



Stony Brook University



## Measurement of Direct Photon Cross Section and Double Helicity Asymmetry at $\sqrt{s} = 510$ GeV in $\vec{p} + \vec{p}$ Collisions at PHENIX

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*UCLA & Stony Brook University*  
**24th International Spin Symposium**

October 22, 2021

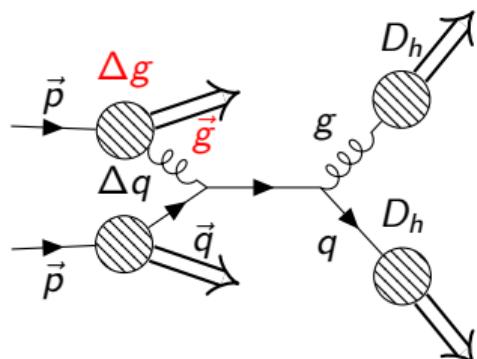


# Probing the gluon spin inside the proton

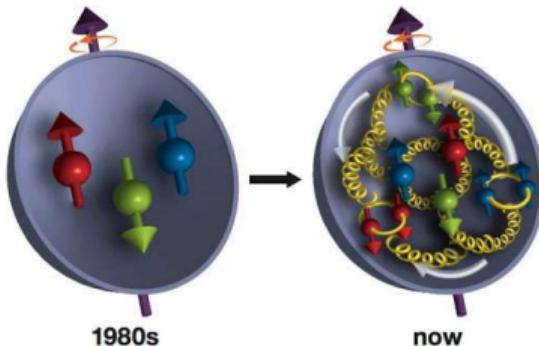
- The proton spin can be decomposed as

$$\frac{1}{2} = \frac{1}{2} \sum_q \Delta q + \Delta g + L_q + L_g$$

- Gluon spin  $\Delta g$  is important for the proton spin puzzle.



$$\Delta\sigma^{\vec{p}\vec{p}} \sim \Delta g \otimes \Delta q \otimes \Delta\hat{\sigma}^{\vec{g}\vec{q}} \otimes D_h$$

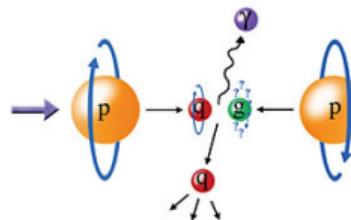


- $\Delta\hat{\sigma}^{\vec{g}\vec{q}}$ : from pQCD.
- $\Delta q$  and  $D_h$ : from other measurements.
- Purpose: extract  $\Delta g$  by measuring  $\Delta\sigma^{\vec{p}\vec{p}}$
- Observable: jet and hadron (larger statistics); direct photon ("clean" without hadronization).

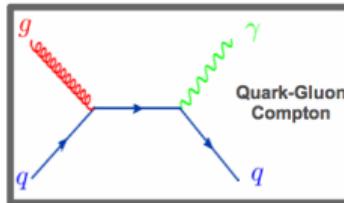
$$A_{LL} = \frac{\Delta\sigma^{\vec{p}\vec{p}}}{\sigma^{pp}}$$



# Direct photon as the “golden” channel



$$A_{LL}^{pp \rightarrow \gamma X} \sim \frac{\Delta q(x_q)}{q(x_q)} \cdot \frac{\Delta g(x_g)}{g(x_g)} \cdot a_{LL}^{qg \rightarrow \gamma q}$$



- $A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}}$

- “Golden” channel.

- Little fragmentation contributions.

- Linear in  $\Delta g$ : probe the sign of gluon spin.

Challenges in the direct photon measurement:

- Low statistics.
- $\pi^0$  decay photon merging at high  $p_T$  in the EMCAL detector.

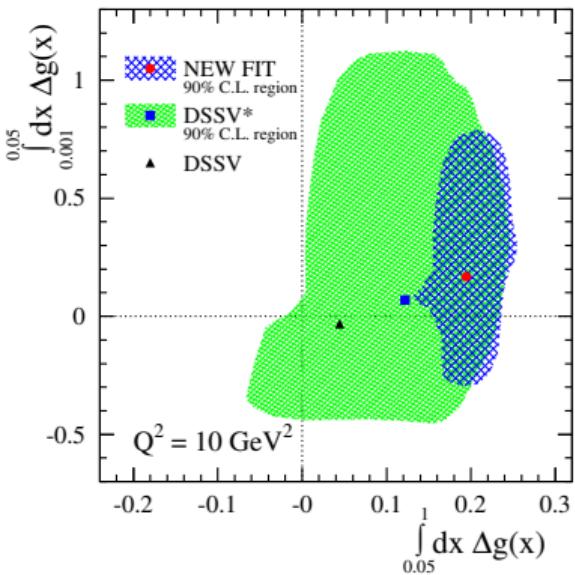
Advantages at PHENIX with RHIC running period of year 2013:

- The largest integrated luminosity ( $155 \text{ pb}^{-1}$ ) in  $\vec{p} + \vec{p}$
- EMCAL with fine granularity to separate  $\pi^0$  decay photons up to  $p_T$  of  $12 \text{ GeV}/c$ , and a shower profile analysis extends the  $\gamma/\pi^0$  discrimination to beyond  $20 \text{ GeV}/c$ .



# From $A_{LL}$ to $\Delta g$

- Existing RHIC data mainly probe  $0.05 < x_g < 0.2$
- PHENIX  $\pi^0 A_{LL}$  at 510 GeV confirms a nonzero  $\Delta g$  and extend  $x_g$  to 0.01
- STAR jet data clearly imply a polarization of gluons in this range.
- This will be the first direct photon  $A_{LL}$  result to be published.
- Our results will add independent constraints on the  $\Delta g$

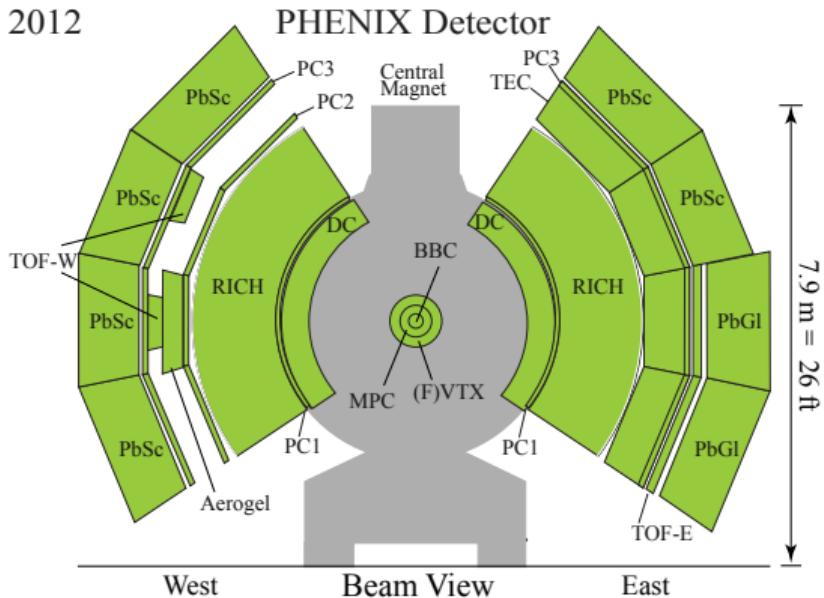


PRL 113, 012001 (2014)

# PHENIX detector



- Pseudorapidity  $|\eta| < 0.35$
  - Azimuthal angle  $\phi$ :  $\pi$  radians coverage.
  - Electromagnetic Calorimeter (EMCal):
    - ▶ primary detector for photons.
  - EMC trigger:
    - ▶ Select high energy photons.
  - Drift Chamber (DC):
    - ▶ Measure charged particle momenta.
    - ▶ Charge veto criteria.





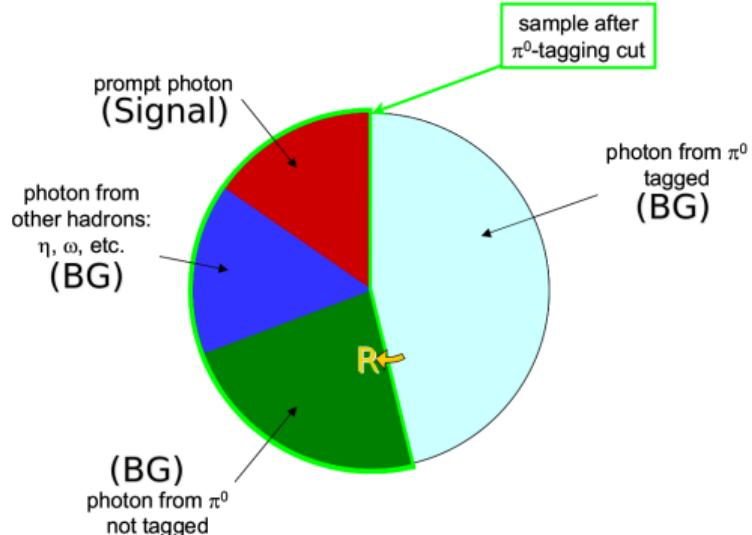
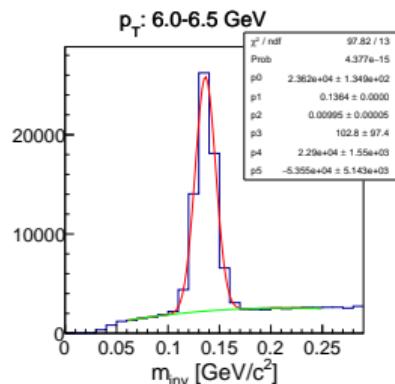
# Direct photon signal extraction

Source of direct photon:

- Compton scattering:  $g + q \rightarrow \gamma + q$
- Annihilation:  $q + \bar{q} \rightarrow \gamma + g$
- Parton fragmentation to photon.
- Quark bremsstrahlung.

Source of direct photon background:

- Decay photons from mesons ( $\pi^0, \eta, \omega, \eta'$ ).



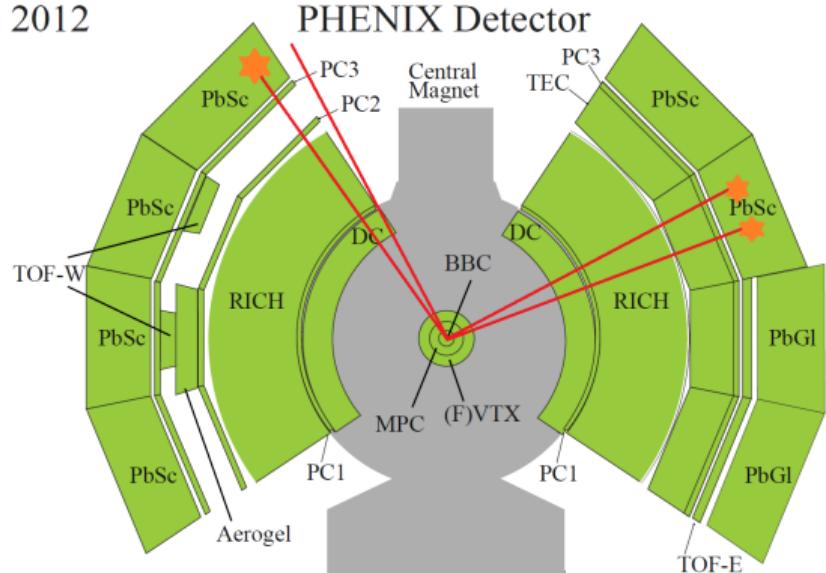
Yield of direct photon:

- $N_{dir} = N_{total} - (1 + A)(1 + R)N_{\pi^0}$
- ▶ R:  $\pi^0$  one photon missing ratio.
- ▶ A: Other hadrons' to  $\pi^0$ 's photon ratio.



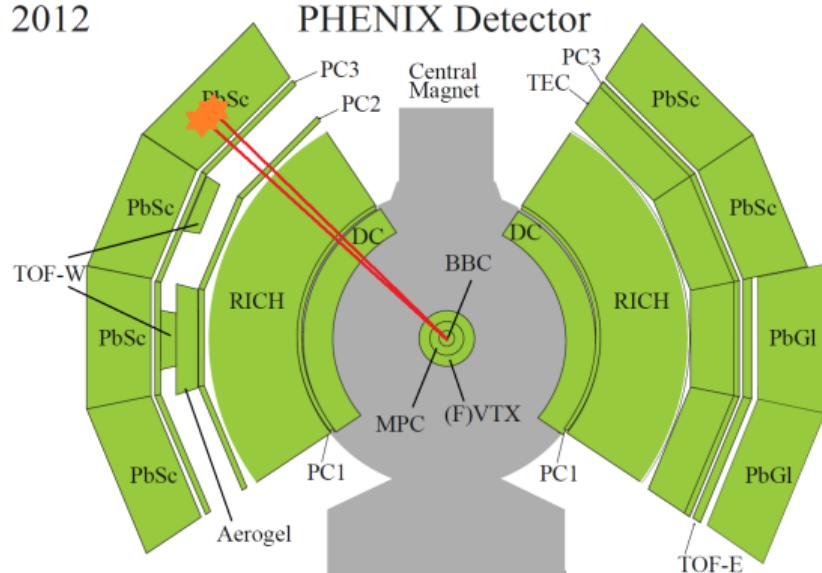
# Contamination of direct photon sample

2012



Missing partner of the decay photon  
Acceptance limitations

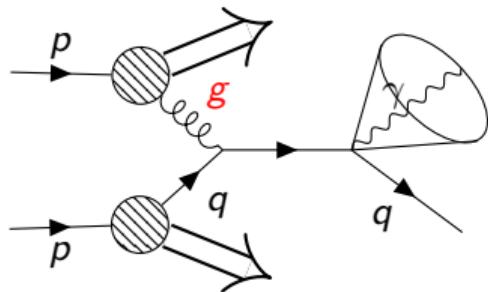
2012



Merging of the decay photons  
Resolution limitations



# Identifying direct photon through isolation

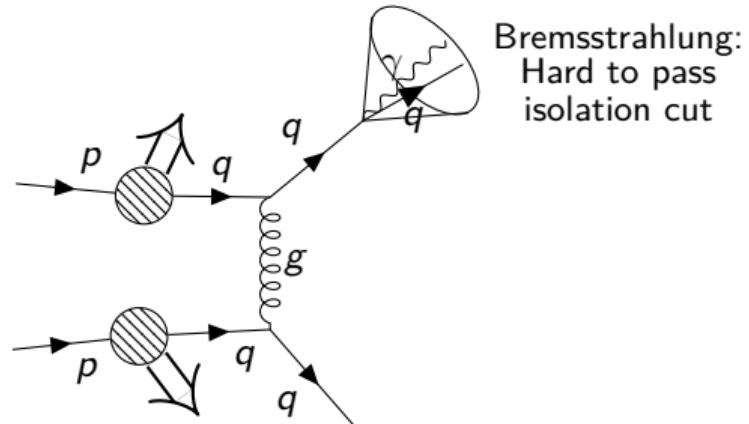
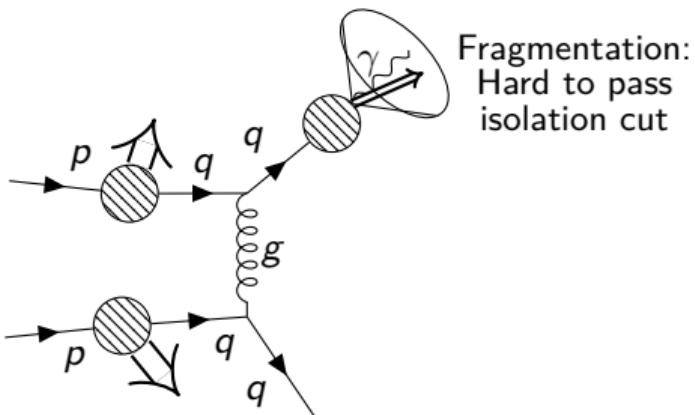


$$r_{\text{cone}} = \sqrt{(\delta\eta)^2 + (\delta\phi)^2} = 0.5$$

Isolation cut requirement:

$$\sum E_{\text{in cone}} < 0.1E_\gamma$$

Quark-gluon Compton scattering: Easy to pass isolation cut



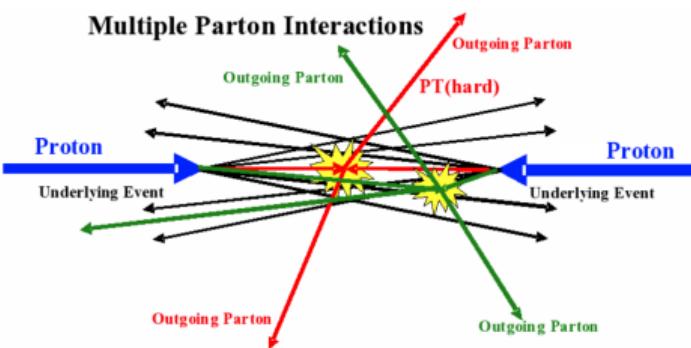


# POWHEG + PYTHIA8 for xsec

- Parton shower (PS) in PYTHIA8: leading log; no interference.
- Matrix element (ME) at NLO in POWHEG: with interference.
- NLO output (ME) of POWHEG as input (PS) of PYTHIA8.
- Overlapping between ME and PS is vetoed in PYTHIA8.

$$\frac{d\sigma_{\text{ME}}}{dx_1 dx_2} \sim \left| \begin{array}{c} \text{wavy line} \\ \text{red wavy line} \\ \text{blue line} \end{array} \right|^2 + \left| \begin{array}{c} \text{wavy line} \\ \text{blue wavy line} \\ \text{red line} \end{array} \right|^2$$

$$\frac{d\sigma_{\text{PS}}}{dx_1 dx_2} \sim \left| \begin{array}{c} \text{wavy line} \\ \text{red wavy line} \\ \text{blue line} \end{array} \right|^2 + \left| \begin{array}{c} \text{wavy line} \\ \text{blue wavy line} \\ \text{red line} \end{array} \right|^2$$



- Multiparton interactions (MPI) in PYTHIA8:

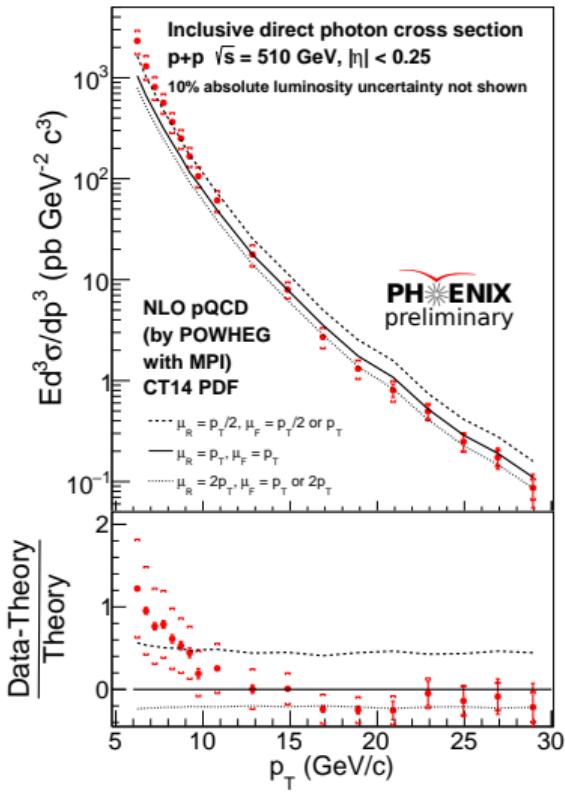
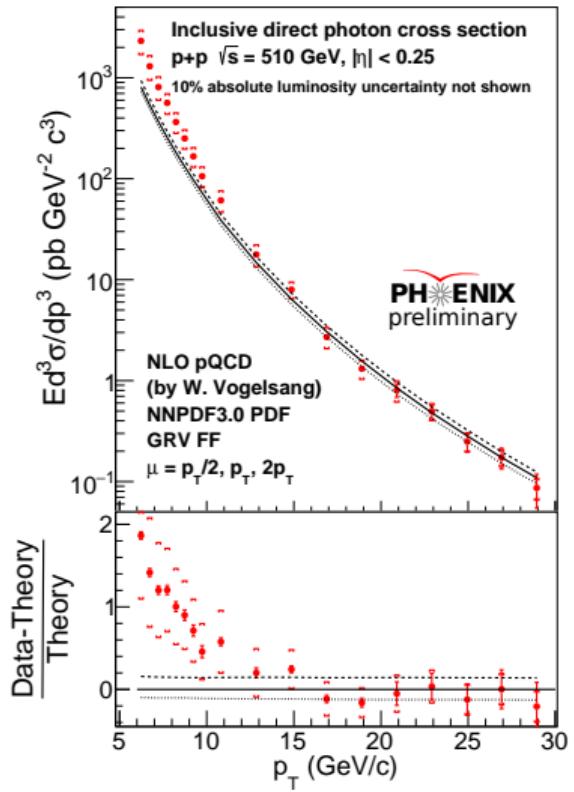
$$\frac{d\mathcal{P}_{\text{MPI}}}{dp_\perp} = \frac{1}{\sigma_{\text{ND}}} \frac{d\sigma_{2 \rightarrow 2}}{dp_\perp} \exp \left( - \int_{p_\perp}^{p_{\perp i-1}} \frac{1}{\sigma_{\text{ND}}} \frac{d\sigma_{2 \rightarrow 2}}{dp'_\perp} dp'_\perp \right)$$

- $\sigma_{\text{ND}} \simeq \sigma_{\text{BBC}}$  is the nondiffractive xsec.



# Inclusive xsec at 510 GeV

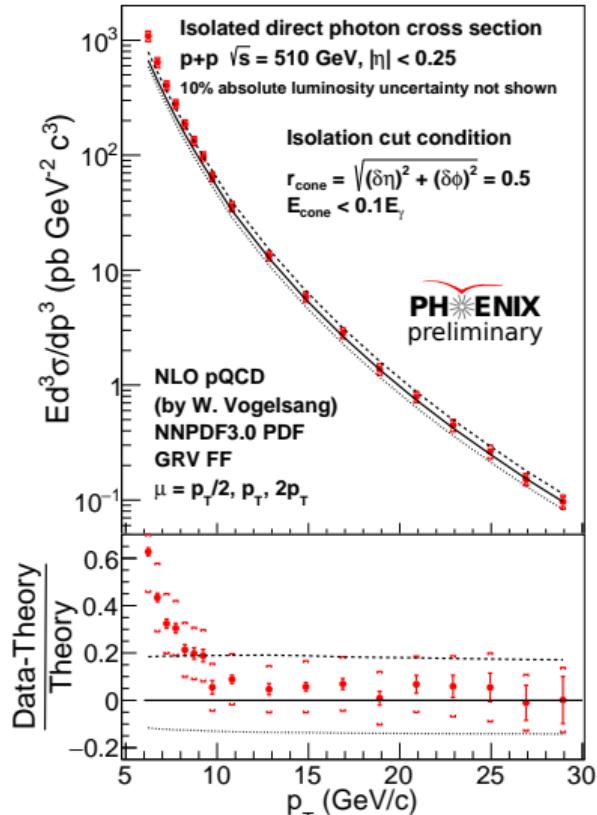
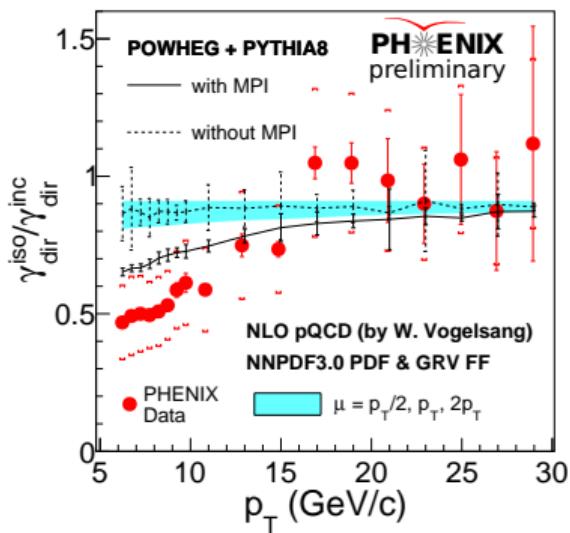
- NLO pQCD underestimates the data by a factor of  $\sim 3$  at low  $p_T$ .
- POWHEG + PYTHIY8 with MPI and parton shower gives better description of data.





# Isolated xsec at 510 GeV

- Cross section consistent with NLO pQCD.
- MPI is important to explain the data/theory discrepancy at low  $p_T$ .
- Constrain unpolarized gluon density function.





# Double helicity asymmetry $A_{LL}$

Yellow (Y) and Blue (B)



Versus



$$A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{\sigma_{++} + \sigma_{--} - \sigma_{+-} - \sigma_{-+}}{\sigma_{++} + \sigma_{--} + \sigma_{+-} + \sigma_{-+}}$$
$$= \frac{1}{P_B P_Y} \frac{N_{++} - RN_{+-}}{N_{++} + RN_{+-}}, \quad R = \frac{L_{++}}{L_{+-}}$$

$$A_{LL}^{\text{dir}} = \frac{A_{LL}^{\text{total}} - r_{\pi^0} A_{LL}^{\pi^0} - r_h A_{LL}^h}{1 - r_{\pi^0} - r_h}$$

Measured in a run-by-run basis

Separated for 4 spin patterns

Separated for even and odd crossings

4 spin patterns  $\times$  2 crossings = 8 groups

Crossing: 0 1 2 3 4 5 6 7

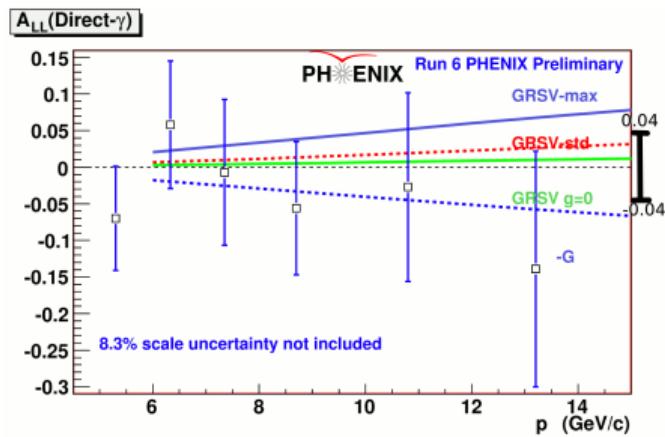
Blue:

Yellow:

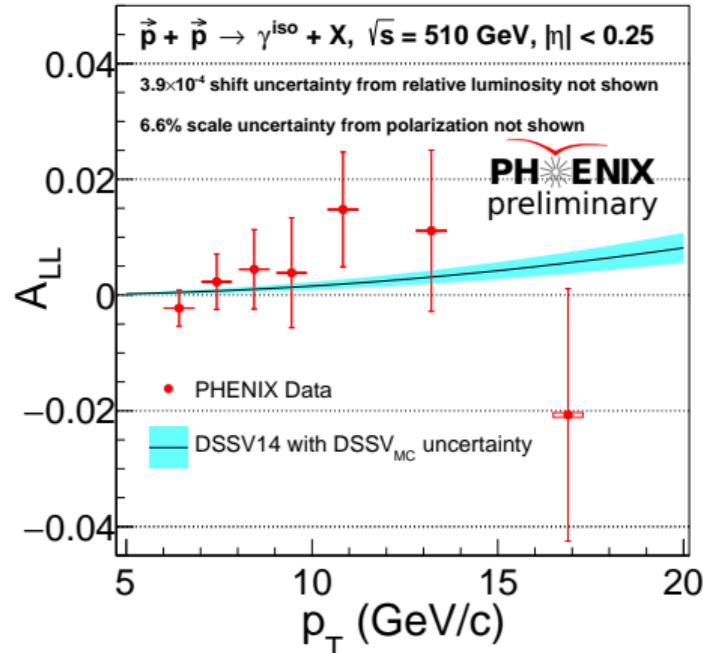


# Direct photon $A_{LL}$

- Consistent with NLO DSSV14.
- Will be the first published direct photon  $A_{LL}$
- Constrain polarized gluon density function  $\Delta g$
- Much smaller uncertainty compared with the previous preliminary at 200 GeV.



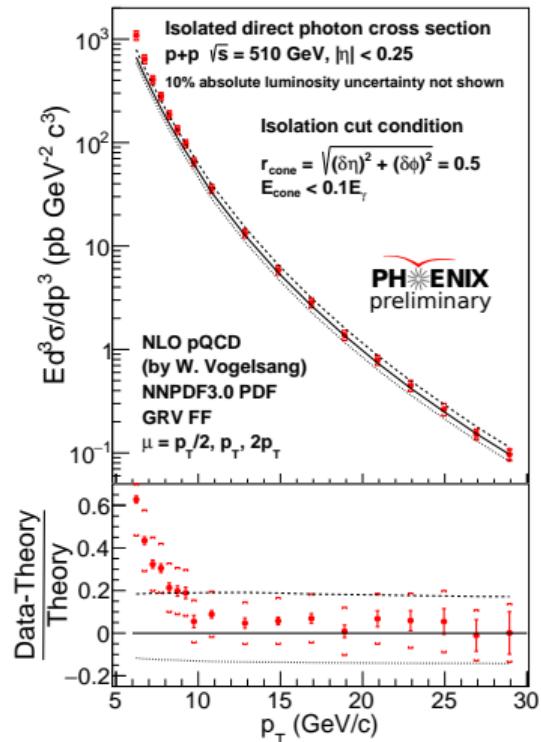
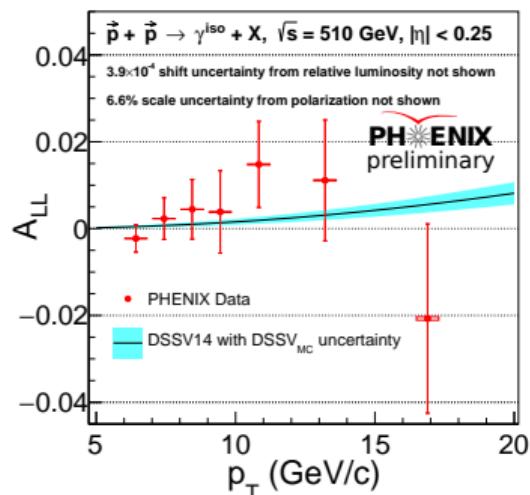
Not published [Bennett, PhD thesis (2009)]





# Summary

- Gluon spin is important for proton spin decomposition.
- Direct photons have little fragmentation contributions.
- First direct photon xsec and  $A_{LL}$  at 510 GeV.
- Independent constraint on the gluon spin contribution.



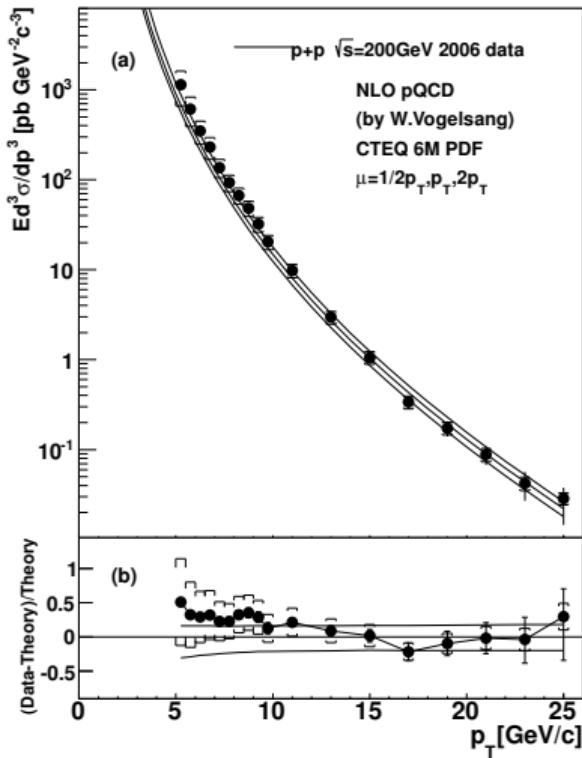
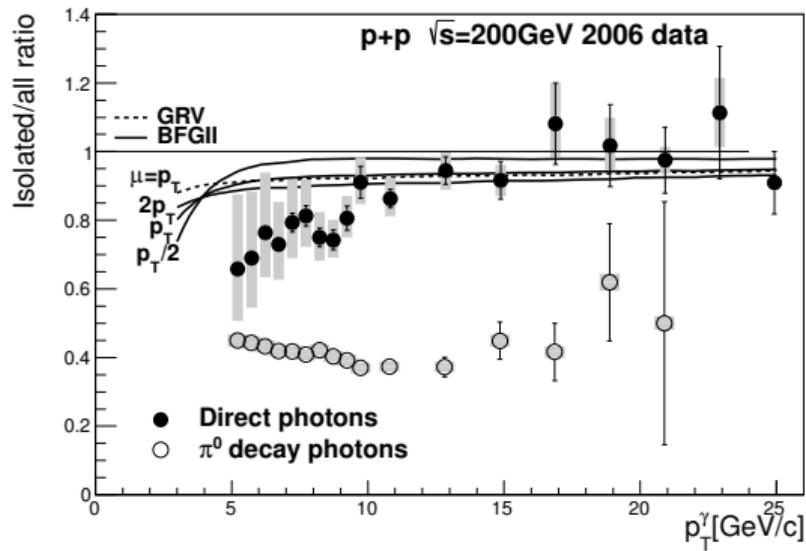


# Backup



# Previous inclusive xsec at 200 GeV

- Cross section consistent with NLO pQCD.
- NLO pQCD overestimates isolated/inclusive ratio.
- PHENIX, PRD 86, 072008 (2012).

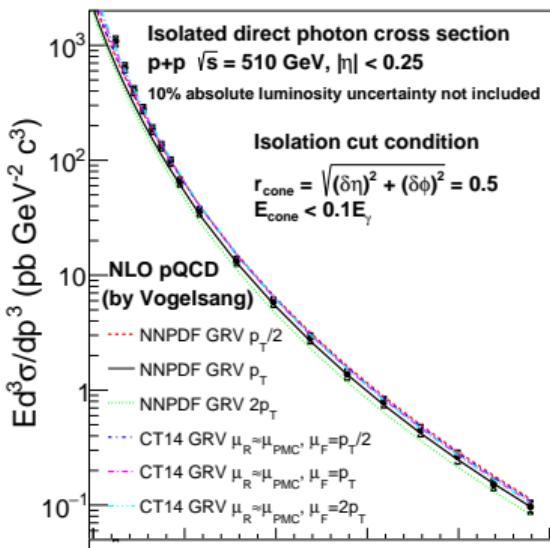




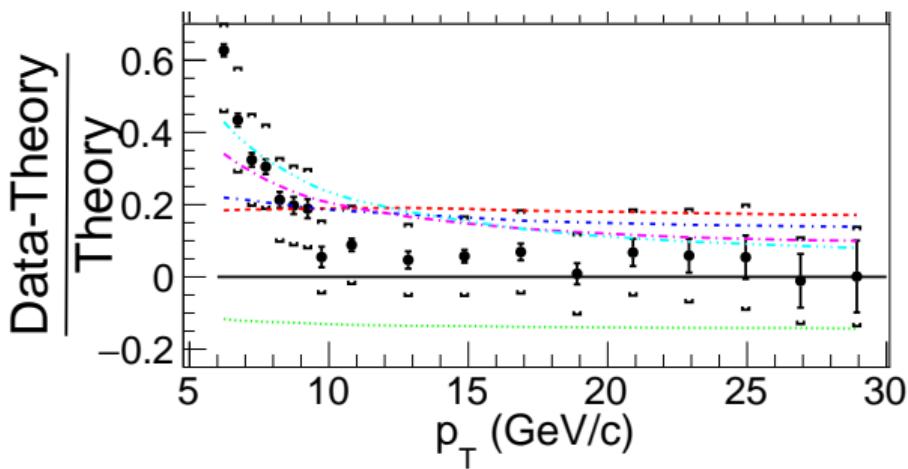
# PMC scale for isolated xsec

- Running coupling from RGE:

$$\frac{d\alpha_s(\mu^2)}{d \ln \mu^2} = \beta(\alpha_s) = -b_0 \alpha_s^2(\mu^2)$$



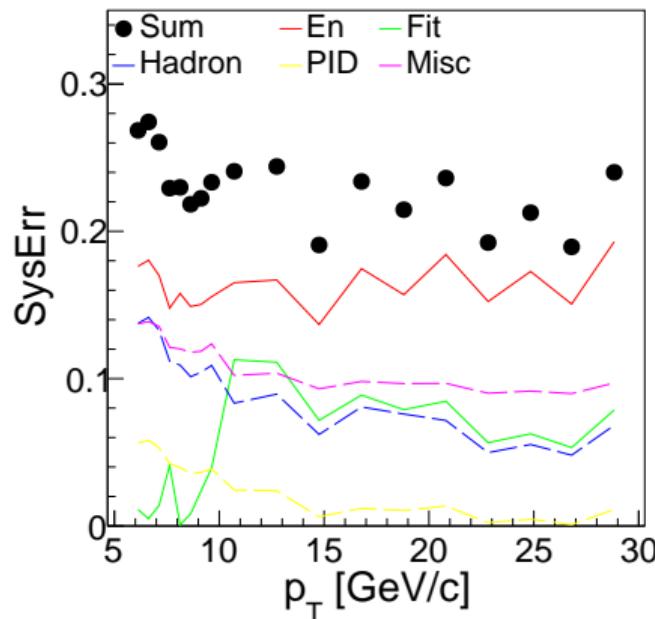
- PMC resums the renormalization effect by RGE.
- PMC scale shows better agreement with data.
- PMC scale has smaller uncertainty.
- PRD 86, 085026 (2012).





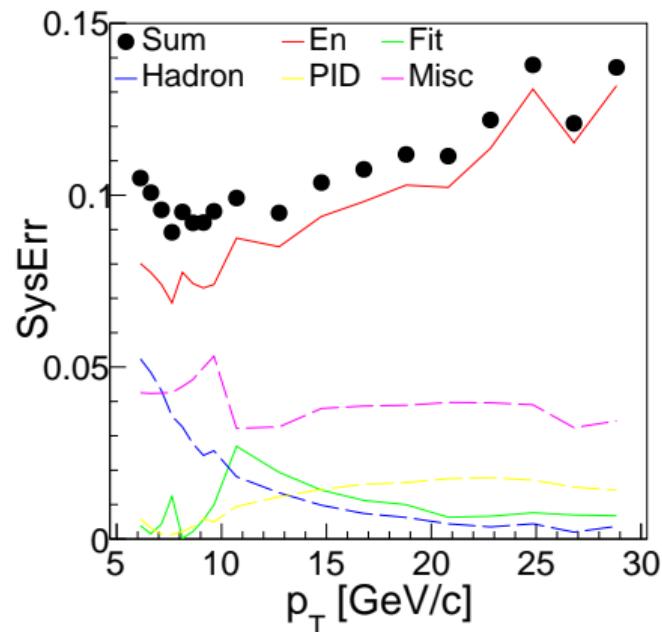
# Systematic uncertainties of cross sections

Graph



Inclusive cross section

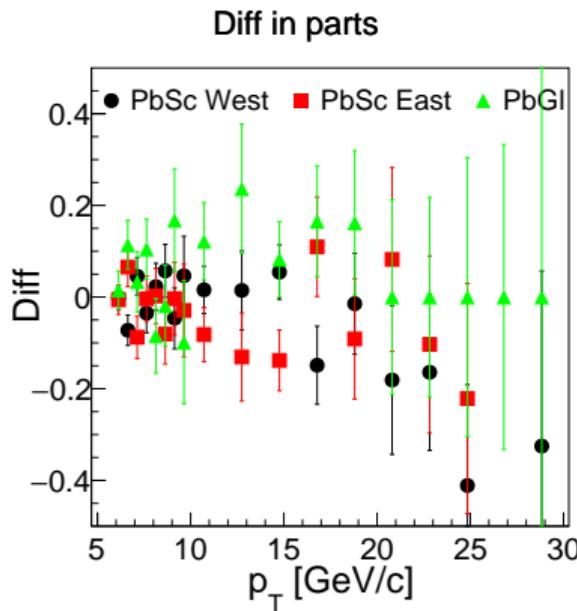
Graph



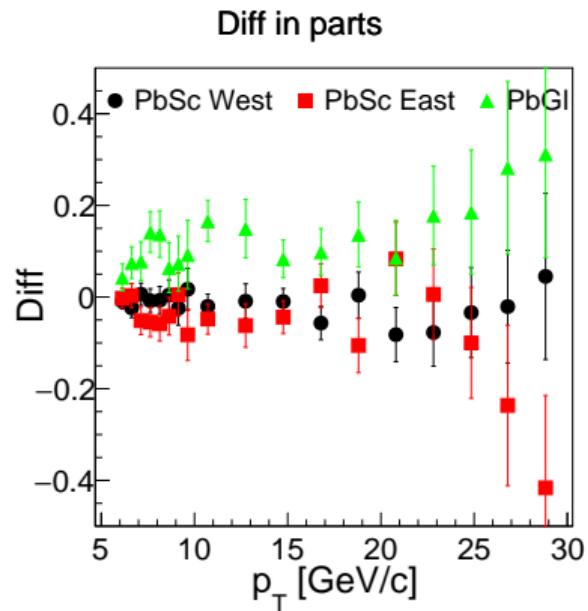
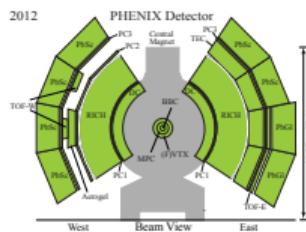
Isolated cross section



# Cross check between three EMCAL subsystems (PbScW, PbScE, PbGI)



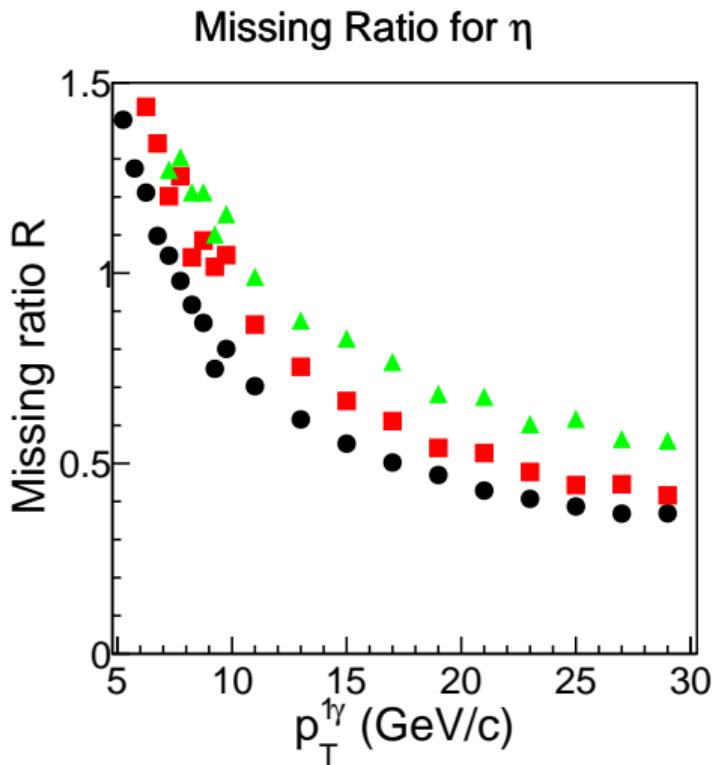
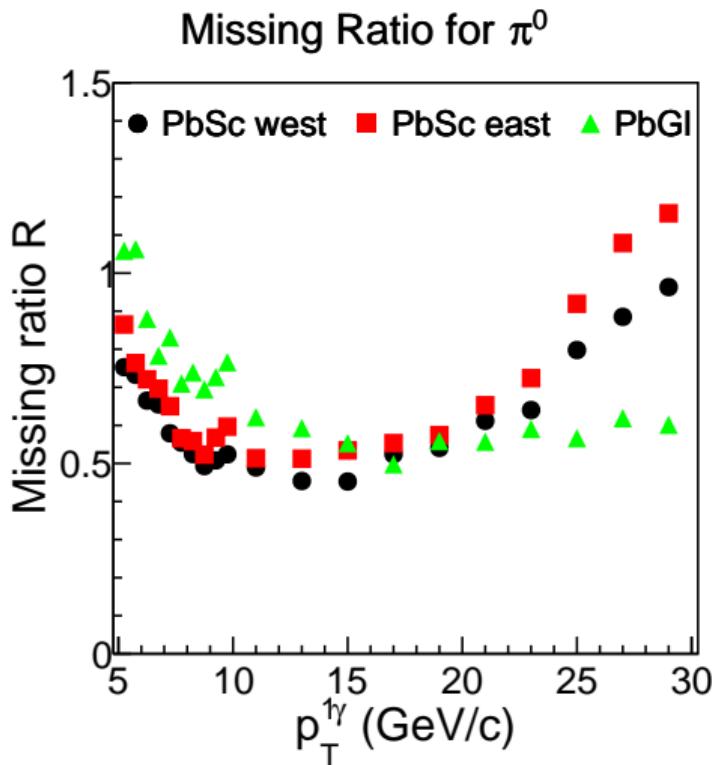
Inclusive cross section



Isolated cross sections

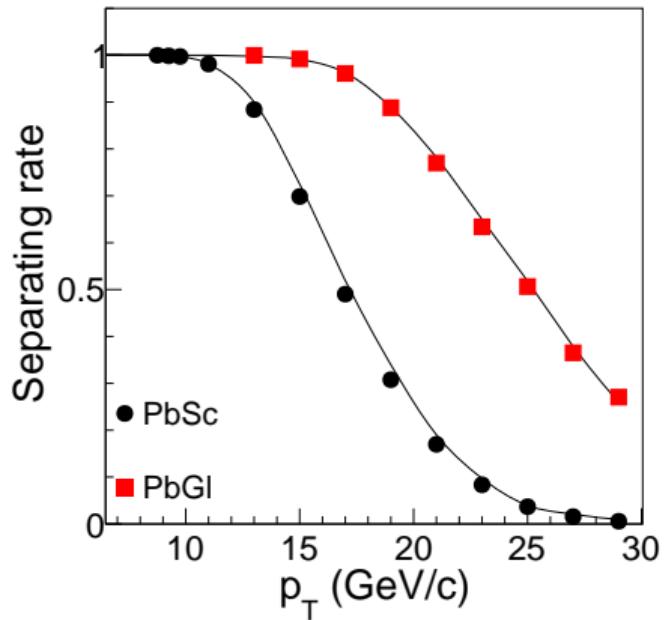


## $\pi^0$ and $\eta$ missing ratios

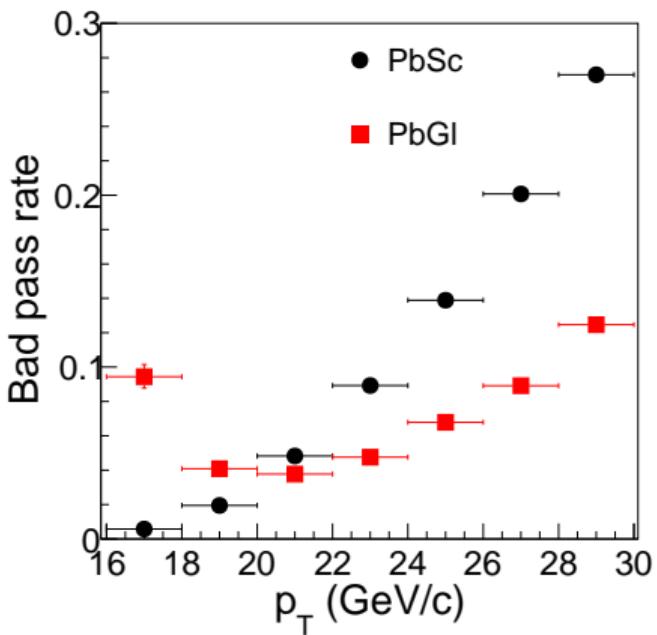




# $\pi^0$ decay photon separating rate



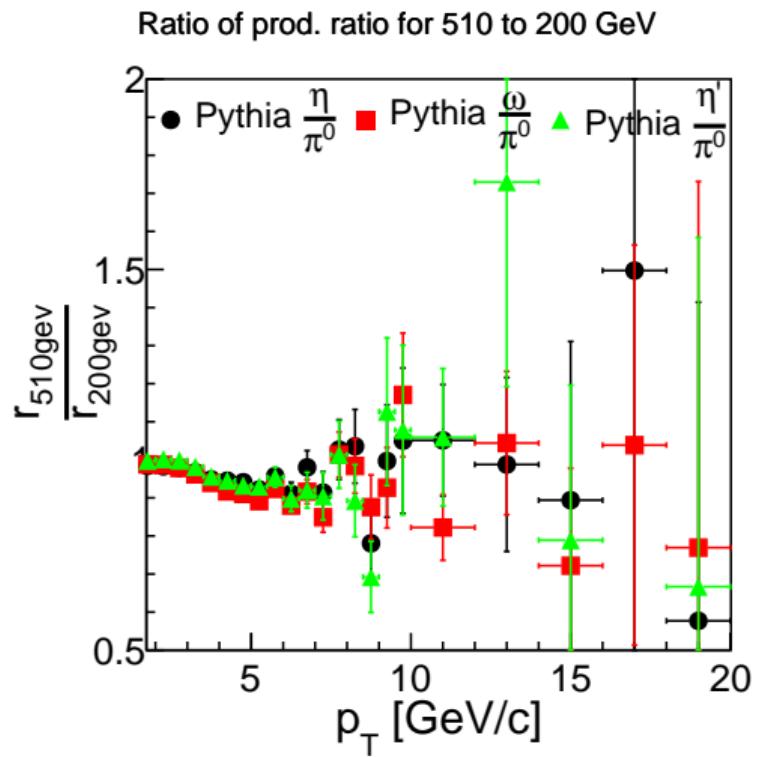
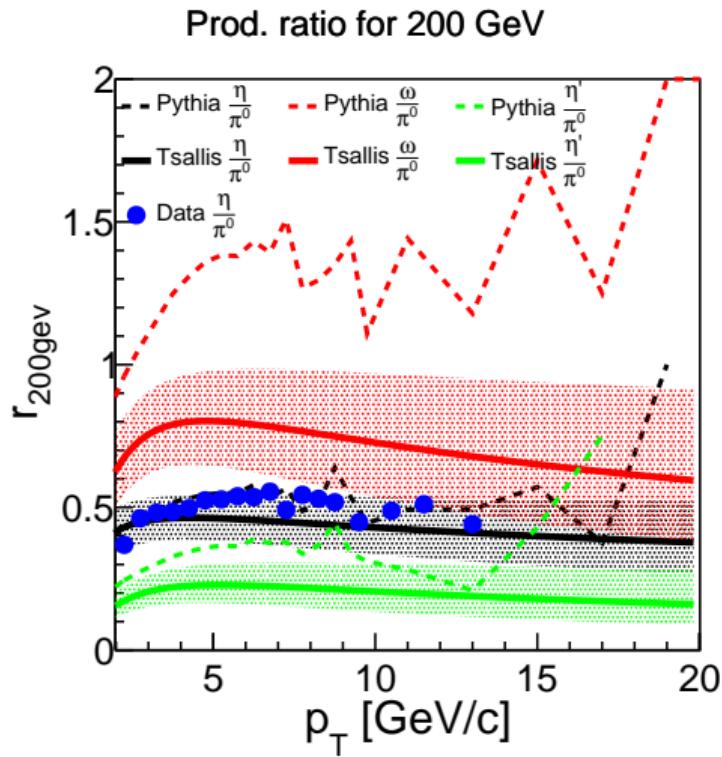
Two-photon separating rate



Merged-photon passing criteria rate



# Other meson production rate at 200 and 510 GeV



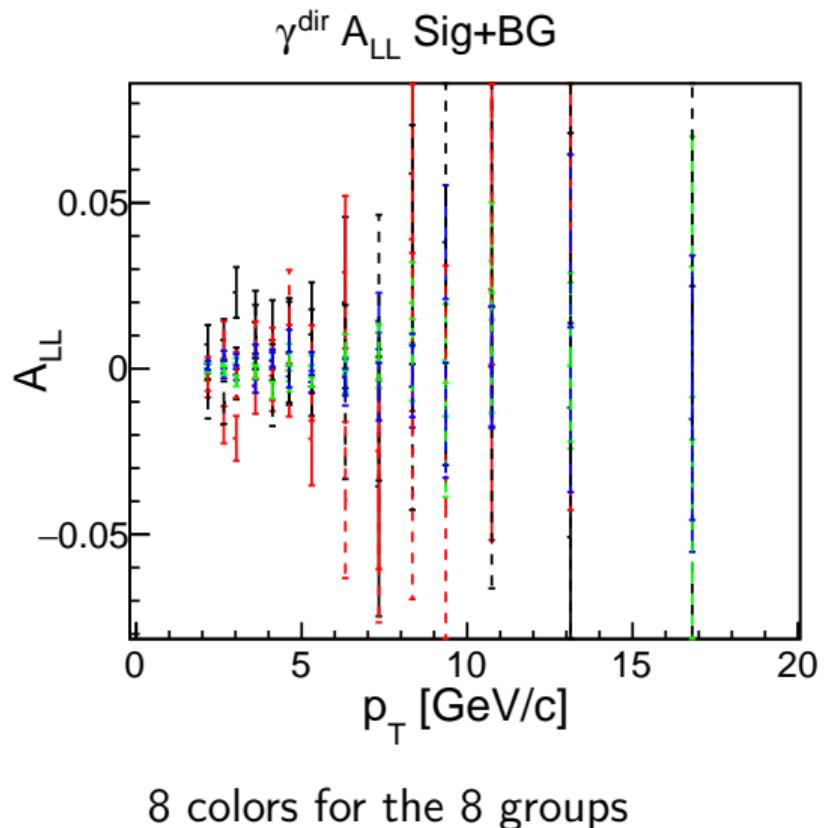


# Other meson decay photon ratios

Particle	Production ratio	Branching ratio	$\gamma$ ratio
$\frac{\eta}{\pi^0}$	$0.5 \pm 0.1$	$\frac{\text{Br}(\eta \rightarrow 2\gamma   \pi^+ \pi^- \gamma)}{\text{Br}(\pi^0 \rightarrow 2\gamma)} = \frac{39.4 + 4.2/2}{98.8}$	$0.21 \pm 0.04$
$\frac{\omega}{\pi^0}$	$0.8 \pm 0.3$	$\frac{\text{Br}(\omega \rightarrow \pi^0 \gamma)}{\text{Br}(\pi^0 \rightarrow 2\gamma)} = \frac{8.4/2}{98.8}$	$0.034 \pm 0.013$
$\frac{\eta'}{\pi^0}$	$0.2 \pm 0.1$	$\frac{\text{Br}(\eta' \rightarrow \rho^0 \gamma   \omega \gamma   2\gamma)}{\text{Br}(\pi^0 \rightarrow 2\gamma)} = \frac{28.9/2 + 2.6/2 + 2.2}{98.8}$	$0.036 \pm 0.018$
Sum	-	-	$0.28 \pm 0.05$



# Cross check between the 8 groups



$$F = \frac{\text{between-group variability}}{\text{within-group variability}}$$

$p_T$ [GeV]	F	p
2-2.5	1.125	0.3445
2.5-3	3.452	0.001132
3-3.5	4.174	0.0001452
3.5-4	2.546	0.01316
4-4.5	1.545	0.1477
4.5-5	1.501	0.1624
5-6	0.6462	0.7178
6-7	1.047	0.3962
7-8	0.9306	0.4815
8-9	0.6235	0.7369
9-10	1.434	0.1875
10-12	0.8384	0.5553
12-15	0.7312	0.6455
15-20	0.812	0.5773



# Bunch shuffling technique

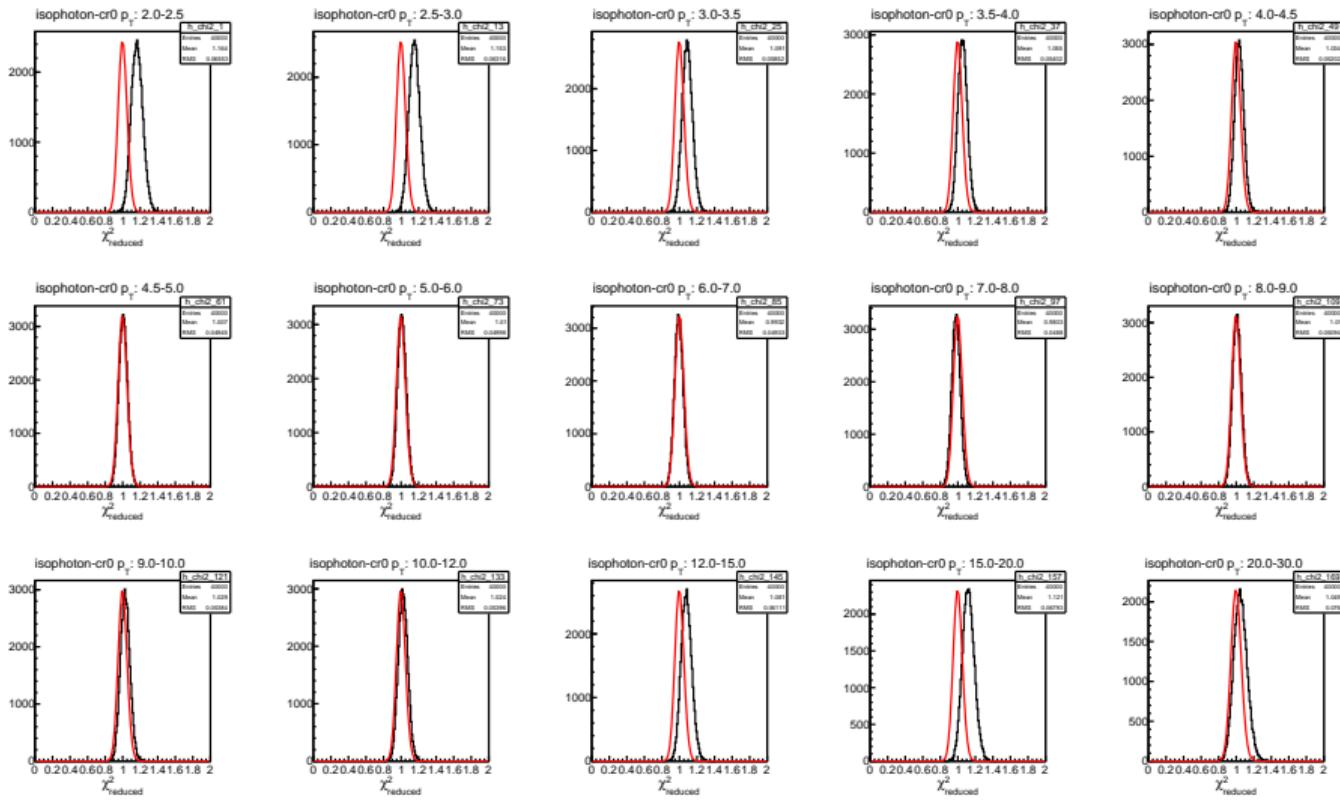
- The uncertainty of  $A_{LL}^{\text{run}}$  for each run from the error propagation:

$$(\Delta A_{LL}^{\text{run}})^2 = \left( \frac{1}{P_B P_Y} \frac{2RN_{++}N_{+-}}{(N_{++} + RN_{+-})^2} \right)^2 \left( \left( \frac{\Delta N_{++}}{N_{++}} \right)^2 + \left( \frac{\Delta N_{+-}}{N_{+-}} \right)^2 + \left( \frac{\Delta R}{R} \right)^2 \right) \\ + \left( \left( \frac{\Delta P_B}{P_B} \right)^2 + \left( \frac{\Delta P_Y}{P_Y} \right)^2 \right) (A_{LL}^{\text{run}})^2$$

- Above assumptions: variables → independent; yields → Poisson distribution.
- Bunch shuffling: no assumptions about underlying statistical distributions.
- Procedures: randomize the spin pattern, calculate  $\Delta A_{LL}^{\text{run}}$  by the error propagation, fit  $A_{LL}^{\text{run}}$  with a constant, get the fitting  $\chi^2_{\text{reduced}}$ , repeat 40,000 times, plot the  $\chi^2_{\text{reduced}}$  distribution, compare with the theoretical  $\chi^2_{\text{reduced}}$  distribution.
- Purposes: check unknown systematic uncertainties or overestimation of the statistical uncertainties.

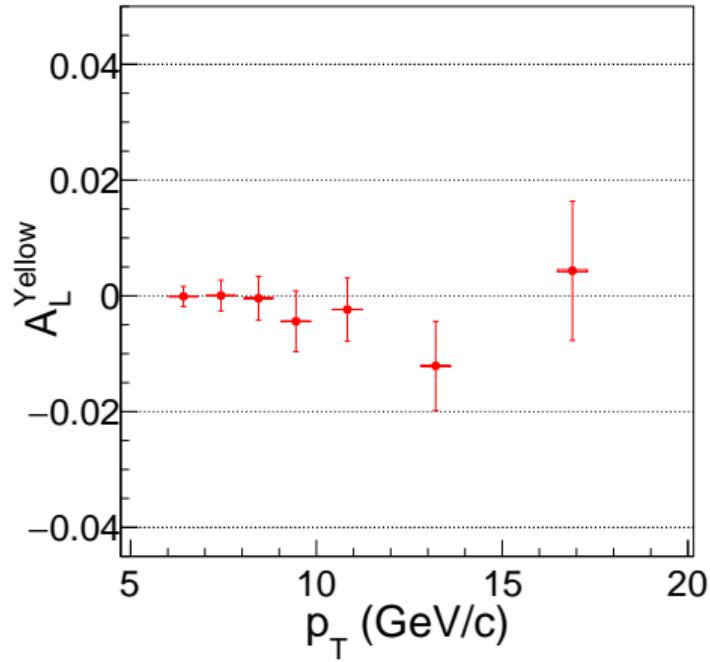
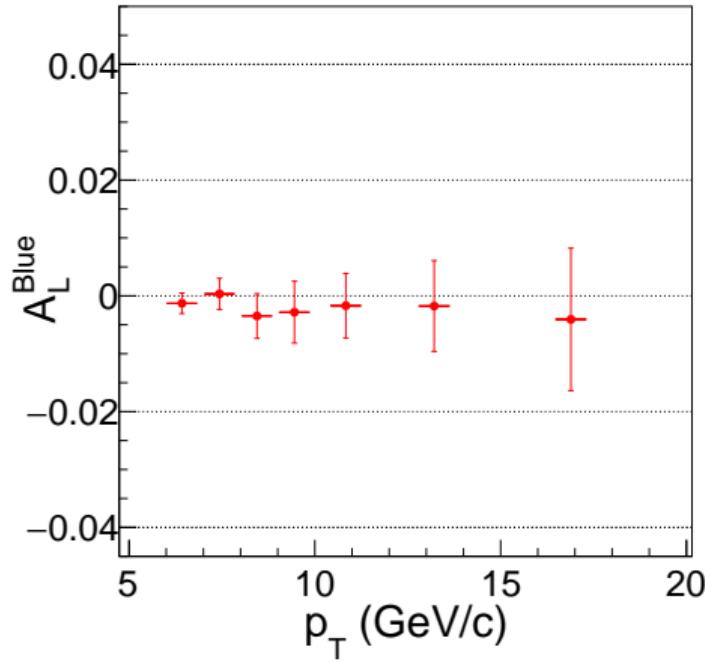


# Bunch shuffling results





## $A_L$ cross checks



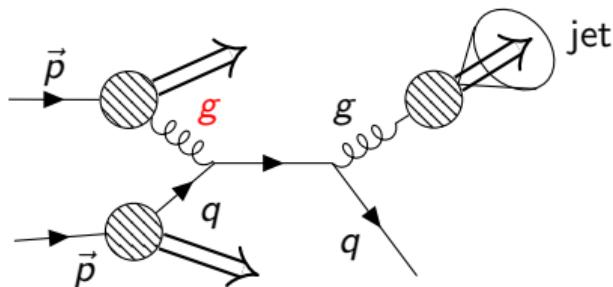


# Processes probing parton helicity densities

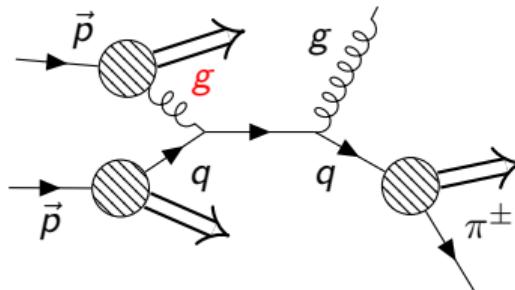
Reaction	Dom. partonic process	probes	LO Feynman diagram
$\vec{p}\vec{p} \rightarrow \pi + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{g} \rightarrow qg$	$\Delta g$	
$\vec{p}\vec{p} \rightarrow \text{jet(s)} + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{g} \rightarrow qg$	$\Delta g$	(as above)
$\vec{p}\vec{p} \rightarrow \gamma + X$ $\vec{p}\vec{p} \rightarrow \gamma + \text{jet} + X$ $\vec{p}\vec{p} \rightarrow \gamma\gamma + X$	$\vec{q}\vec{g} \rightarrow \gamma q$ $\vec{q}\vec{g} \rightarrow \gamma q$ $\vec{q}\vec{q} \rightarrow \gamma\gamma$	$\Delta g$ $\Delta g$ $\Delta q, \Delta \bar{q}$	
$\vec{p}\vec{p} \rightarrow DX, BX$	$\vec{g}\vec{g} \rightarrow c\bar{c}, b\bar{b}$	$\Delta g$	



# Jet and charged pion productions



Jet production



Charged pion production

- Larger statistics: not suppressed by small QED coupling.
- $\pi^\pm$ : separate u and d quark.
- RHIC 200 GeV data probe  $0.05 < x < 0.2$
- RHIC 510 GeV data probe  $0.02 < x < 0.08$

