1q_2z)^{\delta_{\omega,\omega'}}}, \quad g_{\omega\omega'}(z) = q_3^{-\frac{1}{2}C_{\omega\omega'}}\frac{S_{\omega\omega'}(z)}{S_{\omega\omega'}(q_3z)}$$

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• Some SUSY gauge theories observables can be constructed purely algebraically. For quantum toroidal $\mathfrak{gl}(p)$, theories are defined on the spacetime $S^1 \times (\mathbb{C} \times \mathbb{C})/\mathbb{Z}_p$. The \mathbb{Z}_p -action $(\theta, z_1, z_2) \in S^1 \times \mathbb{C} \times \mathbb{C} \to (\theta, e^{2i\pi/p} z_1, e^{-2i\pi/p} z_2)$ defines an orbifold. [Awata, Kanno, Mironov, Morozov, Suetake, Zenkevich 2017]

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• The \mathbb{Z}_p -action can be generalized with two integers $(
u_1,
u_2) \in \mathbb{Z}_p imes \mathbb{Z}_p$,

$$(\theta, z_1, z_2) \in S^1 \times \mathbb{C} \times \mathbb{C} \to (\theta, e^{2i\pi\nu_1/p}z_1, e^{2i\pi\nu_2/p}z_2).$$

This deformation of the orbifold leads to (ν_1, ν_2) -dependent functions

$$S_{\omega\omega'}(z) = \frac{(1-q_1 z)^{\delta_{\omega,\omega'-\nu_1}}(1-q_2 z)^{\delta_{\omega,\omega'-\nu_2}}}{(1-z)^{\delta_{\omega,\omega'}}(1-q_1 q_2 z)^{\delta_{\omega,\omega'-\nu_1-\nu_2}}}$$

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Definition of the (u_1, u_2)-deformed algebra

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Definition of the (ν_1, ν_2) -deformed algebra

Solution How is the deformation of quantum toroidal $\mathfrak{gl}(p)$ defined?

The structure functions for the deformed algebra are defined as

$$g_{\omega\omega'}(z)=rac{S_{\omega\omega'}(z)}{S_{\omega'\omega}(z^{-1})}, \quad (\omega,\omega'\in\mathbb{Z}_p).$$

The algebraic relations between currents needs to be deformed into

$$\begin{split} \psi_{\omega}^{+}(z)x_{\omega'}^{\pm}(w) &= g_{\omega\omega'}(z/w)^{\pm 1}x_{\omega'}^{\pm}(w)\psi_{\omega}^{+}(z), \\ \psi_{\omega}^{-}(z)x_{\omega'}^{-}(w) &= g_{\omega\omega'}(z/w)^{-1}x_{\omega'}^{-}(w)\psi_{\omega}^{-}(z), \\ \psi_{\omega}^{-}(z)x_{\omega'}^{+}(w) &= g_{\omega-\nu_{3}c} \omega'(q_{3}^{-c}z/w)x_{\omega'}^{+}(w)\psi_{\omega}^{-}(z), \\ \psi_{\omega}^{+}(z)\psi_{\omega'}^{-}(w) &= \frac{g_{\omega\omega'-\nu_{3}c}(q_{3}^{c}z/w)}{g_{\omega\omega'}(z/w)}\psi_{\omega'}^{-}(w)\psi_{\omega}^{+}(z), \quad [\psi_{\omega}^{\pm}(z),\psi_{\omega'}^{\pm}(w)] = 0, \\ x_{\omega}^{\pm}(z)x_{\omega'}^{\pm}(w) &= g_{\omega\omega'}(z/w)^{\pm 1}x_{\omega'}^{\pm}(w)x_{\omega}^{\pm}(z), \\ [x_{\omega}^{+}(z),x_{\omega'}^{-}(w)] &= \Omega\left[\delta_{\omega,\omega'}\delta(z/w)\psi_{\omega}^{+}(z) - \delta_{\omega,\omega'-\nu_{3}c}\delta(q_{3}^{c}z/w)\psi_{\omega+\nu_{3}c}^{-}(q_{3}^{c}z)\right] \end{split}$$

where we have introduced $\nu_3 = -\nu_1 - \nu_2$.

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Important remarks

$$\psi^\pm_\omega(z)=z^{\mp a^\pm_{\omega,0}}\sum_{k\geq 0}z^{\mp k}\psi^\pm_{\omega,\pm k}.$$

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• The Cartan currents now contain some zero modes $a_{\omega,0}^{\pm}$,

$$\psi^{\pm}_{\omega}(z) = z^{\mp a^{\pm}_{\omega,0}} \sum_{k \ge 0} z^{\mp k} \psi^{\pm}_{\omega,\pm k}.$$

• The algebra does not quite reduce to quantum toroidal $\mathfrak{gl}(p)$ as $\nu_1 = -\nu_2 = 1$.

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- The algebra does not quite reduce to quantum toroidal $\mathfrak{gl}(p)$ as $\nu_1 = -\nu_2 = 1$.
- The coincidence between shifts in the arguments q₃^{±c}z and indices ω ± ν₃c follows from the definition of the ℤ_p-action in the gauge theory.

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- The coincidence between shifts in the arguments q₃^{±c}z and indices ω ± ν₃c follows from the definition of the ℤ_ρ-action in the gauge theory.
- Well defined only if $\rho(c) \in \mathbb{Z}$.

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- The algebra does not quite reduce to quantum toroidal $\mathfrak{gl}(p)$ as $\nu_1 = -\nu_2 = 1$.
- The coincidence between shifts in the arguments q₃^{±c}z and indices ω ± ν₃c follows from the definition of the Z_p-action in the gauge theory.
- Well defined only if $\rho(c) \in \mathbb{Z}$.
- The comparison with quantum toroidal gl(p) leads to the following conjecture: this algebra is equivalent to the quantum toroidal algebra built upon a Kac-Moody algebra with the non-symmetrizable Cartan matrix

$$C_{\omega\omega'} = \delta_{\omega\omega'} + \delta_{\omega\omega'+\nu_1+\nu_2} - \delta_{\omega\omega'+\nu_1} - \delta_{\omega\omega'+\nu_2}.$$

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Typically,

$$C_{\omega\omega'}=egin{pmatrix} 1&1&0&-1&0&-1&0\ 0&1&1&0&-1&0&-1\ -1&0&1&1&0&-1&0\ 0&-1&0&1&1&0&-1\ -1&0&-1&0&1&1&1\ -1&0&-1&0&-1&0&1&1\ 1&0&-1&0&-1&0&1&1\ 1&0&-1&0&-1&0&1\end{pmatrix}$$

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Coalgebraic structure

The (ν_1, ν_2) -deformed algebra has the structure of a Hopf algebra with the coproduct

$$\begin{split} &\Delta(x_{\omega}^{+}(z)) = x_{\omega}^{+}(z) \otimes 1 + \psi_{\omega+\nu_{3}c_{(1)}}^{-}(q_{3}^{c_{(1)}}z) \otimes x_{\omega}^{+}(z), \\ &\Delta(x_{\omega}^{-}(z)) = x_{\omega}^{-}(z) \otimes \psi_{\omega-\nu_{3}c_{(1)}}^{+}(q_{3}^{-c_{(1)}}z) + 1 \otimes x_{\omega-\nu_{3}c_{(1)}}^{-}(q_{3}^{-c_{(1)}}z), \\ &\Delta(\psi_{\omega}^{+}(z)) = \psi_{\omega}^{+}(z) \otimes \psi_{\omega-\nu_{3}c_{(1)}}^{+}(q_{3}^{-c_{(1)}}z), \\ &\Delta(\psi_{\omega}^{-}(z)) = \psi_{\omega-\nu_{3}c_{(2)}}^{-}(q_{3}^{-c_{(2)}}z) \otimes \psi_{\omega-\nu_{3}c_{(1)}}^{-}(q_{3}^{-c_{(1)}}z), \end{split}$$

the counit $\epsilon(x^{\pm}_{\omega}(z))=$ 0, $\epsilon(\psi^{\pm}_{\omega}(z))=$ 1, and the antipode

$$\begin{split} \mathcal{S}(x_{\omega}^{+}(z)) &= -\psi_{\omega+\nu_{3}c}^{-}(q_{3}^{c}z)^{-1}x_{\omega}^{+}(z), \quad \mathcal{S}(x_{\omega}^{-}(z)) = -x_{\omega+\nu_{3}c}^{-}(q_{3}^{c}z)\psi_{\omega+\nu_{3}c}^{+}(q_{3}^{c}z)^{-1}, \\ \mathcal{S}(\psi_{\omega}^{+}(z)) &= \psi_{\omega+\nu_{3}c}^{+}(q_{3}^{c}z)^{-1}, \quad \mathcal{S}(\psi_{\omega}^{-}(z)) = \psi_{\omega+2\nu_{3}c}^{-}(q_{3}^{c}z)^{-1}, \end{split}$$

The coproduct has been twisted to make manifest the coincidence between shifts in arguments and indices.

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- 3 Deformation of quantum toroidal gl(p)

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 Deform the highest weight modules of quantum toroidal gl(p) (analogous to finite dimensional representations of quantum affine algebras)
 [Feigin, Jimbo, Miwa, Mukhin 2012]

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- Highest state $|\emptyset\rangle\rangle$ determined by *n* weights $v = (v_{\alpha})_{\alpha=1}^{n}$ and *n colors* $c_{\alpha} \in \mathbb{Z}_{p}$.

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- Levels $\rho_V(c) = 0$, $\rho_V(\bar{c}) = n \ (\Rightarrow \psi^+(z) \text{ and } \psi^-(w) \text{ commute!})$
- Highest state $|\emptyset\rangle\rangle$ determined by *n* weights $v = (v_{\alpha})_{\alpha=1}^{n}$ and *n colors* $c_{\alpha} \in \mathbb{Z}_{p}$.
- The action of the Cartan reads

$$\psi_{\omega}^{\pm}(z^{-1})\left|\emptyset\right\rangle = \left[\frac{\rho_{\omega-\nu_{3}}(q_{3}^{-1/2}z)}{\rho_{\omega}(q_{3}^{1/2}z)}\right]_{\mp}\left|\emptyset\right\rangle , \quad \rho_{\omega}(z) = \prod_{\alpha=1}^{n}(1-zq_{3}^{-1/2}v_{\alpha})^{\delta_{c_{\alpha},\omega}},$$

where $p_{\omega}(z)$ is the Drinfeld polynomial and $[f(z)]_{\pm}$ denotes an expansion of f(z) in powers of $z^{\pm 1}$.

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• Vertical modules have a basis of states $|\lambda\rangle\rangle$ labelled by *n*-tuples Young diagrams λ . To each box $\Box = (\alpha, i, j) \in \lambda$ of coordinates $(i, j) \in \lambda^{(\alpha)}$, we associate:

- a position $\chi_{\square} = v_{lpha} q_1^{i-1} q_2^{i-1} \in \mathbb{C}^{ imes}$,
- a color $c(\Box) = c_{\alpha} + (i-1)\nu_1 + (j-1)\nu_2 \in \mathbb{Z}_p$.

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- a color $c(\Box) = c_{\alpha} + (i-1)\nu_1 + (j-1)\nu_2 \in \mathbb{Z}_p$.
- The action of the Drinfeld currents on this basis read

$$\rho_{V}(x_{\omega}^{+}(z)) |\boldsymbol{\lambda}\rangle\rangle = \sum_{\Box \in A_{\omega}(\boldsymbol{\lambda})} \delta(z/\chi_{\Box}) \mathcal{Y}_{\omega}^{[\boldsymbol{\lambda}+\Box]}(\chi_{\Box}) |\boldsymbol{\lambda}+\Box\rangle\rangle,$$

$$\rho_{V}(x_{\omega}^{-}(z)) |\boldsymbol{\lambda}\rangle\rangle = \sum_{\Box \in R_{\omega}(\boldsymbol{\lambda})} \delta(z/\chi_{\Box}) \mathcal{Y}_{\omega+\nu_{1}+\nu_{2}}^{*[\boldsymbol{\lambda}-\Box]}(q_{3}^{-1}\chi_{\Box}) |\boldsymbol{\lambda}-\Box\rangle\rangle$$

$$\rho_{V}(\psi_{\omega}^{\pm}(z)) |\boldsymbol{\lambda}\rangle\rangle = \left[\frac{\mathcal{Y}_{\omega+\nu_{1}+\nu_{2}}^{*[\boldsymbol{\lambda}]}(q_{3}^{-1}z)}{\mathcal{Y}_{\omega}^{[\boldsymbol{\omega}]}(z)}\right]_{\pm} |\boldsymbol{\lambda}\rangle\rangle.$$

• $A_{\omega}(\lambda)/R_{\omega}(\lambda) = \text{set of boxes of color } c(\Box) = \omega$ that can be added/removed to λ .

- Matrix elements are written in terms of *Y*-observables *Y*^[λ]_ω(z), *Y*^{*[λ]}_ω(z).
- The highest state $|\emptyset\rangle
 angle$ corresponds to empty Young diagrams.
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Horizontal representations

• Deform the vertex representations of quantum toroidal $\mathfrak{gl}(p)$. [Saito 1996]

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• Deform the vertex representations of quantum toroidal $\mathfrak{gl}(p)$. [Saito 1996]

• Levels $\rho_H(c) = 1$, $\rho_H(\bar{c}) = n$

Representations depend on p weights $u_{\omega} \in \mathbb{C}^{\times}$ and p integers $n_{\omega} \in \mathbb{Z}$.

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Representations depend on p weights $u_{\omega} \in \mathbb{C}^{\times}$ and p integers $n_{\omega} \in \mathbb{Z}$.

• Formulated in terms of p coupled Heisenberg algebras with modes $\alpha_{\omega,k}$,

$$\begin{split} & [\alpha_{\omega,k}, \alpha_{\omega',l}] = k \delta_{k+l} q_3^{k/2} \left[\delta_{\omega\omega'} + q_3^{-k} \delta_{\omega \ \omega' - \nu_3} - q_1^k \delta_{\omega \ \omega' + \nu_1} - q_2^k \delta_{\omega \ \omega' + \nu_2} \right], \quad (k > 0). \\ & \text{and the zero modes } P_{\omega}(z), \ Q_{\omega}(z) \text{ (written with } 2p \text{ finite Heisenberg algebras),} \end{split}$$

$$P_{\omega}(z)Q_{\omega'}(w) = F_{\omega\omega'}w^{C_{\omega\omega'}}z^{-C_{\omega\omega'}}Q_{\omega'}(w)P_{\omega}(z).$$

with $F_{\omega\omega'} = (-1)^{\delta_{\omega\omega'}} (-q_3)^{-\delta_{\omega,\omega'-\nu_3}} (-q_1)^{-\delta_{\omega\omega'+\nu_1}} (-q_2)^{-\delta_{\omega\omega'+\nu_2}}.$

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• Define the vacuum $|\emptyset\rangle$ such that $\alpha_{\omega,k>0} |\emptyset\rangle = 0$, $P_{\omega}(z) |\emptyset\rangle = |\emptyset\rangle$.

 \rightsquigarrow Standard PBW basis obtained by acting with $\alpha_{\omega,k<0}$ and $Q_{\omega}(z)$.

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Drinfeld currents are represented in terms of vertex operators,

$$\begin{split} \rho_{H}(x_{\omega}^{+}(z)) &= u_{\omega} z^{-n_{\omega}} Q_{\omega}(z) \exp\left(\sum_{k>0} \frac{z^{k}}{k} \alpha_{\omega,-k}\right) \exp\left(-\sum_{k>0} \frac{z^{-k}}{k} q_{3}^{-k/2} \alpha_{\omega,k}\right), \\ \rho_{H}(x_{\omega}^{-}(z)) &= u_{\omega}^{-1} z^{n_{\omega}} Q_{\omega}(z)^{-1} P_{\omega-\nu_{3}}(q_{3}^{-1}z) \exp\left(-\sum_{k>0} \frac{z^{k}}{k} \alpha_{\omega,-k}\right) \exp\left(\sum_{k>0} \frac{z^{-k}}{k} q_{3}^{k/2} \alpha_{\omega-\nu_{3},k}\right), \\ \rho_{H}(\psi_{\omega}^{+}(z)) &= F^{-1/2} P_{\omega-\nu_{3}}(q_{3}^{-1}z) \exp\left(-\sum_{k>0} \frac{z^{-k}}{k} (q_{3}^{-k/2} \alpha_{\omega,k} - q_{3}^{k/2} \alpha_{\omega-\nu_{3},k})\right), \\ \rho_{H}(\psi_{\omega}^{-}(z)) &= F^{1/2} \frac{u_{\omega-\nu_{3}}}{u_{\omega}} q_{3}^{n_{\omega}-\nu_{3}} z^{n_{\omega}-n_{\omega-\nu_{3}}} \frac{Q_{\omega-\nu_{3}}(q_{3}^{-1}z)}{Q_{\omega}(z)} P_{\omega-\nu_{3}}(q_{3}^{-1}z) \\ &\qquad \times \exp\left(\sum_{k>0} \frac{z^{k}}{k} (q_{3}^{-k} \alpha_{\omega-\nu_{3},-k} - \alpha_{\omega,-k})\right). \end{split}$$

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Intertwining operators introduced as a generalization of Virasoro vertex operators.
 (~~quantum Knizhnik-Zamolodchikov equations) [Frenkel, Reshetikhin 1992]

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- Intertwining operators introduced as a generalization of Virasoro vertex operators. (~~quantum Knizhnik-Zamolodchikov equations) [Frenkel, Reshetikhin 1992]
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- Intertwiners Φ : V ⊗ H → H and Φ* : H → V ⊗ H are identified with the topological vertex used to compute the gauge theory partition functions.
 [Awata, Feigin, Shiraishi, 2011]
 [Awata, Kanno, Mironov, Morozov, Suetake, Zenkevich 2017]

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 [Awata, Feigin, Shiraishi, 2011]
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- These operators are obtained by solving the following equation:

 $\rho_H(e)\Phi = \Phi\left(\rho_V \otimes \rho_H \ \Delta(e)\right), \quad \text{or} \quad \left(\rho_V \otimes \rho_H \ \Delta'(e)\right)\Phi^* = \Phi^*\rho_H(e),$

for every element $e = x_{\omega}^{\pm}(z), \psi_{\omega}^{\pm}(z), c$ of the algebra.

 $(\Delta' \text{ is the opposite coproduct obtained by permutation.})$

$$H \xrightarrow{\Phi} H \qquad H \xrightarrow{\Phi^*} H$$

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• The solutions have been found, they decompose on the vertical basis

$$\Phi = \sum_{oldsymbol{\lambda}} \Phi_{oldsymbol{\lambda}} \langle\!\langle oldsymbol{\lambda} |\,, \quad \Phi^* = \sum_{oldsymbol{\lambda}} \Phi^*_{oldsymbol{\lambda}} \ket{oldsymbol{\lambda}}$$

where Φ_{λ} and Φ_{λ}^{*} are vertex operators acting on horizontal modules.

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We build the operator *T* by gluing the intertwiners Φ and Φ*.
 The gluing rules follow from the string theory realization



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• Along the vertical direction, gluing is done by a scalar product:

$$\mathcal{T}[U(N)] = \sum_{\lambda} \Phi_{\lambda} \otimes \Phi^*_{\lambda} : \quad H \otimes H \to H \otimes H$$

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The vacuum expectation value reproduces the gauge theory partition function:

$$\mathcal{Z} = \left(\langle \emptyset | \otimes \langle \emptyset |
ight) \mathcal{T} \left(| \emptyset \rangle \otimes | \emptyset
angle
ight).$$

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General approach to quiver representations

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Summary of the results

From the mathematics perspective:

- Define a new algebra that *deforms* the quantum toroidal $\mathfrak{gl}(p)$ algebra.
- Show that it has the structure of a Hopf algebra.
- Provide a highest weight representation on Young diagrams.
- Provide the vertex representation (or level one representation).
- Constuct the intertwining operators between these two.

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- Constuct the intertwining operators between these two.

From the physics perspective:

- Define a colored topological vertex pertaining to the spacetime orbifold.
- Extend the algebraic construction of SUSY gauge theories' partitions functions.
- Include the case $\nu_1 = 1$, $\nu_2 = 0$ corresponding to the insertion of a surface defect.
- Construct the qq-characters of the gauge theories (other type of observable).
 - \rightsquigarrow $\;$ Observe two inequivalent fundamental qq-characters.

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Open questions

From the mathematics perspective:

- Show that Serre relations are obeyed in both horizontal and vertical representations.
- Prove the conjectured equivalence with a quantum toroidal algebra defined upon the Cartan matrix $C_{\omega\omega'}$. (\rightsquigarrow quantum affine algebra?)
- Look for Miki's automorphism mapping $(c, \bar{c}) \rightarrow (-\bar{c}, c)$ (S-duality).
- Find more representations (MacMahon modules?) and classify them.

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- Find more representations (MacMahon modules?) and classify them.

From the physics perspective:

- Find a duality with q-deformed W-algebras $\rightarrow \rightarrow AGT$ -correspondence!
- Construct the associated quantum integrable systems.

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Combine different ingredients to derive a general approach to SUSY gauge theories:

I. ADHM construction

Description of the non-perturbative sector of SUSY gauge theories.

(instanton moduli space)

[Atiyah-Drinfeld-Hitchin-Manin, Kronheimer, Nakajima,...]

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II. Cohomological Hall algebra

Quiver representation = set of vector spaces and linear maps.

 \rightsquigarrow Associate an algebra to the quivers.

[Vasserot, Schiffmann 2012] [Rapcak, Soibelman, Yang, Zhao 2018]

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- III. Algebraic approach to topological strings
 - Construct the intertwining operator from a Hopf algebra.
 (generalized topological vertex).
 - ~> Compute amplitudes via a diagrammatic technique.
 - ---- Identify amplitudes with gauge theory observables.

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So The simplest context is given by $\mathcal{N} = 2$ Super Yang-Mills on $\mathbb{R}^4 \simeq \mathbb{C}^2$.

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$\mathcal{N}=2$ Super Yang-Mills on \mathbb{C}^2



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$\mathcal{N} = 2$ Super Yang-Mills on $\mathbb{C}^2/\mathbb{Z}_p$

Case $\nu_1 = -\nu_2 = 1$



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Hints for a very general construction...

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General mathematical construction



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ADHM quiver: definition



Definition: N and K are vector spaces of dimension n and k.
 Take B₁, B₂ ∈ End(K), I ∈ Hom(N, K), J ∈ Hom(K, N) and consider:

$$\mathcal{M}_k(n) = \{B_1, B_2, I, J \neq \mu_{\mathbb{C}} = 0, \mathbb{C}[B_1, B_2]IN = K\}/GL(K),$$

with the moment map $\mu_{\mathbb{C}} = [B_1, B_2] + IJ$.

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ADHM quiver: definition



Definition: N and K are vector spaces of dimension n and k.
 Take B₁, B₂ ∈ End(K), I ∈ Hom(N, K), J ∈ Hom(K, N) and consider:

$$\mathcal{M}_k(n) = \{B_1, B_2, I, J \neq \mu_{\mathbb{C}} = 0, \mathbb{C}[B_1, B_2]IN = K\}/GL(K),$$

with the moment map $\mu_{\mathbb{C}} = [B_1, B_2] + IJ$.

• Consider the action of $U(1)^{n+2} \subset GL(N) \times SO(4)$

 $(h, t_1, t_2) \in U(1)^n \times U(1) \times U(1) : (B_1, B_2, I, J) \rightarrow (t_1B_1, t_2B_2, Ih, t_1t_2h^{-1}J).$

 \rightsquigarrow The fixed points are labelled by *n*-tuple partitions $\lambda = (\lambda^{(1)}, \cdots, \lambda^{(n)})$.

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ADHM quiver: Nekrasov factor

- Construct the tangent space $(\delta B_1, \delta B_2, \delta I, \delta J)$
- \rightsquigarrow modulo $\delta \mu_{\mathbb{C}} = 0$ and $\mathfrak{gl}(K)$ transformation.

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- At a fixed point λ , the tangent space supports an action of $U(1)^{n+2}$.
- \rightsquigarrow We determine the character \mathcal{X}_{λ} of this action.
- The Nekrasov factor is obtained by taking the plethystic exponential,

$$\begin{split} \mathcal{N}(\mathbf{v}, \boldsymbol{\lambda} | \mathbf{v}', \boldsymbol{\lambda}') &= \mathbb{I}[\mathcal{X}] = \prod_{\substack{\square \in \boldsymbol{\lambda} \\ \mathbf{n} \in \boldsymbol{\lambda}'}} S(\chi_{\square} / \chi_{\mathbf{n}}) \times \prod_{\alpha=1}^{n} \prod_{\square \in \boldsymbol{\lambda}'} \left(1 - \frac{v_{\alpha}}{\chi_{\square}} \right) \times \prod_{\alpha=1}^{n'} \left(1 - q_1 q_2 \frac{\chi_{\square}}{v_{\alpha}'} \right), \\ \text{with} \quad S(z) &= \frac{(1 - q_1 z)(1 - q_2 z)}{(1 - z)(1 - q_1 q_2 z)}. \end{split}$$

 \triangle For two different fixed points $\lambda \neq \lambda'$, need another mathematical construction.

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ADHM quiver: \mathcal{Y} -observables

 \bullet From the Nekrasov factor, we determine the $\mathcal Y\text{-observable}$

$$\begin{split} \mathcal{Y}^{[\lambda]}(\chi_{\Box}) &= \frac{N(\boldsymbol{v}, \boldsymbol{\lambda} | \boldsymbol{v}', \boldsymbol{\lambda}' + \Box)}{N(\boldsymbol{v}, \boldsymbol{\lambda} | \boldsymbol{v}', \boldsymbol{\lambda}')}, \quad \mathcal{Y}^{*[\lambda']}(q_1 q_2 \chi_{\Box}) = \frac{N(\boldsymbol{v}, \boldsymbol{\lambda} + \Box | \boldsymbol{v}', \boldsymbol{\lambda}')}{N(\boldsymbol{v}, \boldsymbol{\lambda} | \boldsymbol{v}', \boldsymbol{\lambda}')} \\ &\Rightarrow \quad \mathcal{Y}^{[\lambda]}(z) = \prod_{\alpha=1}^n \left(1 - \frac{v_\alpha}{z}\right) \times \prod_{\Box \in \boldsymbol{\lambda}} S(\chi_{\Box}/z), \quad \mathcal{Y}^{*[\lambda]}(z) = \mathcal{Y}^{[\lambda]}(z) \times \prod_{\alpha=1}^n \left(-\frac{z}{v_\alpha}\right) \end{split}$$

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 \mathcal{V} -obervables, and the function S(z), determine the algebra!

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 \mathcal{V} -obervables, and the function S(z), determine the algebra!

 \rightsquigarrow What happens in the case of a \mathbb{Z}_p -orbifold?
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Cyclic quiver

• We consider first the standard \mathbb{Z}_p -action $\nu_1 = -\nu_2 = 1$.

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Cyclic quiver

- We consider first the standard \mathbb{Z}_{ρ} -action $\nu_1 = -\nu_2 = 1$.
- We can take the long path and consider the cyclic quiver



with fixed point given by colored partitions, $c(\Box) = c_{\alpha} + i - j \in \mathbb{Z}_p$ for $\Box = (i, j) \in \lambda^{(\alpha)}$.

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 \rightsquigarrow Or we can take a shortcut and consider the \mathbb{Z}_p -invariant character!!!

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(ν_1, ν_2) -deformed quiver

• For the (ν_1,ν_2) -deformed \mathbb{Z}_p -action, the coloring is

 $c(\Box)=c_lpha+(i-1)
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• Projecting the character on its \mathbb{Z}_p -invariant part, we deduce

$$\begin{split} \mathcal{V}(\mathbf{v}, \lambda | \mathbf{v}', \lambda') &= \prod_{\substack{\square \in \lambda \\ \bullet \in \lambda'}} S_{c(\square)c(\bullet)}(\chi_{\square}/\chi_{\bullet}) \times \prod_{\substack{\square \in \lambda \\ \square \in \lambda}} \prod_{\alpha \in C_{c(\square)}+\nu_{1}+\nu_{2}}(n') \left(1 - \frac{\chi_{\square}}{q_{3}\nu_{\alpha}'}\right) \\ &\times \prod_{\substack{\square \in \lambda'}} \prod_{\alpha \in C_{c(\square)}(n)} \left(1 - \frac{\nu_{\alpha}}{\chi_{\square}}\right), \\ S_{\omega\omega'}(z) &= \frac{(1 - q_{1}z)^{\delta_{\omega,\omega'}-\nu_{1}}(1 - q_{2}z)^{\delta_{\omega,\omega'}-\nu_{2}}}{(1 - z)^{\delta_{\omega,\omega'}}(1 - q_{1}q_{2}z)^{\delta_{\omega,\omega'}-\nu_{1}-\nu_{2}}}. \end{split}$$

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• With the same method as before, we derive the \mathcal{Y} -observables $\mathcal{Y}^{[\lambda]}_{\omega}(z)$ and $\mathcal{Y}^{*[\lambda]}_{\omega}(z)$.

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• With the same method as before, we derive the \mathcal{Y} -observables $\mathcal{Y}_{\omega}^{[\lambda]}(z)$ and $\mathcal{Y}_{\omega}^{*[\lambda]}(z)$. \rightarrow Now, we have all the information needed to define our algebra!!!

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Perspective

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Construction of the algebra

- Some states the state of th
 - I. Define an action on states parameterized by fixed points The matrix elements are given by the \mathcal{Y} -observables.
 - \rightsquigarrow This is the vertical representation = Cohomological Hall algebra action.

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Construction of the algebra

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 - I. Define an action on states parameterized by fixed points The matrix elements are given by the $\mathcal Y\text{-observables}.$
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 - II. Define a set of vertex operators

Impose normal-ordering relations of the type

$$\eta_{\omega}(z)\eta_{\omega'}(w) = S_{\omega\omega'}(z/w) : \eta_{\omega}(z)\eta_{\omega'}(w) : .$$

 \rightsquigarrow They will form the horizontal representation.

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- IV. Add a co-algebraic structure
 - \rightsquigarrow Show that it defines a Hopf algebra.

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We can associate an algebra to a quiver representation, but can we do more?

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We can associate an algebra to a quiver representation, but can we do more?

• When does the full AFS construction of the topological vertex holds? (Non-symplectic quivers? How to combine x^+, ψ^- and x^-, ψ^+ Borel subalgebras?)

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- Can we further associate an integrable system? W-algebras? symmetric polynomials?
- Consider more general examples... \rightarrow

Quiver with fixed points labelled by half-partitions? [in progress...]



Conclusion

Supersymmetric gauge theories offer a new horizon for the study of quantum groups, guiding our exploration of quantum toroidal algebras.



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Thank you !!!