

# Dipole Polarizability from Small-Angle Proton Scattering and Implications for Symmetry Energy Properties and the Formation of Neutron Skins



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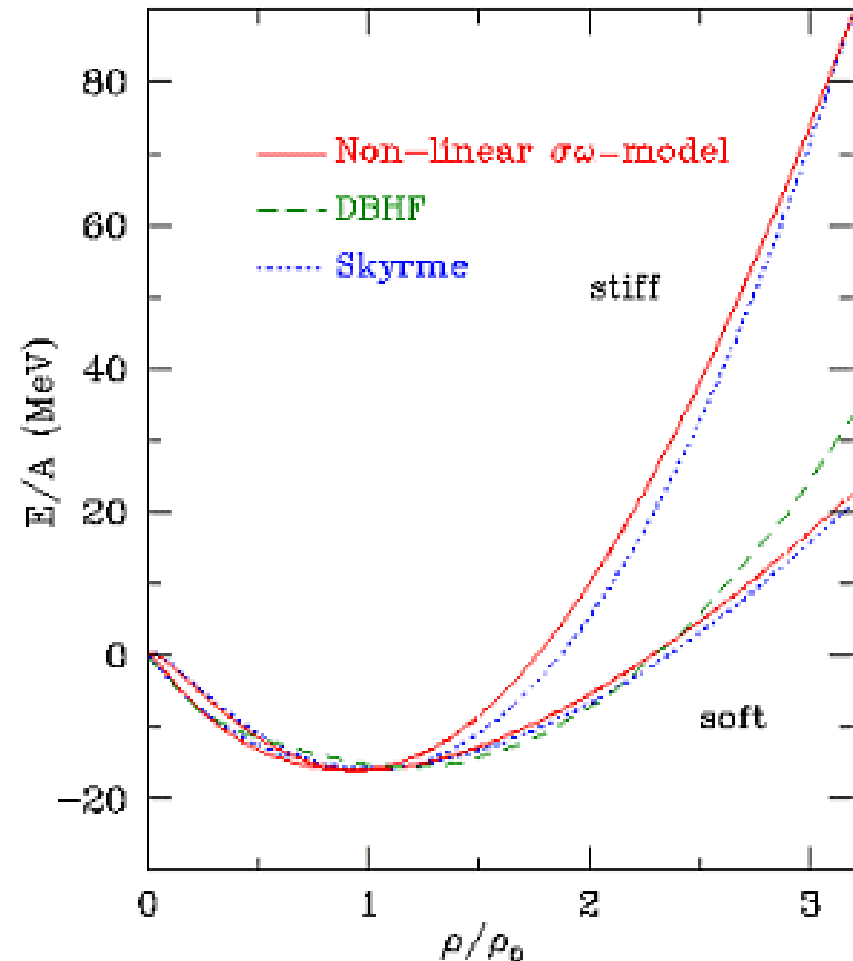
- Equation of State (EoS), symmetry energy, neutron skin and dipole polarizability
- Extraction of the E1 strength from small-angle (p,p') scattering
- Polarizability of  $^{40}\text{Ca}$
- Implications for the symmetry energy

Supported by DFG under contract SFB 1245 (project id 279384907)

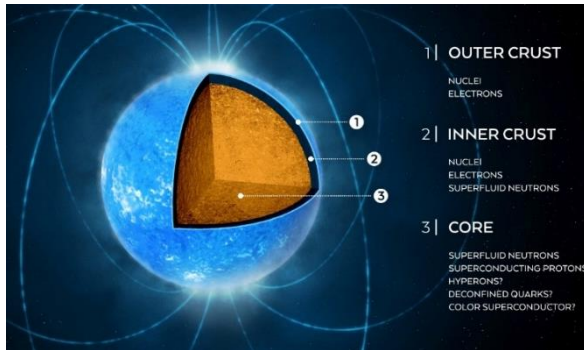


# Nuclear Matter Equation of State (EOS)

- Energy as a function of density (or pressure)
- Well defined at  $\rho/\rho_0 = 1$  by properties of stable nuclei
- Large differences of models at high densities: stiff or soft?

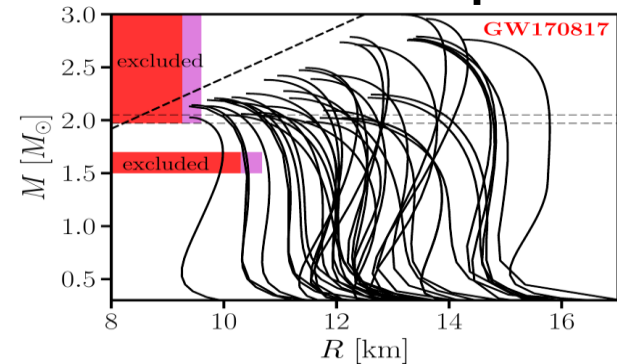


## Neutron Stars



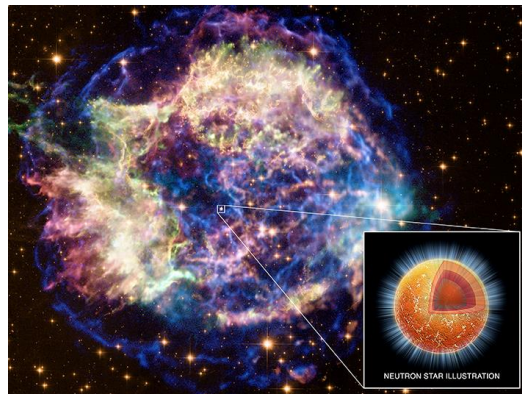
A. Watts et al., RMP 88, 021001 (2016)

## Neutron Star Properties



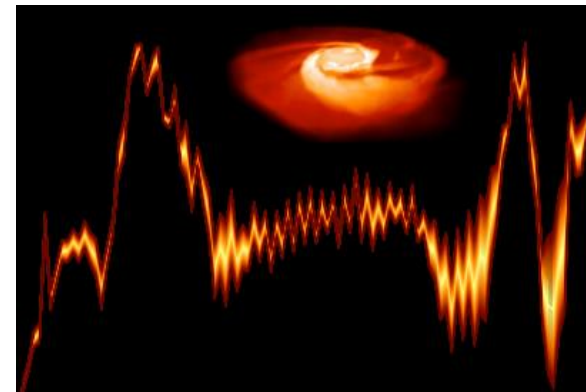
A. Bauswein et al., ApJ Lett. 885, L34 (2017)

## Core-Collapse Supernovae



H. Yasin et al., PRL 124, 092701 (2020)

## Neutron Star Mergers

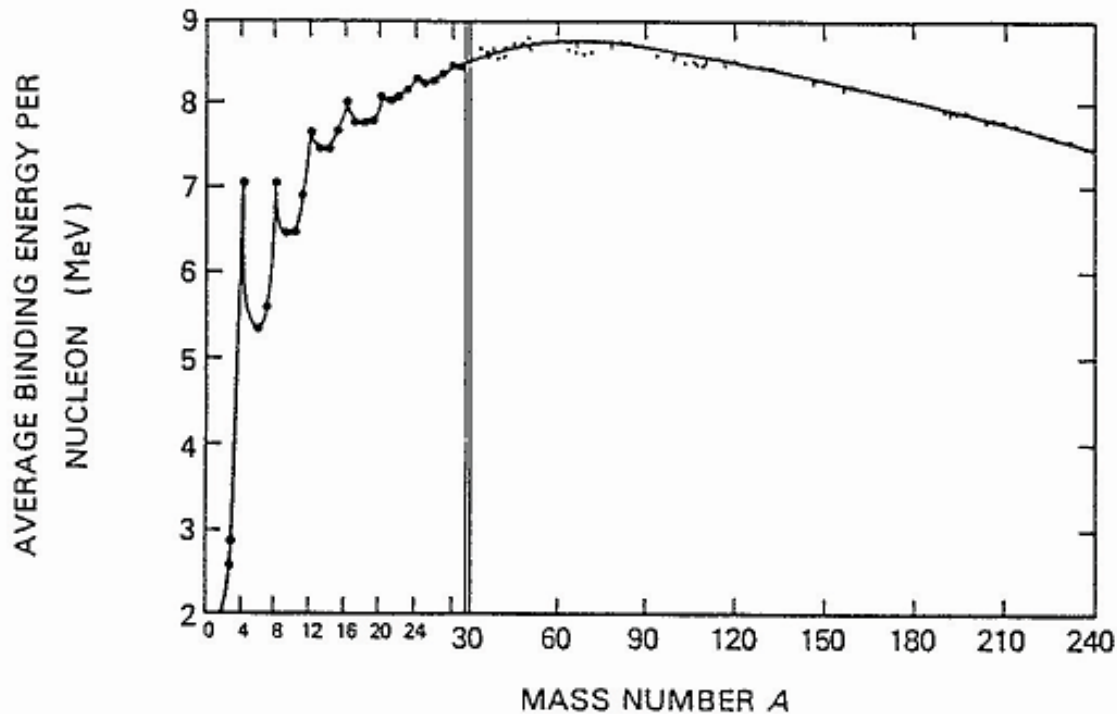


B.P. Abbott et al., PRL 119, 161101 (2017)

# Binding Energy of Nuclei

$$B(A, Z) = a_v A - a_s A^{2/3} - a_c Z(Z-1)/A^{1/3} - a_{sym} (A-2Z)^2/A + \delta$$


volume    surface                      Coulomb                      symmetry                      pairing



# Binding Energy of (Infinite) Neutron Matter

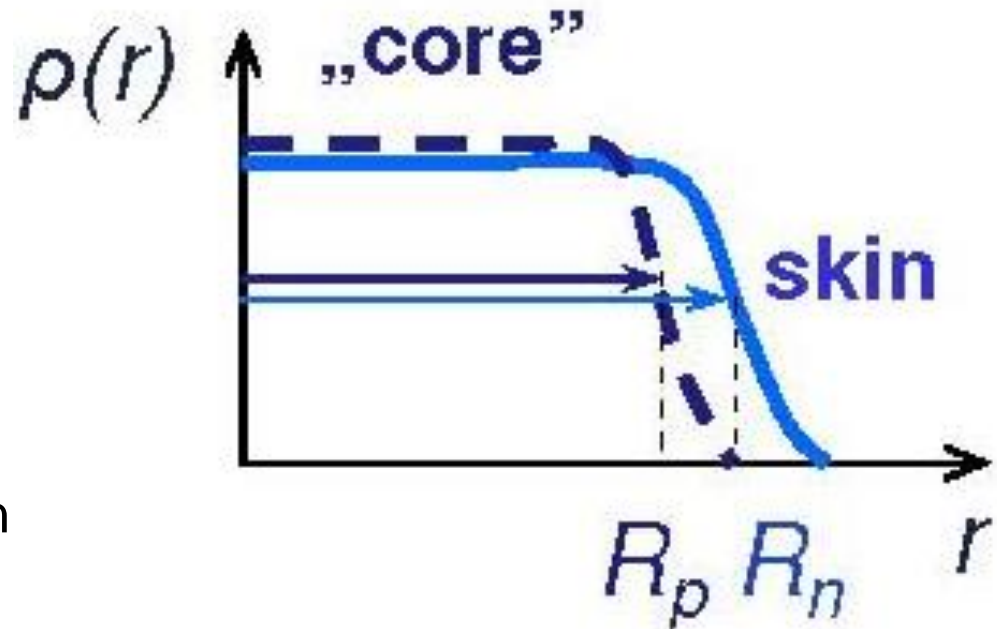
$$B(A, Z) = a_v A - \cancel{a_s A^{2/3}} - a_c Z(Z-1)/A^{1/3} - a_{sym} (A - 2Z)^2 / A + \cancel{a_p A^{-1}}$$

volume    surface    Coulomb    symmetry    pairing

- For (infinite) neutron matter only volume and symmetry term contribute to the energy of the system.
  - The volume term can be estimated from the saturation properties
- 
- The symmetry energy represents the largest uncertainty for the EOS of neutron matter.

# Symmetry Energy and Neutron Skin of Nuclei

- Nuclear force leads to constant density in the interior (saturation)
- In heavy nuclei  $N > Z$  because the symmetry energy is balanced by the Coulomb repulsion between protons
- Extra neutrons concentrate on the surface  
→ formation of a neutron skin
- Neutron skin thickness depends on the parameters of the symmetry energy



$$\begin{aligned}\mathcal{E}(\rho, \alpha) &= \mathcal{E}_{\text{SNM}}(\rho) + \alpha^2 \mathcal{S}_2(\rho) + \dots \\ &= \left( \varepsilon_0 + \frac{1}{2} K_0 x^2 + \dots \right) + \alpha^2 \left( J + Lx + \frac{1}{2} \cancel{K_{\text{sym}}} x^2 + \dots \right) + \dots\end{aligned}$$

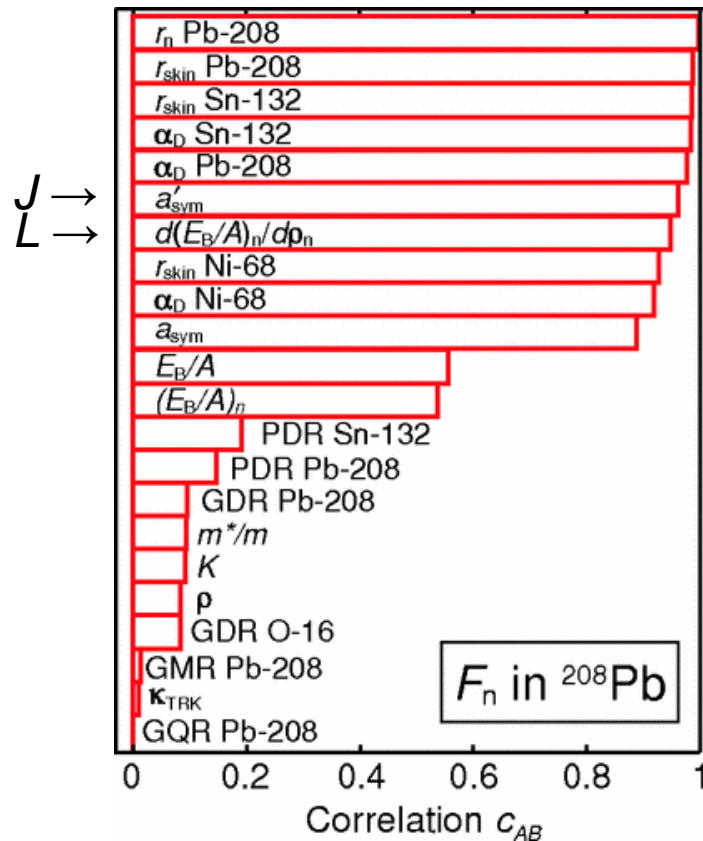
$$\boxed{P_{\text{PNM}}(\rho_0) = \frac{1}{3} \rho_0 L} \quad \left[ \alpha = (N - Z) / A; \quad x = (\rho - \rho_0) / 3\rho_0 \right]$$

- Symmetry energy determined by  $J$  and  $L$
- $L$  describes density dependence (stiff or soft EoS)

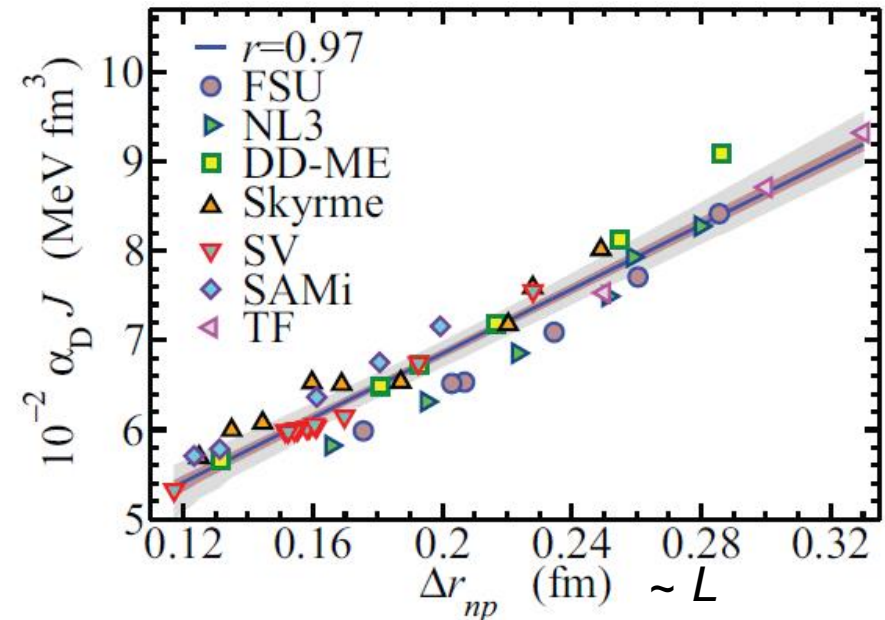
# Polarizability, Neutron Skin and Symmetry Energy

- Static nuclear dipole polarizability

$$\alpha_D = \frac{\hbar c}{2\pi^2} \int \frac{\sigma_{abs}^{E1}}{E^2} dE$$



P.-G. Reinhard and W. Nazarewicz,  
PRC 81, 051303(R) (2010)

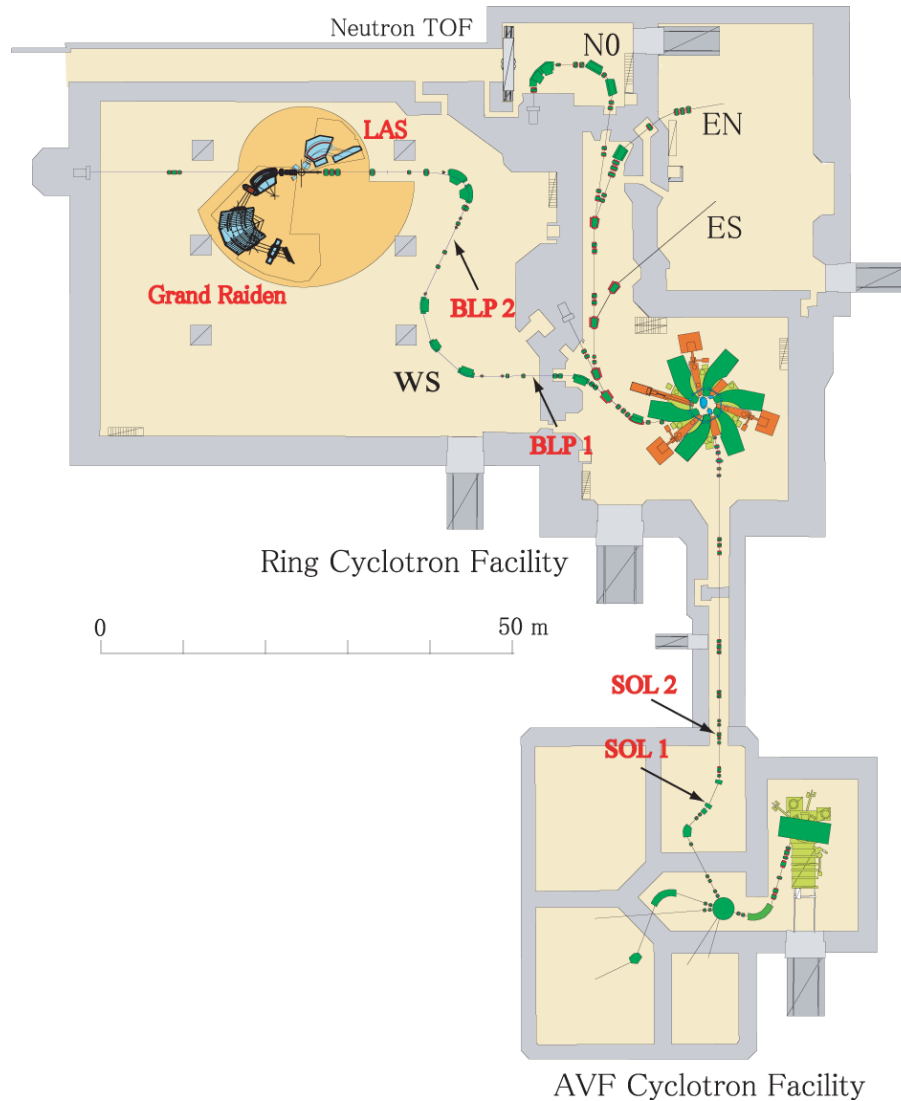


X. Roca-Maza et al., PRC 88, 024316 (2013)

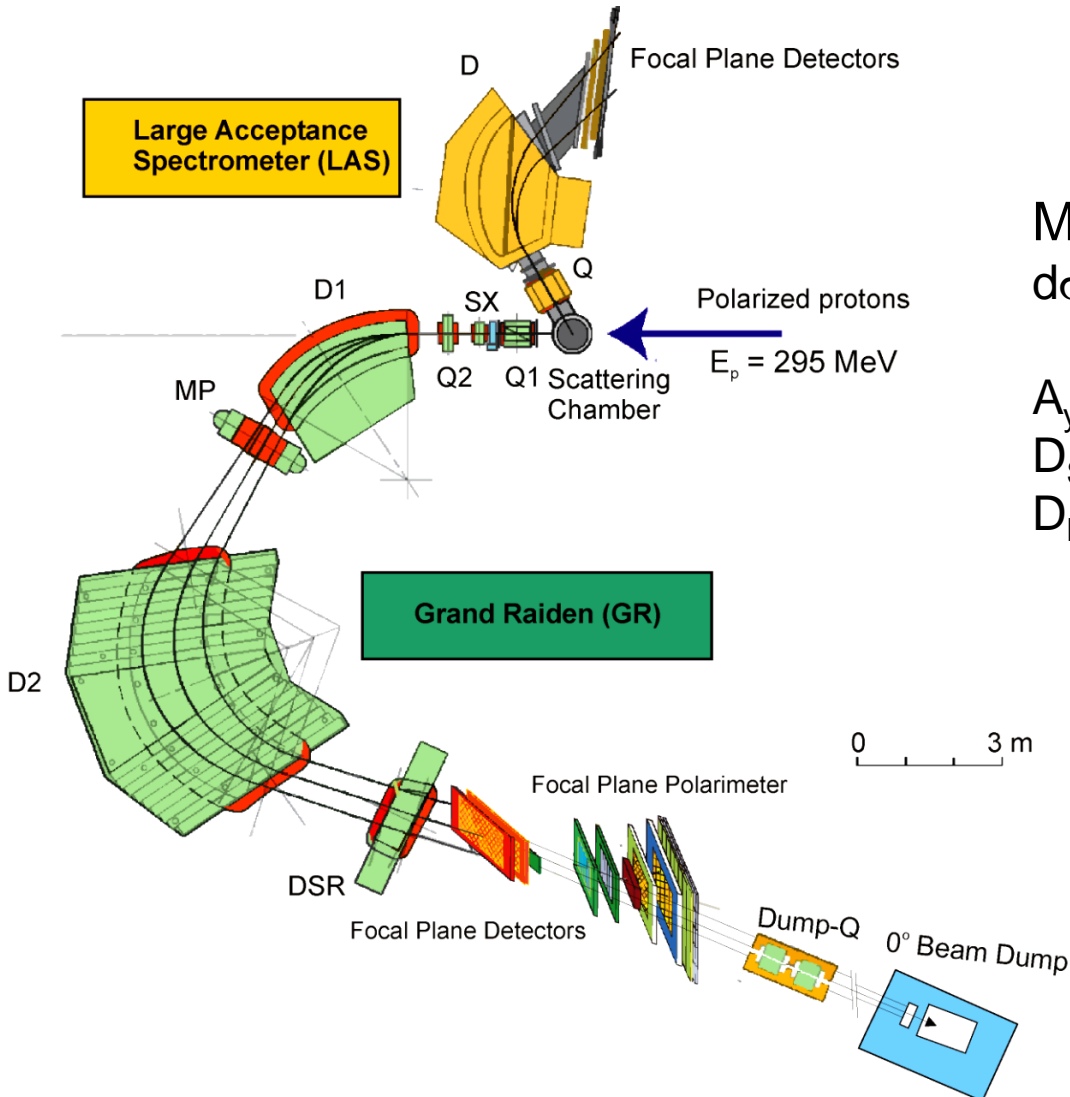


- Proton scattering at a few hundred MeV and angles close to  $0^\circ$ 
  - Experiments possible at RCNP and iThemba LABS
  - Review: PvNC and A. Tamii, EPJA 55, 110 (2019)
  
- Polarizability studies
  - $^{208}\text{Pb}$ : A. Tamii et al., PRL 107, 062502 (2011)
  - $^{120}\text{Sn}$ : T. Hashimoto et al., PRC 92, 031305(R) (2015)
  - $^{112,114,116,118,120,124}\text{Sn}$ : S. Bassauer et al., PLB 810, 135804 (2020)
  - $^{48}\text{Ca}$ : J. Birkhan et al., PRL 118, 252501 (2017)

# RCNP Osaka Facility



# 0° Setup at RCNP

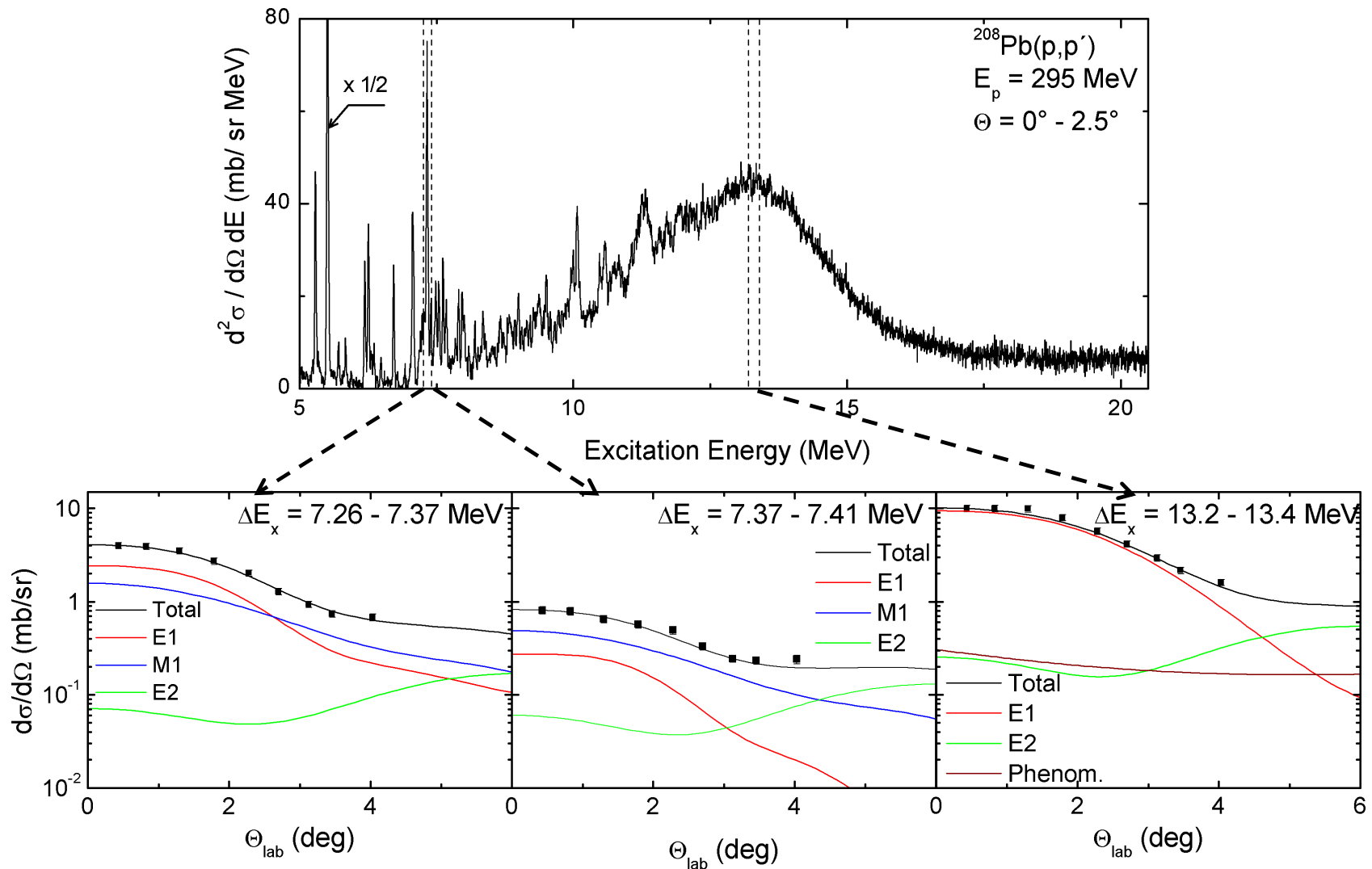


## Measured observables

- $d\sigma/d\Omega$  - angular distributions  
( $0^\circ \leq \Theta \leq 10^\circ$ )
- $A_y$  - asymmetry
- $D_{SS}$  at  $0^\circ$  - sideways polarization
- $D_{LL}$  at  $0^\circ$  - longitudinal polarization

A. Tamii et al., NIM A 605, 326 (2009)

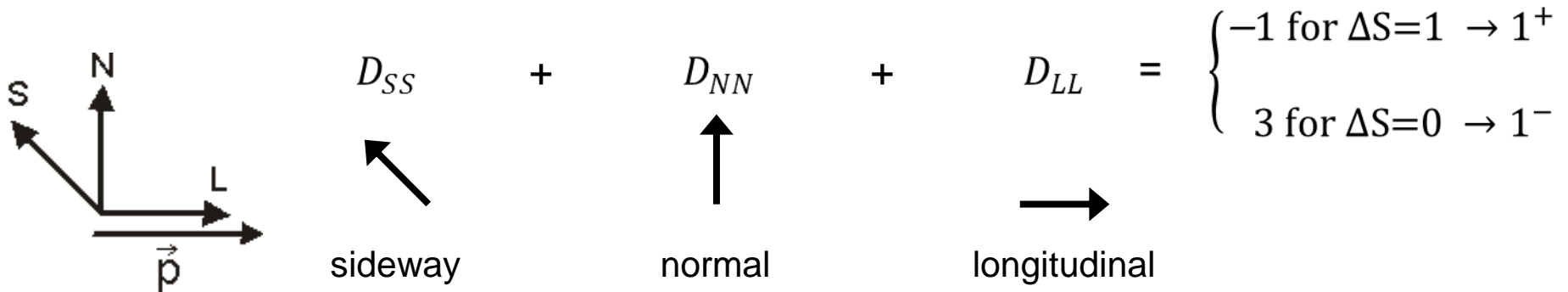
# Multipole Decomposition of Angular Distributions



# E1/M1 Decomposition by Spin-Transfer Observables

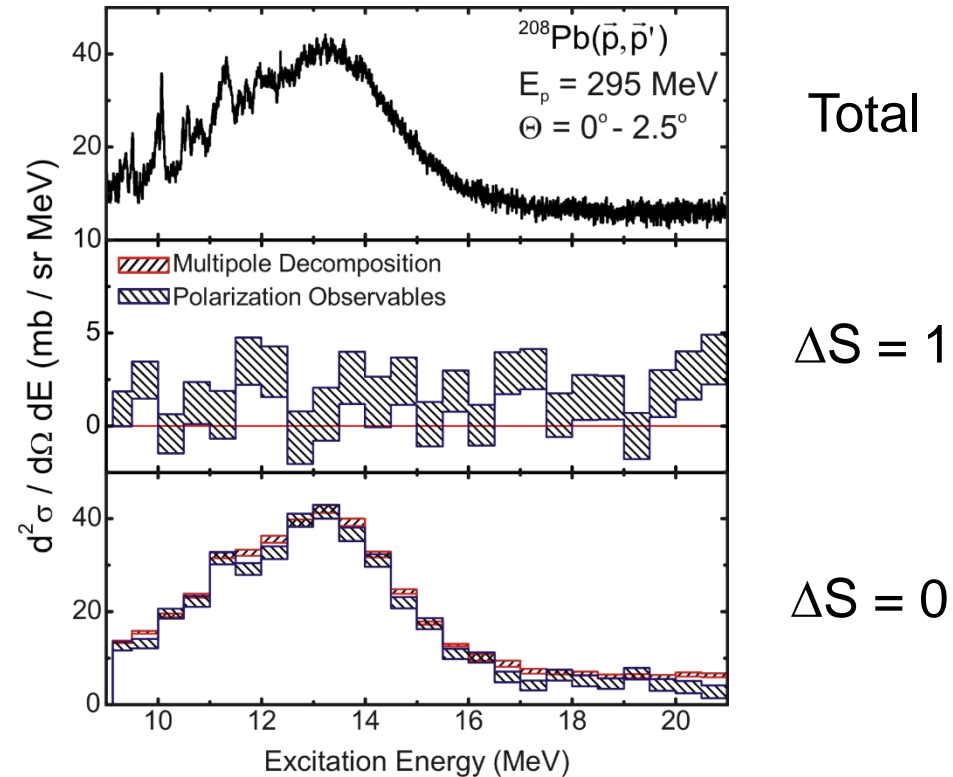
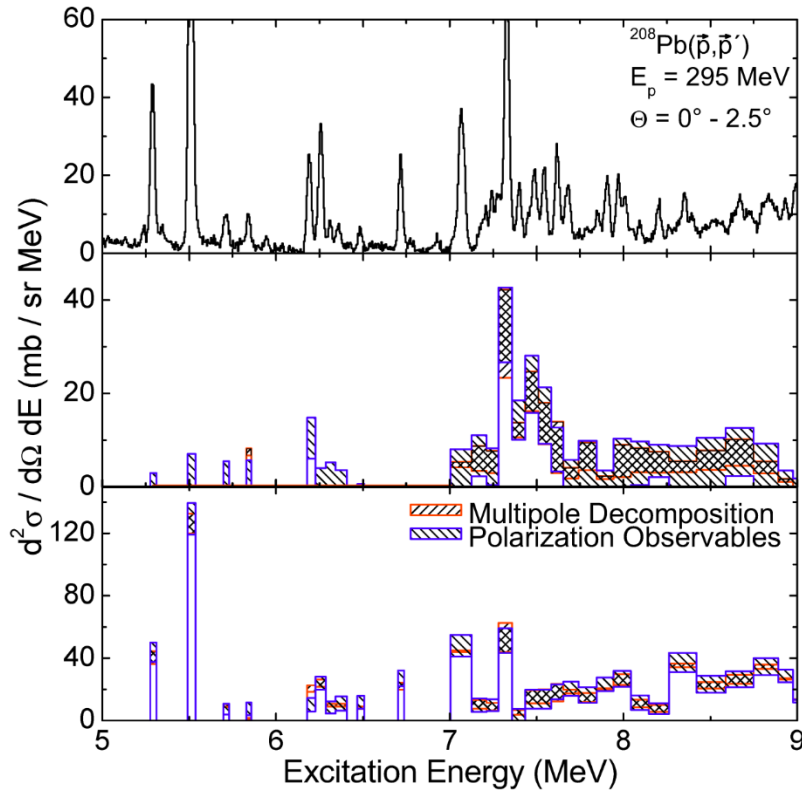
T. Suzuki, PTP 103, 859 (2000)

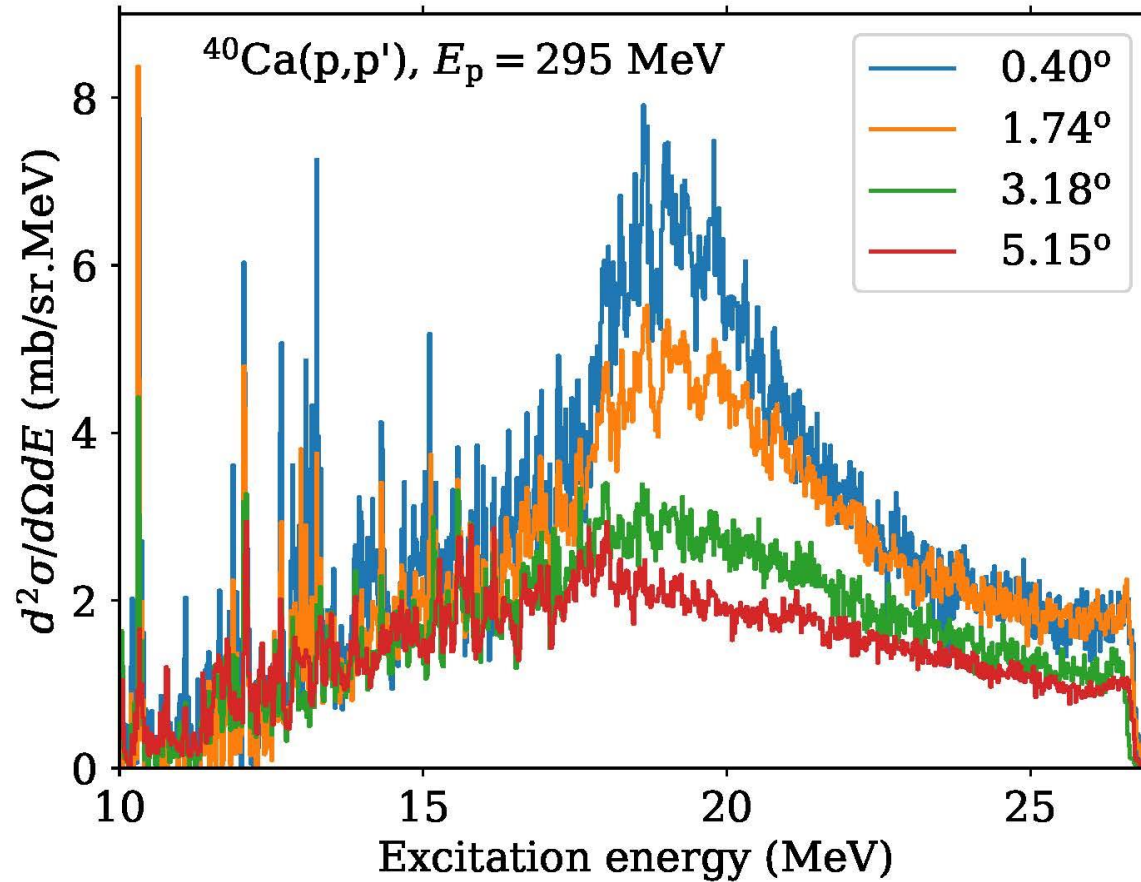
Polarization observables at  $0^\circ$   $\longrightarrow$  **spinflip / non-spinflip separation**  
(model-independent)



At  $0^\circ$   $D_{SS} = D_{NN}$   $\longrightarrow$  Total Spin Transfer  $\Sigma \equiv \frac{3 - (2D_{SS} + D_{LL})}{4} = \begin{cases} 1 \text{ for } \Delta S = 1 \\ 0 \text{ for } \Delta S = 0 \end{cases}$

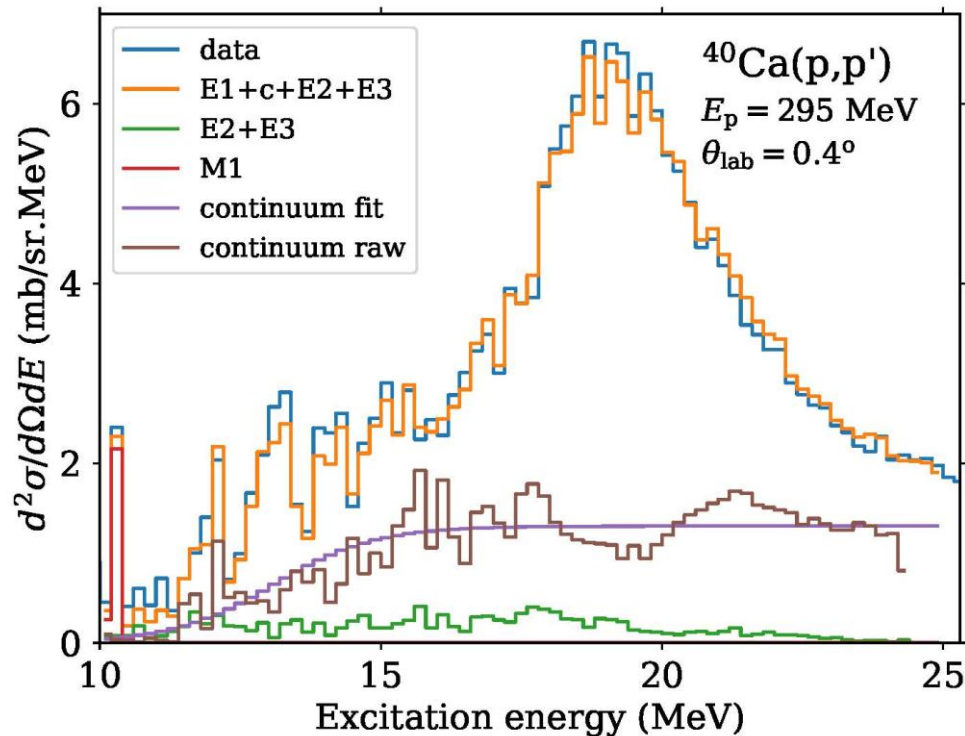
# Comparison of Both Methods





# $^{40}\text{Ca}$ : Multipole Decomposition Analysis

R.W. Fearick et al, in preparation

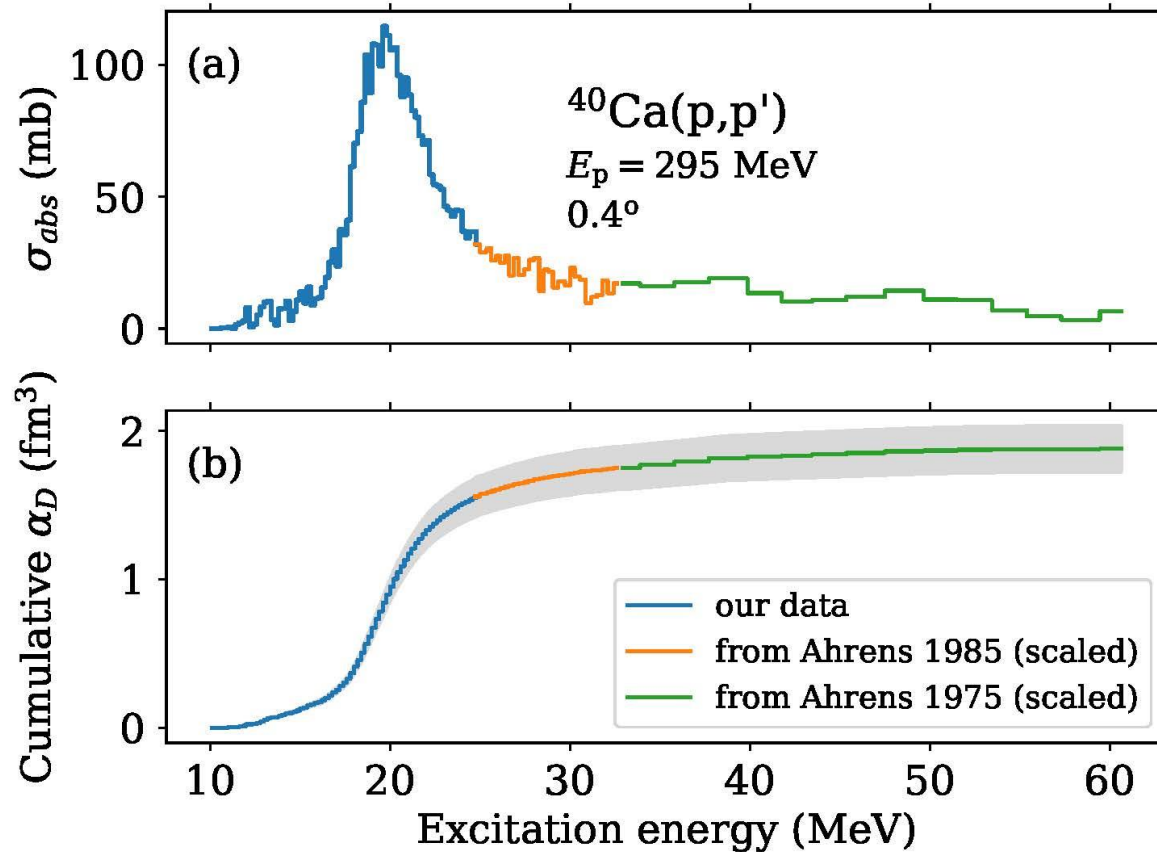


- Constraints on continuum cross sections from phenomenological parameterization of QFS (C. Kalbach) and assuming a Fermi function



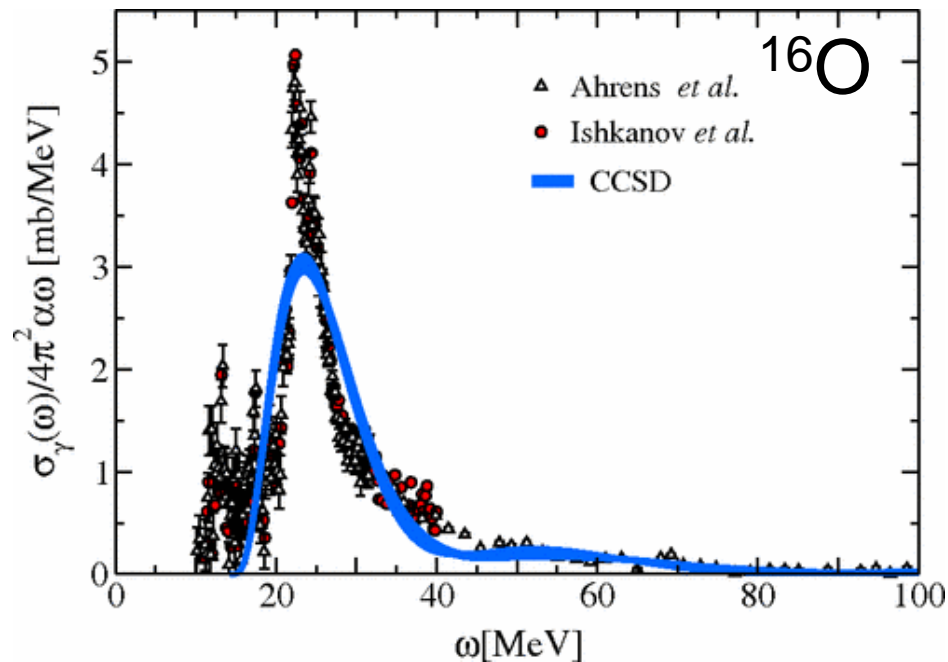
# Photoabsorption Cross Sections $^{40}\text{Ca}$

R.W. Fearick et al, in preparation



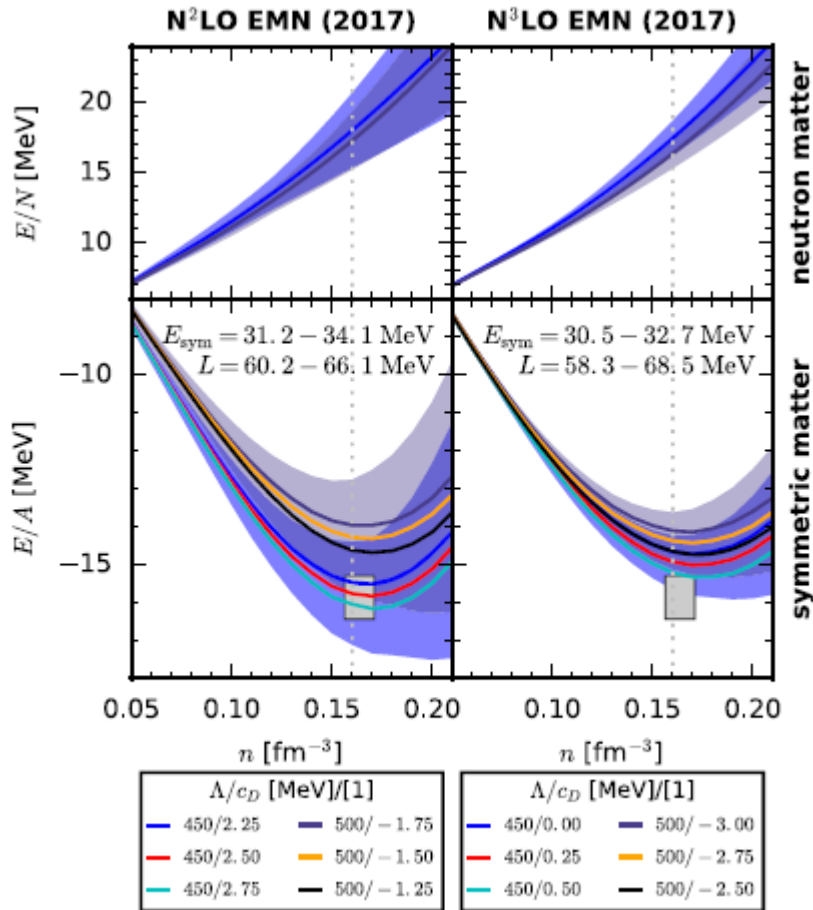
$$\alpha_D = 1.86(14) \text{ fm}^3$$

S. Bacca et al., Phys. Rev. Lett. 111, 122502 (2013)



- Based on interactions derived from  $\chi\text{EFT}$
- Combines Lorentz Integral Transform (LIT) with Coupled Cluster (CC) technique
- Method applicable to magic nuclei only

# Theoretical Approach: *ab initio*

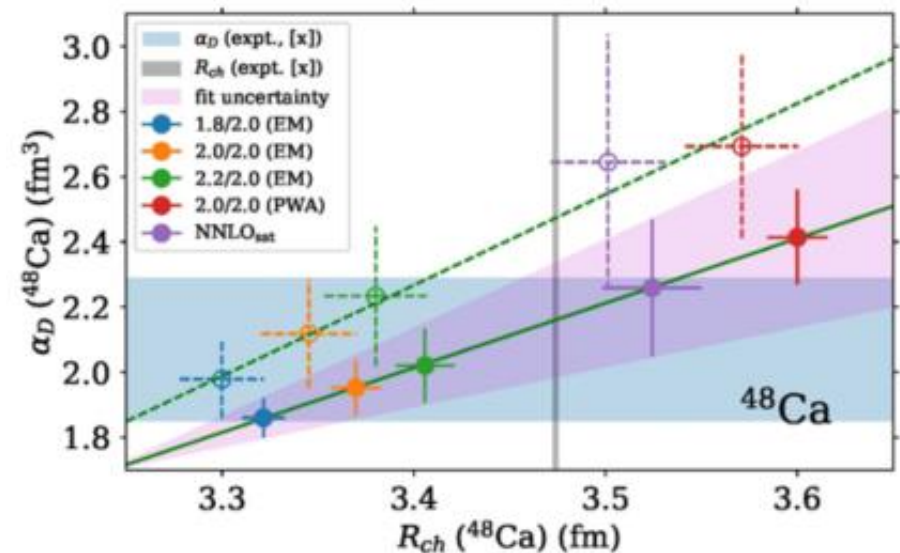
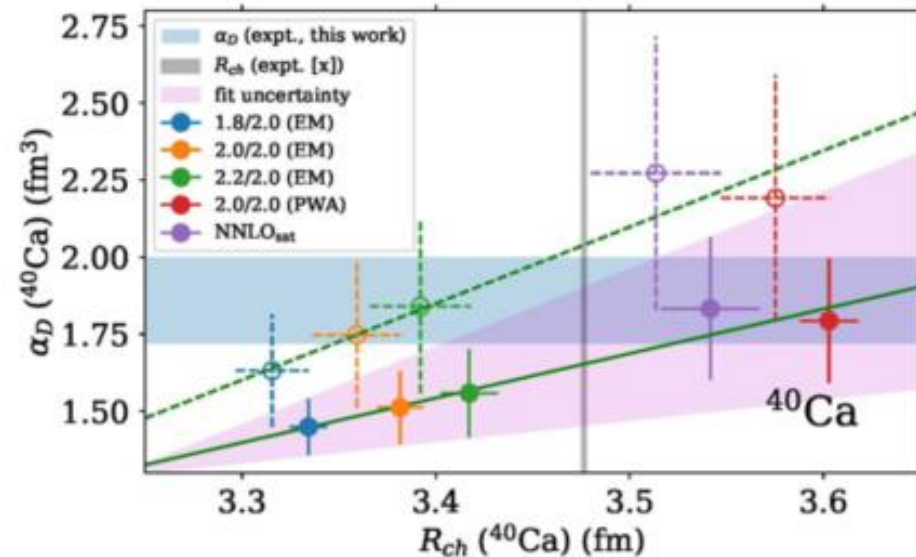


C. Drischler, K. Hebeler, and A. Schwenk,  
Phys. Rev. Lett. 122, 042501 (2019)

- Same interactions can be used to calculate nuclear matter
- Polarizability provides constraints for neutron matter

# Polarizability $^{40}\text{Ca}$ : *Ab Initio* Calculations

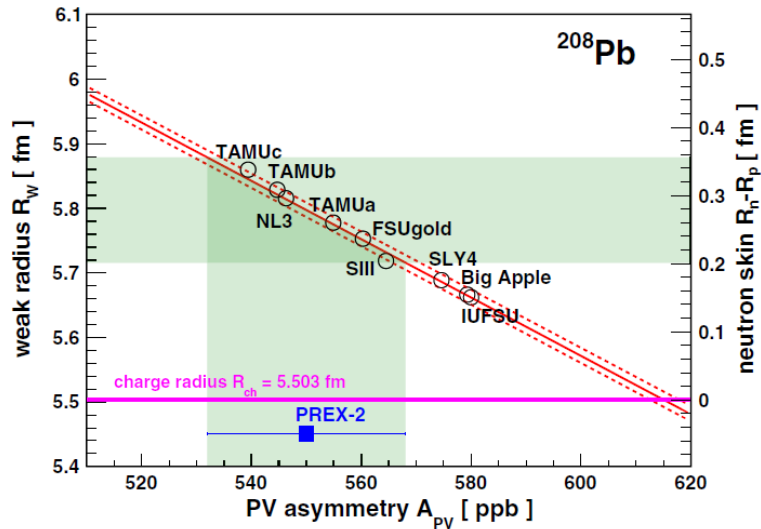
J. Birkhan et al., PRL 118, 252501 (2017)



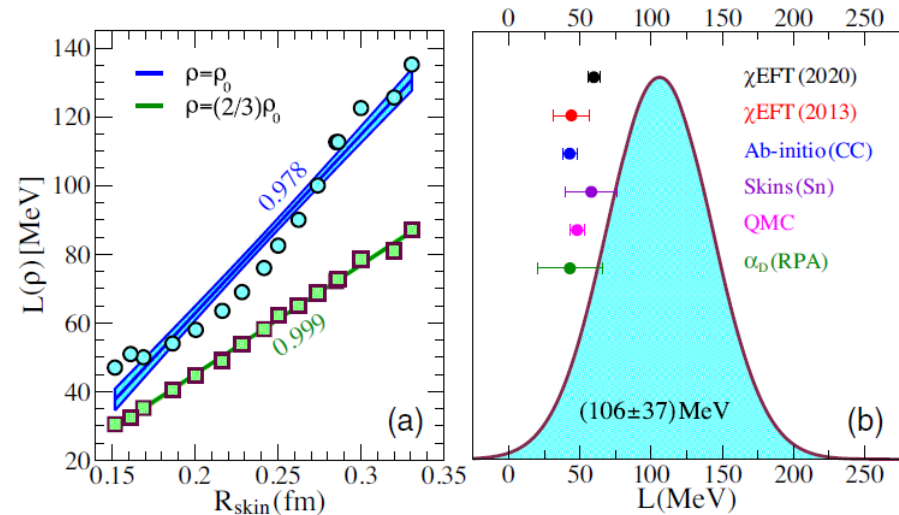
- Improved calculations with triple correlations in the ground state  
M. Miorelli et al., PRC 98, 014324 (2018)
- Consistent description of  $^{40}\text{Ca}$  and  $^{48}\text{Ca}$
- Constraints on symmetry energy:  $J = 28 - 32$  MeV  $L = 41 - 49$  MeV

# PREX-II Constraints on Neutron Skin and Density Dependence of the Symmetry Energy

D. Adhikari et al., PRL 126, 172502 (2021)



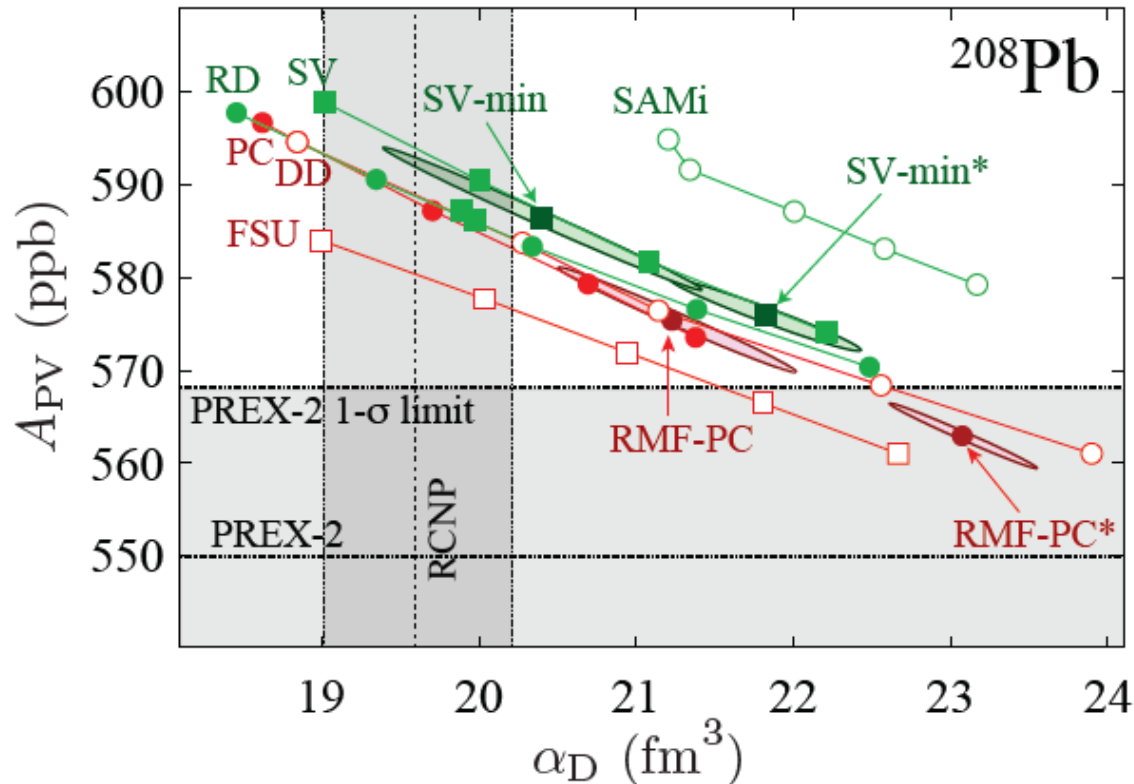
B.T. Reed et al., PRL 126, 172503 (2021)



- Derived density dependence of the symmetry energy  $L = 106(37) \text{ MeV}$

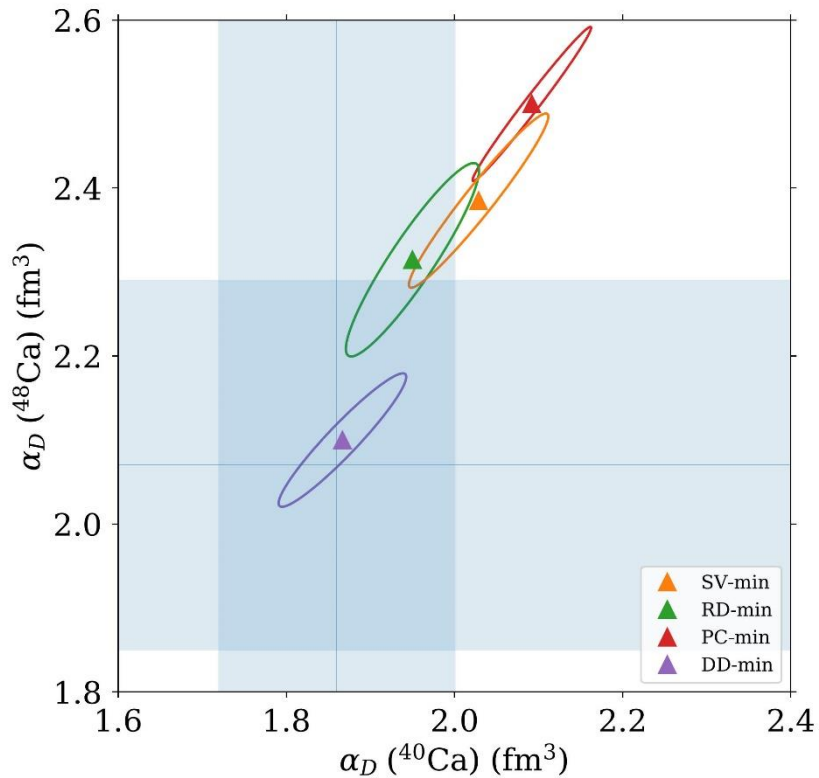
# PREX-II versus Polarizability Constraints

P.-G. Reinhard, X. Roca-Maza and W. Nazarewicz, arXiv: 2105.15050

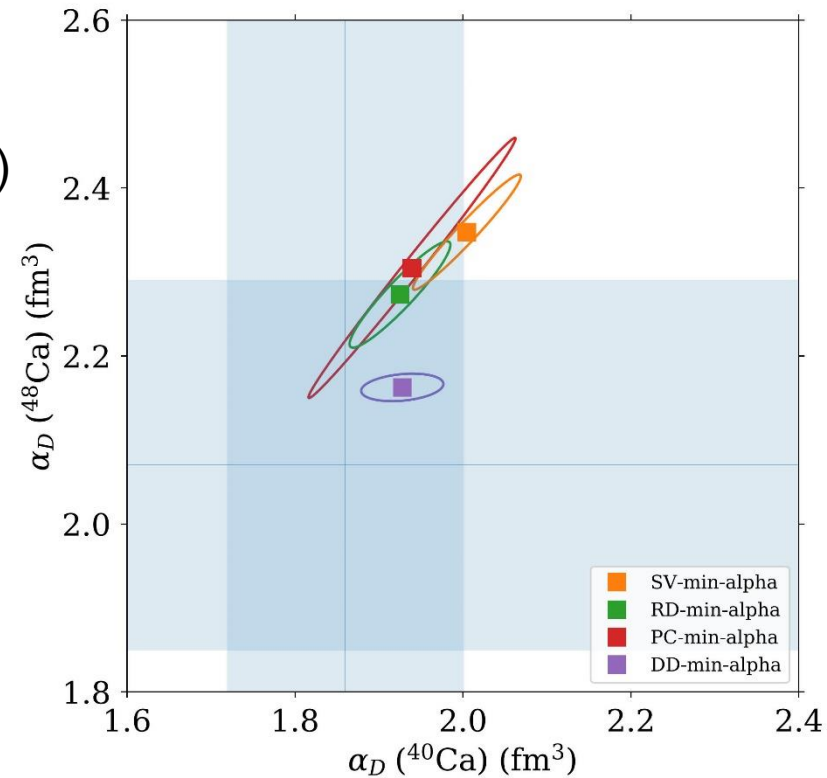


- No consistent description of  $A_{PV}$  and  $\alpha_D(^{208}\text{Pb})$  possible
- Similar analysis by J. Piekarewicz, PRC 104, 024329 (2021)

# Extension to $^{40,48}\text{Ca}$



include  
 $\alpha_D(^{208}\text{Pb})$   
in the fit



- $\alpha_D(^{40,48}\text{Ca})$  can be reproduced when  $\alpha_D(^{208}\text{Pb})$  is included in the fit
- Corresponding symmetry energy parameter range  $L \approx 30 - 70$  MeV

- Proton scattering at  $0^\circ$  - a versatile experimental tool to extract the complete electric dipole response in nuclei and thus the polarizability
- $^{40}\text{Ca}$  and correlation with  $^{48}\text{Ca}$ 
  - consistent description with *ab initio* theory
  - consistent description with EDFs which reproduce the polarizability in  $^{208}\text{Pb}$
- PREX-II and polarizability results in  $^{208}\text{Pb}$  cannot be described simultaneously by EDF theory



# Collaborators $^{40}\text{Ca}$ Project



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# Multipole Decomposition Analysis

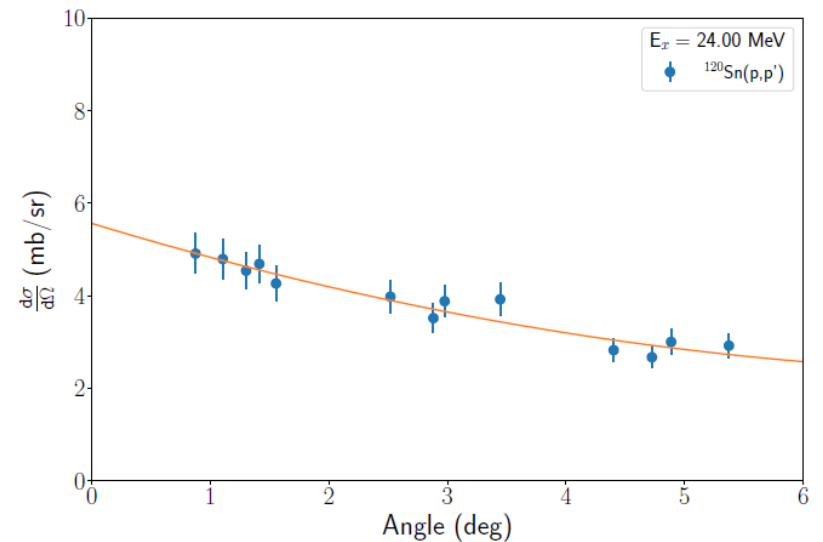
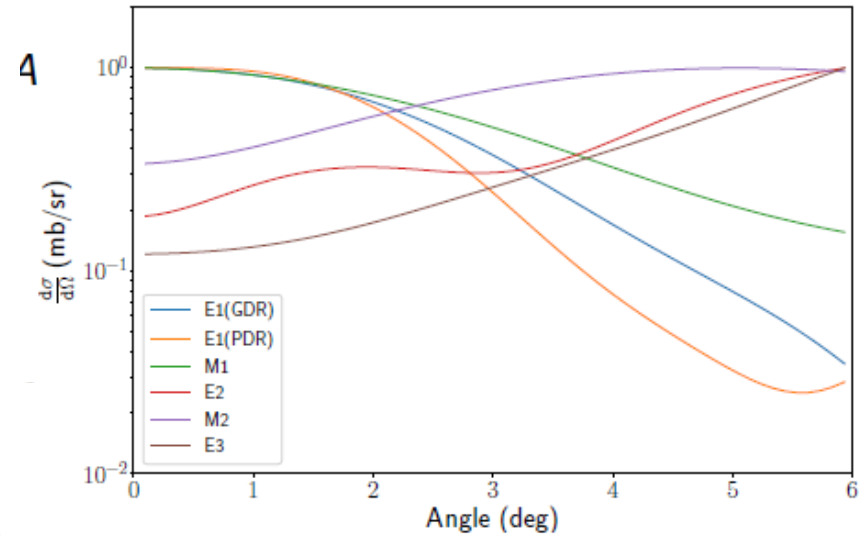
$$\frac{d\sigma}{d\Omega}(\theta, E_x)_{exp} = \sum_{J^\pi} a^{J^\pi} \frac{d\sigma}{d\Omega}(\theta, E_x, J^\pi)_{DWBA}$$

Transition amplitudes and single-particle wave functions from QPM

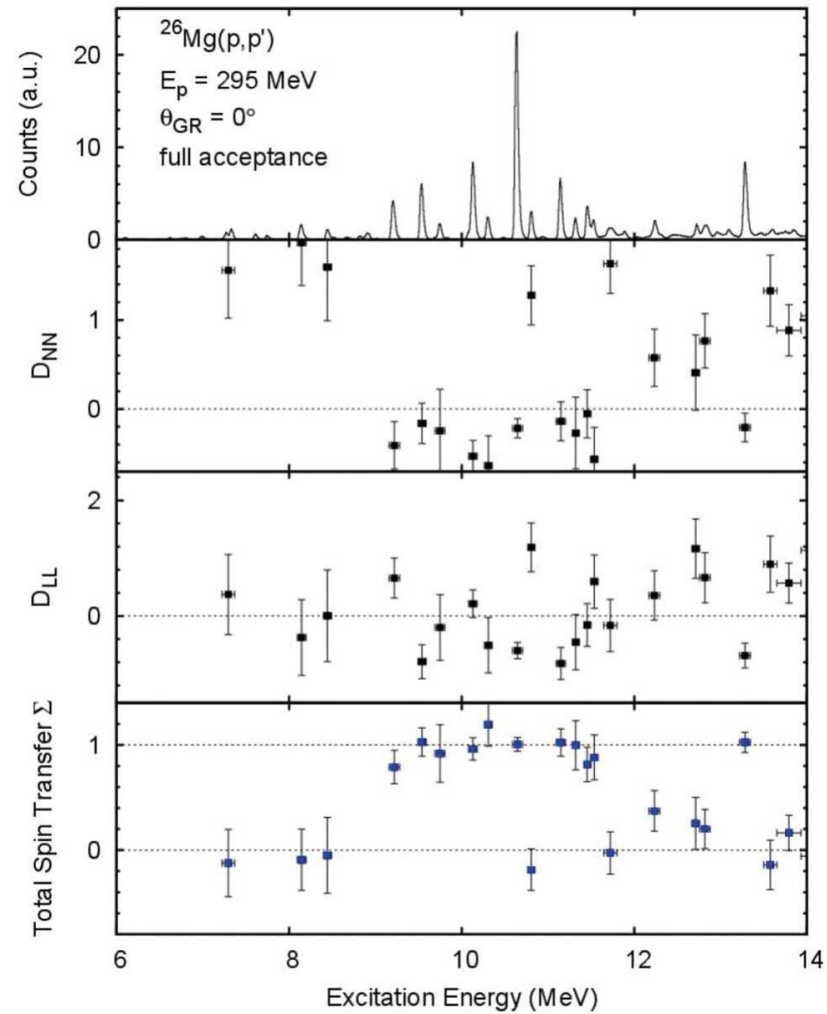
E3 transition as a substitute for possible higher multipole contributions

Phenomenological background (quasifree scattering)

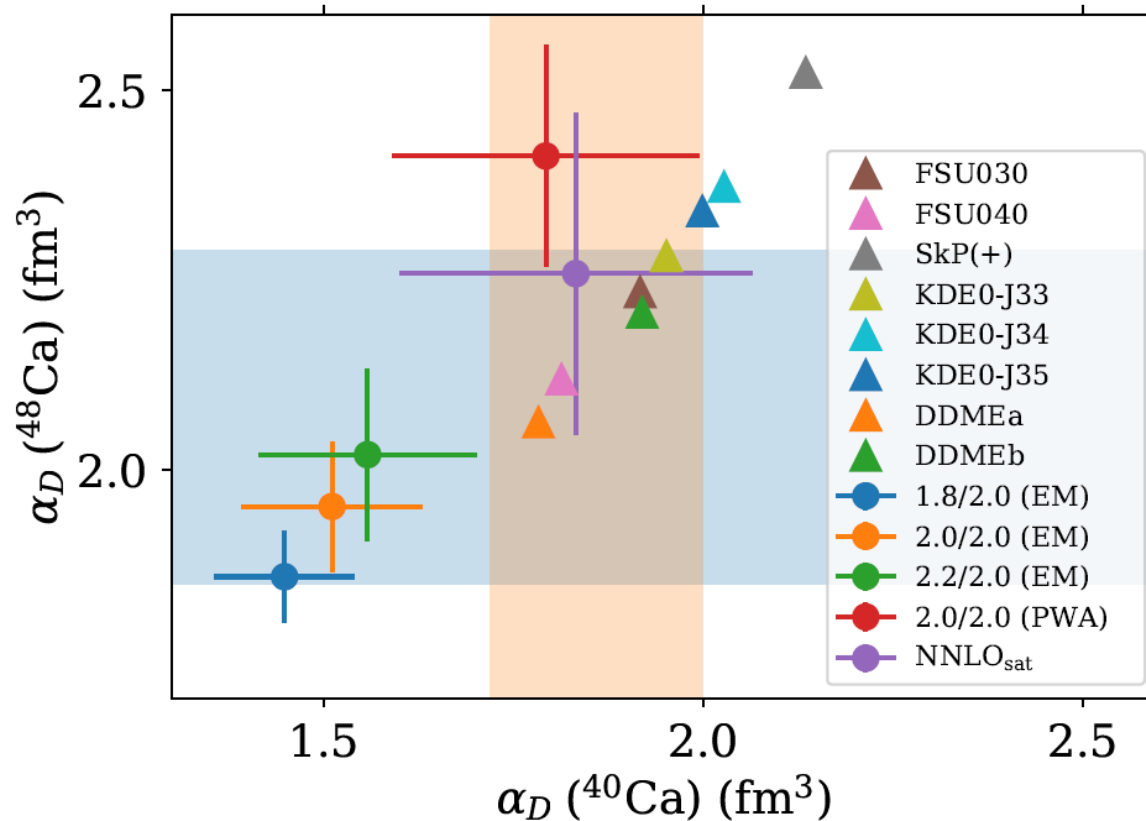
ISGQR and ISGMR contributions subtracted prior to MDA



# Total Spin Transfer



# Polarizability $^{40}\text{Ca}$ : *ab initio* vs. EDFs



- EDFs selected to describe polarizability of  $^{68}\text{Ni}$ ,  $^{120}\text{Sn}$  and  $^{208}\text{Pb}$   
X. Roca-Maza et al., PRC 92, 064304 (2015)

# Symmetry Energy Constraints

F. Gulminelli and A.F. Fantina, arXiv:2110.02616

