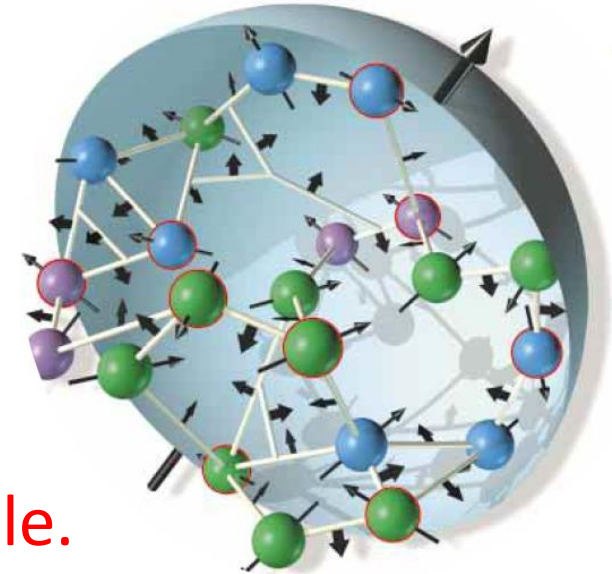


# Recent theory developments in QCD spin

Yoshitaka Hatta  
Brookhaven National Laboratory

# Longitudinal spin

# The proton spin problem



The proton has spin  $\frac{1}{2}$ .

The proton is not an elementary particle.

➔

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L^q + L^g$$

Quarks' helicity      Gluons' helicity      Orbital angular Momentum (OAM)

# Gauge invariant completion of JM decomposition

Chen, Lu, Sun, Wang, Goldman (2008)  
YH (2011)

$$\langle PS | \epsilon^{ij} F^{i+} A_{phys}^j | PS \rangle = 2S^+ \Delta G$$

$$\lim_{\Delta \rightarrow 0} \langle P'S | \bar{\psi} \gamma^+ i \overleftrightarrow{D}_{pure}^i \psi | PS \rangle = iS^+ \epsilon^{ij} \Delta_{\perp j} L_{can}^g$$

$$\lim_{\Delta \rightarrow 0} \langle P'S | F^{+\alpha} \overleftrightarrow{D}_{pure}^i A_{\alpha}^{phys} | PS \rangle = -i \epsilon^{ij} \Delta_{\perp j} S^+ L_{can}^g$$

where  
(my choice)

$$A_{phys}^{\mu}(x) = \frac{1}{D^+} F^{+\mu} = \int_{x^-}^{\infty} dz^- W[x^-, z^-] F^{+\mu}(z^-, x_{\perp})$$

$$D_{pure}^{\mu} = D^{\mu} - i A_{phys}^{\mu}$$

# Polarized PDF global analysis

## Recent determinations of polarised PDFs

	DSSV	NNPDF	JAM
DIS	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
SIDIS	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
$pp$	<input checked="" type="checkbox"/> (jets, $\pi^0$ )	<input checked="" type="checkbox"/> (jets, $W^\pm$ )	<input checked="" type="checkbox"/>
statistical treatment	Lagr. mult. $\Delta\chi^2/\chi^2 = 2\%$ Monte Carlo	Monte Carlo	Monte Carlo
parametrization	polynomial (23 pars)	neural network (259 pars)	polynomial (10 pars)
features	global fit	minimally biased fit	large- $x$ effects
latest updates	DSSV08 <a href="#">PRD 80 (2009) 034030</a> DSSV14 <a href="#">PRL 113 (2014) 012001</a>	NNPDFpol1.0 <a href="#">NPB 874 (2013) 36</a> NNPDFpol1.1 <a href="#">NPB 887 (2014) 276</a>	JAM15 <a href="#">PRD 93 (2016) 074005</a> JAM17 <a href="#">PRL 119 (2017) 132001</a>

Talk by E. Nocera at Tianjin Workshop last week

# Evidence of nonzero $\Delta G$

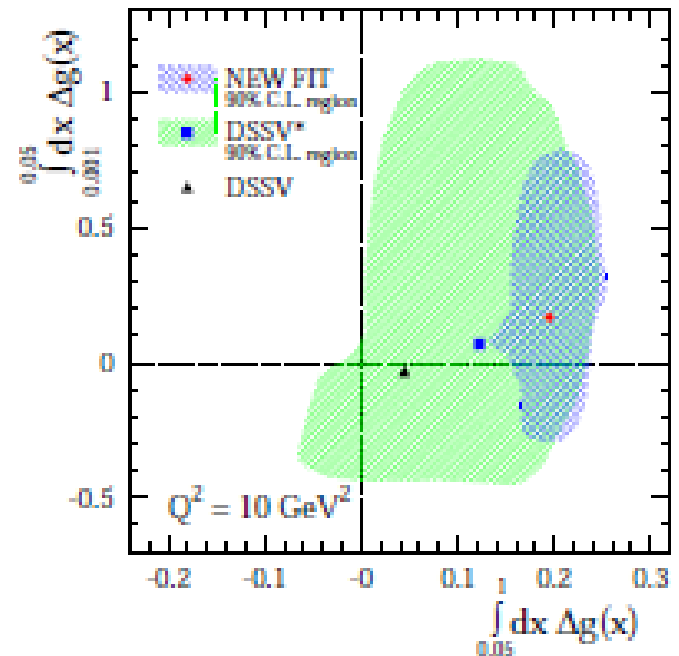
$$\int_{0.05}^1 dx \Delta g(x, Q^2=10 \text{ GeV}^2) = 0.20^{+0.06}_{-0.07} \quad \text{DSSV++}$$

$$\int_{0.05}^{0.2} dx \Delta g(x, Q^2=10 \text{ GeV}^2) = 0.17 \pm 0.06 \quad \text{NNPDFpol1.1}$$

$$\int_{0.001}^{0.05} dx \Delta g(x, Q^2=1 \text{ GeV}^2) = 0.5 \pm 0.4 \quad \text{JAM15}$$

**HUGE** uncertainty from the small-x region

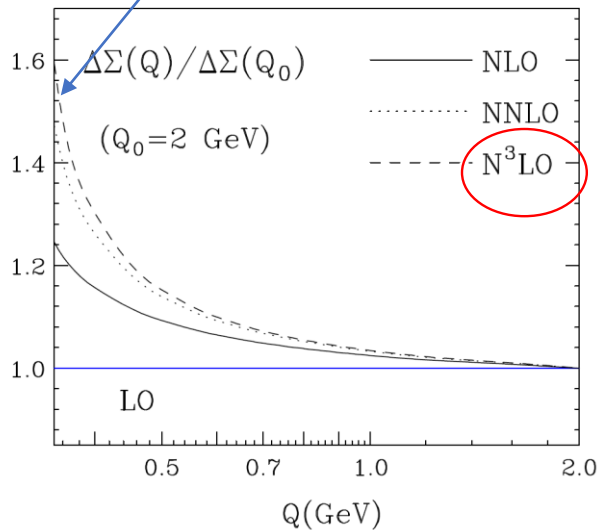
→ RHIC 510 GeV,  
Electron-Ion Collider



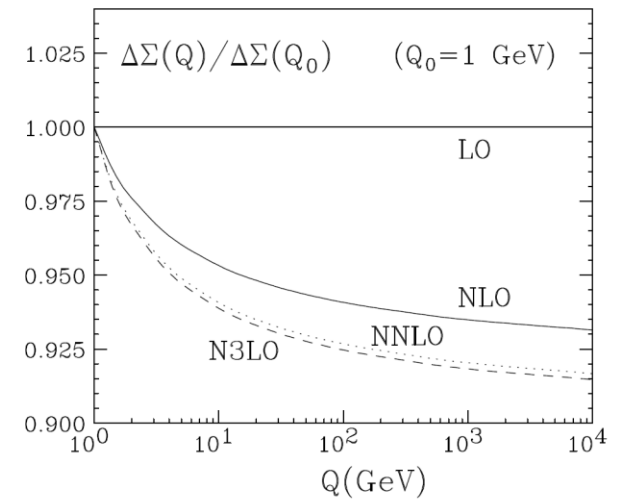
# Helicity evolution to higher order

De Florian and Vogelsang (2019)

Approaching the quark model value?

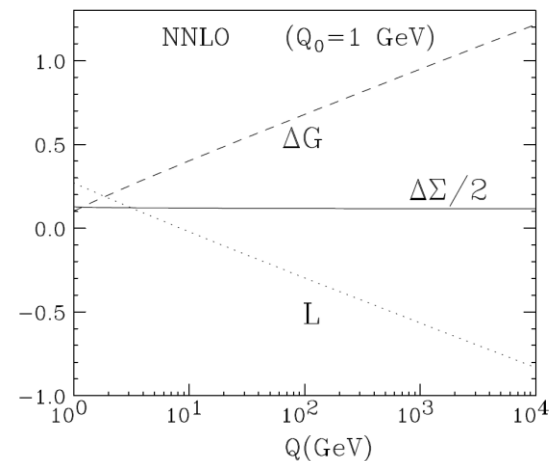


4 loops!



Evolution of OAM from

$$\frac{d\mathcal{L}(Q^2)}{d\ln Q^2} = -\frac{1}{2} \frac{d\Delta\Sigma(Q^2)}{d\ln Q^2} - \frac{d\Delta G(Q^2)}{d\ln Q^2}$$

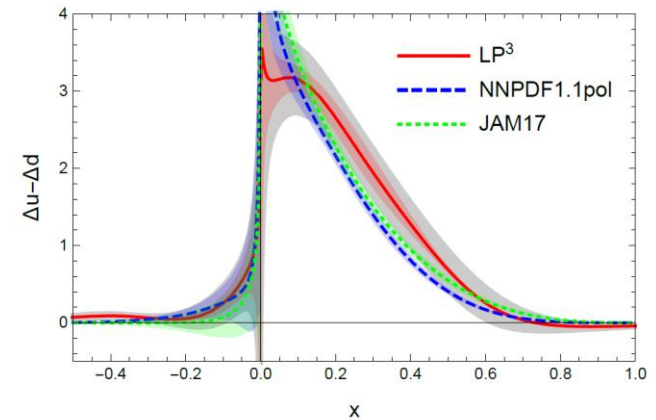


# Helicity distributions from lattice QCD

Nowadays people compute PDFs on a lattice!

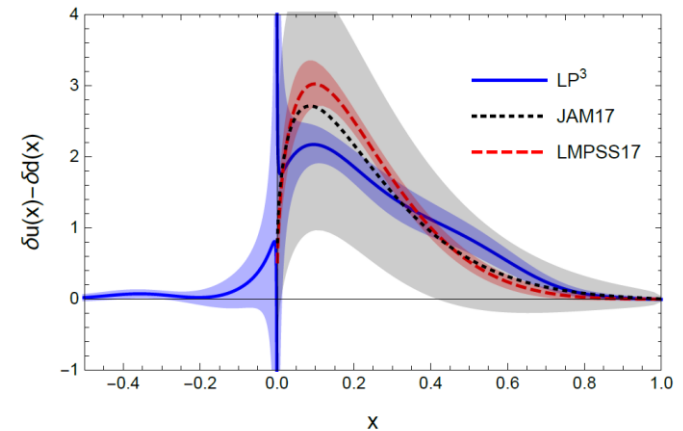
Polarized quark

[Lin et al. 1807.07431](#)



Transversity

[Liu, et al. 1810.05043](#)



Polarized gluon (integrated)

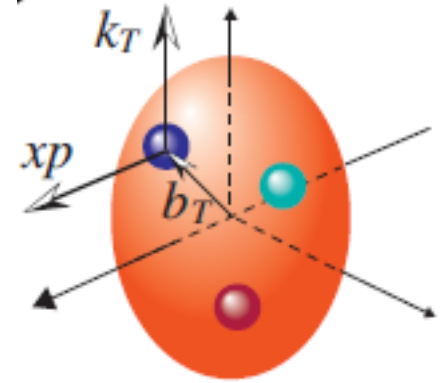
[Yang, et al. 1609.05937](#)



# The QCD Wigner distribution

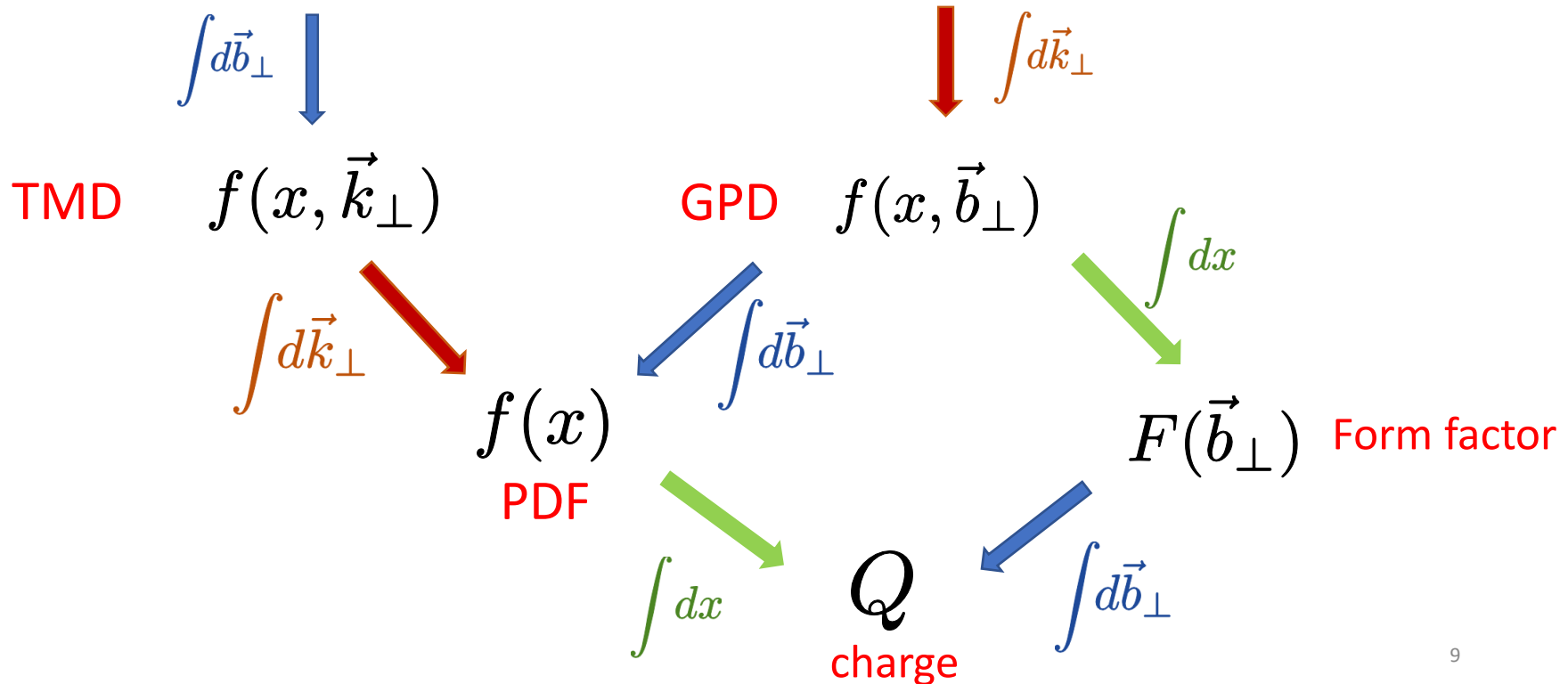
Phase space distribution of partons in QCD—the ‘mother distribution’

Belitsky, Ji, Yuan (2004)



$$W(x, \vec{k}_\perp, \vec{b}_\perp)$$

$$= \int \frac{d^2 \Delta_\perp}{(2\pi)^2} \frac{dz^- d^2 z_\perp}{16\pi^3} e^{ixP^+ z^- - i\vec{k}_\perp \cdot \vec{z}_\perp} \langle P - \frac{\Delta}{2} | \bar{q}(b - z/2) \gamma^+ q(b + z/2) | P + \frac{\Delta}{2} \rangle$$



# OAM from the Wigner distribution

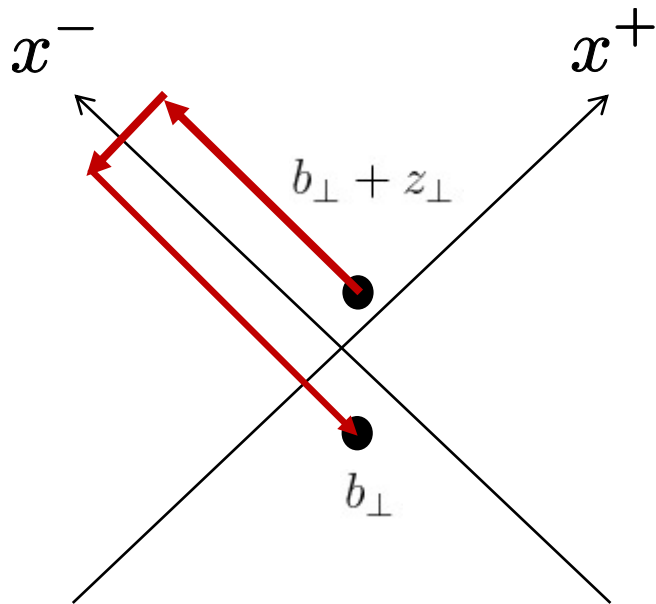
Lorce, Pasquini (2011);

YH (2011);

Lorce, Pasquini, Xiong, Yuan (2011)

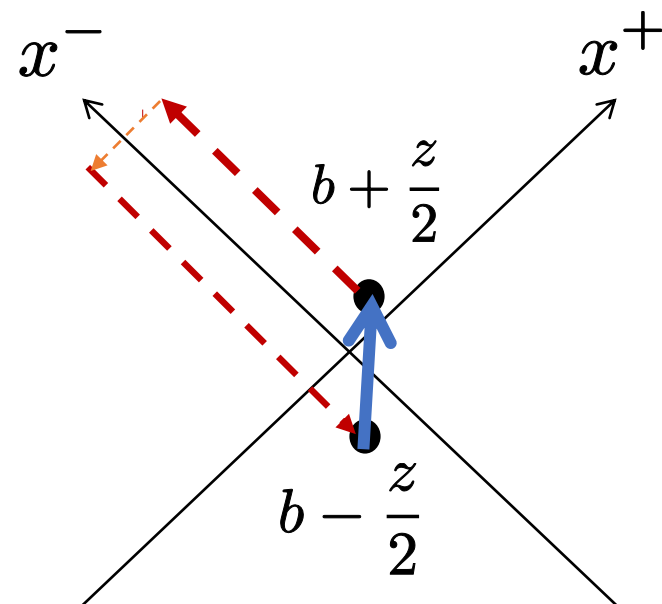
Define

$$L^q = \int dx \int d^2 b_{\perp} d^2 k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$



Jaffe-Manohar OAM from staple Wilson line

YH (2011)

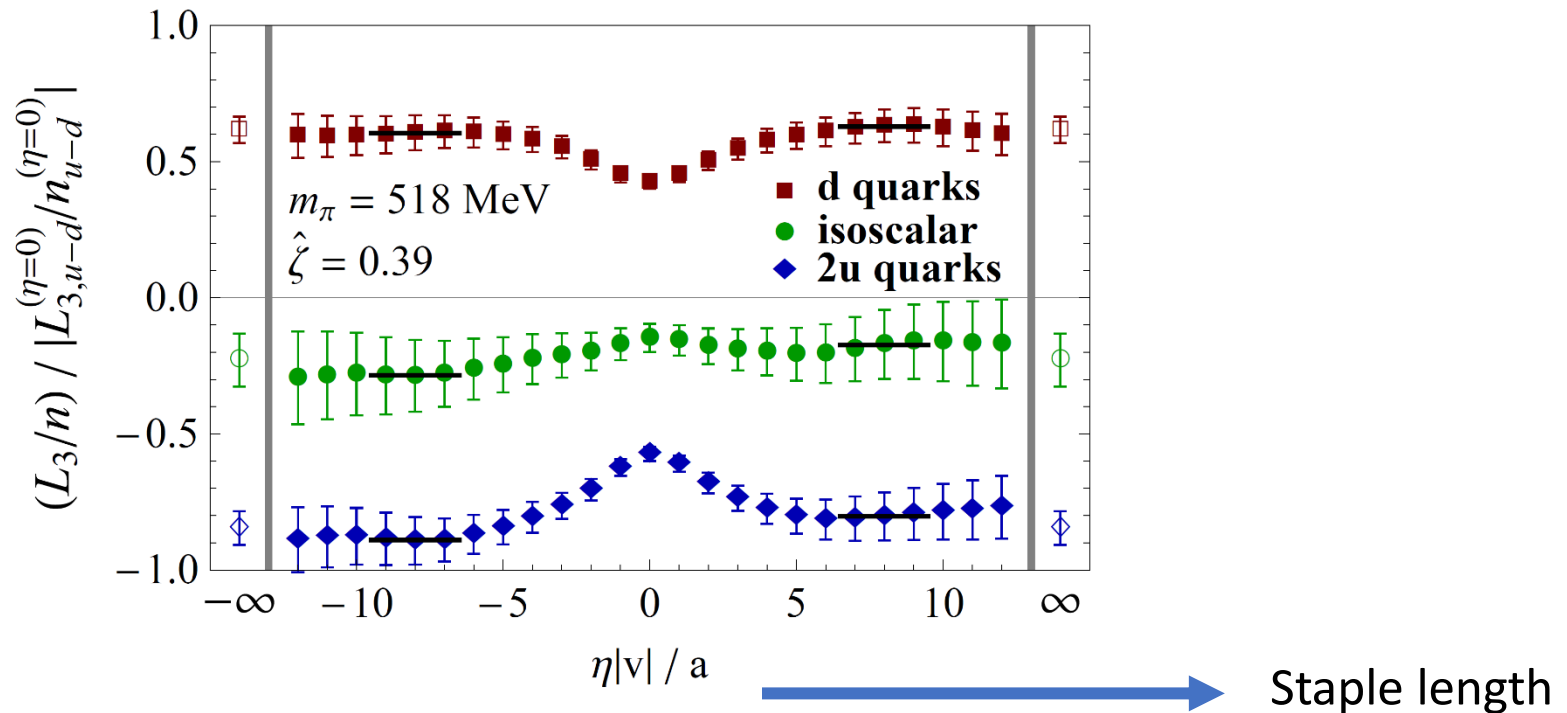


Ji OAM from straight Wilson line

Ji, Xiong, Yuan (2012)

# Jaffe-Manohar vs. Ji: First lattice result

Engelhardt (2017)



The difference: **Potential** OAM

$$L_{pot} \equiv L_{Ji}^q - L_{can}^q = \int dx^- \langle \epsilon^{ij} b^i F^{+j} \rangle$$

torque acting on a quark  
Burkardt (2012)

$$\sqrt{2}F^{+y} = -E^y + B^x = -(\vec{E} + \vec{v} \times \vec{B})^y$$

# PDF of OAM

Define the x-distribution  $L_{can} = \int dx L_{can}(x)$  .

Hagler, Schafer (1998)  
Harindranath, Kundu (1999)

$$L_{can}^q = \int d\mathbf{x} \int d^2b_{\perp} d^2k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$

YH, Yoshida (2012)

$$\rightarrow L_{can}^q(\mathbf{x}) = \int d^2b_{\perp} d^2k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(\mathbf{x}, \vec{b}_{\perp}, \vec{k}_{\perp})$$

# PDF of OAM

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YH, Yoshida (2012)

➔  $L_{can}^q(\mathbf{x}) = \int d^2b_{\perp} d^2k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^q(\mathbf{x}, \vec{b}_{\perp}, \vec{k}_{\perp})$

Wandzura-Wilczek part

$$\begin{aligned} &= x \int_x^{\epsilon(x)} \frac{dx'}{x'} (H_q(x') + E_q(x')) - x \int_x^{\epsilon(x)} \frac{dx'}{x'^2} \tilde{H}_q(x') \\ &\quad - x \int_x^{\epsilon(x)} dx_1 \int_{-1}^1 dx_2 \Phi_F(x_1, x_2) \mathcal{P} \frac{3x_1 - x_2}{x_1^2 (x_1 - x_2)^2} \\ &\quad - x \int_x^{\epsilon(x)} dx_1 \int_{-1}^1 dx_2 \tilde{\Phi}_F(x_1, x_2) \mathcal{P} \frac{1}{x_1^2 (x_1 - x_2)} . \end{aligned}$$

genuine twist-three part

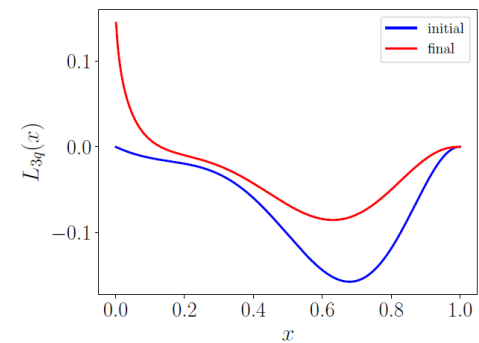
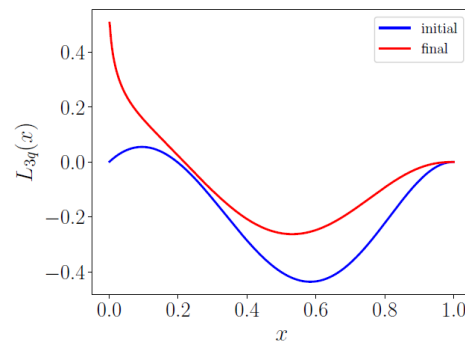
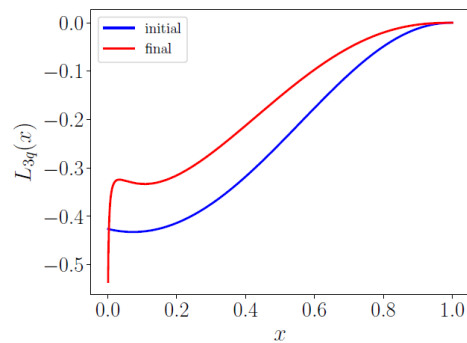
# QCD evolution of OAM distributions $L_{q,g}(x)$

WW part  $\rightarrow$  the same as twist-2 PDFs. Known to 3 loops.

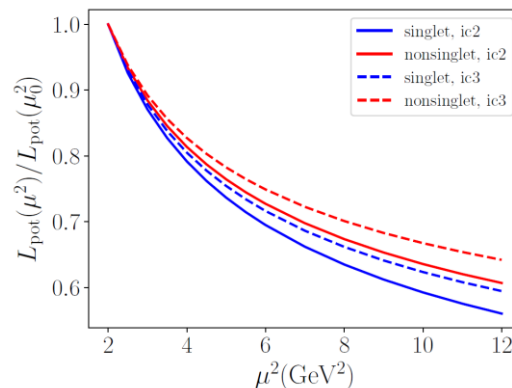
Genuine twist-3 part  $\rightarrow$  Same as the evolution of Efremov-Teryaev-Qiu-Sterman function

Evolution of the genuine twist-3 part

YH, Yao (2019)



Scale dependence  
of the potential OAM



# Spin at small-x?

All-order resummation of small-x double logarithms  $(\alpha_s \ln^2 1/x)^n$   
 via **I**nfra**R**ed **E**volution **E**quation Kirshner, Lipatov (1983)

$$\Delta q(x) \sim \Delta g(x) \sim \left(\frac{1}{x}\right)^{3.45\sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

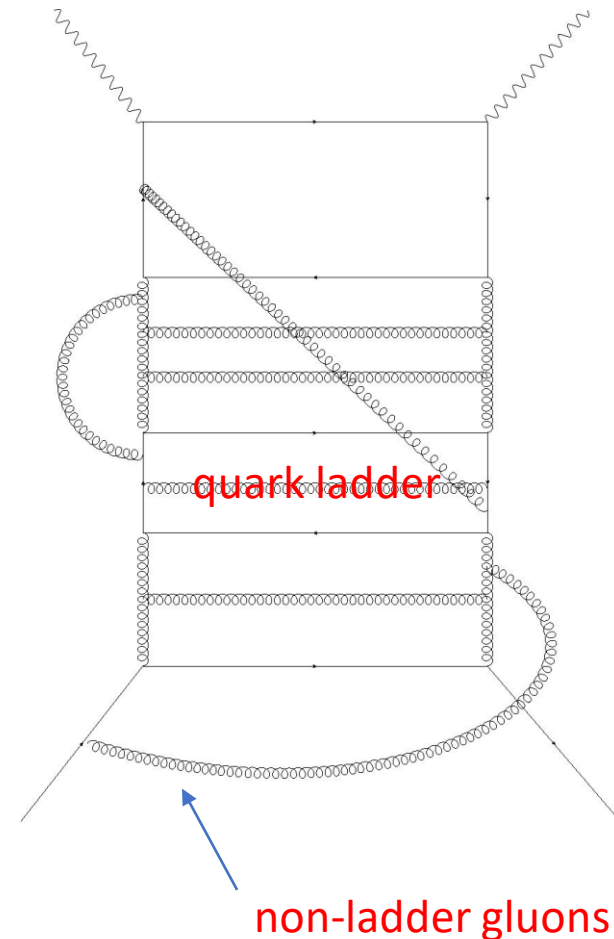
Bartels, Ermolaev, Ryskin (1996),

Disagree

All-order resummation based on the polarized  
 dipole operator in the Wilson line approach to small-x

$$\Delta q(x) \sim \left(\frac{1}{x}\right)^{2.31\sqrt{\frac{\alpha_s N_c}{2\pi}}} \quad \Delta g(x) \sim \left(\frac{1}{x}\right)^{1.88\sqrt{\frac{\alpha_s N_c}{2\pi}}}$$

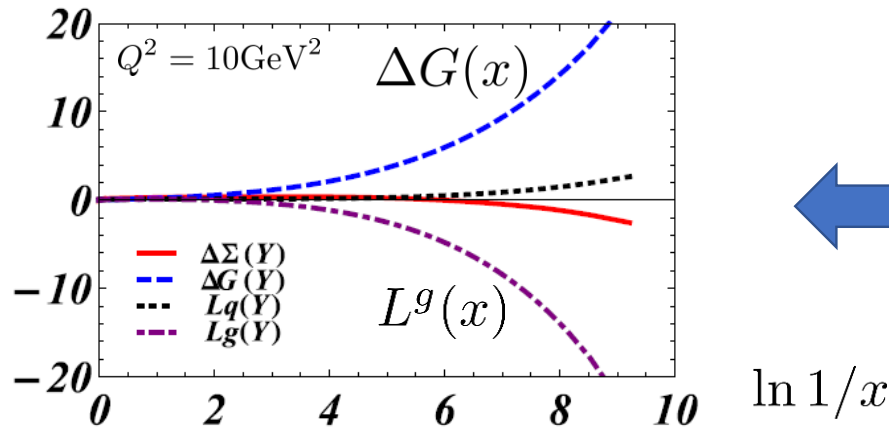
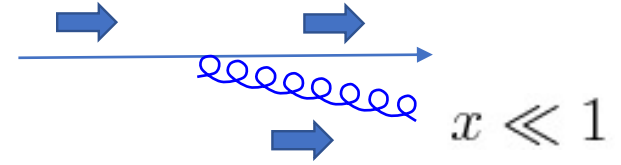
Kovchegov, Pitonyak, Sievert (2015~)



# OAM at small-x

Suppose a quark emits a very soft gluon.  
Quark helicity unchanged.

From angular momentum conservation, gluon spin and OAM have to cancel.



Significant cancellation at small-x  
from one-loop DGLAP  
YH, Yang (2018)

All-order result from the equation of motion and double log resummation

$$L_g(x) \approx -\frac{2}{1+\alpha} \Delta G(x) \sim \frac{1}{x^\alpha} \quad \text{Boussarie, YH, Yuan (2019)}$$

$\alpha$  from Bertels, Ermolaev, Ryskin



# Measuring OAM at EIC

Ji, Yuan, Zhao (2016)

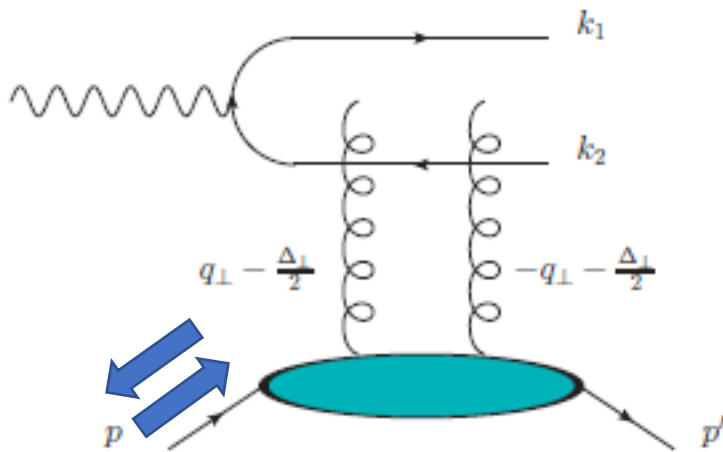
YH, Nakagawa, Xiao, Yuan, Zhao (2016)

Bhattacharya, Metz, Zhou (2017)

Exploit the connection between OAM and the Wigner distribution

$$L^{q,g} = \int dx \int d^2 b_{\perp} d^2 k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^{q,g}(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$

Longitudinal single spin asymmetry in diffractive dijet production



proton recoil momentum

$$\sigma^{\rightarrow} - \sigma^{\leftarrow} \propto \sin(\phi_P - \phi_{\Delta})$$

dijet relative momentum

Need more work, more new ideas!

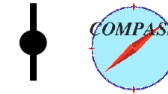
# Transverse spin

# Transversity global analysis

Radici, Bacchetta (2019)

First-ever extraction of transversity from data of SIDIS and pp collisions

SIDIS



18 data points



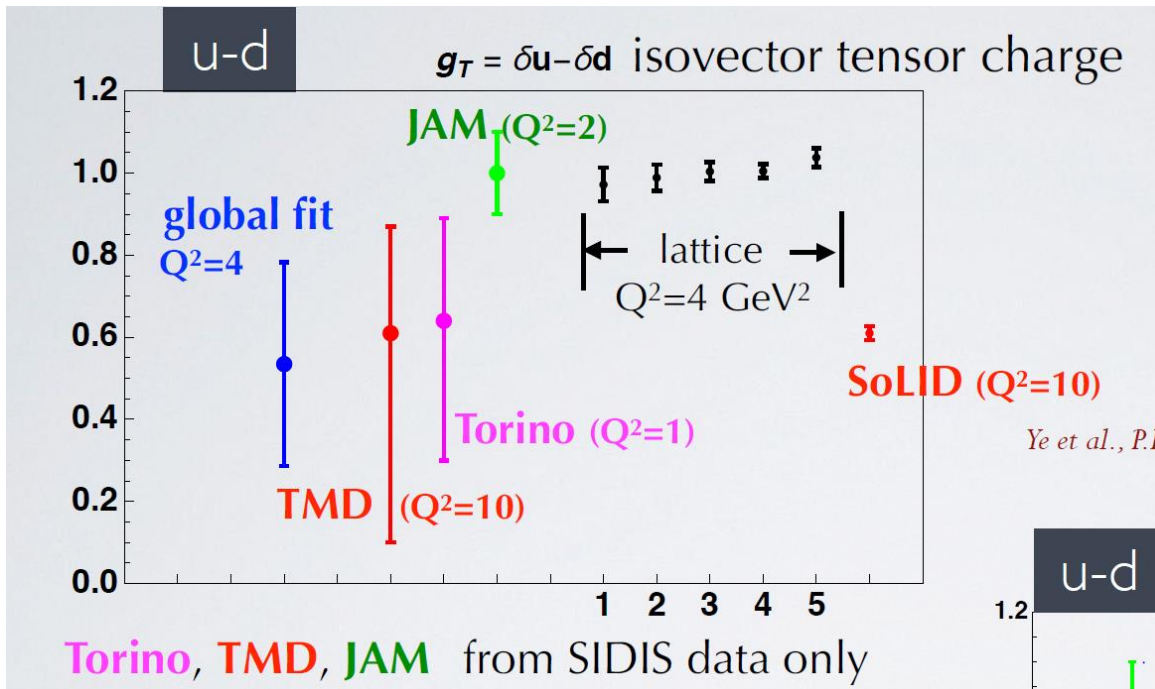
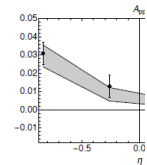
4 data points

pp collisions



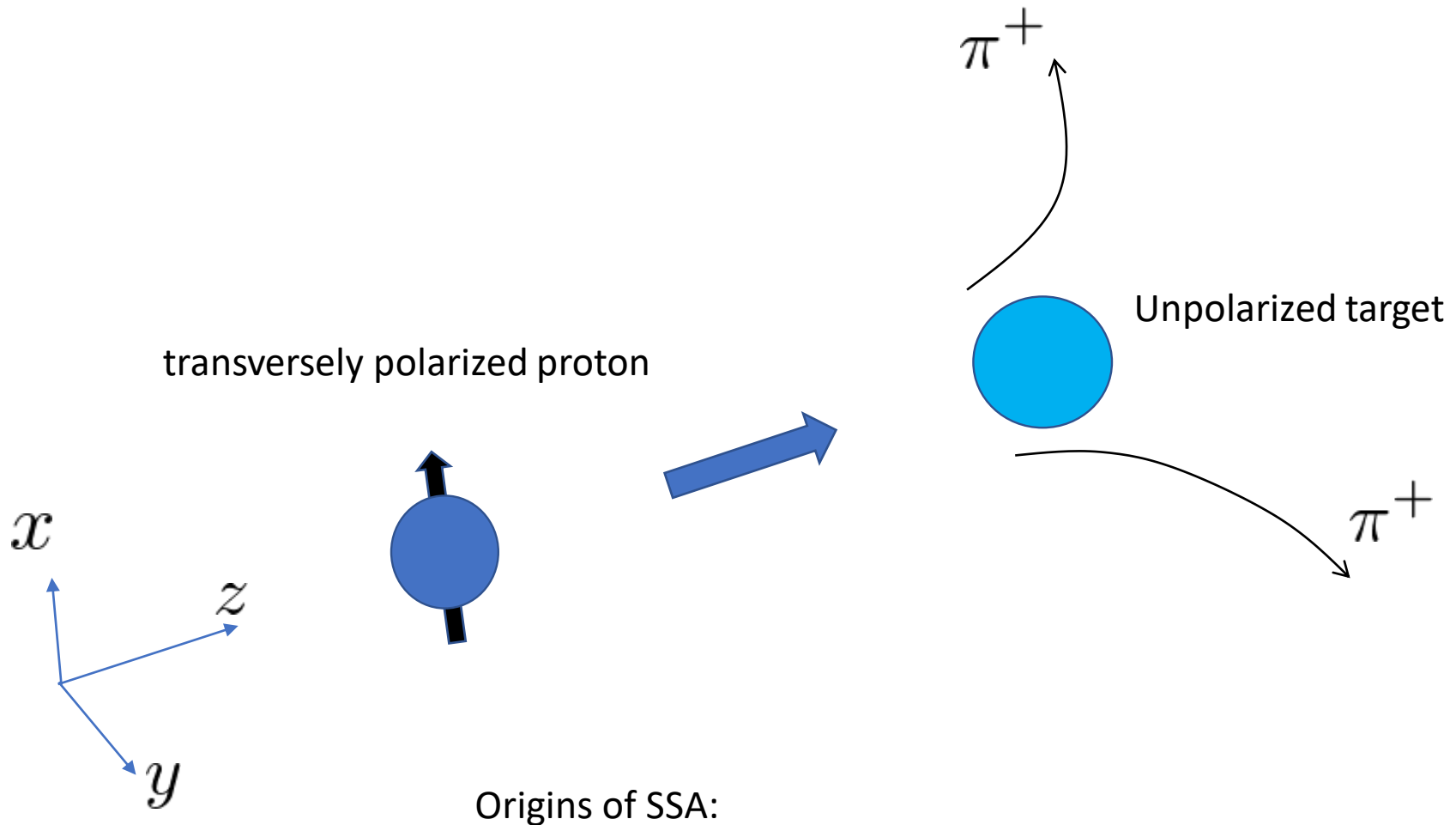
run 2006  
( $s=200 \text{ GeV}^2$ )

10 independent data points



Tension with the lattice data yet to be resolved.

# Transverse Single Spin Asymmetry (SSA)



**kt factorization:** Sivers, Collins

**Collinear twist-3 factorization:** ETQS, twist-3 fragmentation

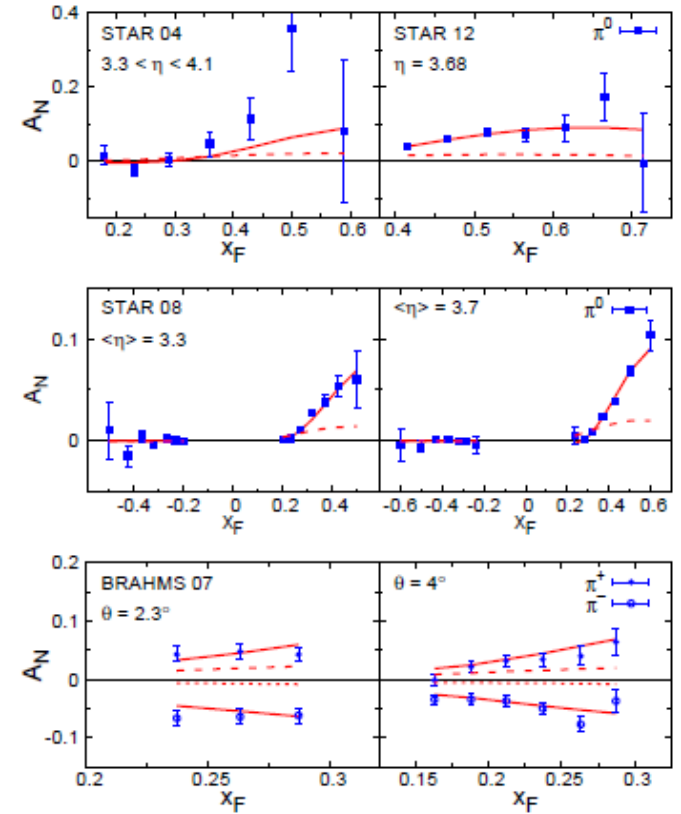
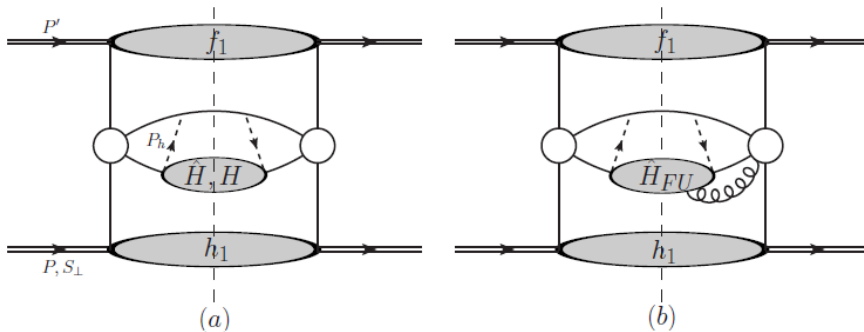
# Fitting the pp data with twist-3 fragmentations

Kanazawa, Koike, Metz, Pitonyak (2014)  
 Gamberg, Kang, Pitonyak, Prokudin (2017)

RHIC pp data can be well described by assuming that SSA is dominated by **genuine twist-3 fragmentations**.

$$E_h \frac{d\sigma^{frag}}{d^3P_h} = 4M\alpha_s^2 \int \frac{dz}{z^3} h_1(x) \epsilon^{ij} S_{T_i} P_{h_j} \frac{xz^6}{(P_{hT}^2)^3} \int dx' G(x')$$

$$\times \left\{ -\text{Im} \tilde{\epsilon}(z) + \int \frac{dz_1}{z_1^2} \frac{1}{\frac{1}{z} - \frac{1}{z_1}} \frac{\text{Im} \hat{E}_F(z_1, z)}{N_c^2 - 1} \left( N_c^2 + \frac{1}{z_1 \left( \frac{1}{z} - \frac{1}{z_1} \right)} \right) \right\}$$



# Towards spin asymmetries at NLO

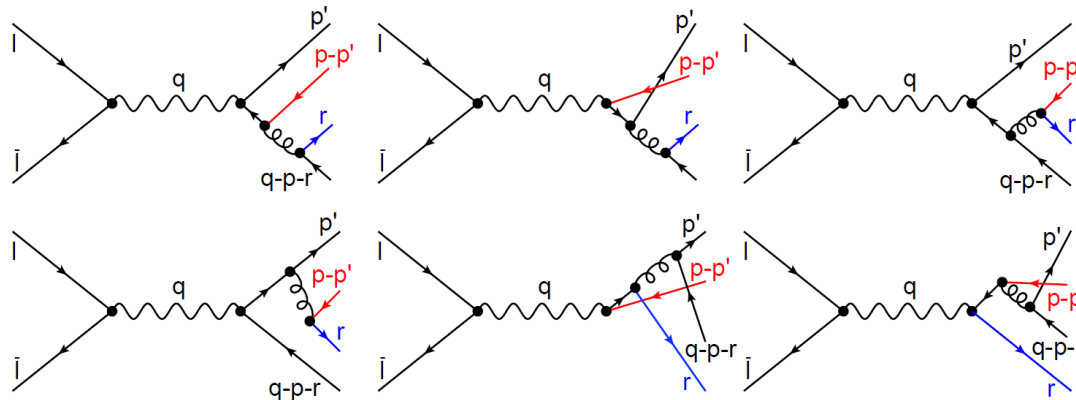
No all-order proof of factorization of SSAs in collinear twist-3 framework.  
Check of factorization at NLO for a few observables.

- Pt weighted asymmetries in Drell-Yan and SIDIS

Vogelsang, Yuan; Chen, Ma, Zhang; Kang, Vitev, Xing; Yoshida

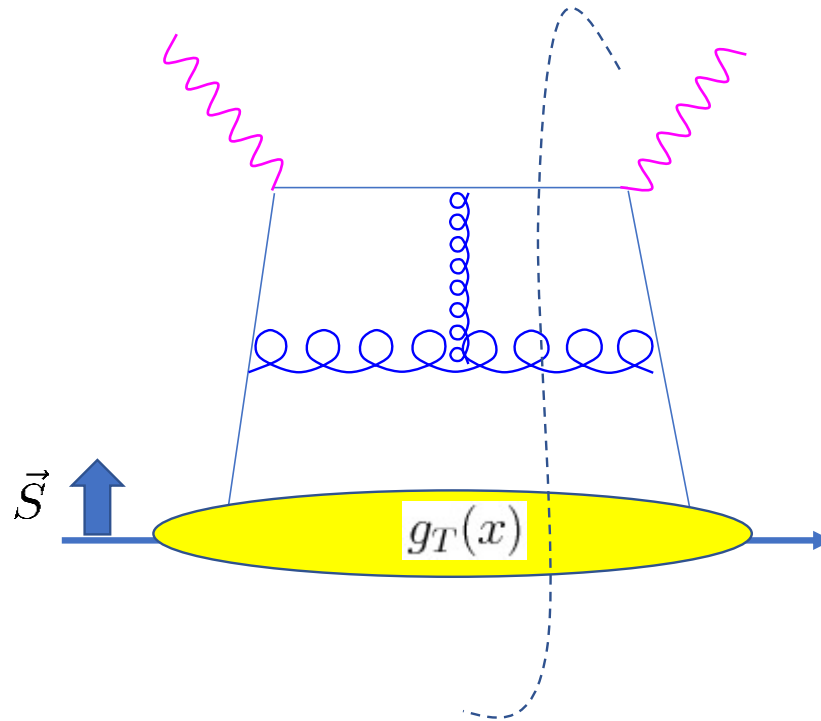
- Polarized hyperon production in  $e+e-$

Gamberg, Kang, Pitonyak, Schlegel, Yoshida



# A yet another mechanism of SSA

Benic, YH, Li, Yang, to appear



SSA of purely perturbative origin, first appears at two-loops  
Suppressed by  $\alpha_s$  compared to the known twist-three contributions  
but  $g_T(x)$  contains the Wandzura-Wilczek part.

# SSA in pA

Boer, Dumitru, Hayashigaki  
Kang, Yuan  
Schaefer, Zhou  
YH, Xiao, Yuan, Yoshida

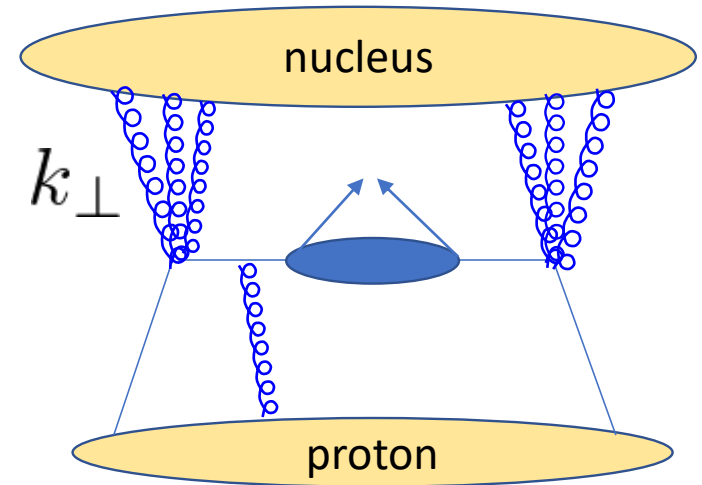
In the forward region  $x_F \sim 1$ ,  
small- $x$  effects of the nucleus are important

$$x = \frac{p_T^2}{s z x_F} \ll 1$$

Study the  $A$ -dependence of  $A_N$ .  
Different contributions have different  $A$ -dependences

$$A_N \sim A^{-1/3} \quad \text{or} \quad A_N \sim A^0$$

Data from RHIC available.  
Tension between STAR and PHENIX data?





# Gluon Sivers function

$$\frac{1}{xP^+} \int \frac{dz^- d^2 z_\perp}{(2\pi)^3} e^{-ixP^+ z^- + ik_\perp \cdot z_\perp} \langle PS_\perp | 2\text{Tr}[F^{+i}(z^-, z_\perp) U^{[\pm]} F^{+i}(0) U^{[\pm]}] | PS_\perp \rangle$$
$$= f_1^{[\pm\pm]}(x, k_\perp^2) - \frac{k_\perp \times S_\perp}{M} f_{1T}^{\perp[\pm\pm]}(x, k_\perp^2),$$

Related to C-odd, three-gluon collinear correlation function

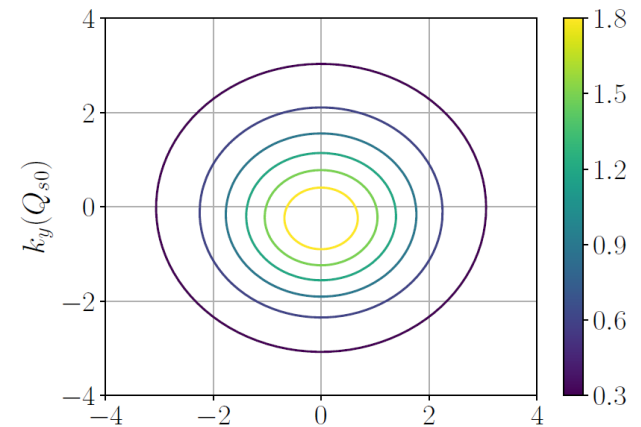
Beppu, Koike, Tanaka, Yoshida (2010)

Expected to be dominant in SSA of high-mass states, SSA in the backward region

Interesting physics cases at EIC

Zheng, Aschenauer, Lee, Xiao, Yin (2018)

Related to the **odderon** exchange at small-x Zhou (2014)



Yao, Hagiwara, YH (2019)

# Summary

- Longitudinal

Uncertainties in gluon helicity distribution at small- $x$ .

→ EIC

→ lattice, resummation

OAM : Definition, evolution OK. Phenomenology missing!

- Transverse

Transversity global analysis

Many topics in SSA : Sign change, NLO, pA, gluon Sivers,