

# High-energy spin physics at fixed-target experiments

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## Highlights on measurements of:

- **Nucleon spin, Gluon and quark helicities: DIS**
- **Transverse spin: DIS and Drell-Yan**
- **Generalized parton distributions : DVCS, HEMP**

27 GeV  $e^+$  &  $e^-$

Longit. polarized  $\sim 54\%$

Gaseous intern. polar target

1995 to 2007

160-200 GeV

polarized muon beam DIS

pion beam: Drell-Yan

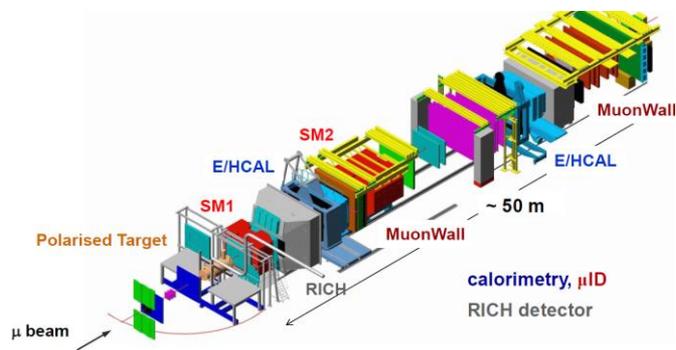
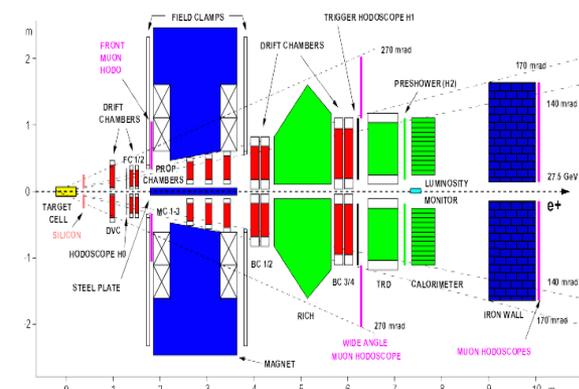
Long solid polarized targets

12 GeV

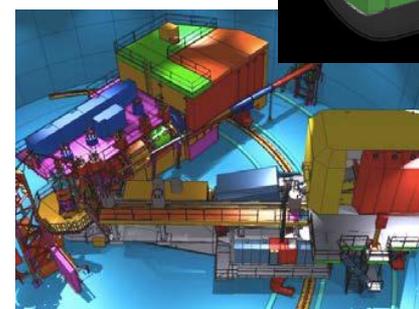
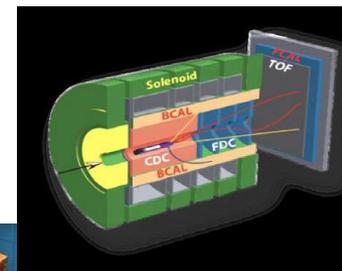
Polarized CW  $e^-$  beam

Pol=85%,

High luminosity

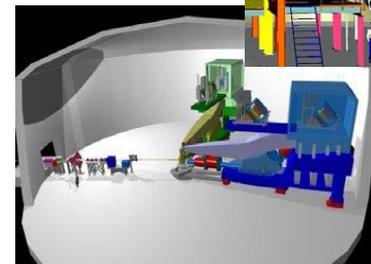
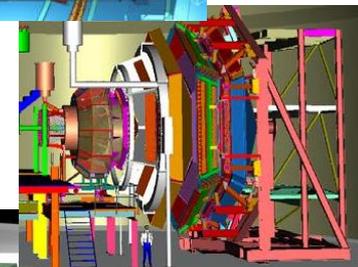


Hall D:  
hybrid  
mesons

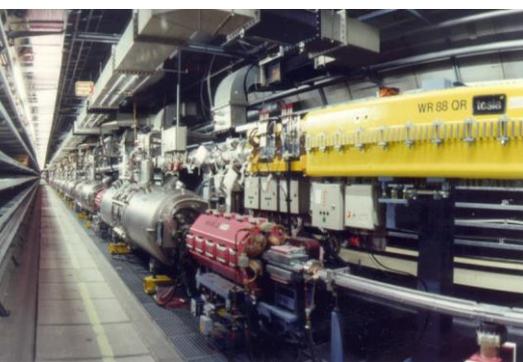


Hall C

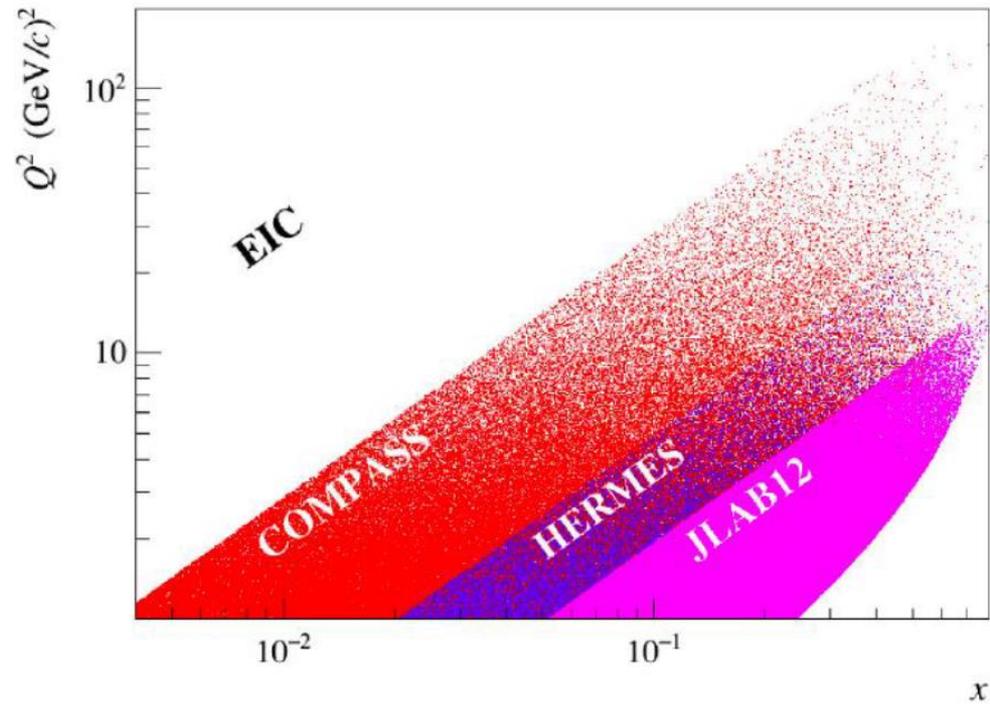
Hall B:  
GPDs



Hall A: form  
factors +  
Moller &  
SOLID...-2



# Kinematical ranges



# Nucleon spin - longitudinal

How is the nucleon spin distributed among its constituents?

$$\text{Nucleon Spin } \frac{1}{2} = \underbrace{\frac{1}{2}\Delta\Sigma}_{\text{quark}} + \underbrace{\Delta G}_{\text{gluon}} + \underbrace{L}_{\text{orbital momentum}}$$

$\Delta\Sigma$  : sum over u, d, s,  $\bar{u}$ ,  $\bar{d}$ ,  $\bar{s}$   
can take non half-integer value:  
superposition of several spin states

$$\Delta q = \vec{q} - \overleftarrow{q}$$

Parton spin parallel or anti parallel to nucleon spin

$\Delta\Sigma$  Today:

Precise world data on polarized DIS:  $g_1 + \text{SU}_f(3) \quad a_0 = \Delta\Sigma \sim 0.3$   
Quark spin contribution  $\sim 30\%$

Confirmed by first results from Lattice QCD on  $\Delta\Sigma_{u,d,s}$

See talk of C. Alexandrou

Large experimental effort on :

-  $\Delta G$  measurement

also because  $a_0 = \Delta\Sigma - n_f (\alpha_s/2\pi) \Delta G$  (AB scheme)

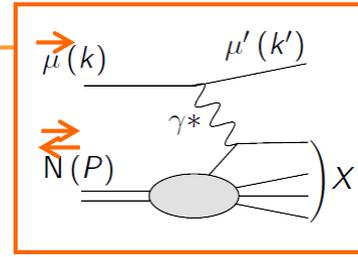
- 3D mapping of nucleon and constraining L

through DVCS and Hard Exclusive Meson Production

# QCD fits- World data on $g_1^p$ and $g_1^d$

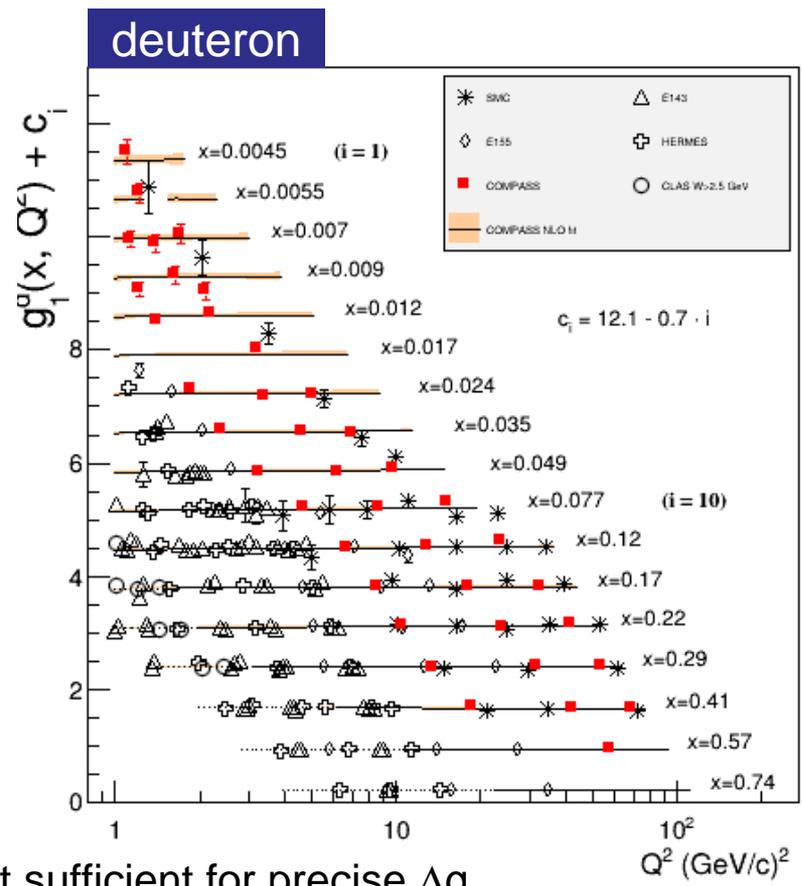
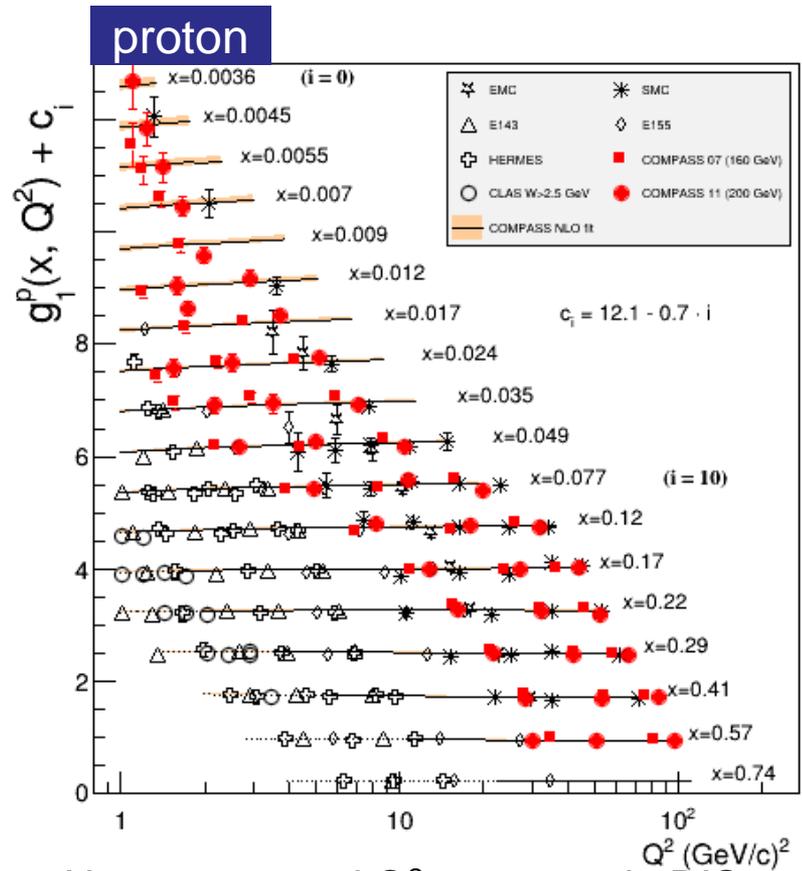
DIS

Polarized Deep Inelastic Scattering  
 → Nucleon spin structure functions  $g_1$



$$\frac{d g_1}{d \text{Log}(Q^2)} \propto -\Delta g(x, Q^2)$$

→  $g_1(x, Q^2)$  as input to global QCD fits for extraction of  $\Delta q_f(x)$  and  $\Delta g(x)$



However  $x$  and  $Q^2$  coverage in DIS not yet sufficient for precise  $\Delta g$   
 Need to use constraint from pp data (as DSSV, NNPDF...)

PLB753 (2016) 18

# NLO pQCD fit to $g_1$ DIS world data

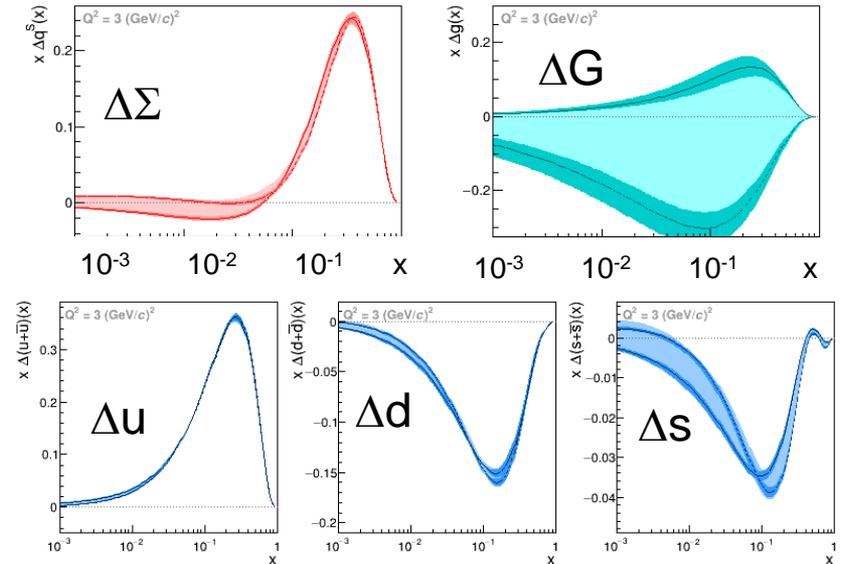
- Assume functional forms for  $\Delta\Sigma$ ,  $\Delta G$  and  $\Delta q^{\text{NS}}$
- Use DGLAP equations, relating  $\Delta\Sigma$ ,  $\Delta G$  evolutions .
- Fit  $g_1^p$ ,  $g_1^d$ ,  $g_1^n$  DIS world data. ( $\text{SU}_3$ )

COMPASS, PLB 753 (2016) 18

- Extract  $\Delta\Sigma$  Quarks  $\Delta G$  Gluons

## $\Delta G$ not well constrained using DIS only

Obtain solutions with  $\Delta G > 0$  and  $\Delta G < 0$   
 Solution with  $\Delta G > 0$  agrees with result from DSSV++ which uses RHIC pp data



$$0.82 \leq \Delta U \leq 0.85 \quad -0.45 \leq \Delta D \leq -0.42 \quad -0.11 \leq \Delta S \leq -0.08$$

$\Delta\Sigma$  well constrained in valence region

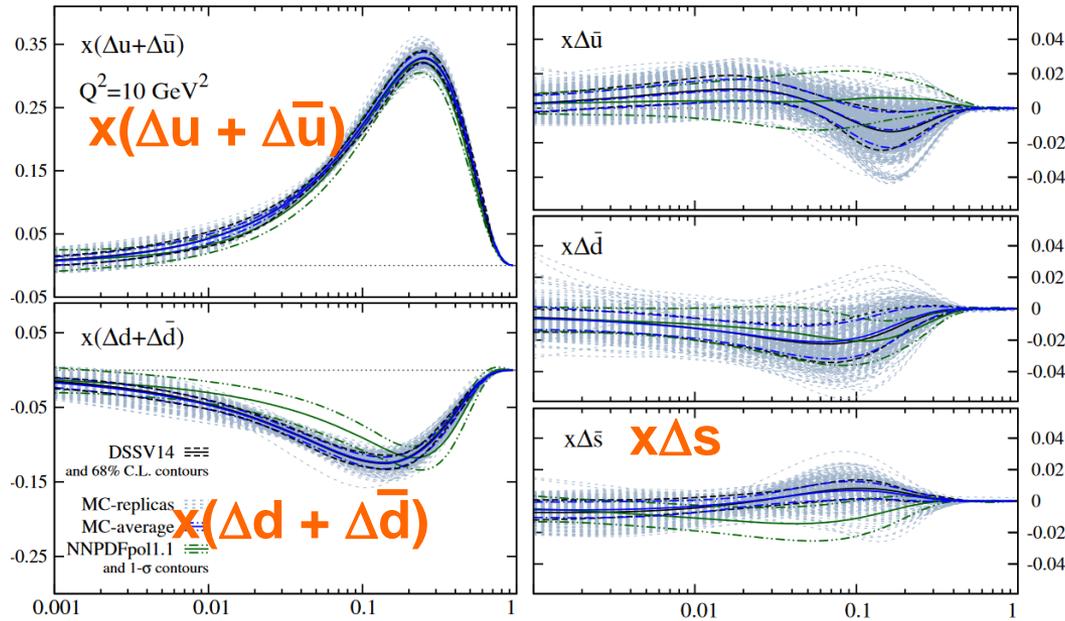
$$\Delta\Sigma = 0.31 (5) \text{ at } Q^2 = 3 \text{ (GeV/c)}^2$$

Still large uncertainty coming from the bad knowledge of functional form

# Global fits to polarized PDFs (I)

Fits to world data, including collider data. Many fitters. Some examples:

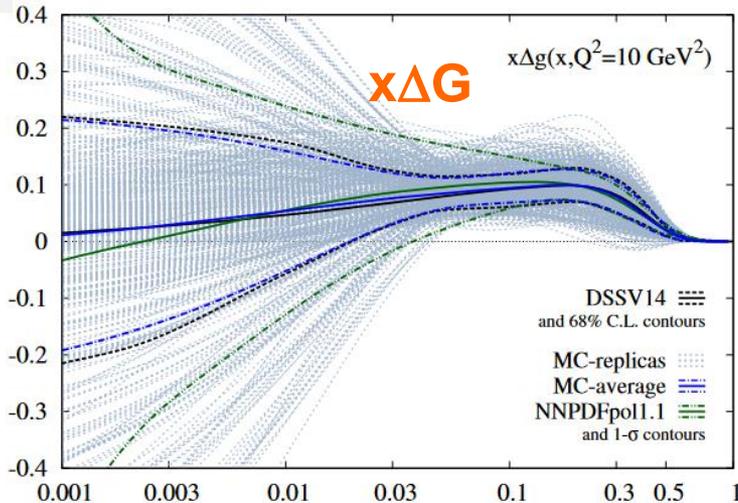
DLSSV: PRD100, 114027 (2019)



Blue: *DSSLV*

from DSSV14 w. replicas  
and MC average

Green: *NNPDFpol1.1*



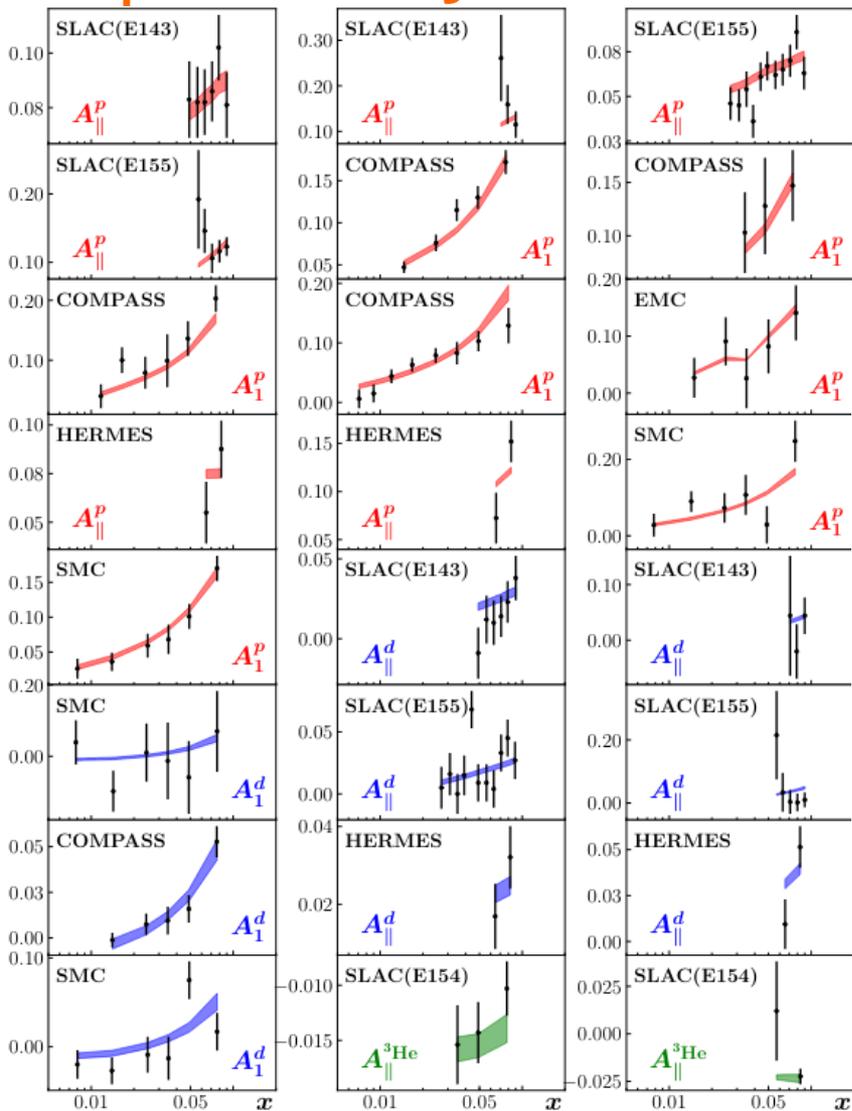
More realistic evaluation of  
uncertainties

Still some discrepancies in  $\Delta s$  sign  
(and in  $\Delta d$  position of minimum)

Large uncertainties in  $\Delta G$ , below  $x \sim 0.1$

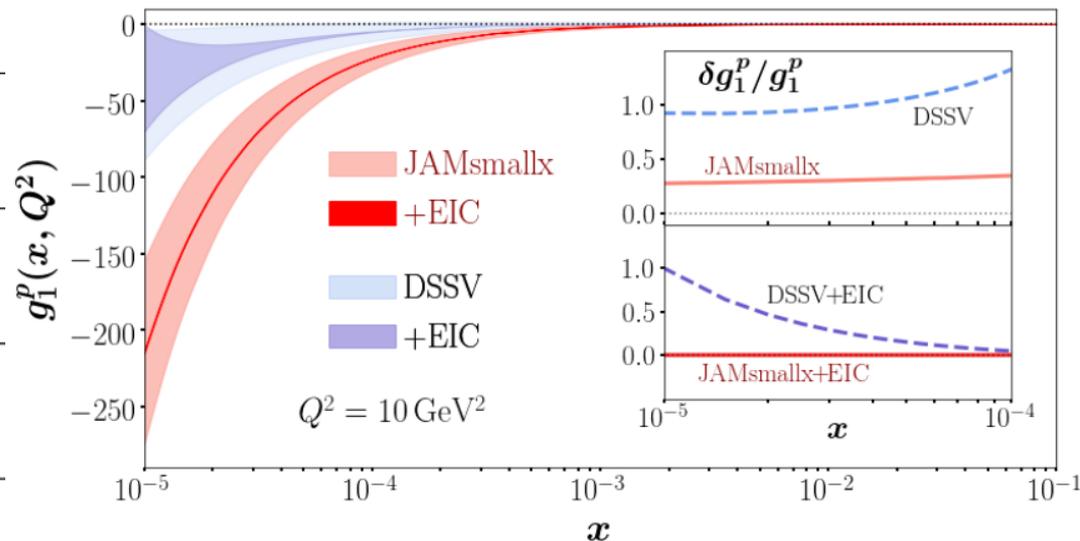
# Global fits to polarized PDFs (II)

## $A_1$ – helicity low $x$



Small- $x$  evolution equations for  $g_1$   
Data from SLAC, CERN, DESY.

- Present projections toward low  $x$
- Expected impact of EIC future data



**JAM smallx, arXiv:2102.06159**

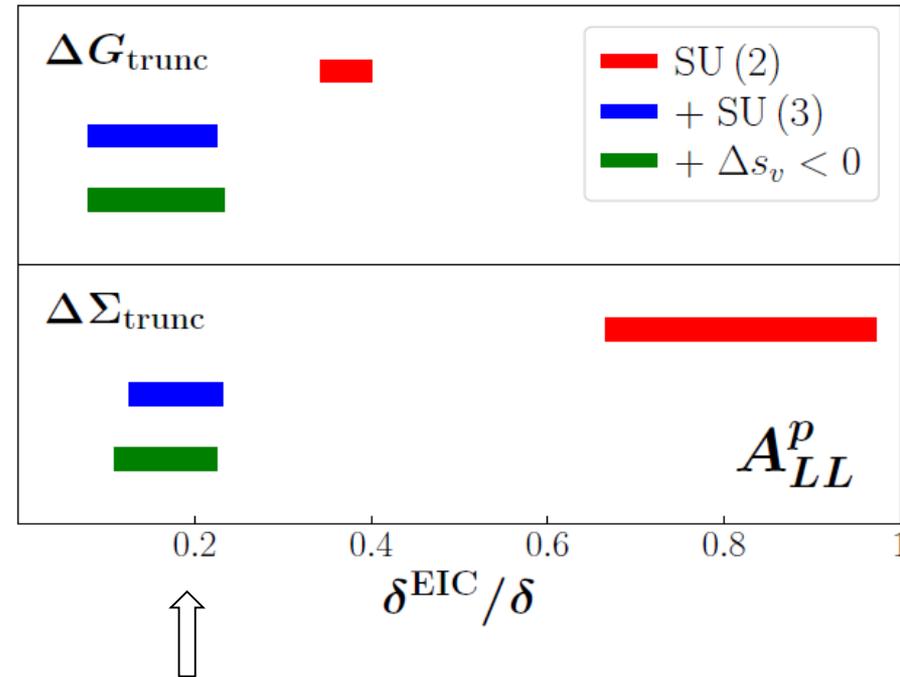
# Global fits to polarized PDFs (III)

JAM hep-ph 2105.04434

See also talk C. Cocuzza

## $\Delta\Sigma$ and $\Delta G$

Expected impact of EIC future data on integrals truncated to  $x \sim 10^{-4}$



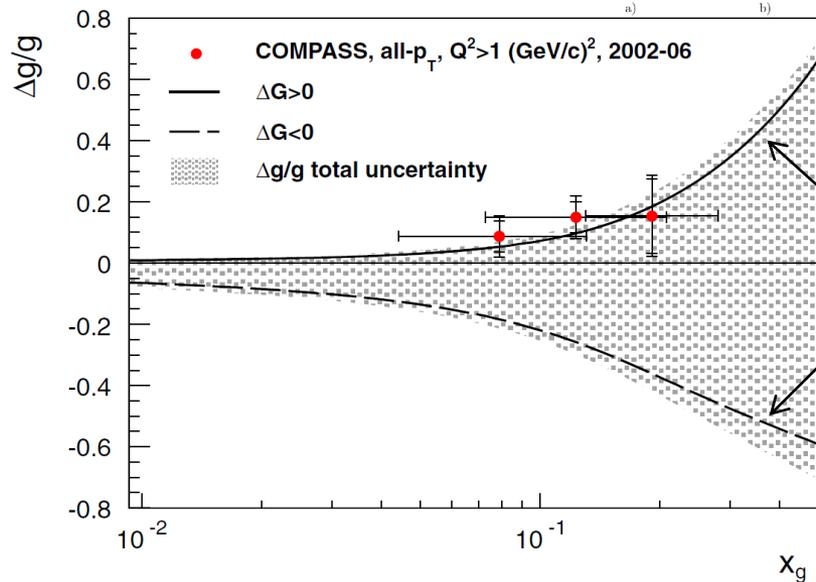
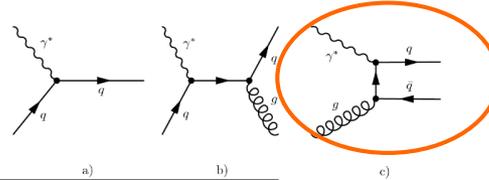
Huge reduction of uncertainties  
but need to use SU3

# Gluon helicity $\Delta G/G$ direct measurement

$Q^2 > 1 (\text{GeV}/c)^2$

## Photon Gluon Fusion

$$\vec{\mu} \quad \vec{p} \rightarrow \mu' \quad h + h + X$$



Extraction at LO:

$$\Delta g/g (x=0.1) = 0.11 \pm 0.04 \pm 0.04$$

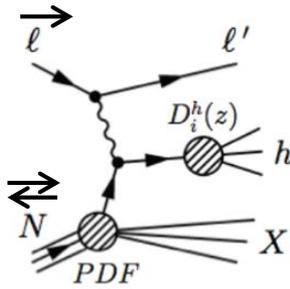
Solutions from COMPASS NLO  
QCD fit of  $g_1$  world data (see before)

**EPJC 77 (2017) 209**

Results are in agreement with fits from NNPDF and DSSV++ using RHIC  $\vec{p}\vec{p}$  data, which give

$$\int_{0.05}^{0.2} \Delta g(x) dx \simeq 0.20$$

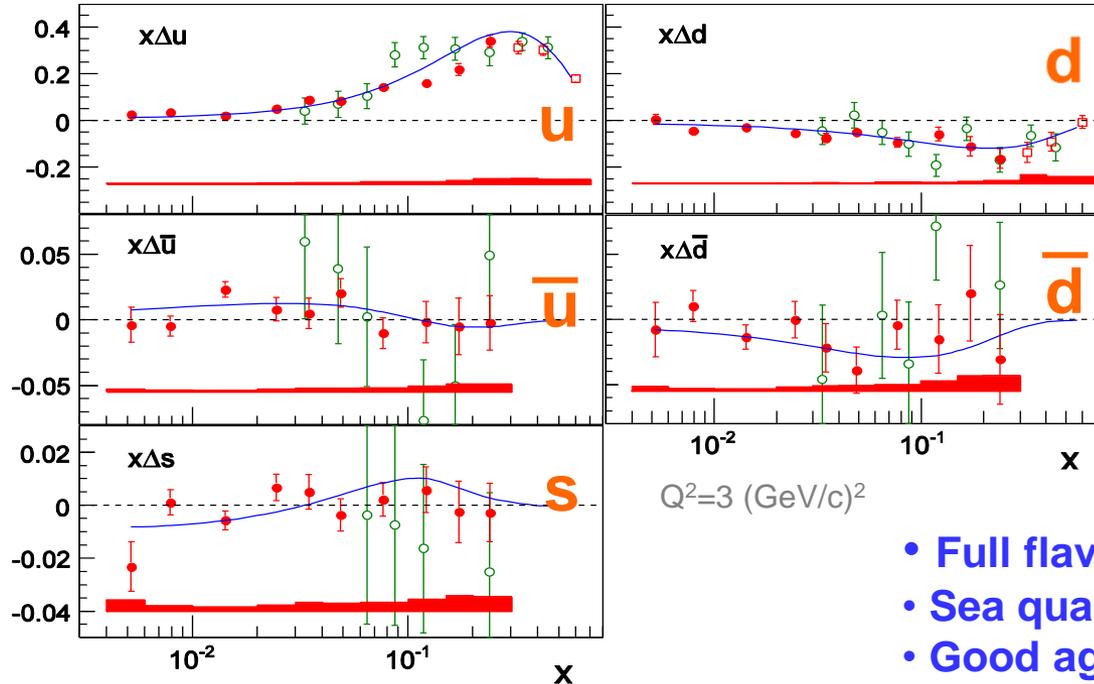
# Quark helicities from semi-inclusive DIS



$$l \rightarrow p \rightarrow l h^{+/-} X$$

Outgoing hadron tags quark flavor  
(via quark fragmentation functions)

Flavour separation of quark helicities:



○ HERMES  
PRD71(2005)012003

● COMPASS  
PLB693(2010)227, using DSS-07 FFs

— DSSV at NLO

- Full flavour separation  $\rightarrow x \sim 0.004$
- Sea quark distributions  $\sim$  zero
- Good agreement with global fits

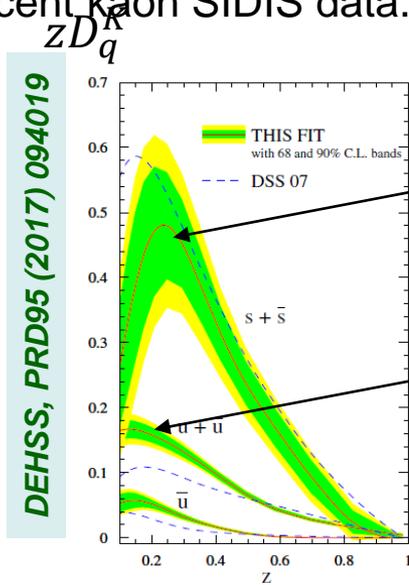
NB: The SIDIS extraction uses input of quark Fragmentation Functions, not that well determined yet, especially for the strange quark sector.

# Kaons- Quark fragmentation functions from NLO fits

Extensive sets of SIDIS kaon data **COMPASS PLB 767 (2017) 133**  
 change significantly flavor decomposition of FFs (& PDFs)

See plenary talk on  
 FFs by F. Ringer

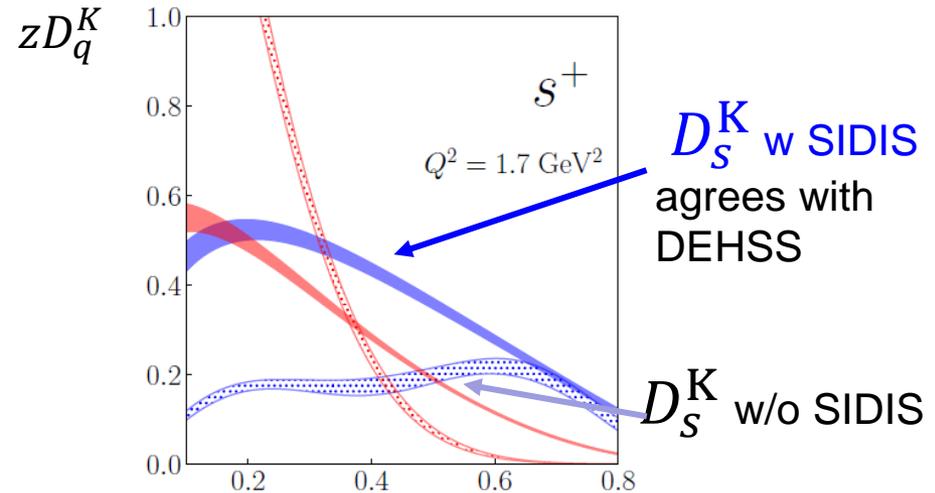
Ex1: **DEHSS-17** fit to quark FF, includes recent kaon SIDIS data.



$D_S^K$   
 smaller than  
 in DSS-07

$D_U^K$   
 larger than in  
 DSS-07

Ex2: **JAM18 w/o SIDIS**  
 Combined fit of PDFs and FFs (prelim)



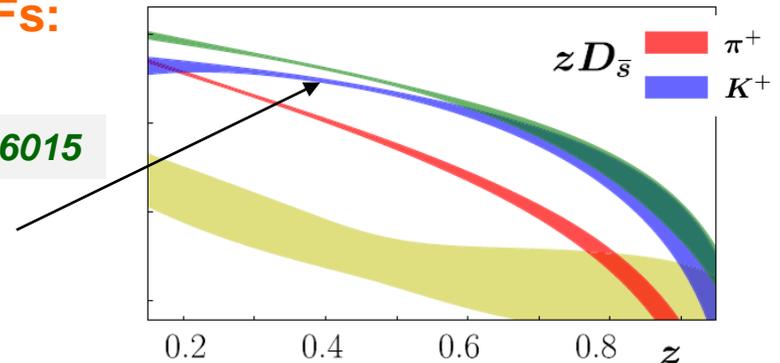
Also **simultaneous/ iterative fits of PDFs & FFs:**

Ex: **Borsa, Sasso, Stratmann, PRD96 (2017)**

**& JAM20-sidis, PRD104 (2021) 016015**

'SIA + SIDIS data : strong preference for smaller strange to nonstrange PDF ratio, and enhanced  $D_s^K$ '

-> revisit  $\Delta s(x)$  extraction from SIDIS data

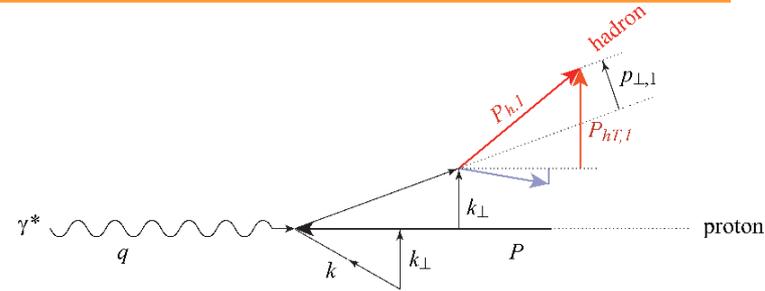


# Transverse Momentum Dependent distr. : TMDs

Importance of  $p_T$ :

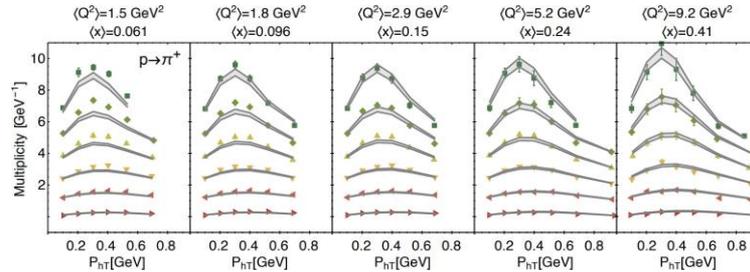
$P_T$  dependence results from:

- intrinsic  $k_\perp$  of the quarks
- $p_\perp$  generated in the quark fragmentation

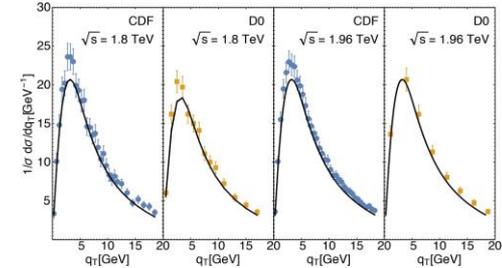


Global analyses of SIDIS, Drell-Yan and Z production data with TMD  $Q^2$  evolution

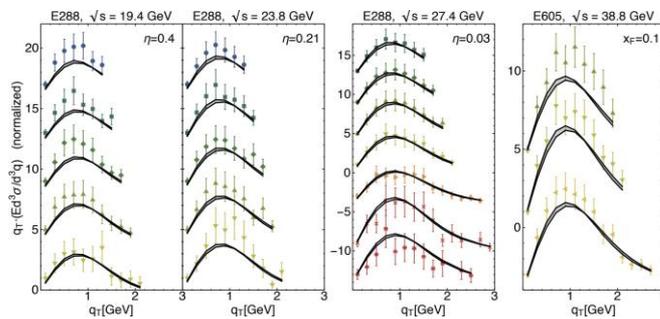
SIDIS multiplicity (example)



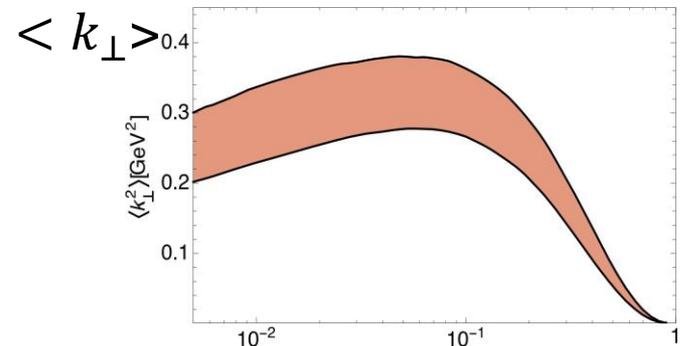
Z production



Drell-Yan cross section



Transverse momentum distribution



A. Bacchetta et al., JHEP06 (2017) 081 X

See also A. Martin talk

# Transverse spin- Collins and Sivers functions (DIS)

- Access via **SIDIS**, transversely polarized target

$$\mu \uparrow p \rightarrow \mu h^{+/-} X$$

- Measure simultaneously several azimuthal asymmetries, out of which :

- Collins: Outgoing hadron direction & quark transverse spin
- Sivers: Nucleon spin & quark transverse momentum  $k_{\perp}$

at LO: **Collins**  
quark transverse spin distr.

$$A_{\text{Coll}} = \frac{\sum_q e_q^2 \cdot x \cdot h_1^q \otimes H_{1q}^{\perp}}{\sum_q e_q^2 \cdot x \cdot q \otimes D_{1q}^h}$$

Collins TMD fragmentation function, depends on spin, and hadron  $p_{\perp}$

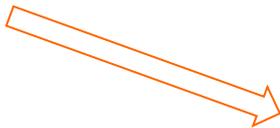
**Sivers**

Unpolarized quark TMD fragmentation function

$$A_{\text{Siv}} = \frac{\sum_q e_q^2 \cdot f_{1Tq}^{\perp} \otimes D_q^h}{\sum_q e_q^2 \cdot q \otimes D_q^h}$$

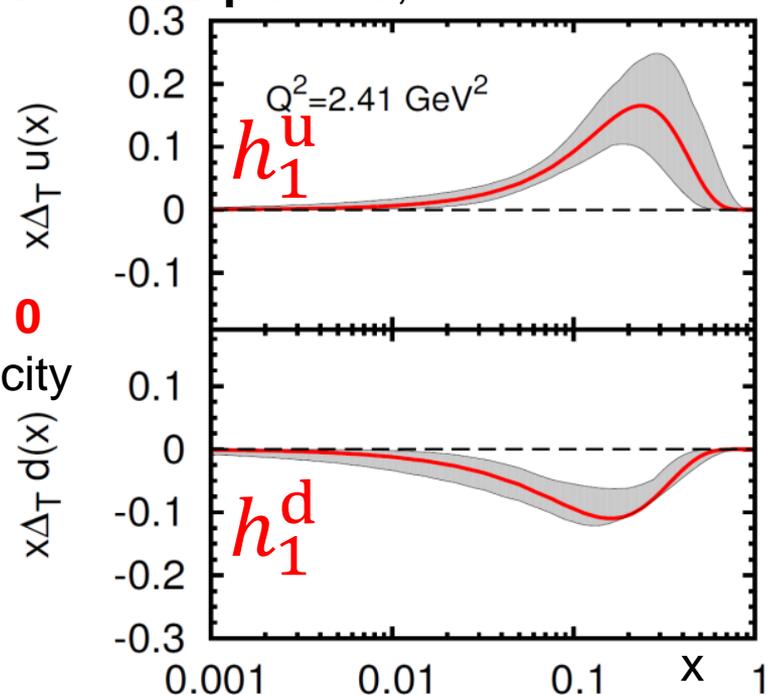
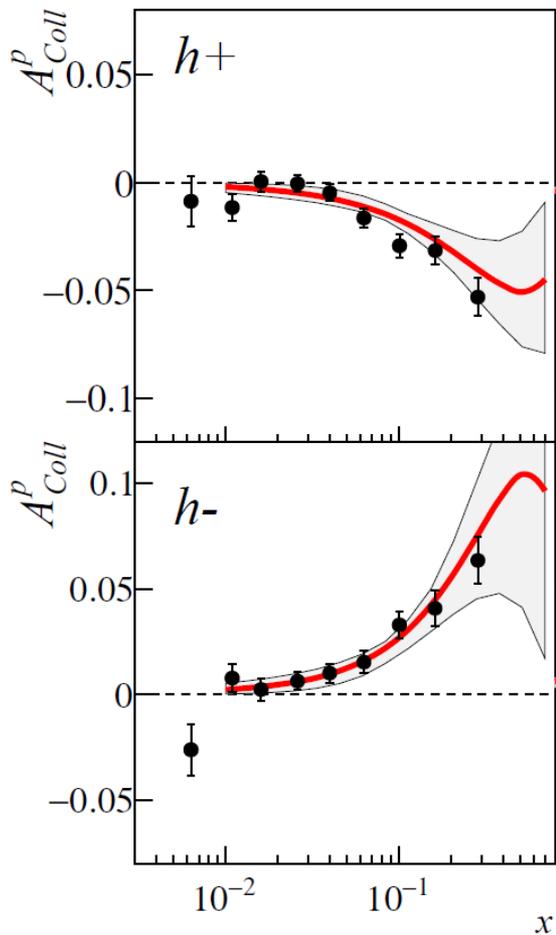
# Collins asymmetry $\rightarrow$ Transversity $h_1$

- Large signal for proton target.  
(compatible with zero for deuteron target)
- Same signal strength seen by HERMES and COMPASS, although different  $Q^2$  (times 4)



Several combined analyses of polarized SIDIS data  
**HERMES p**, **COMPASS p and d**, and **BELLE FF**

$h_1^u > 0$  and  $h_1^d < 0$   
 Smaller than helicity

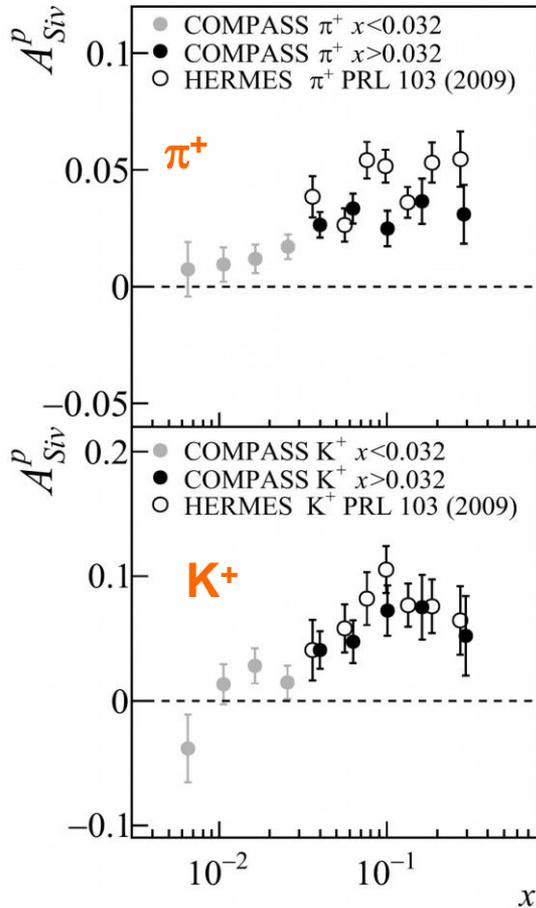


NB: asymmetries also measured for  $\pi$  and K

**HERMES PLB 693(2010)**  
**COMPASS PLB 744 (2015)**

# Sivers asymmetry → Sivers function

Correlation between Nucleon spin & quark transverse momentum  $k_T$



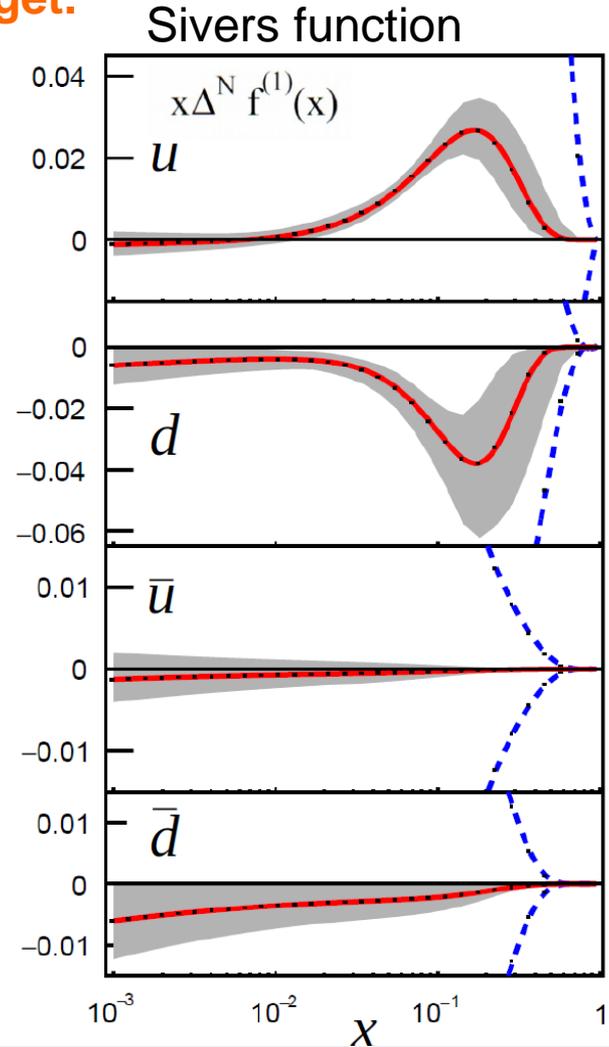
**Large signal with proton target.**

Was measured compatible with zero on deuteron

**Compared to COMPASS, HERMES (smaller  $Q^2$ ) has larger signal**



**HERMES PRL 103 (2009)**  
**COMPASS PLB 744 (2015)**



**Anselmino et al., JHEP04 (2017)046**

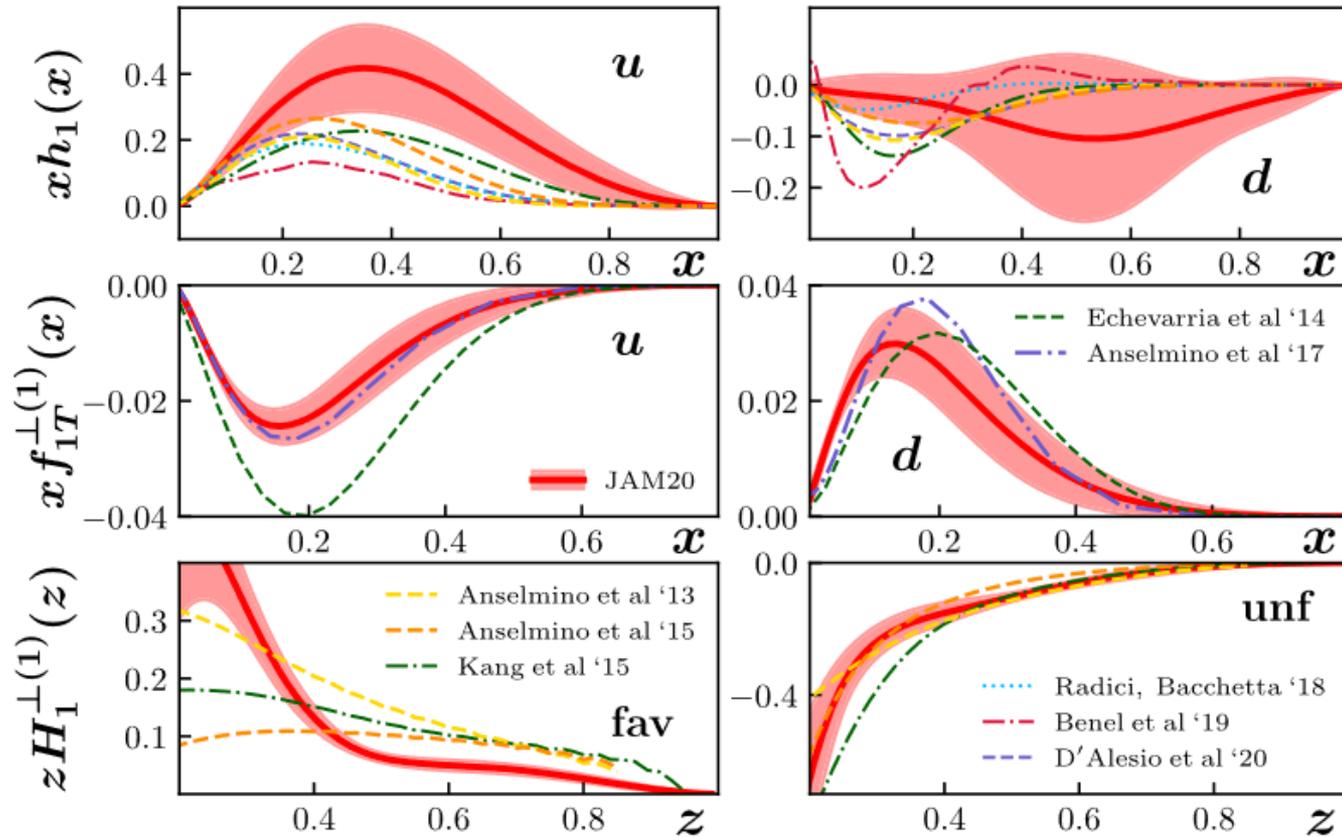
# Collins & Sivers. Recent global fits

Many global analyses of SIDIS, Drell-Yan, pp and e+e- .

Great progress: theoretical developments, large data sets, uncertainty studies

JAM20, Etchevaria et al., Anselmino et al., Radici, Bacchetta, Kang et al., D'Alesio et al., Boglione et., Bury et al. ..

e.g.:

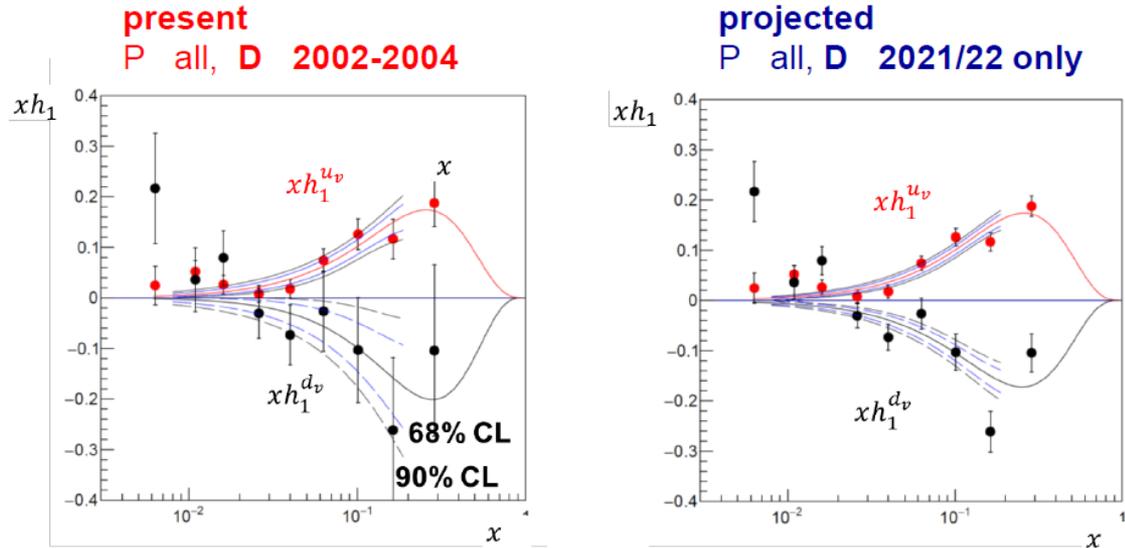


JAM20, PRD102, 054002 (2020)

# Transversity $h_1$ / tensor charge

More data on deuteron needed

COMPASS projection for 2022 data, pol. 6LiD:



	$\delta_u = \int_{\Omega_x} dx h_1^{uv}(x)$	$\delta_d = \int_{\Omega_x} dx h_1^{dv}(x)$	$g_T = \delta_u - \delta_d$
Present	$0.201 \pm 0.032$	$-0.189 \pm 0.108$	$0.390 \pm 0.087$
Projected	$0.201 \pm 0.019$	$-0.189 \pm 0.040$	$0.390 \pm 0.044$

Expected improvement on uncertainties by factors of :  $\sim 2$  (u),  $\sim 3$  (d)

# SIDIS transverse spin

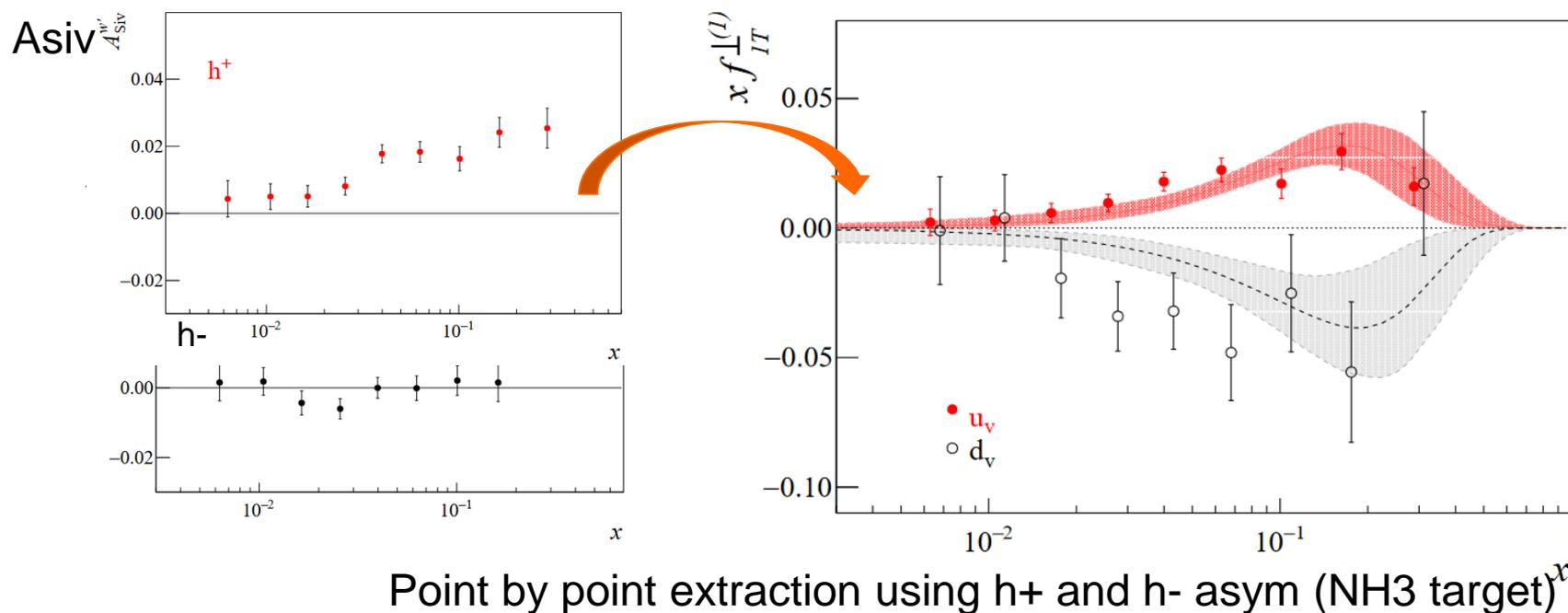
## TMDs, new approach: weighted asymmetries

$$A_{Siv}^{(h/zM)}(x, z) = 2 \frac{\sum_q e_q^2 f_{1T}^{\perp(1)q}(x) \cdot D_1^q(z)}{\sum_q e_q^2 f_1^q(x) \cdot D_1^q(z)},$$

Sivers asymmetry, with weight  $p_T/zM$   
 No more convolution of TMDs and FFs  
 but a product of integrals.

$$f_{1T}^{\perp(1)}(x, Q^2) = \int d^2k_T \frac{k_T^2}{2M^2} f_{1T}^{\perp}(x, k_T, Q^2).$$

→ extract first moment of Sivers  
 without assumption on  $k_T$  dependence



COMPASS NPB 940 (2019)34

# More on TMDs

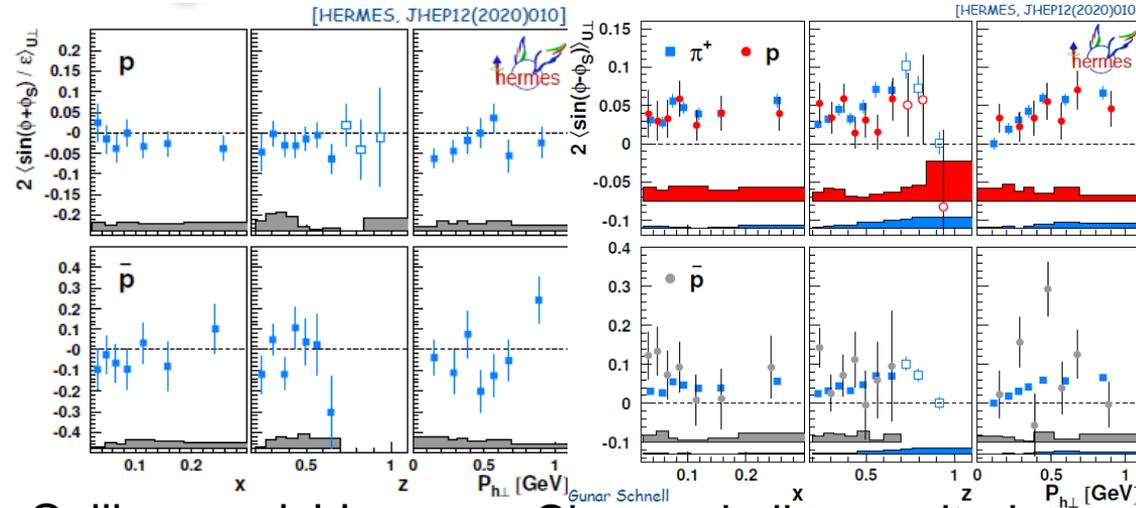
Many other results, e.g.:

**HERMES:** extensive 3D analysis update

*talks: G. Schnell (Transv.), H. Marukyan (Longit.)*

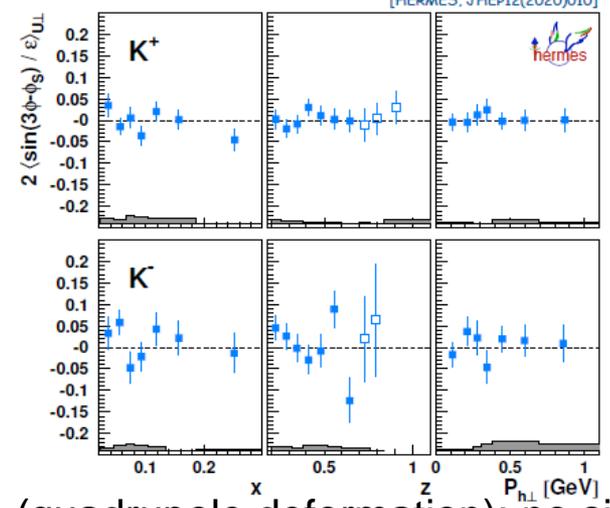
**First Collins & Sivers for p & pbar**

**Pretzelosity for  $\pi$  and K**



Collins: vanishing

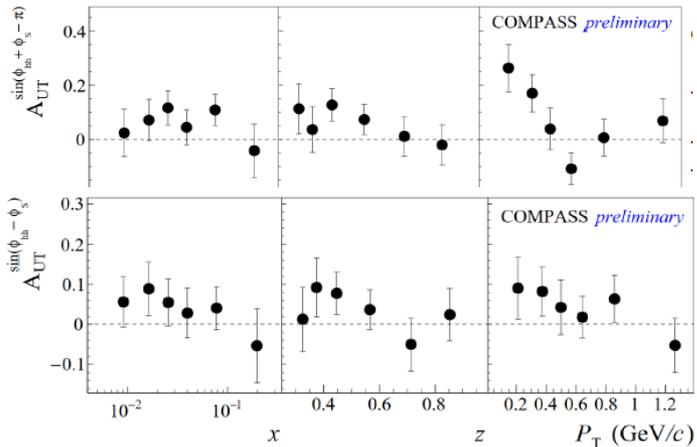
Sivers: simil. magnitude as  $\pi^+$



(quadrupole deformation): no sign

**$\rho^0$  COMPASS first Collins and Sivers measurement**

*talk A. Moretti*



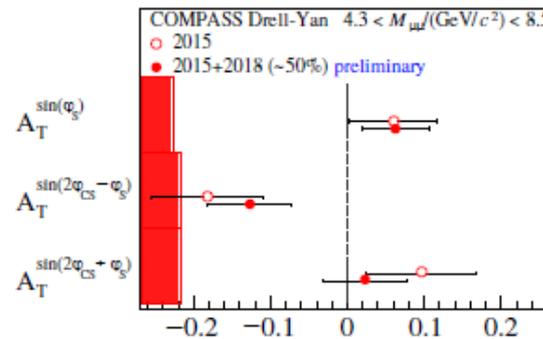
**$\rho^0$  Collins** asym: positive,  
opposite to  $\pi^+$ , as expected from models  
large at small  $p_T$

**$\rho^0$  Sivers** asym: positive,  
similarly to  $\pi^+$ , as expected

# TMDs in polarized Drell-Yan

COMPASS,  
 $\pi$  induced Drell-Yan  
 on pol.  $\text{NH}_3$ :

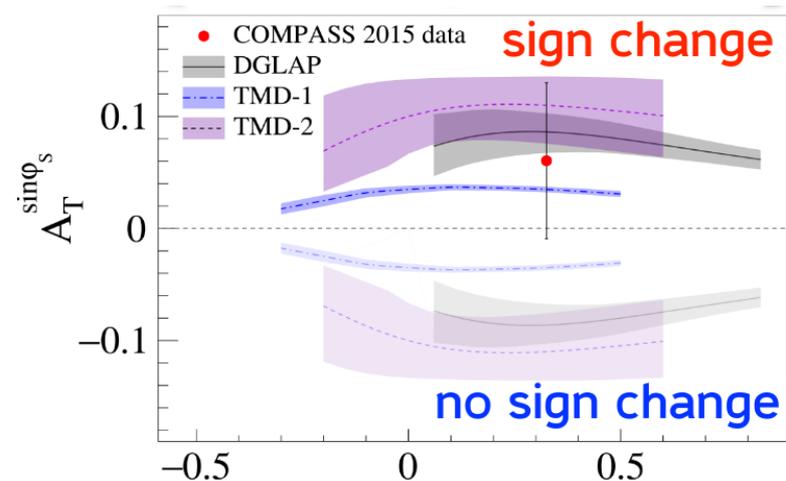
*hep-ex 1908.01727,  
 & talk A. Chumakov*



Sivers  $\sim 1\sigma$  above zero  
 Transversity  $\sim 2\sigma$  below zero  
 Pretzelosity  $\sim 1\sigma$  above zero

**Sivers function:**

non-vanishing orbital angular momentum,  
 Process dependence expected :  
 sign change between SIDIS and Drell-Yan  
 Both measured in COMPASS  
 at similar hard scale



*COMPASS, PRL 119 (2017) 112002*

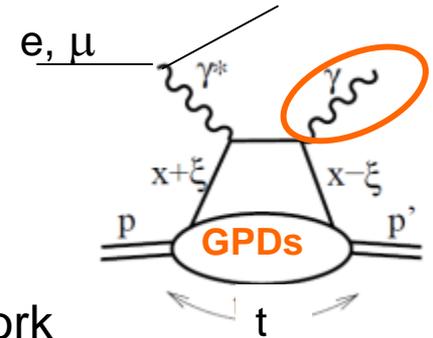
See also **Global analysis SIDIS+Star W, Z data** : *M. Bury et al, PRL 126 (2021) 112002* :  
 only slight preference for Sivers sign-change  
 (new STAR data not yet included).

# GPDs generalized Parton Distributions

Physics goal: 3D mapping of nucleon  
and access to Orbital Angular Momentum

$e p \rightarrow e p \gamma$

See theory talk by B. Pasquini



Determine 4 GPDs : **H, E,  $\tilde{H}$ ,  $\tilde{E}$**  (Re and Im parts)  
via 'exclusive' processes: DVCS ( $\gamma$ ) and DVMP ( $\rho, \omega, \phi$ )

Measurements at **Jlab, Compass, Hermes** and pioneering work  
at H1 and Zeus

DVCS interferes with Bethe-Heitler process

→ Can use interference terms (e.g. at Jlab) or pure DVCS production  
with appropriate combinations of beam sign and polarization (COMPASS).

## Way to it:

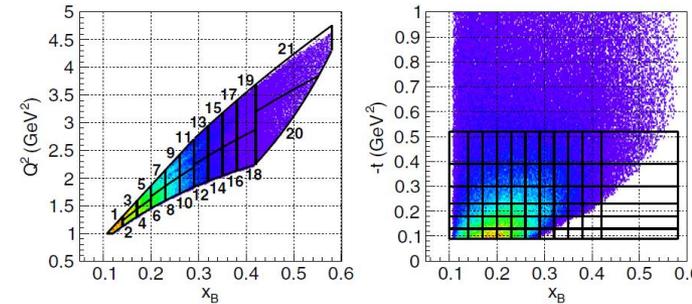
- Collect very large sample of data, various observables and several kinematic variables
- Global analyses to extract 4x2 Compton Form Factors **CFFs**
- Deconvolutions to finally access **GPDs**.

# DVCS – Jlab CLAS proton target, $e H \rightarrow e' p \gamma$

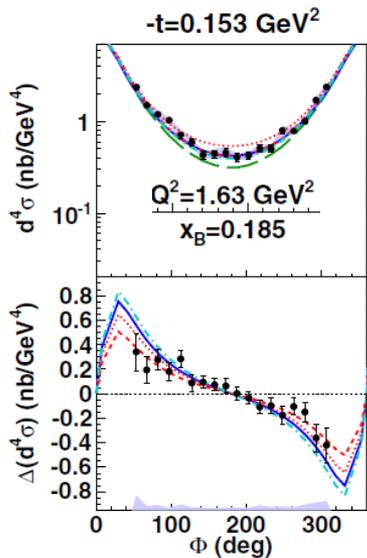
$d^4\sigma(x, Q^2, t, \phi)$  and  $\Delta(d^4\sigma)$  beam spin difference,  
sensitive to  $Im[H] \sim e^{-b(x)t}$

$b$  related to proton transverse size

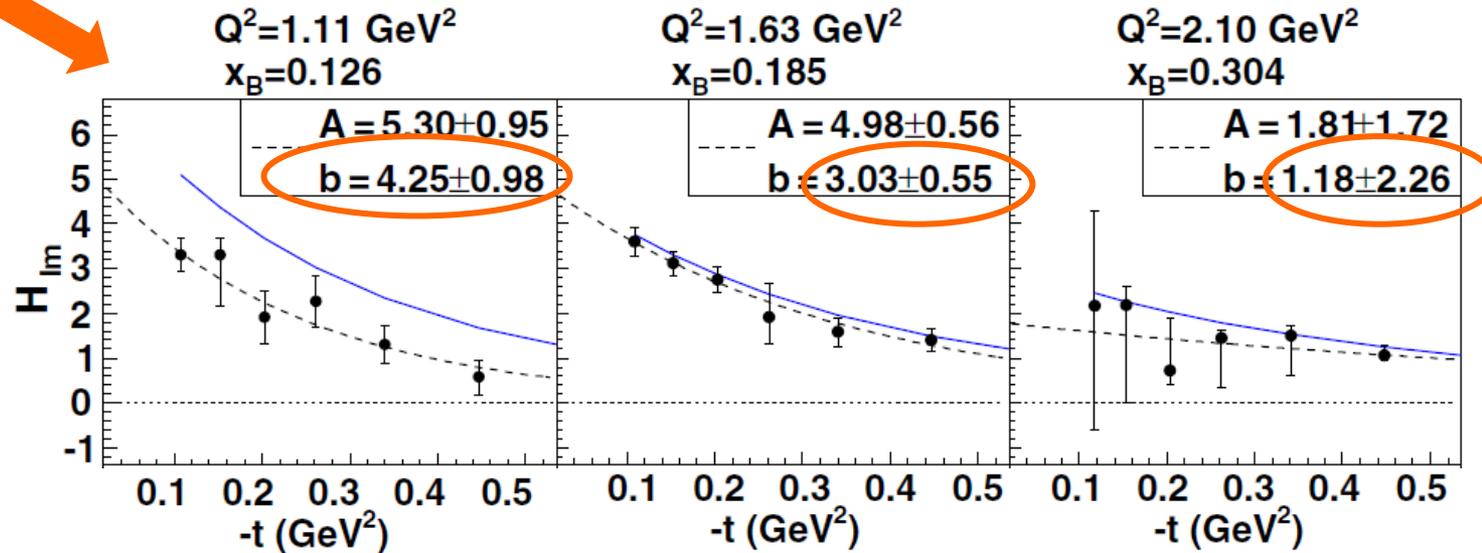
*K. Jo et al., CLAS, PRL 115 (2015)*



a sample:



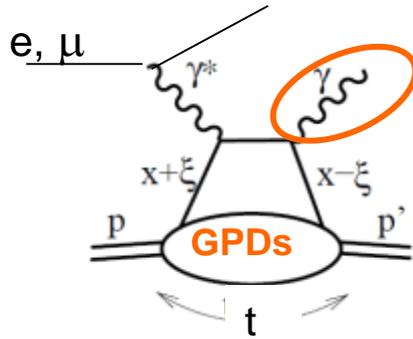
Assuming one GPD, fit to CFF at 3  $x_B$  values:



$b$  decreases as  $x_B$  increases  
→ proton shrinking with  $x_B$

# DVCS- t-slope of Cross-section (COMPASS)

$$\mu^{+/-} p \rightarrow \mu p \gamma$$



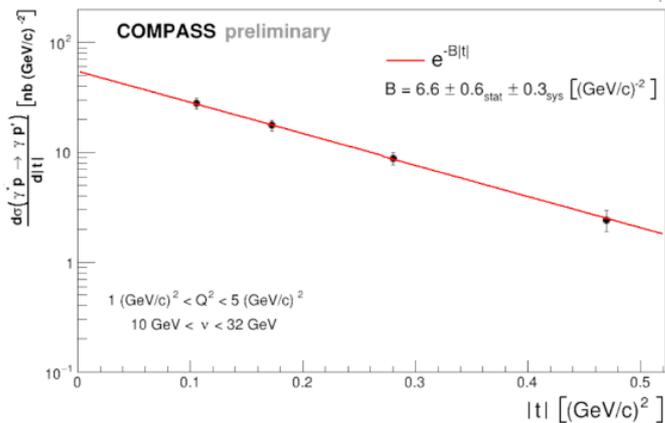
Combining data from  $\vec{\mu}^+$  and  $\overleftarrow{\mu}^-$  beams  
(beam spin & charge sum),  
measure t-slope of DVCS cross section

→ x dependence of transverse size of the nucleon

$$\sigma^{\text{DVCS}}/dt \sim \exp^{-B|t|}$$

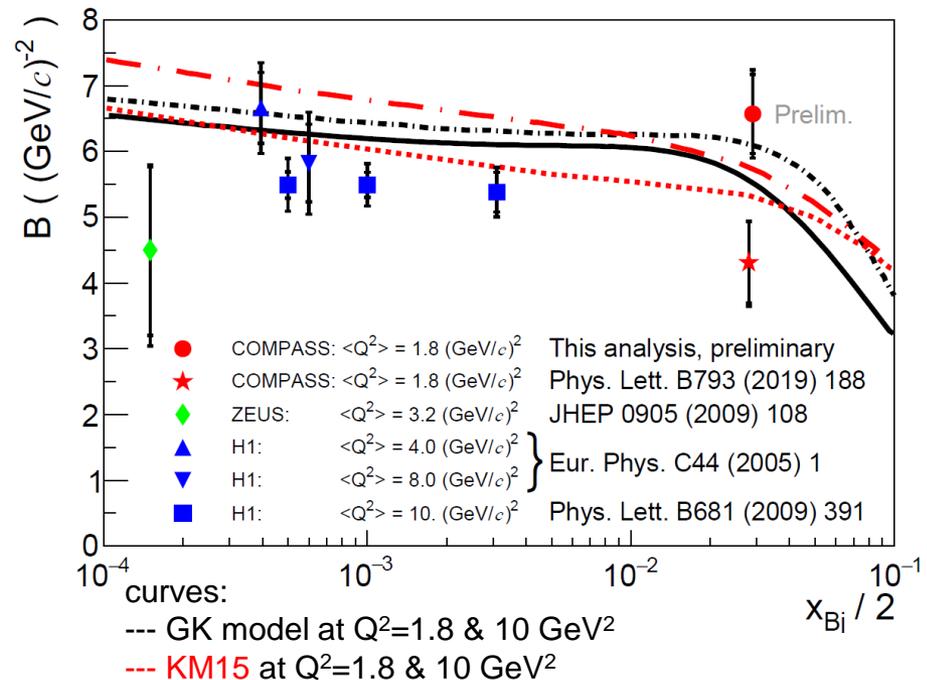
$$B(x_B) = \frac{1}{2} \langle r_{\perp}^2(x_B) \rangle$$

## Measurement of proton transverse size vs $x_B$



2016 data :prelim. result  
3 x more stat. expected from 2017 data

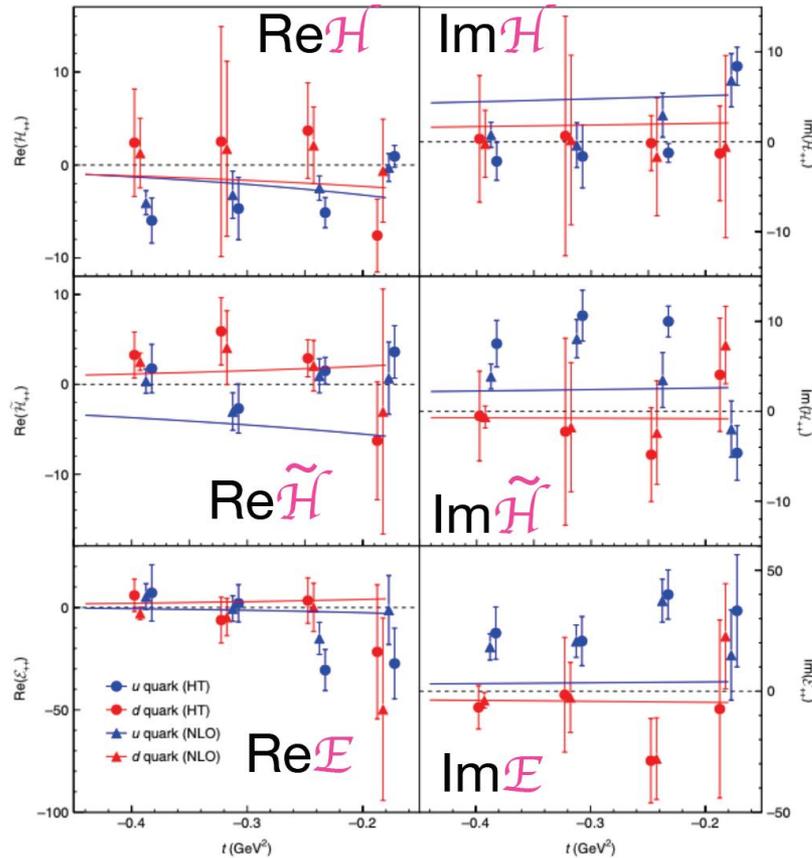
## New prelim. COMPASS result: (J. Giarra talk)



# Flavour separation of CFFs

JLab Hall-A neutron and proton DVCS

u quark  
d quark



*Benali, Desnaut, Mazouz et al.,  
Nature Physics 16 (2020) 191-198*

# CFFs from global fits of DVCS data

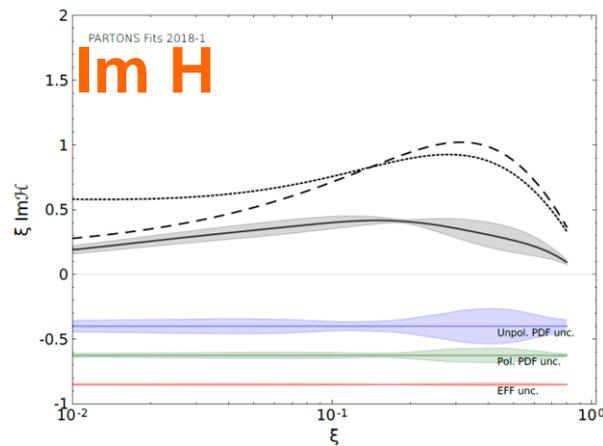
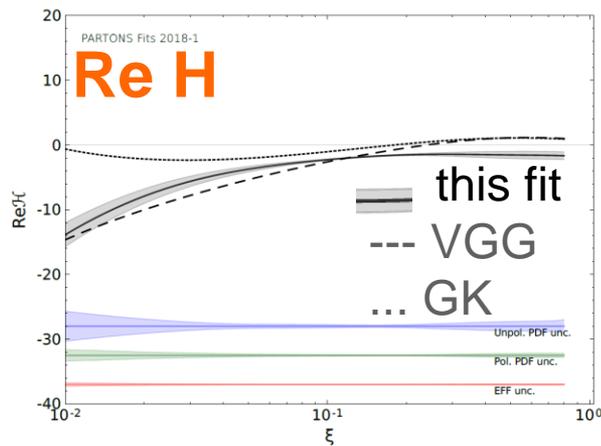
Example: 'PARTON' fit at LO/LT DVCS proton,  
Including **Jlab, HERMES and COMPASS data**

2600 / 3970 points

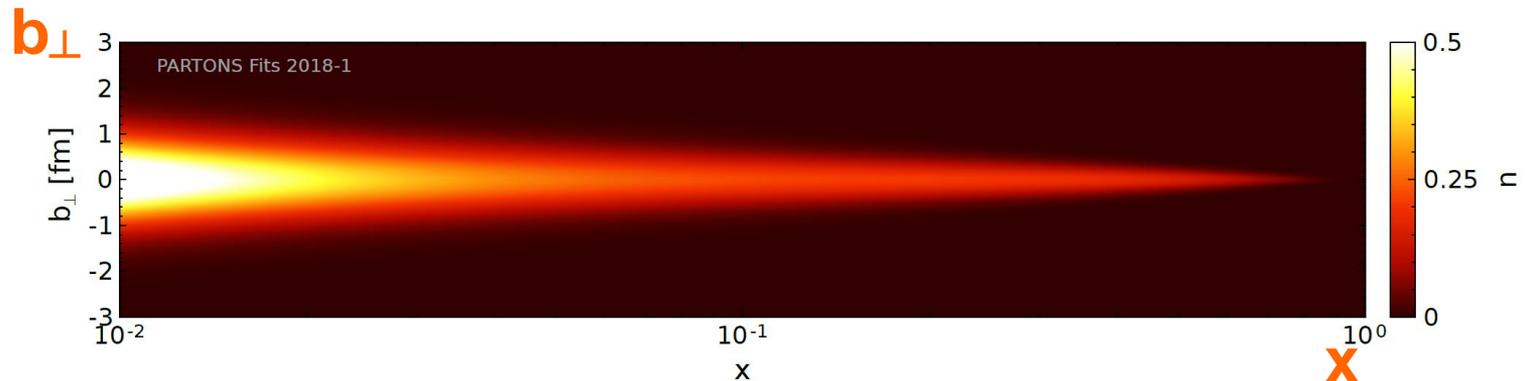
with constraints on GPDs (PDFs, elastic Form Factors, limits at  $x \rightarrow 1$ ...)

**CFFs:**

*H. Moutarde, P.Sznajder, J. Wagner, arXiv:1807.07620*



**Position of up quarks in a proton:**



# Summary – Spin at fixed target experiments

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## Gluon and quark contribution to nucleon spin

**Gluon**  $\Delta G/G=0.1$  at  $x=0.1$  (photon gluon fusion process) agrees with RHIC  $\int \Delta G \sim 0.2$   
Unknown contribution at low  $x$

**Quarks** :  $\frac{1}{2} \Delta \Sigma \sim 0.15$  from global QCD fit of  $g_1$  world data  
Largest uncertainty comes from functional shape (of  $\Delta G$  also)  
Agreement with Lattice QCD  
**Flavor decomposition** from SIDIS, down to  $x \sim 0.004$ .

## Transverse Momentum Dependent parton distributions

Extensive and precise results on all azimuthal asymmetries  
Global analyses

**GPDs** via DVCS: Many data coming and promising framework for global analyses.

**Bright future** See talks on EIC, SPD at NICA, pol. targets at LHC...