



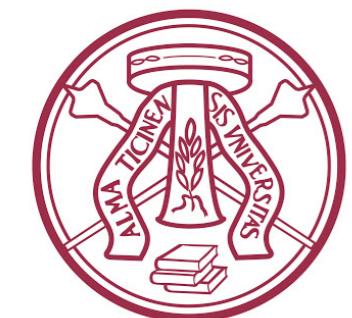
18-22 October 2021  
Matsue, Shimane Prefecture, Japan  
Asia/Tokyo timezone

# THEORETICAL STUDIES ON THE 3D STRUCTURE OF THE NUCLEON

---

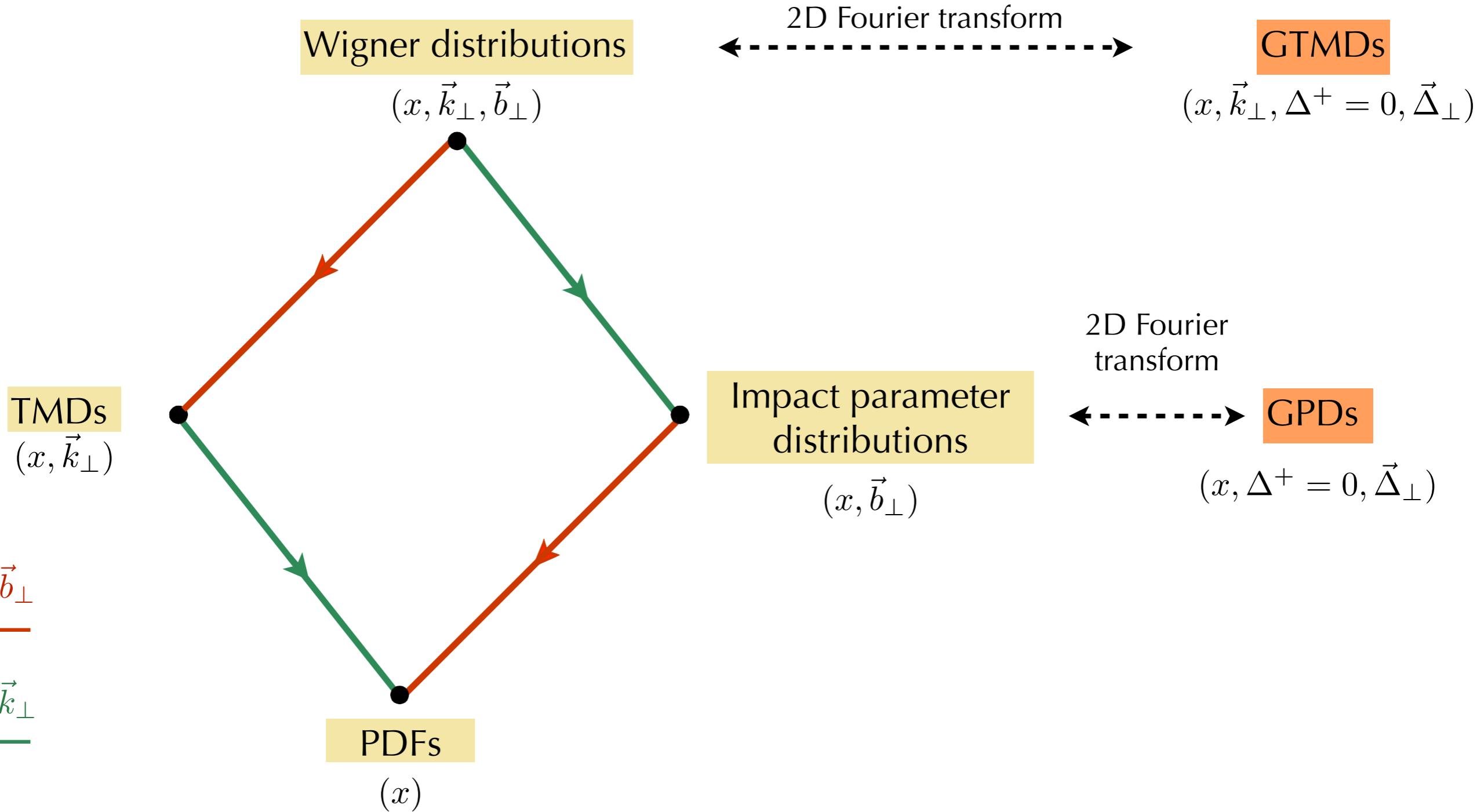
## BARBARA PASQUINI

University of Pavia and INFN Pavia



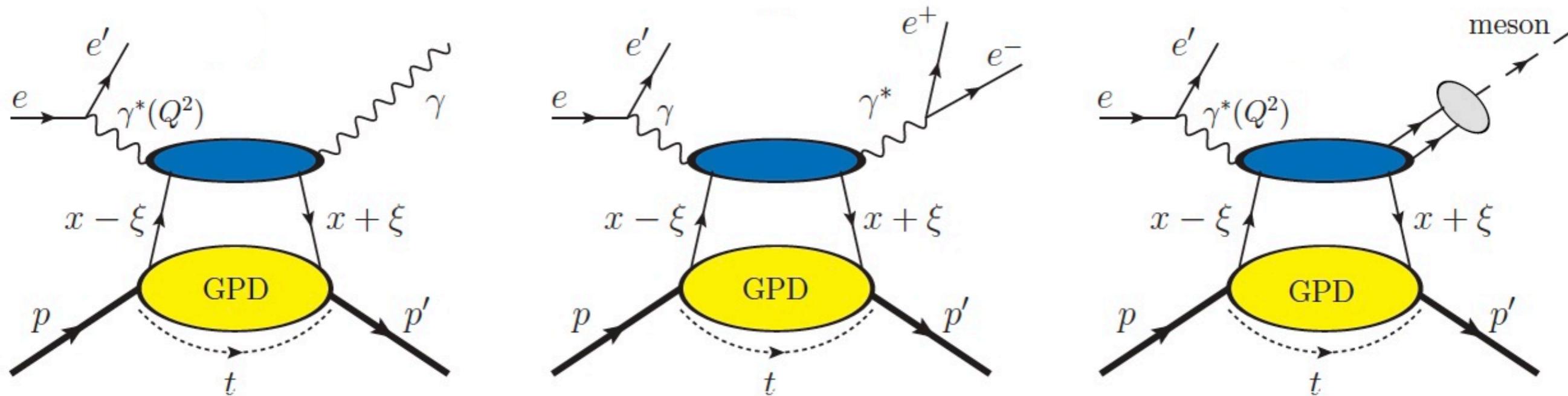
UNIVERSITÀ  
DI PAVIA





- map the nucleon's constituent both in space and in momentum
- study the interplay of quark and gluon dynamics
- assessments of spin and orbital angular momentum content of the nucleon
- how the proton spin is correlated with the motion of quarks and gluons

# How to measure GPDs



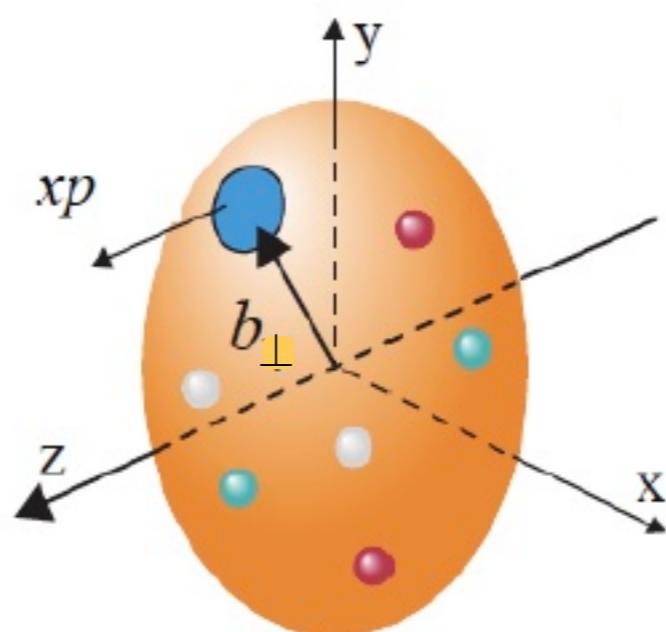
- accessible in exclusive reactions: universality of GPDs
- factorization for large  $Q^2$ ,  $|t| \ll Q^2, W^2$
- depend on 3 variables:  $x, \xi, t = \Delta^2$
- Compton form factors  $\text{Im}\mathcal{H} \stackrel{\text{LO}}{=} H(\xi, \xi, t)$   $\text{Re}\mathcal{H} \stackrel{\text{LO}}{=} \mathcal{P} \int_{-1}^1 dx \frac{H(x, \xi, t)}{x - \xi}$

		quark polarization		
GPD		$U$	$L$	$T$
nucleon polarization	$U$	$H$		$\mathcal{E}_T$
	$L$		$\tilde{H}$	$\tilde{\mathcal{E}}_T$
	$T$	$E$	$\tilde{E}$	$H_T, \tilde{H}_T$

the distributions in **red** vanish if there is no quark orbital angular momentum

the distributions in **black** survive in the collinear limit

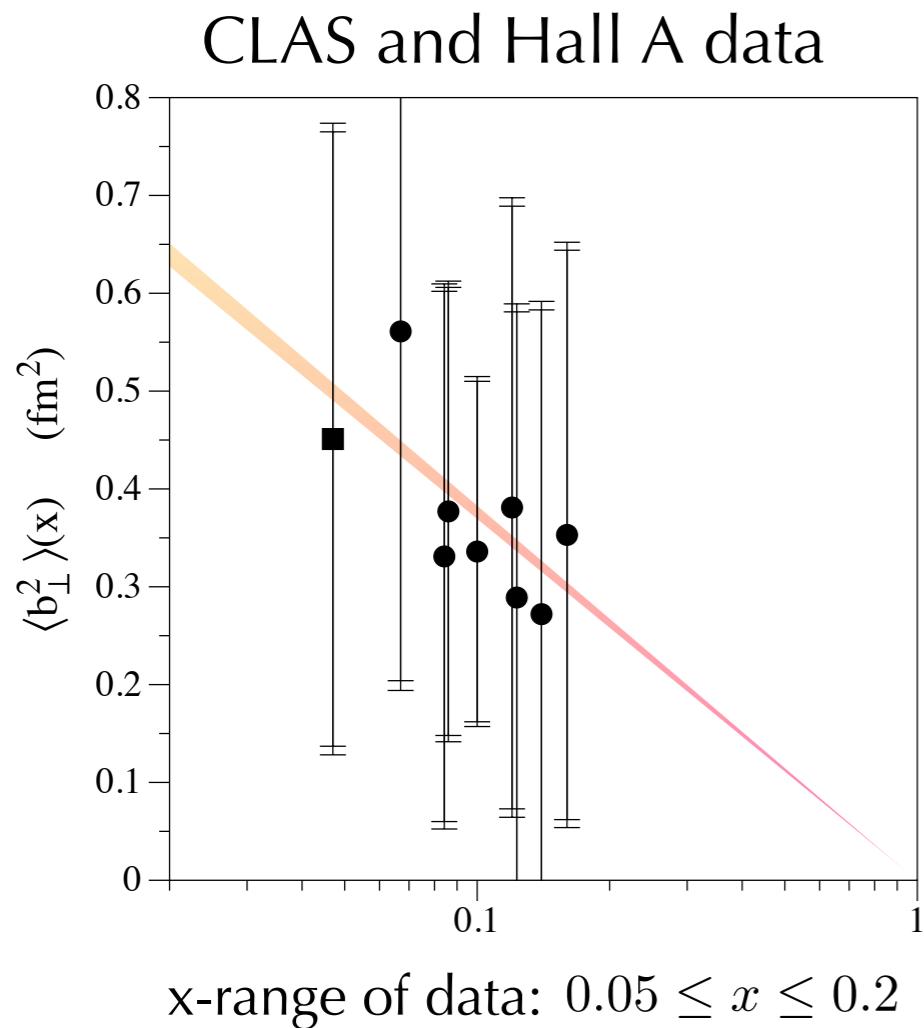
$$(at \xi = 0) \quad \vec{\Delta}_{\perp} \xleftrightarrow{FT} \vec{b}_{\perp} \quad \text{Impact Parameter Distributions}$$



# x-dependent transverse squared charge radius

$$H(x, 0, \vec{b}_\perp) = \int_{-\infty}^{+\infty} d^2 \vec{\Delta}_\perp H(x, 0, t) e^{-i \vec{\Delta}_\perp \cdot \vec{b}_\perp} \quad \xrightarrow{\downarrow} \quad (t = -\vec{\Delta}_\perp^2) \quad \xi = 0 \text{ extrapolation from data}$$
$$\langle \vec{b}_\perp^2(x) \rangle = \frac{\int d^2 \vec{b}_\perp \vec{b}_\perp^2 H(x, 0, b_\perp)}{\int d^2 \vec{b}_\perp H(x, 0, b_\perp)}$$

x-dependent transverse squared radius



The errors are large,  
but slowly we are getting some 3D information

# x-dependent transverse squared charge radius

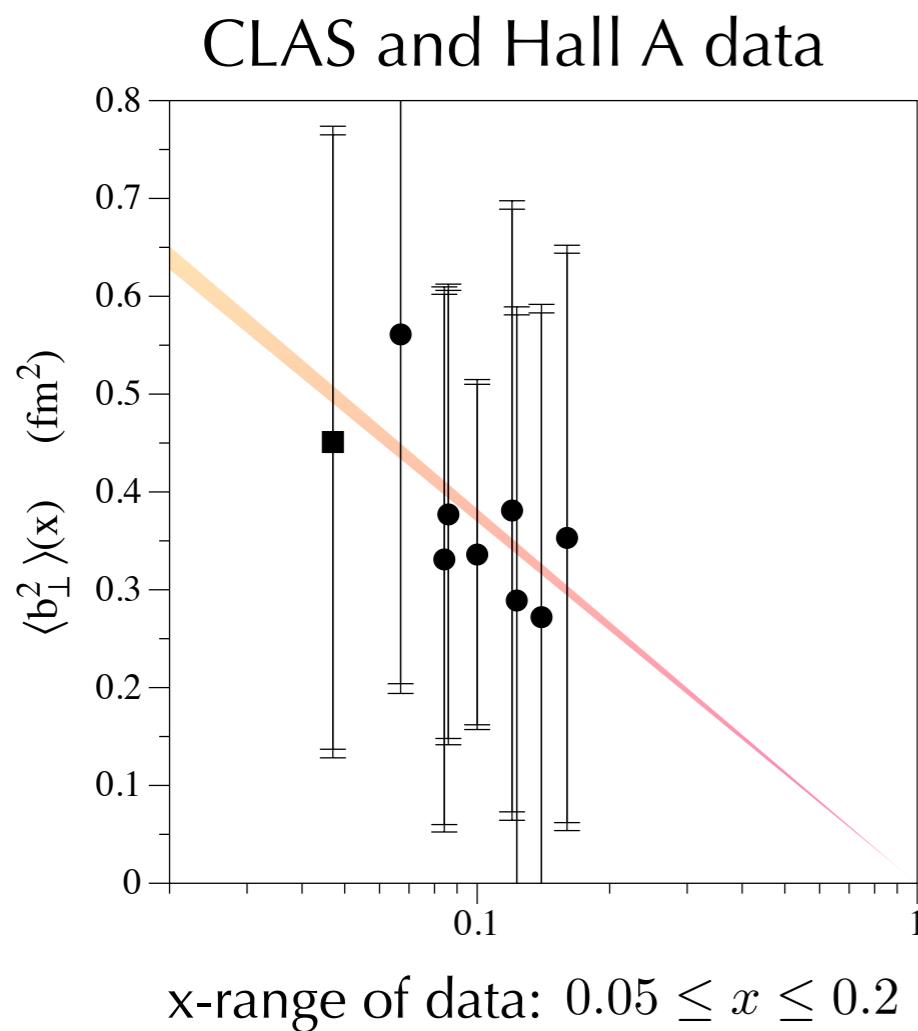
$$H(x, 0, \vec{b}_\perp) = \int_{-\infty}^{+\infty} d^2 \vec{\Delta}_\perp H(x, 0, t) e^{-i \vec{\Delta}_\perp \cdot \vec{b}_\perp}$$

$\downarrow$

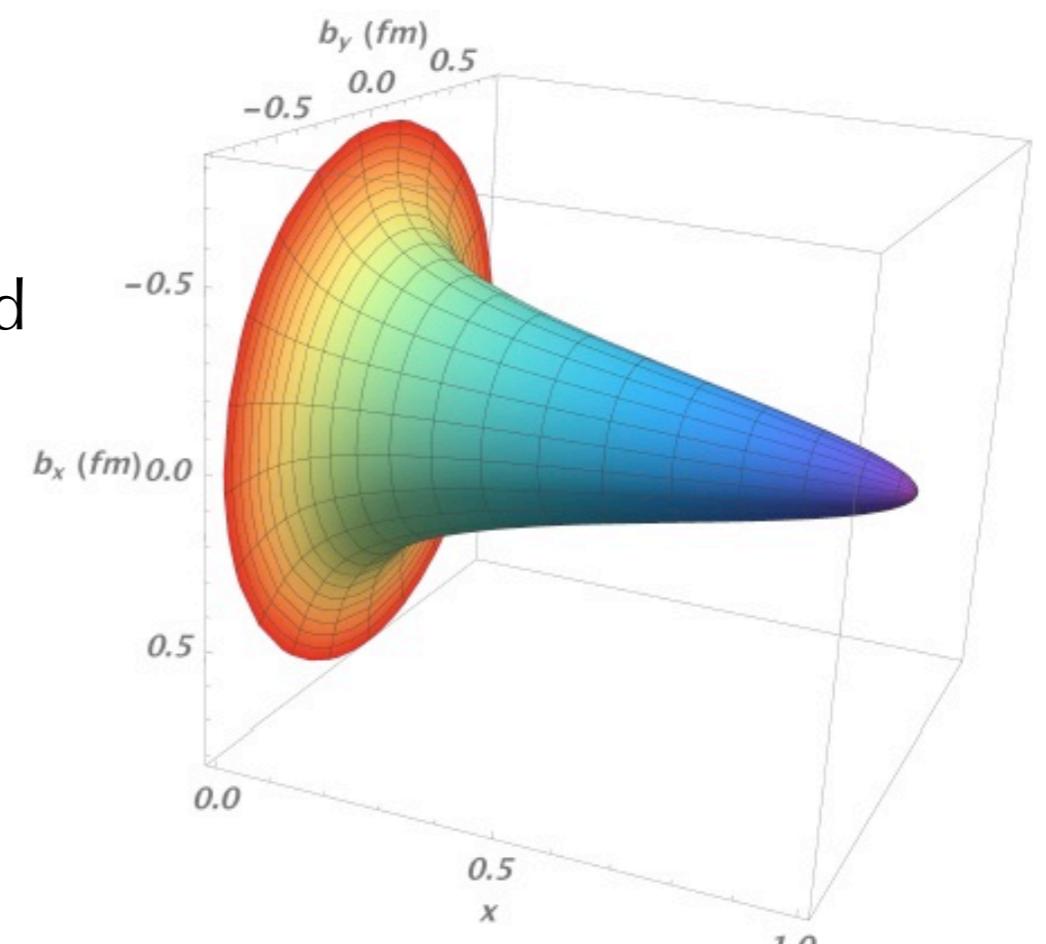
$(t = -\vec{\Delta}_\perp^2)$     $\xi = 0$  extrapolation from data

$$\langle \vec{b}_\perp^2(x) \rangle = \frac{\int d^2 \vec{b}_\perp \vec{b}_\perp^2 H(x, 0, b_\perp)}{\int d^2 \vec{b}_\perp H(x, 0, b_\perp)}$$

x-dependent transverse squared radius



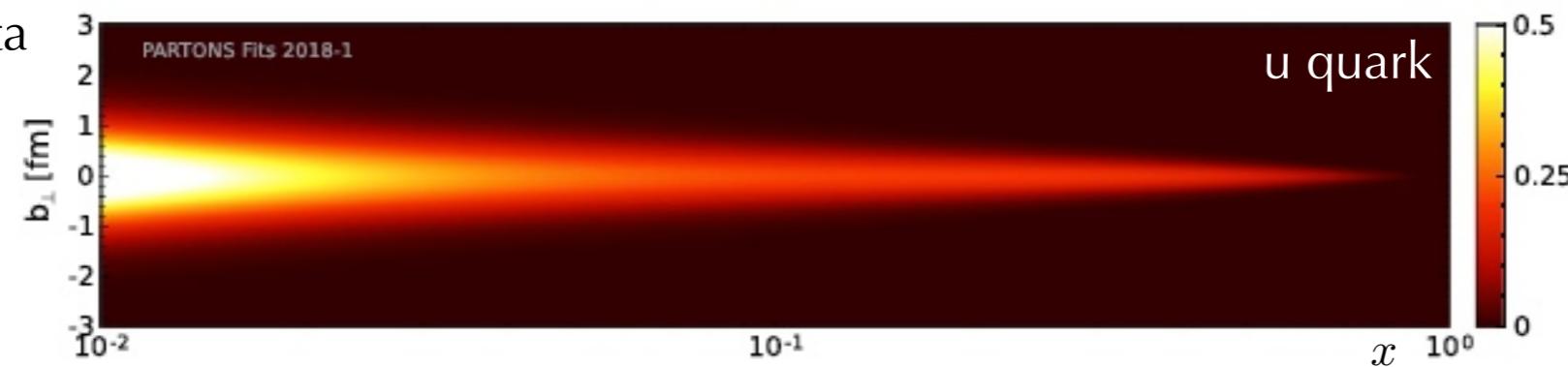
extrapolating  
in the unmeasured  
x-range



As  $x \rightarrow 1$ , the active parton carries all the momentum  
and represents the centre of momentum

## New parametrization based on DRs: reduce problems related to the extrapolation to $\xi = 0$

CLAS and HERMES data



Moutarde et al., EPJC78(2018)890

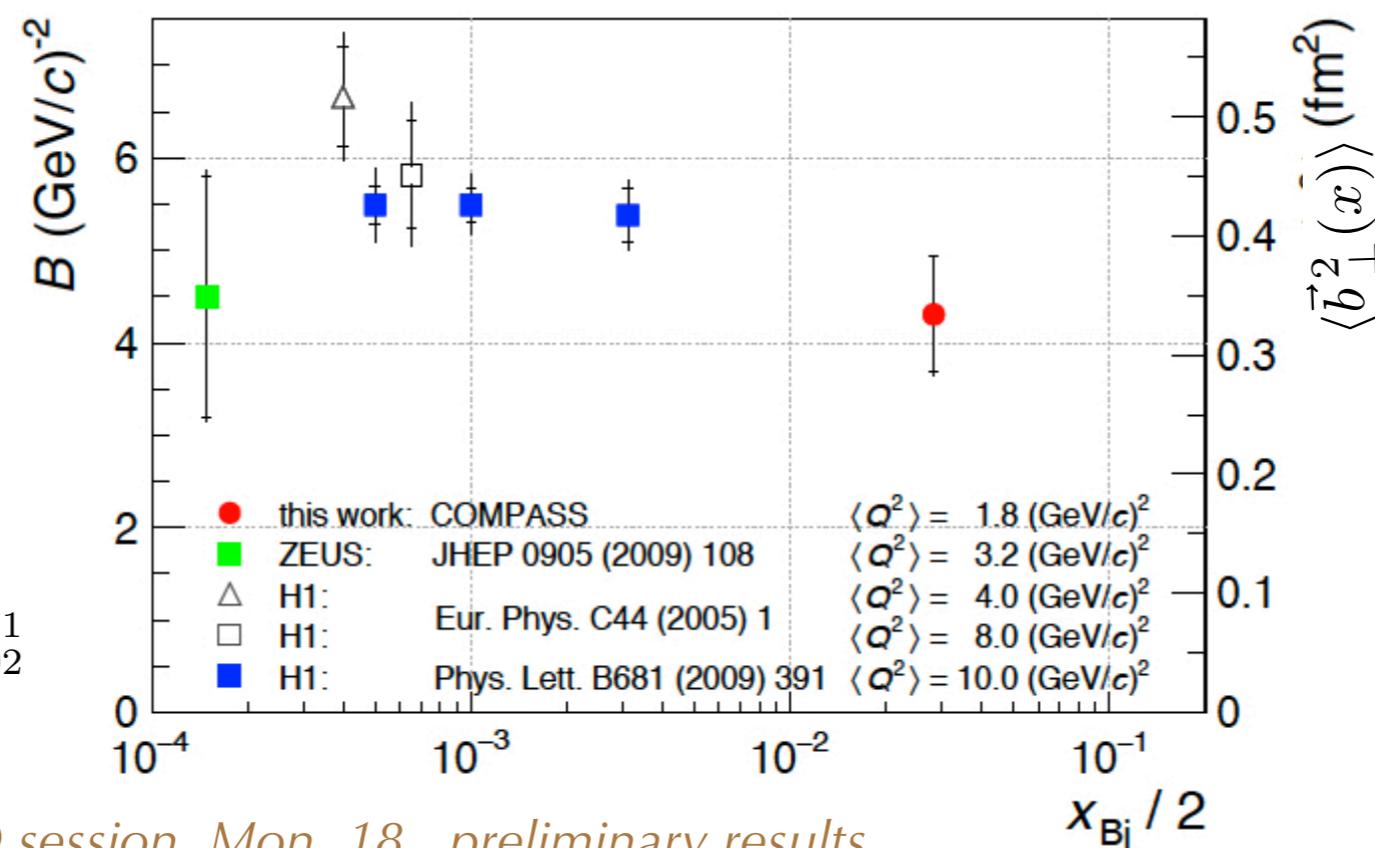
Results from COMPASS Coll.: Phys. Lett. B793 (2019) 188

$$\frac{d\sigma}{dt} \approx e^{-B(x)|t|}$$

$$\langle \vec{b}_\perp^2(x) \rangle = 2 \langle B(x) \rangle$$

at  $x = 0.056$ :

$$\sqrt{\langle \vec{b}_\perp^2 \rangle} = 0.58 \pm 0.04^{+0.01}_{-0.02}$$



→ Talk of J. Giarra, GPD session, Mon. 18., preliminary results from 2016/2017 Compass run

Model dependence can not be avoided, but different fit methods and parametrizations can help to constrain the theoretical uncertainties

# Form Factors of Energy Momentum Tensor

	Energy Density	Momentum Density		
	$T^{00}$	$T^{01}$	$T^{02}$	$T^{03}$
	$T^{10}$	$T^{11}$	$T^{12}$	$T^{13}$
	$T^{20}$	$T^{21}$	$T^{22}$	$T^{23}$
	$T^{30}$	$T^{31}$	$T^{32}$	$T^{33}$

Energy Flux                                    Momentum Flux

$$\langle p | T_{\mu\nu}^{Q,G} | p' \rangle = \bar{u}(p') \left[ M_2^{Q,G}(t) \frac{P_\mu P_\nu}{M_N} + J^{Q,G}(t) \frac{i(P_\mu \sigma_{\nu\rho} + P_\nu \sigma_{\mu\rho}) \Delta^\rho}{2M_N} + d_1^{Q,G}(t) \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{5M_N} \pm \bar{c}(t) g_{\mu\nu} \right] u(p)$$

Relation with second-moments of GPDs:

$$\sum_q \int dx x H^q(x, \xi, t) = M_2^Q(t) + \frac{4}{5} d_1^Q(t) \xi^2$$

“Charges” of the EMT Form Factors at t=0

$M_2(0)$  nucleon momentum carried by parton

$J(0)$  angular momentum of partons

$d_1(0)$  D-term ( “stability” of the nucleon)

$$\sum_q \int dx x E^q(x, \xi, t) = 2J^Q(t) - M_2^Q(t) - \frac{4}{5} d_1^Q(t) \xi^2$$

# Form Factors of Energy Momentum Tensor

	Energy Density	Momentum Density		
	$T^{00}$	$T^{01}$	$T^{02}$	$T^{03}$
	$T^{10}$	$T^{11}$	$T^{12}$	$T^{13}$
	$T^{20}$	$T^{21}$	$T^{22}$	$T^{23}$
	$T^{30}$	$T^{31}$	$T^{32}$	$T^{33}$

Energy Flux      Momentum Flux

shear forces

pressure



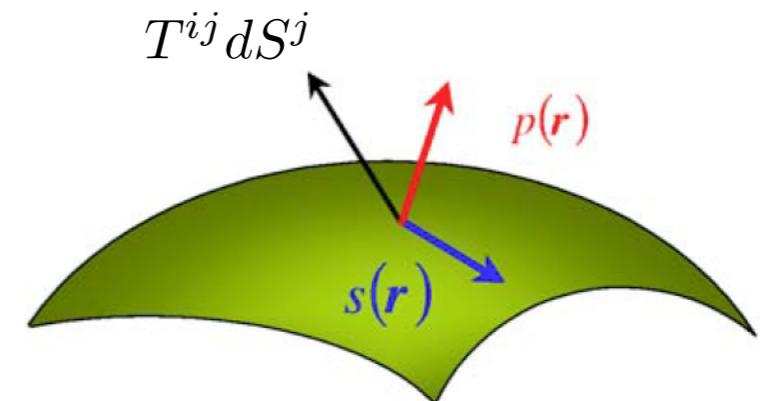
Fourier transform in coordinate space

$$T_{ij}^Q(\vec{r}) = s(\vec{r}) \left( \frac{r_i r_j}{r^2} - \frac{1}{3} \delta_{ij} \right) + p(\vec{r}) \delta_{ij}$$

↓ shear forces      ↓ pressure  
↓  
 

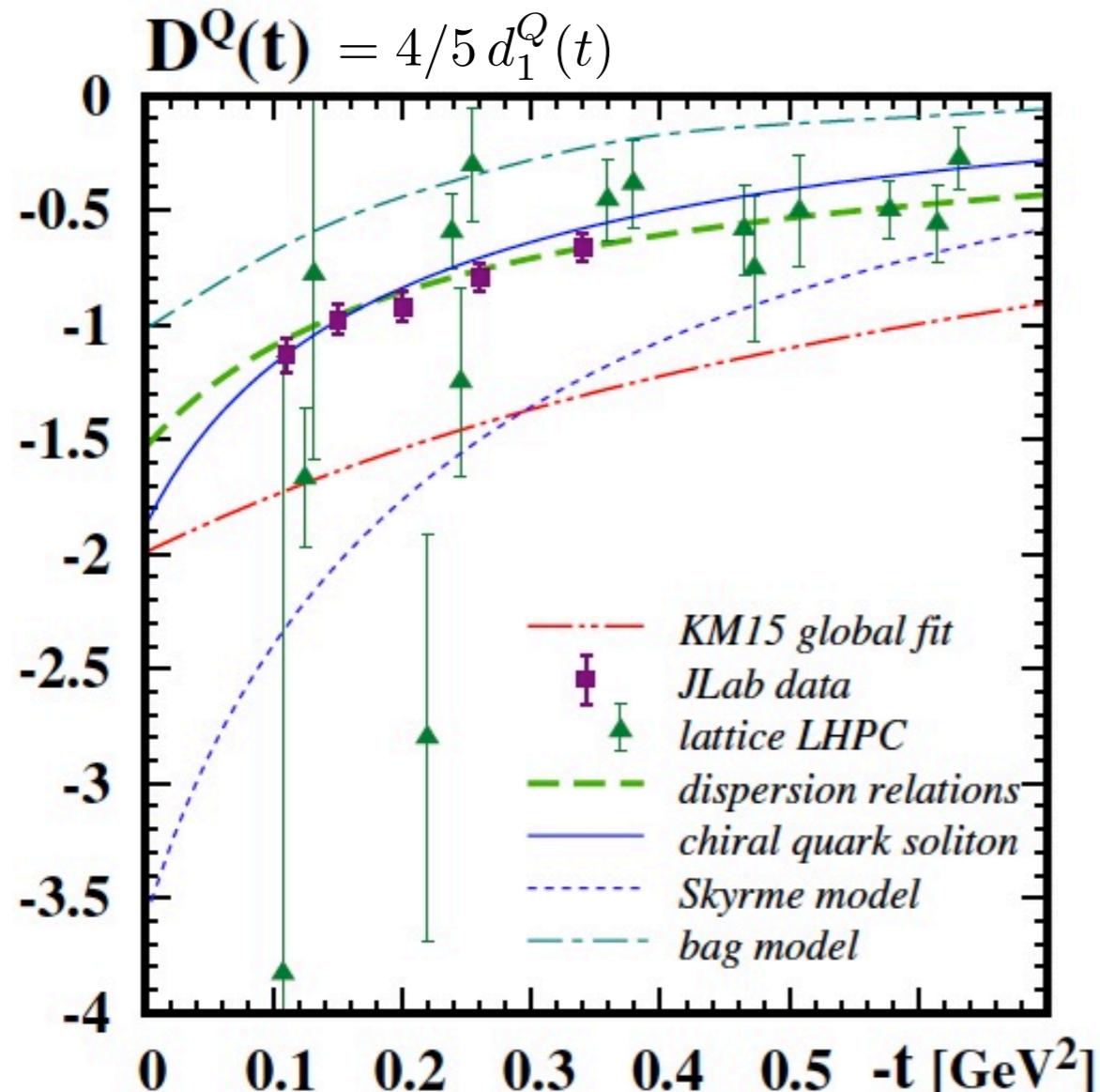
$$d_1^Q(0) = 5\pi M_N \int_0^\infty dr r^4 p(r)$$

"mechanical properties" of nucleon



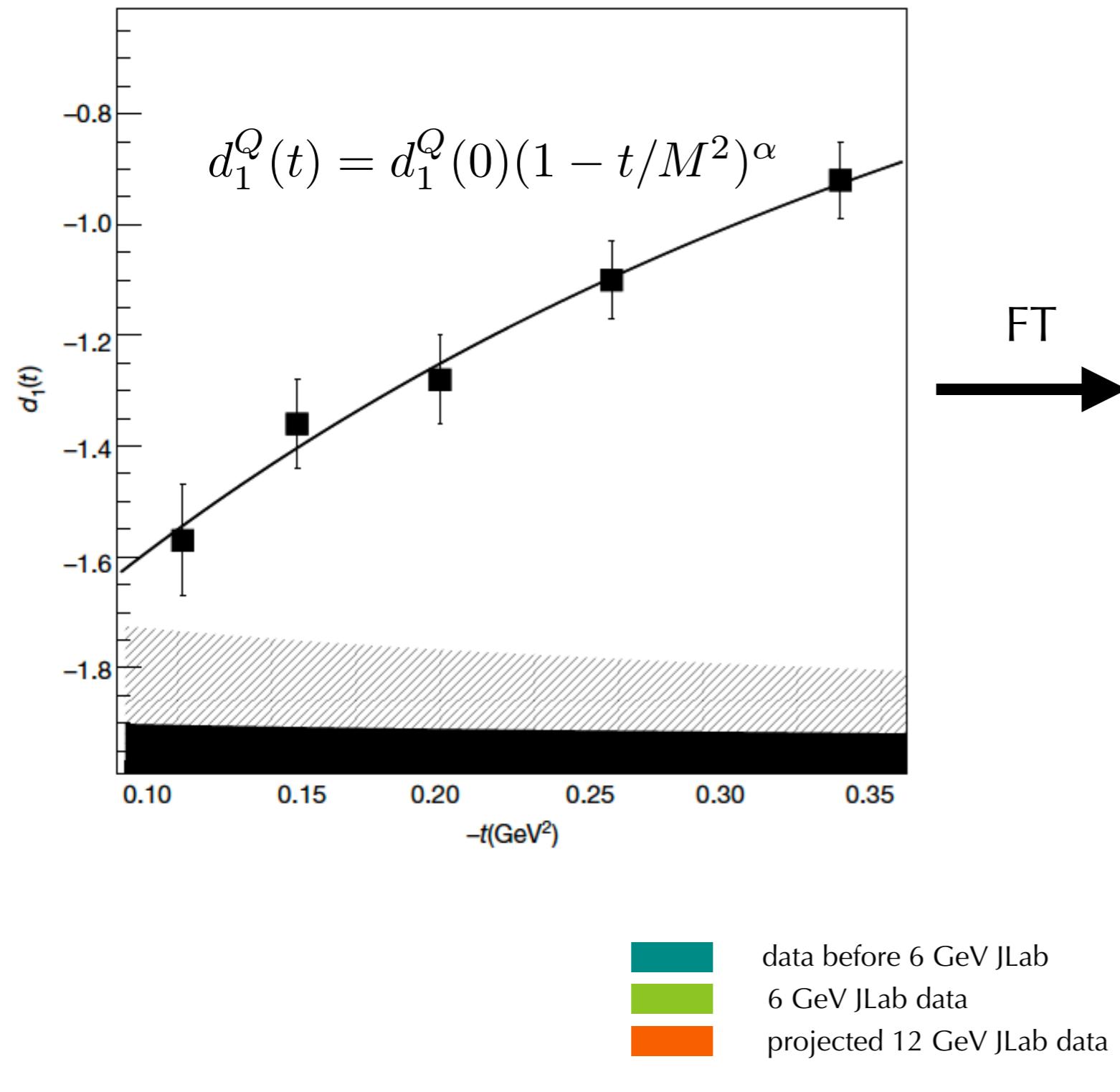
# D(t) form factor from data

Girod, Elouadrhiri, Burkert, Nature 557 (2018) 7705  
and arXiv: 2104.02031;  
CLAS 6GeV data

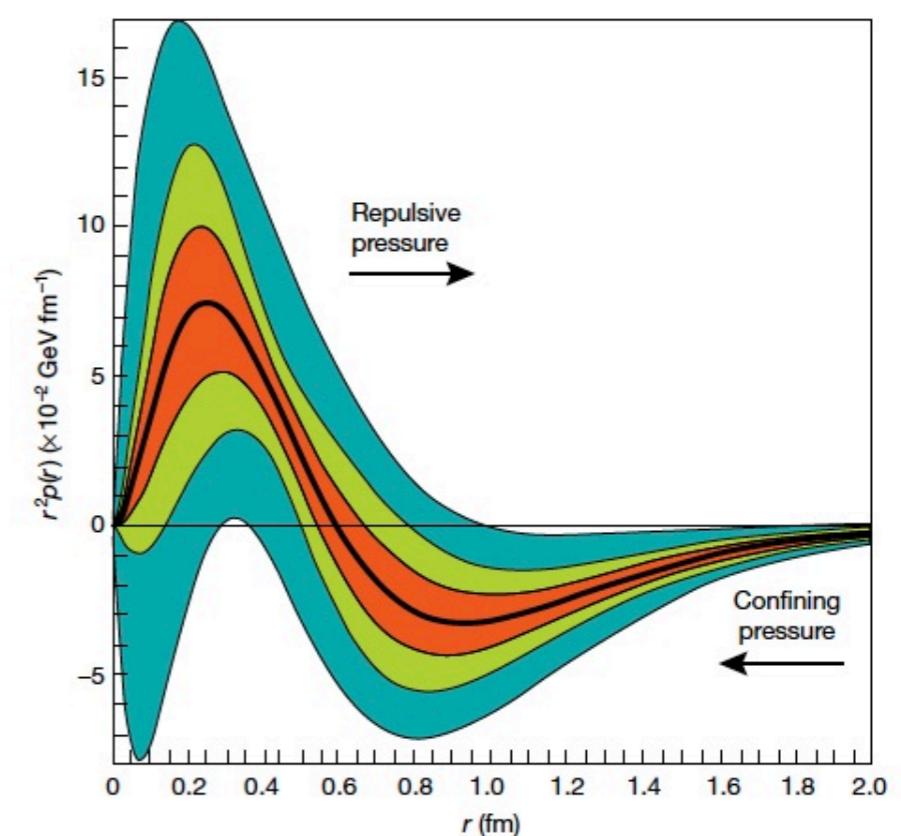


# D(t) form factor from data

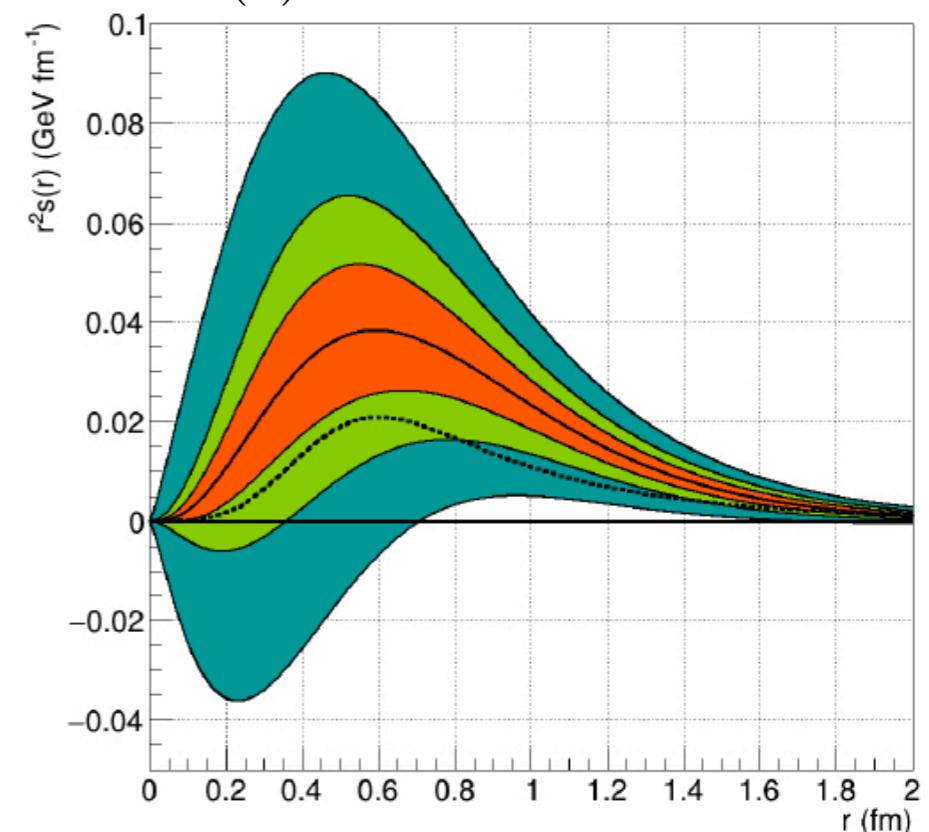
Girod, Elouadrhiri, Burkert, *Nature* 557 (2018) 7705  
and arXiv: 2104.02031;  
CLAS 6GeV data



$r^2 p(r)$  radial pressure distribution



$r^2 s(r)$  shear forces distribution



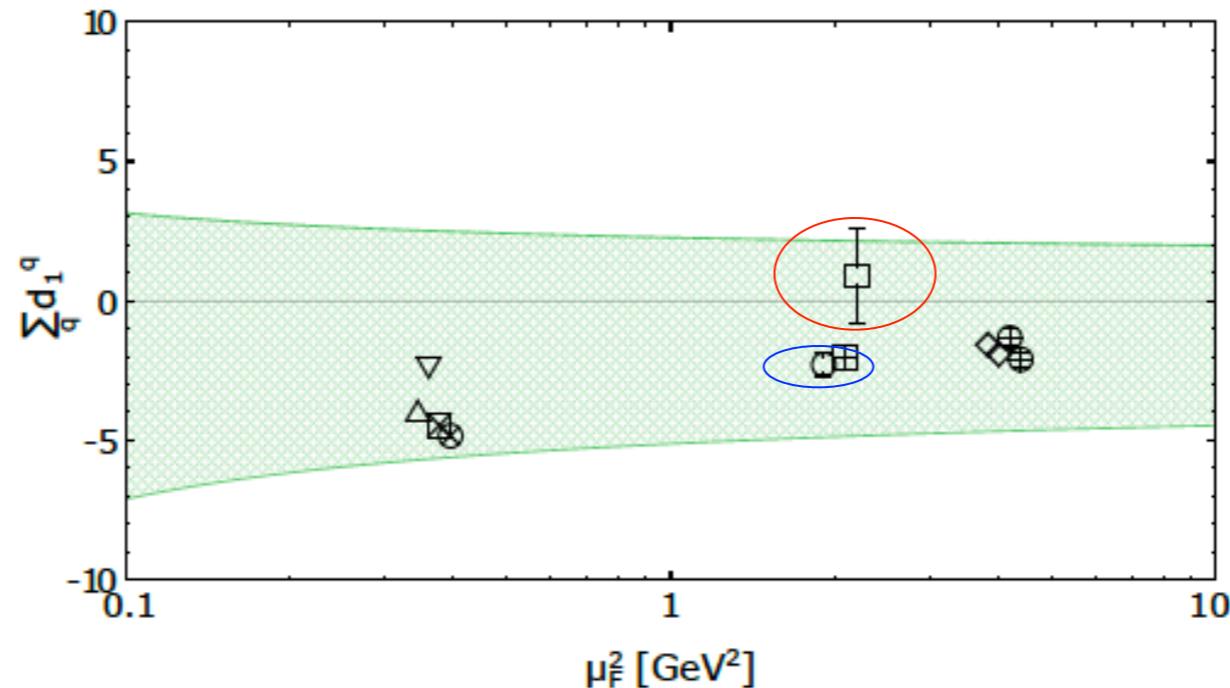
Necessary to verify model assumptions in the exp extraction  
with more data coming from JLab, COMPASS and the future EIC, ElcC

*Kumericki, Nature 570 (2019) 7759; Dutrieux et al, Eur. Phys. J. C81 (2021) 4*

*Talk of Dutrieux, Moutarde, Sznajder, GPD sessions, Mon. and Wend.*



global fit to DVCS data  
with artificial neural networks



CLAS data, with fixed param.,  
Girod et al.

CLAS data, with neural networks  
Kumericki

$$\sum_q d_1^q < 0$$

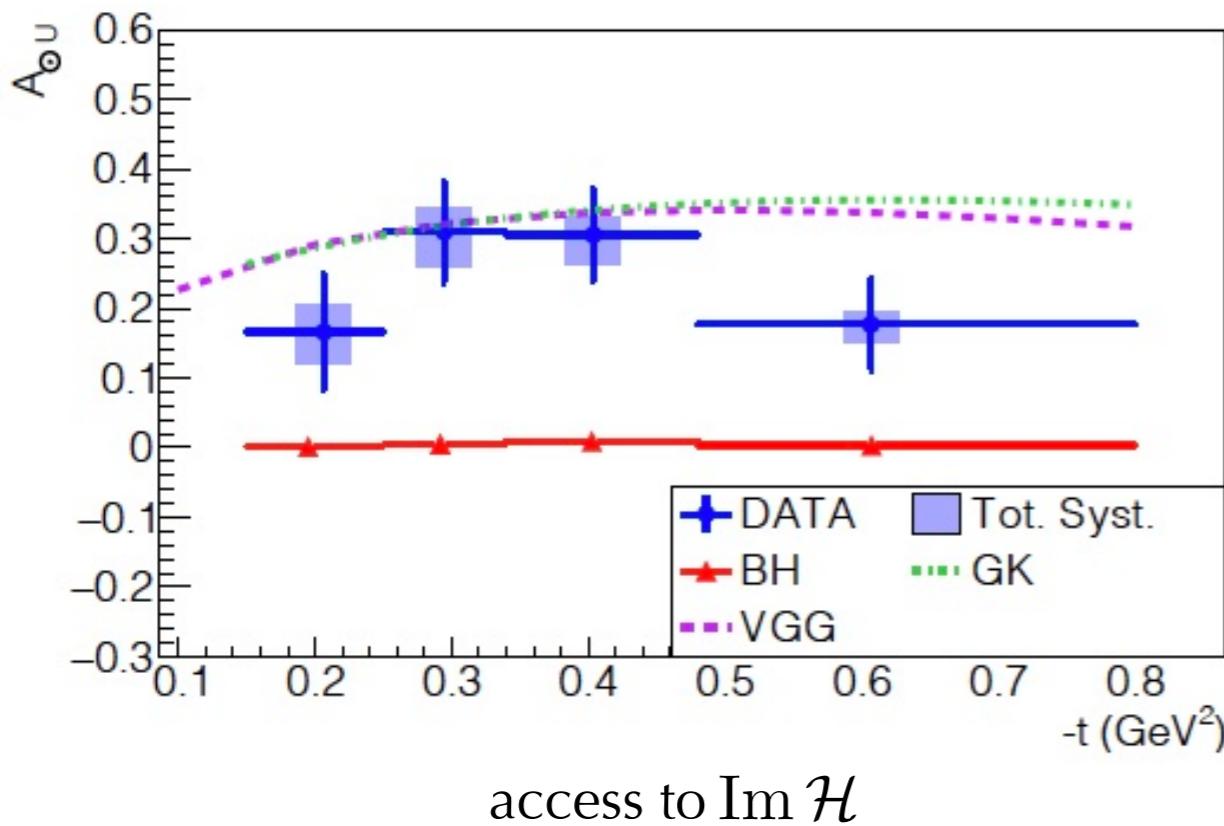
in all model calculations  
for a stable proton

Marker in Fig. 3	$\sum_q d_1^q(\mu_F^2)$	$\mu_F^2$ in $\text{GeV}^2$	# of flavours	Type
○	$-2.30 \pm 0.16 \pm 0.37$	2.0	3	from experimental data
□	$0.88 \pm 1.69$	2.2	2	from experimental data
◊	-1.59	4	2	<i>t</i> -channel saturated model
◊	-1.92	4	2	<i>t</i> -channel saturated model
△	-4	0.36	3	$\chi$ QSM
▽	-2.35	0.36	2	$\chi$ QSM
⊗	-4.48	0.36	2	Skyrme model
田	-2.02	2	3	LFWF model
⊗	-4.85	0.36	2	$\chi$ QSM
⊕	$-1.34 \pm 0.31$	4	2	lattice QCD ( $\overline{\text{MS}}$ )
⊕	$-2.11 \pm 0.27$	4	2	lattice QCD ( $\overline{\text{MS}}$ )

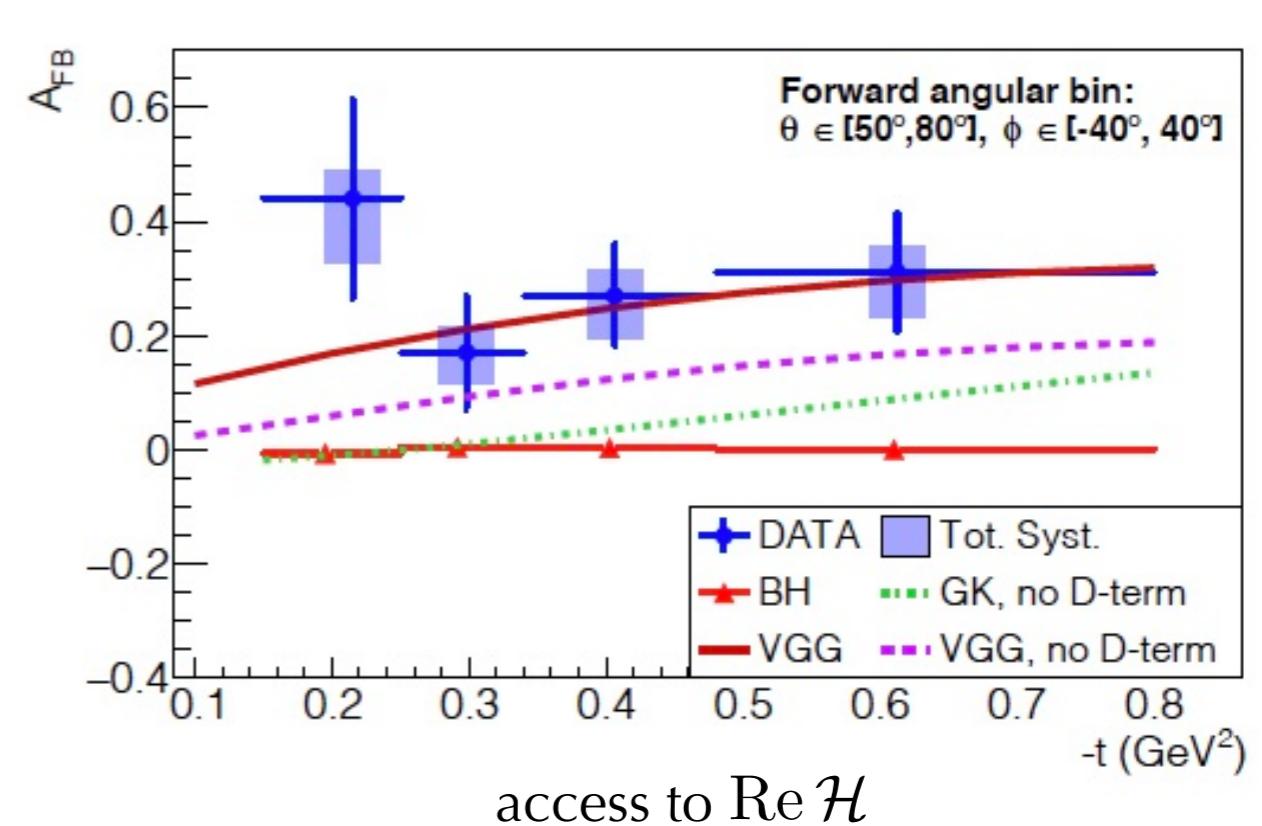
# Timelike Compton scattering

Chatagnon et al. (CLAS12 Coll.), arXiv: 2108.11746

photon polarization asymmetry



forward-backward asymmetry



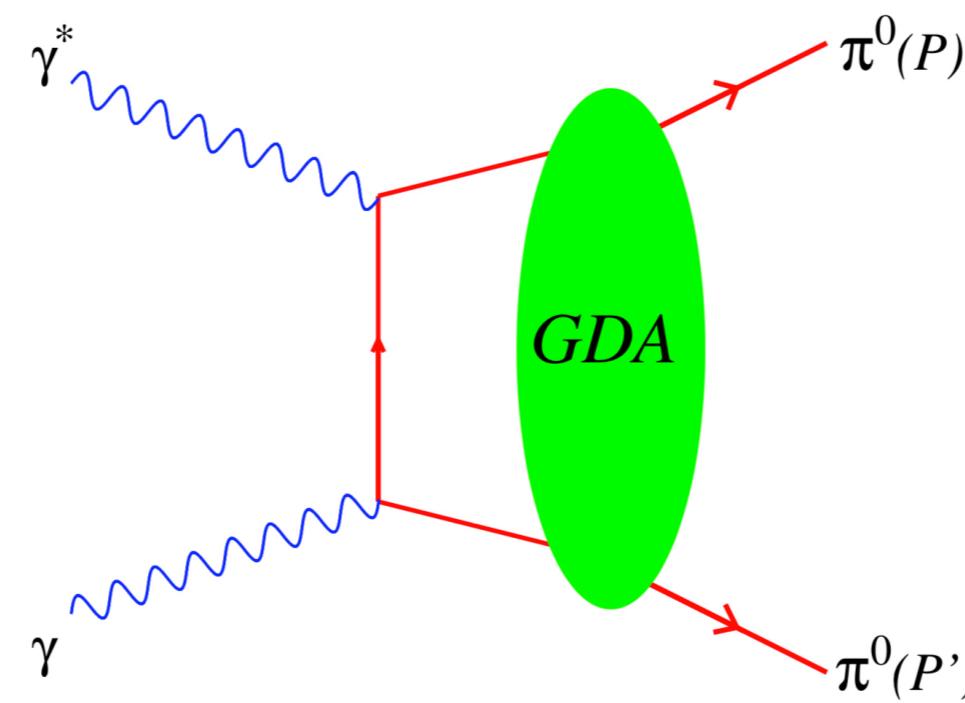
✓ Test of the universality of GPDs

✓ Further data from JLab12 and future EIC

✓ New promising path towards the extraction of  $\text{Re } \mathcal{H}$  and then the D-term

# Generalized Distribution Amplitudes (GDAs)

GPDs  $\xleftrightarrow{s-t \text{ crossing}}$  GDAs



Access form factors of EMT of unstable particle through GDA via  $\gamma^*\gamma \rightarrow \pi^0\pi^0$  in  $e^+e^-$

*Belle Coll., PRD93 (2016) 032003*

Best fit of GDAs to Belle data  $\rightarrow$  timelike EMT form factors of the pion

Dispersion relations  $\rightarrow$  spacelike EMT form factors

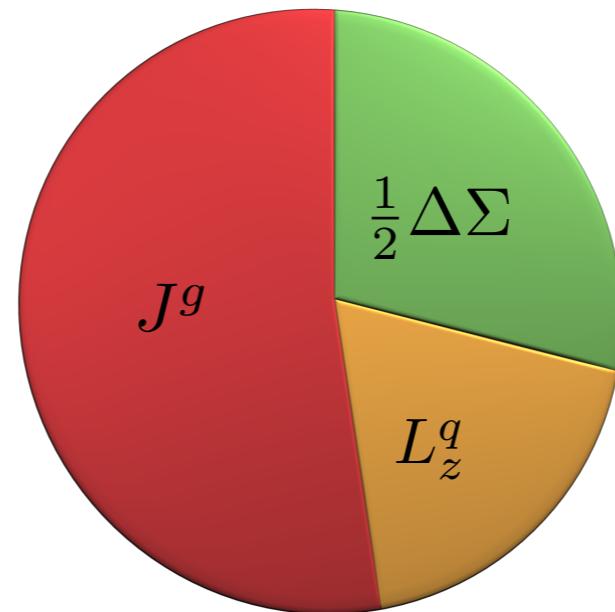
at  $\langle Q^2 \rangle = 16.6 \text{ GeV}^2 \rightarrow D_{\pi^0}^Q \approx -0.7$  (compatible with soft pion theorem)

*Kumano, Song, Teryaev, PRD97 (2018) 014020*

→ *Talk of Teryaev, GPD, Form factors session, Mon. 18;  
pion GPDs from Sullivan process at EIC, talk of Morgado Chávex, GPD session, Mon. 18*

# Ji's Relation

X. Ji, PRL 78 (1997) 610



$$\frac{1}{2} = J^q + J^g$$

$$L_z^q = J^q - \frac{1}{2}\Delta\Sigma$$

$$J^{q,g} = \frac{1}{2} \int_{-1}^1 dx x (H^{q,g}(x, \xi, 0) + E^{q,g}(x, \xi, 0))$$

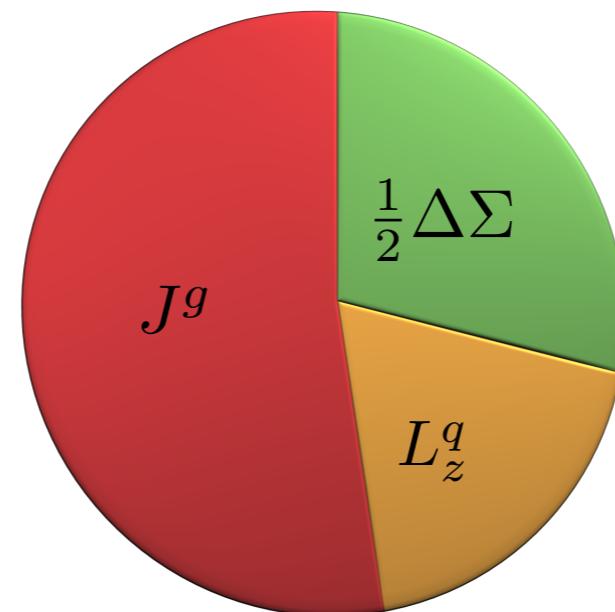
at  $\xi = 0$  unpolarized PDF

not directly accessible

- $H(x, \xi, t), E(x, \xi, t)$  : twist-2 GPDs

# Ji's Relation

X. Ji, PRL 78 (1997) 610



$$\frac{1}{2} = J^q + J^g$$

$$L_z^q = J^q - \frac{1}{2}\Delta\Sigma$$

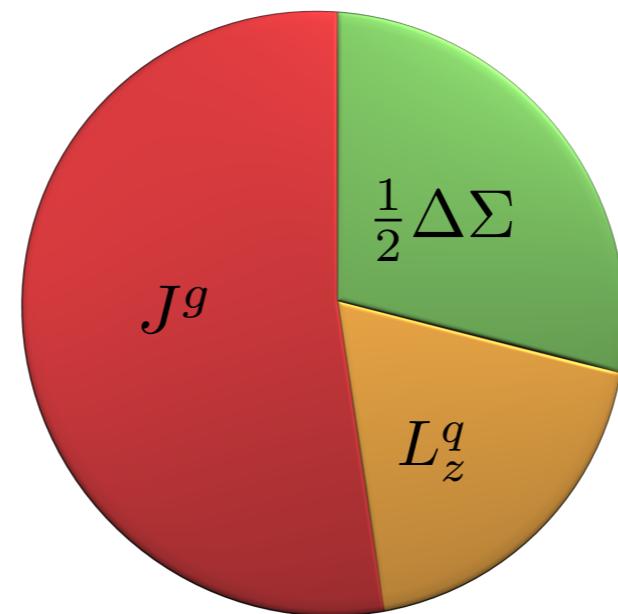
$$J^{q,g} = \frac{1}{2} \int_{-1}^1 dx x (H^{q,g}(x, \xi, 0) + E^{q,g}(x, \xi, 0))$$

↓                      ↓  
at  $\xi = 0$  unpolarized PDF      not directly accessible

- $H(x, \xi, t), E(x, \xi, t)$  : twist-2 GPDs
- Requires extrapolation to  $t=0$

# Ji's Relation

X. Ji, PRL 78 (1997) 610



$$\frac{1}{2} = J^q + J^g$$

$$L_z^q = J^q - \frac{1}{2}\Delta\Sigma$$

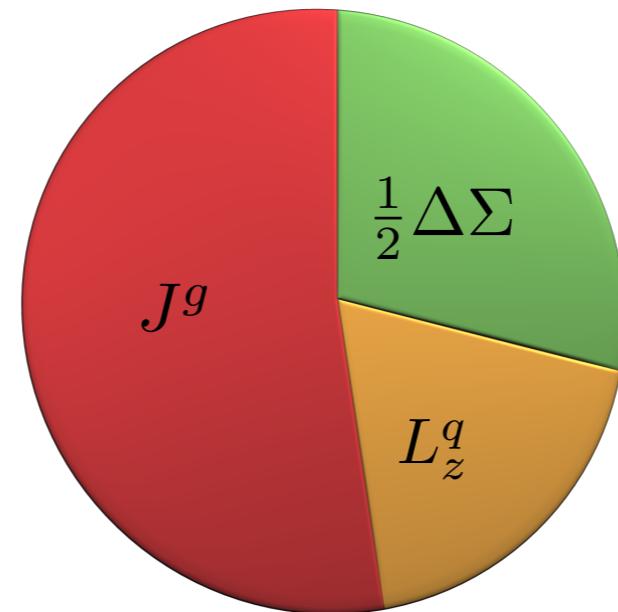
$$J^{q,g} = \frac{1}{2} \int_{-1}^1 dx x (H^{q,g}(x, \xi, 0) + E^{q,g}(x, \xi, 0))$$

↓                      ↓  
at  $\xi = 0$  unpolarized PDF      not directly accessible

- $H(x, \xi, t), E(x, \xi, t)$  : twist-2 GPDs
- Requires extrapolation to  $t=0$
- Requires spanning  $x$  at fixed values of  $\xi$  ( $\xi = 0$  is the most convenient)

# Ji's Relation

X. Ji, PRL 78 (1997) 610



$$\frac{1}{2} = J^q + J^g$$

$$L_z^q = J^q - \frac{1}{2}\Delta\Sigma$$

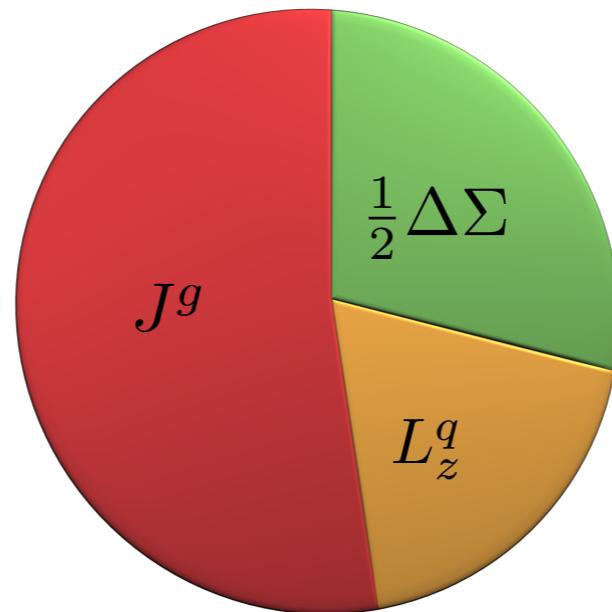
$$J^{q,g} = \frac{1}{2} \int_{-1}^1 dx x (H^{q,g}(x, \xi, 0) + E^{q,g}(x, \xi, 0))$$

↓                      ↓  
at  $\xi = 0$  unpolarized PDF      not directly accessible

- $H(x, \xi, t), E(x, \xi, t)$  : twist-2 GPDs
- Requires extrapolation to  $t=0$
- Requires spanning  $x$  at fixed values of  $\xi$  ( $\xi = 0$  is the most convenient)
- $J^{q,g}(x) \neq \frac{1}{2}[xH^{q,g}(x, 0, 0) + E^{q,g}(x, 0, 0)] \rightarrow$  not angular momentum density

# Ji's Relation

X. Ji, PRL 78 (1997) 610



$$\frac{1}{2} = J^q + J^g$$

$$L_z^q = J^q - \frac{1}{2}\Delta\Sigma$$

$$J^{q,g} = \frac{1}{2} \int_{-1}^1 dx x (H^{q,g}(x, \xi, 0) + E^{q,g}(x, \xi, 0))$$

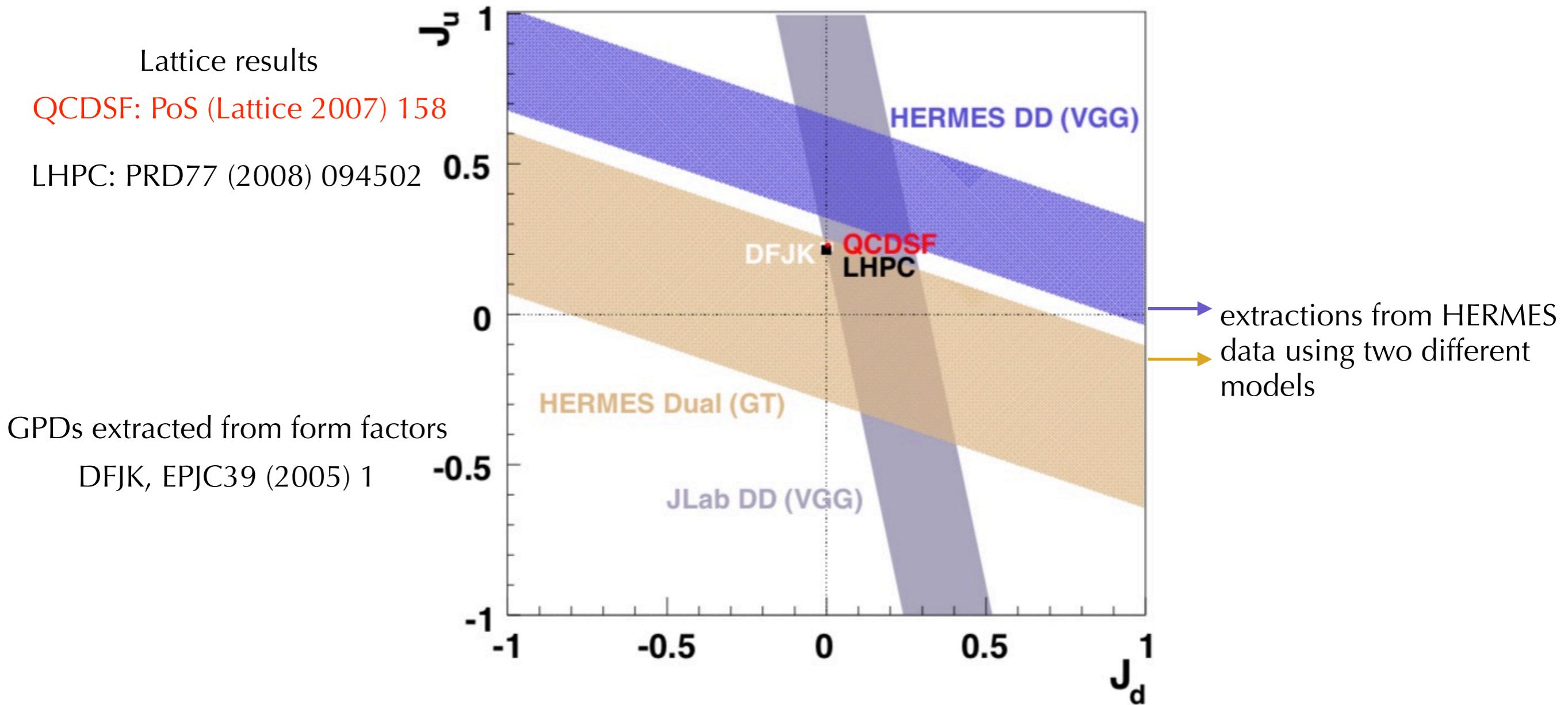
↓                      ↓

at  $\xi = 0$  unpolarized PDF      not directly accessible

- $H(x, \xi, t), E(x, \xi, t)$  : twist-2 GPDs
- Requires extrapolation to  $t=0$
- Requires spanning  $x$  at fixed values of  $\xi$  ( $\xi = 0$  is the most convenient)
- $J^{q,g}(x) \neq \frac{1}{2}[xH^{q,g}(x, 0, 0) + E^{q,g}(x, 0, 0)] \rightarrow$  not angular momentum density
- OAM can be related to twist-3 GPDs: not simple partonic interpretation,  
but definition of a gauge-invariant covariant OAM density

# Orbital Angular momentum of the proton from available GPD measurements

$$J^{q,g} = \frac{1}{2} \int_{-1}^1 dx x (H^{q,g}(x, \xi, 0) + E^{q,g}(x, \xi, 0)) \quad L^q = J^q - \frac{1}{2} \Delta \Sigma$$



*JLab Hall A, Phys. Rev. Lett. 99 (2007) 242501*

*Hermes Coll., JHEP 06 (2008) 066*

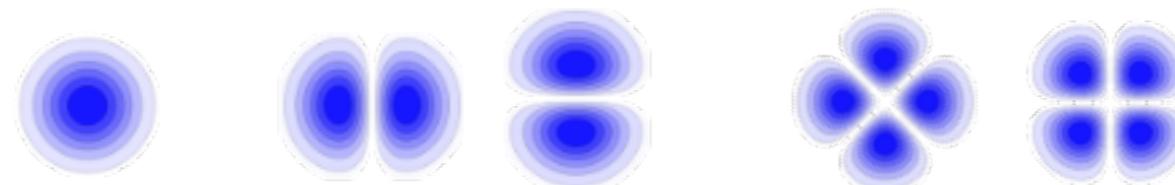
Improved accuracy with JLab12 and future EIC measurements!

# Key information from Transverse Momentum Dependent PDFs

- Complete momentum spectrum of single particle
- Transverse momentum size as function of  $x$  (3D map)
- Spin-Spin and Spin-Orbit Correlations of partons
- Information on parton orbital angular momentum  
(no direct model-independent relation)

		quark polarization		
		$TMD$	$U$	$L$
nucleon polariz.	$U$	$f_1$		$h_1^\perp$
	$L$		$g_{1L}$	$h_{1L}^\perp$
	$T$	$f_{1T}^\perp$	$g_{1T}$	$h_1, h_{1T}^\perp$

\*similar classification for gluon TMDs



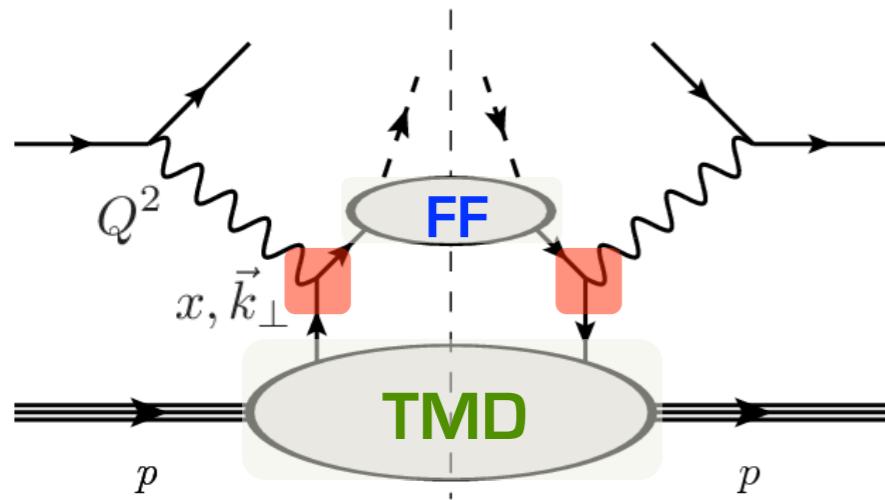
unpolarized  
target and partons

deformation due to  
spin-spin and spin-orbit correlations

# How to measure TMDs

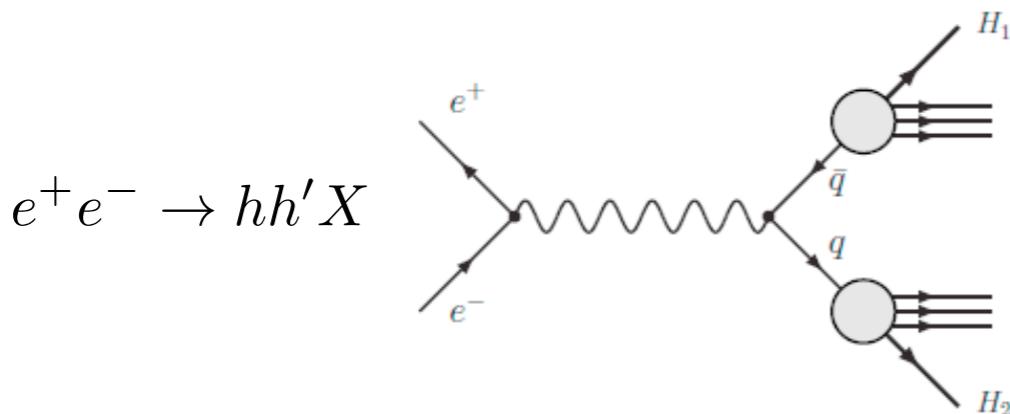
SIDIS

$$\ell(l) + N(P) \rightarrow \ell(l') + h(P_h) + X$$



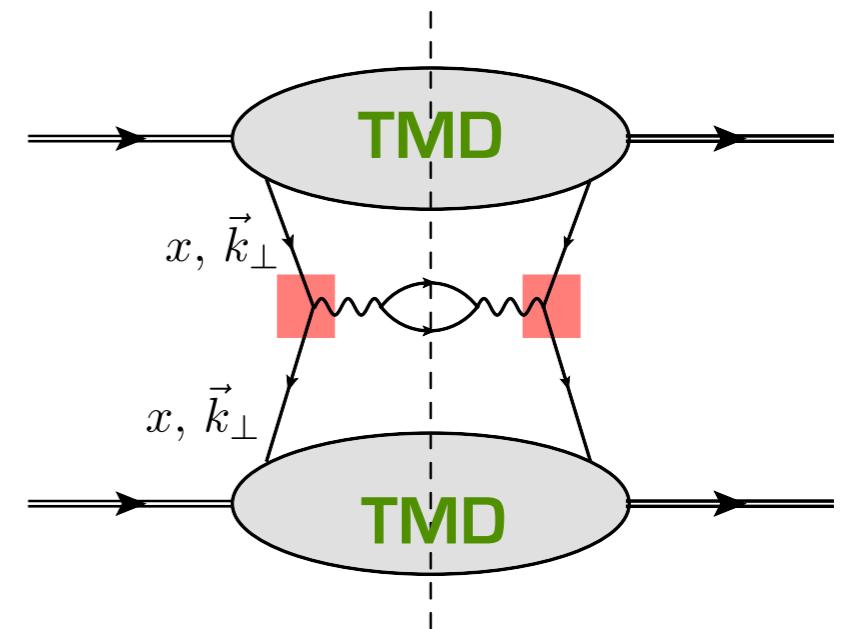
$$d\sigma \sim \sum \text{TMD}(x, \vec{k}_\perp) \otimes d\hat{\sigma}_{hard} \otimes \text{FF}(z, \vec{p}_\perp) + \mathcal{O}\left(\frac{P_T}{Q}\right)$$

Fragmentation Functions



Drell-Yan

$$h(P_1) + h(P_2) \rightarrow \ell^+(l) + \ell^-(l')$$



$$d\sigma \sim \sum \text{TMD}(x, \vec{k}_\perp) \otimes \overline{\text{TMD}}(x, \vec{k}_\perp) \otimes d\hat{\sigma}_{hard}$$

✓ Factorization

✓ Universality

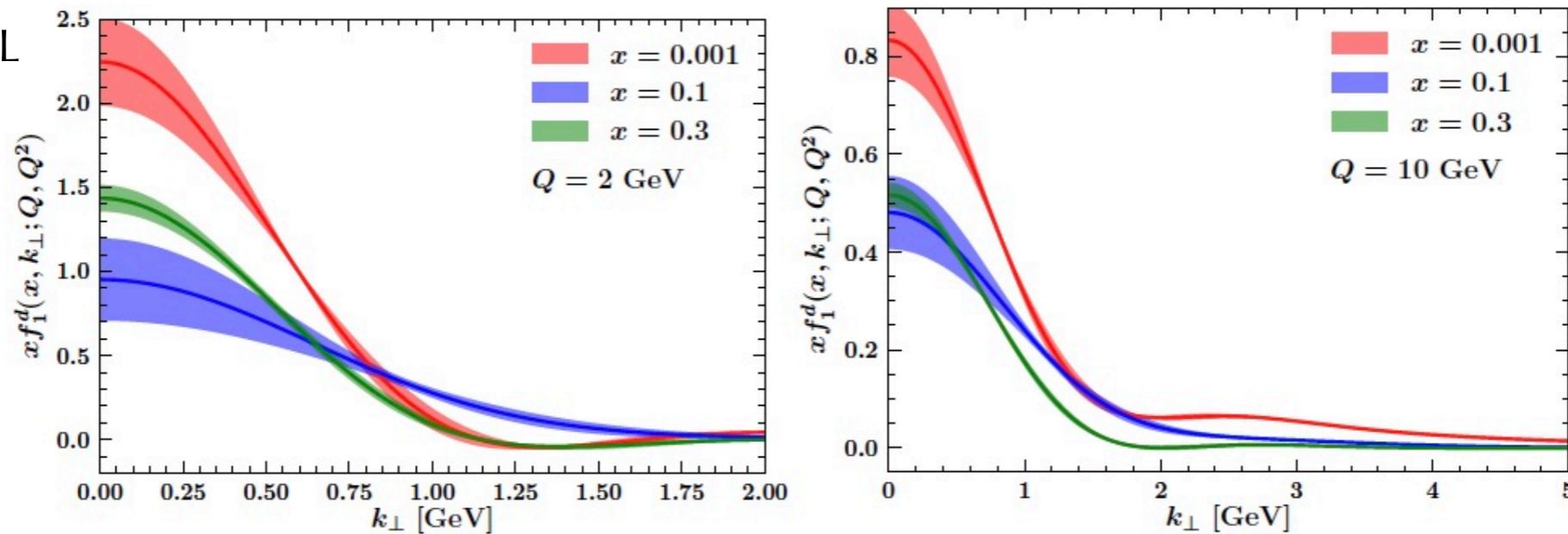
# Quark unpolarized TMD extractions

	Framework	HERMES	COMPASS	DY	Z Production	N of points
Pavia 2016 <a href="#">arXiv:1703.10157</a>	NLL	✓	✓	✓	✓	8059
SV 2017 <a href="#">arXiv:1706.01473</a>	NNLL	✗	✗	✓	✓	309
BSV 2019 <a href="#">arXiv:1902.08474</a>	NNLL	✗	✗	✓	✓	457
Pavia 2019 <a href="#">arXiv:1912.07550</a>	NNNLL	✗	✗	✓	✓	353
SV 2020 <a href="#">arXiv:1912.06532</a>	NNNLL	✓	✓	✓	✓	1039
MAP 2022 <a href="#">in progress</a>	NNNLL	✓	✓	✓	✓	>1500

→ *Talk of M. Cerutti, TMD session, Tue. 19.*

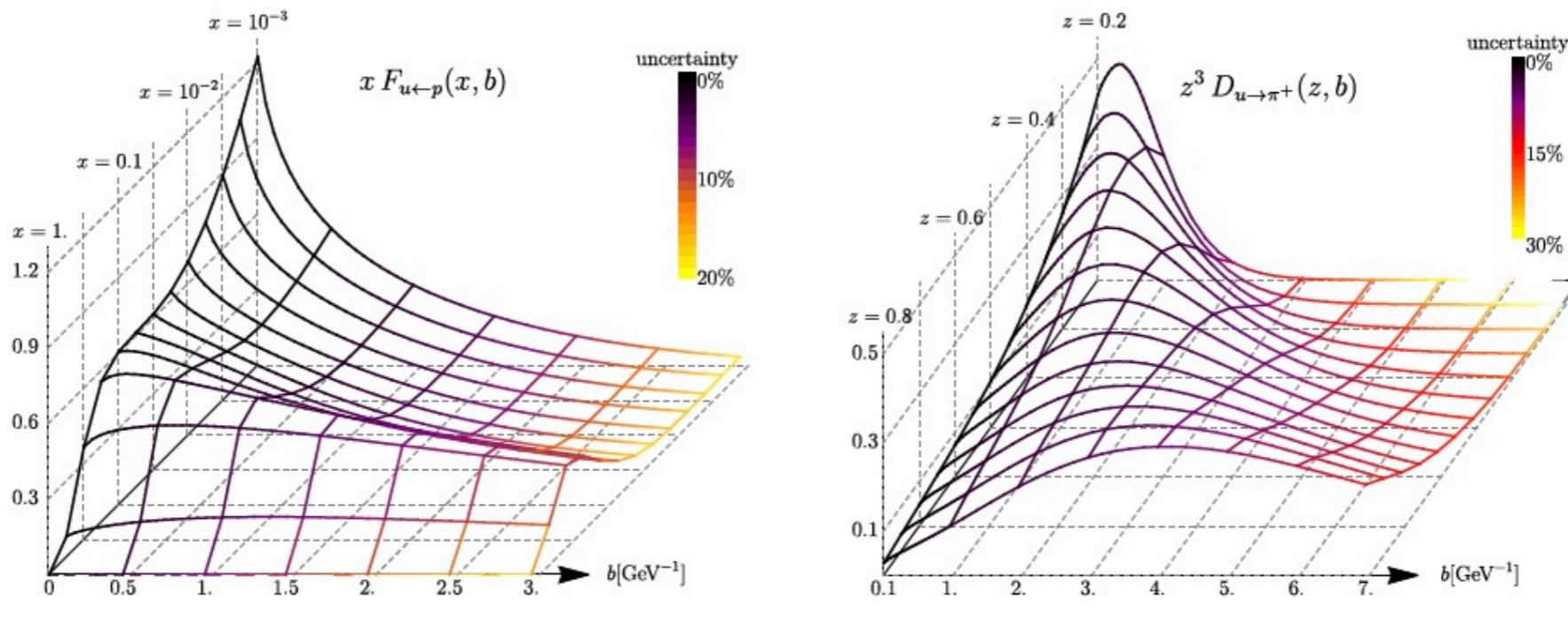
# Quark unpolarized TMD extractions $f_1(x, \vec{k}_\perp)$

DY data at NNNLL



Bacchetta, Bertone, Bissolotti, Bozzi, Delcarro, Piacenza, Radici, JHEP 07 (2020) 117

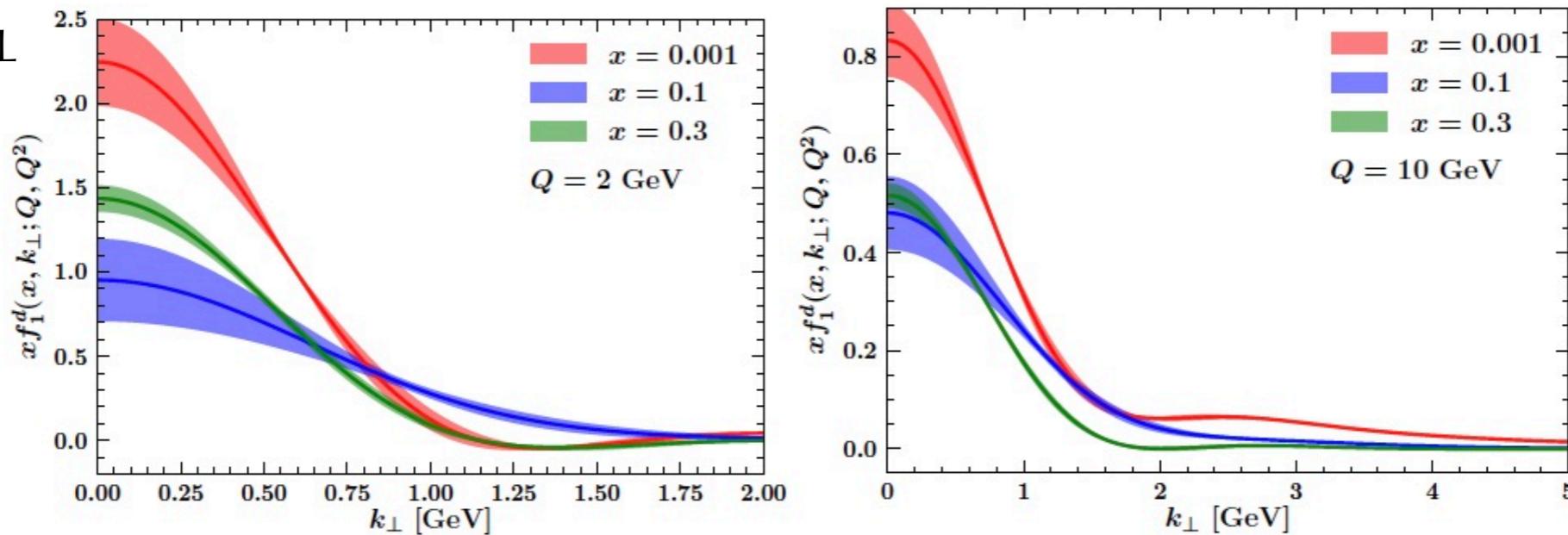
DY+ SIDIS data  
at NNNLL



Scimemi, Vladimirov, JHEP 06 (2020) 137

# Quark unpolarized TMD extractions $f_1(x, \vec{k}_\perp)$

DY data at NNNLL

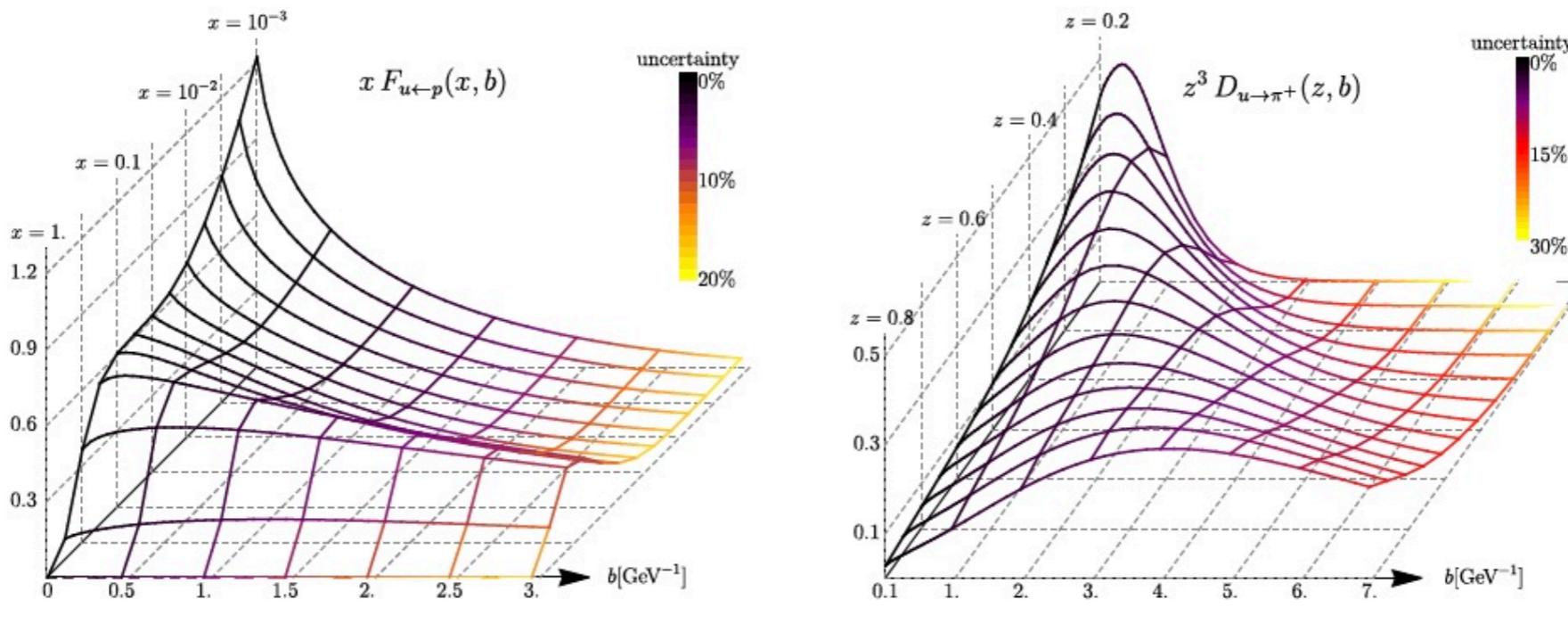


*Bacchetta, Bertone, Bissolotti, Bozzi, Delcarro, Piacenza, Radici, JHEP 07 (2020) 117*

Open issues:

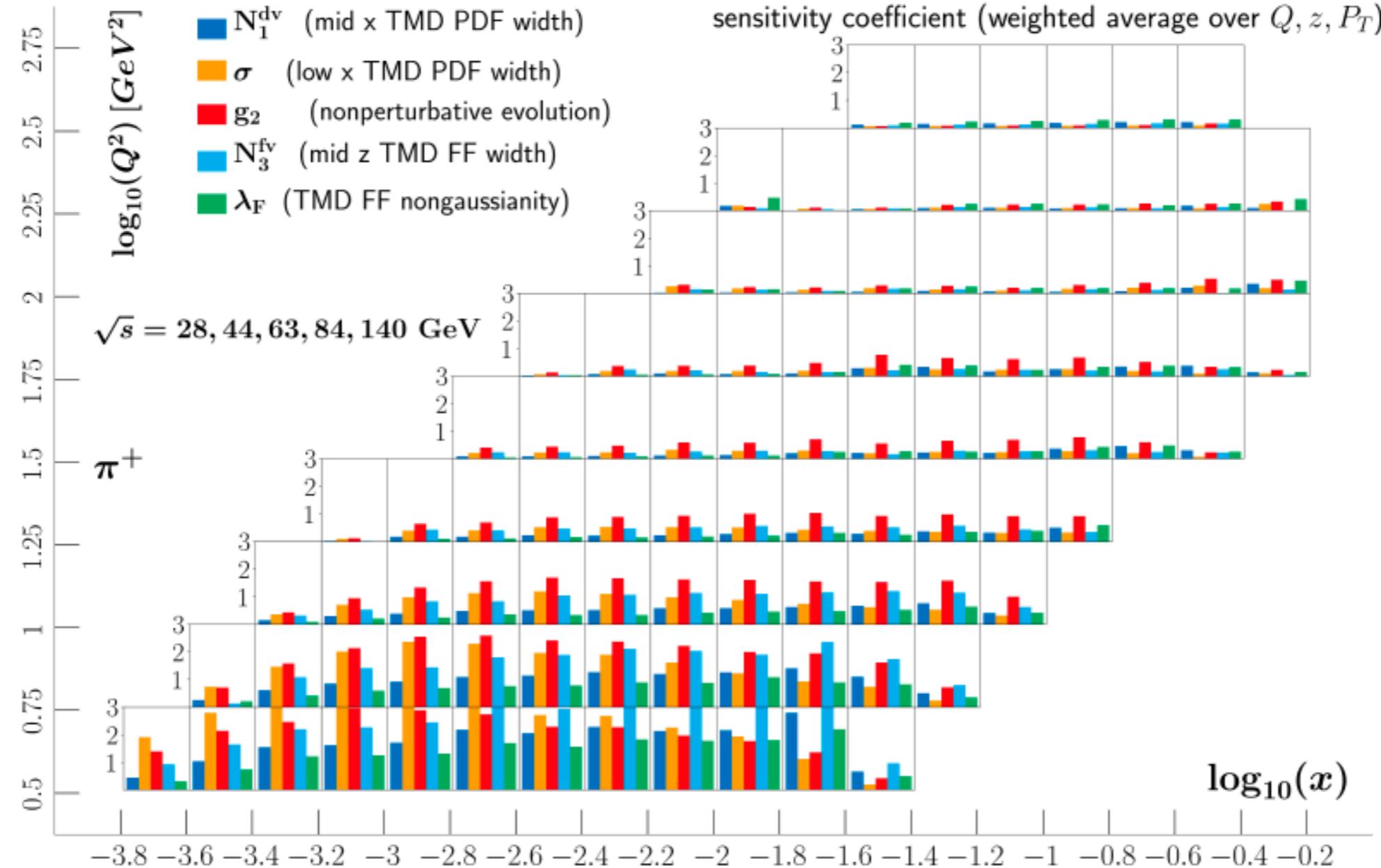
- Flavor dependence and more flexible functional forms
- Different choices in implementation of TMD formalism
- More data needed to test the formalism and functional form of parametrizations
- Improvements on the knowledge of the fragmentation functions

DY+ SIDIS data  
at NNNLL



*Scimemi, Vladimirov, JHEP 06 (2020) 137*

# Foreseen EIC impact on unp. TMDs: SIDIS measurements

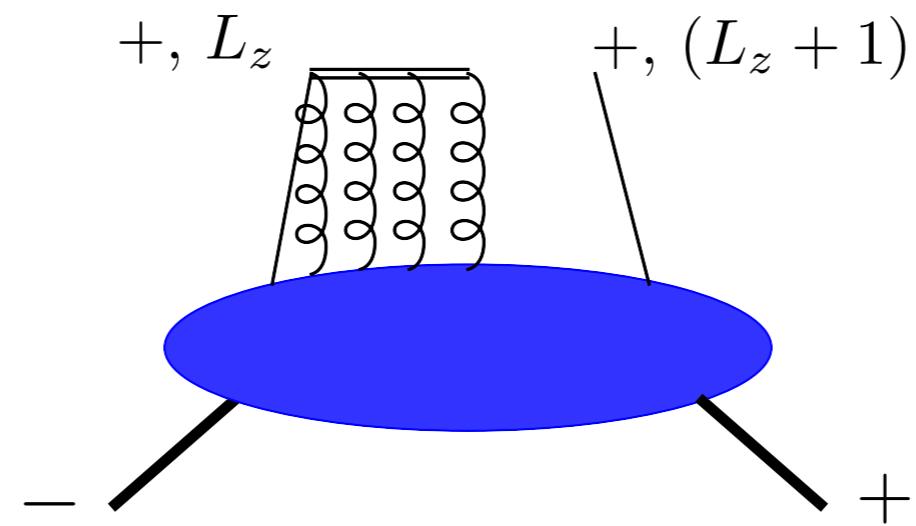


Sensitivity coefficients: measure of the correlation between fit parameters and measurable quantities at EIC

# Sivers function

$$f_{1T}^\perp = \text{---} \circlearrowleft \text{---} \circlearrowright$$

unpolarized quarks in  $\perp$  pol. nucleon



- the helicity mismatch requires orbital angular momentum (OAM)
- non trivial correlation between quark OAM and nucleon transverse spin
- no counterpart in IPD and PDF case
- non-zero ONLY with final-state interaction

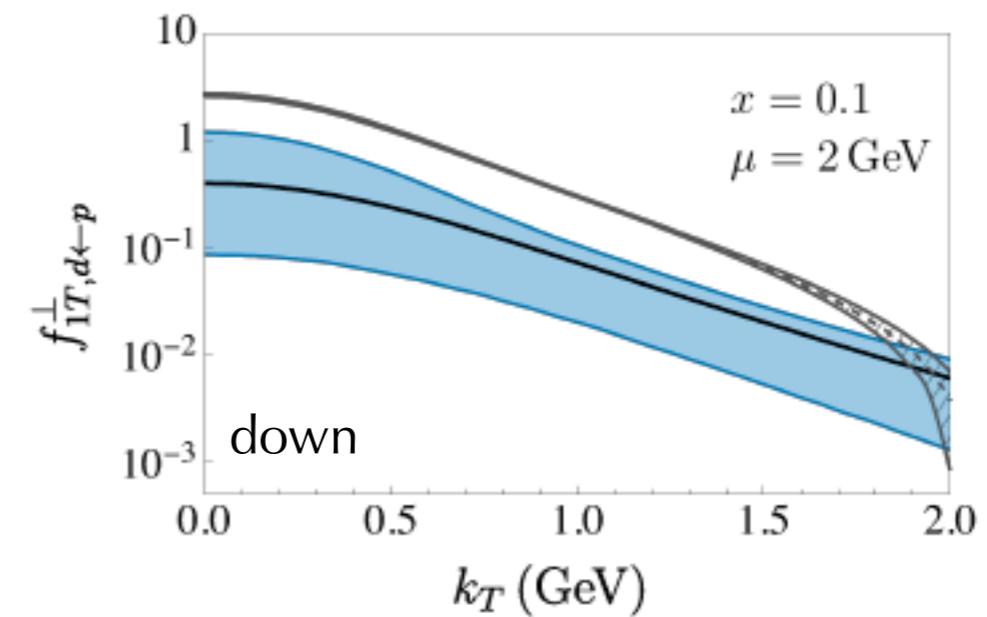
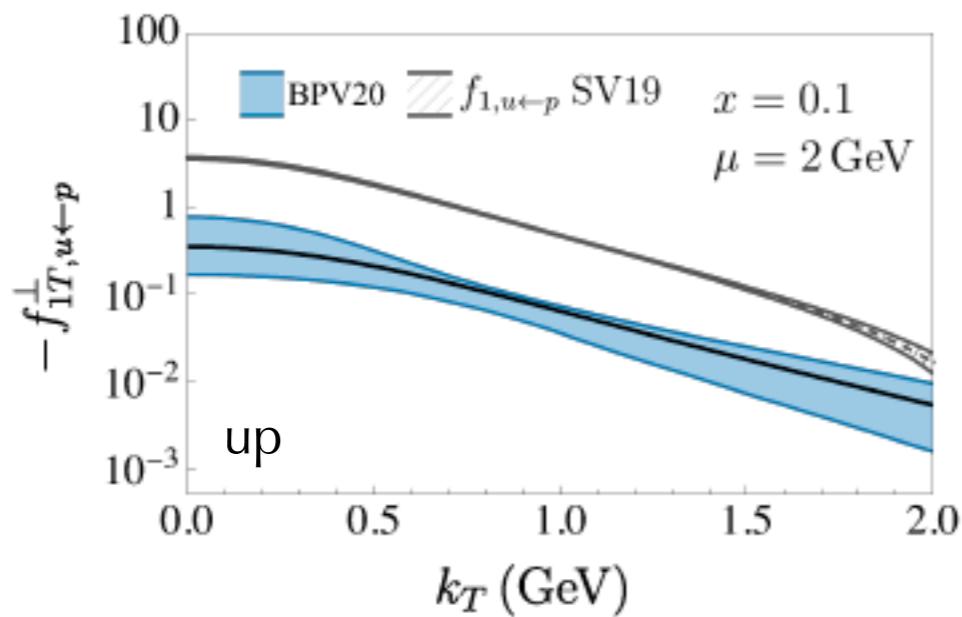
$$f_{1T}^{\text{SIDIS}}(x, k_\perp) = -f_{1T}^{\text{DY}}(x, k_\perp)$$

first hints of sign change from STAR and COMPASS data

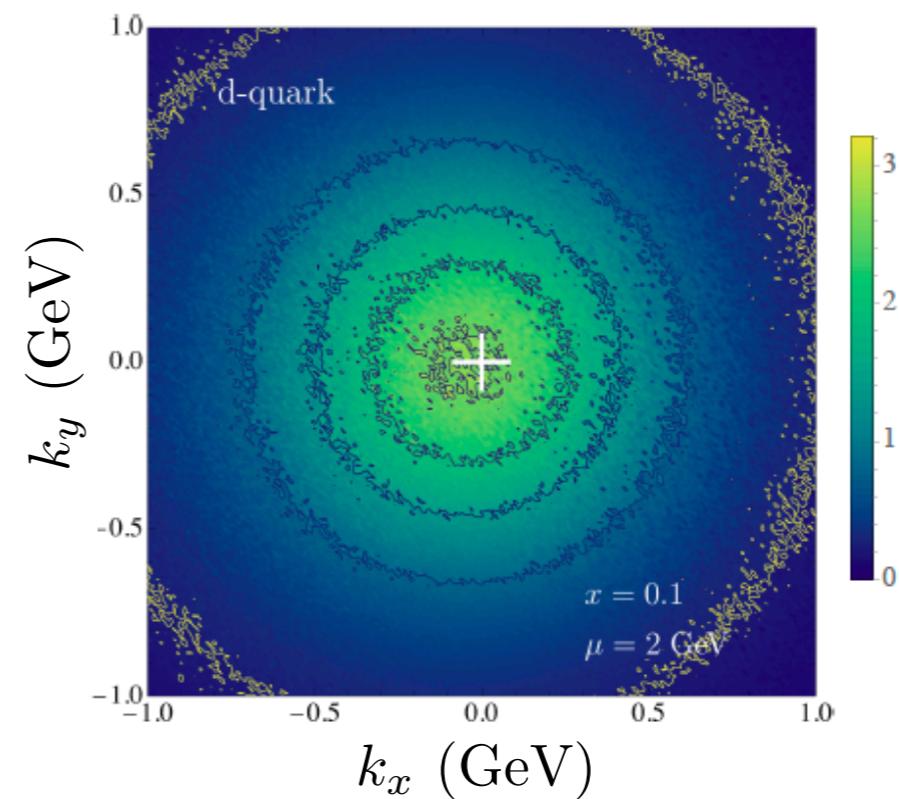
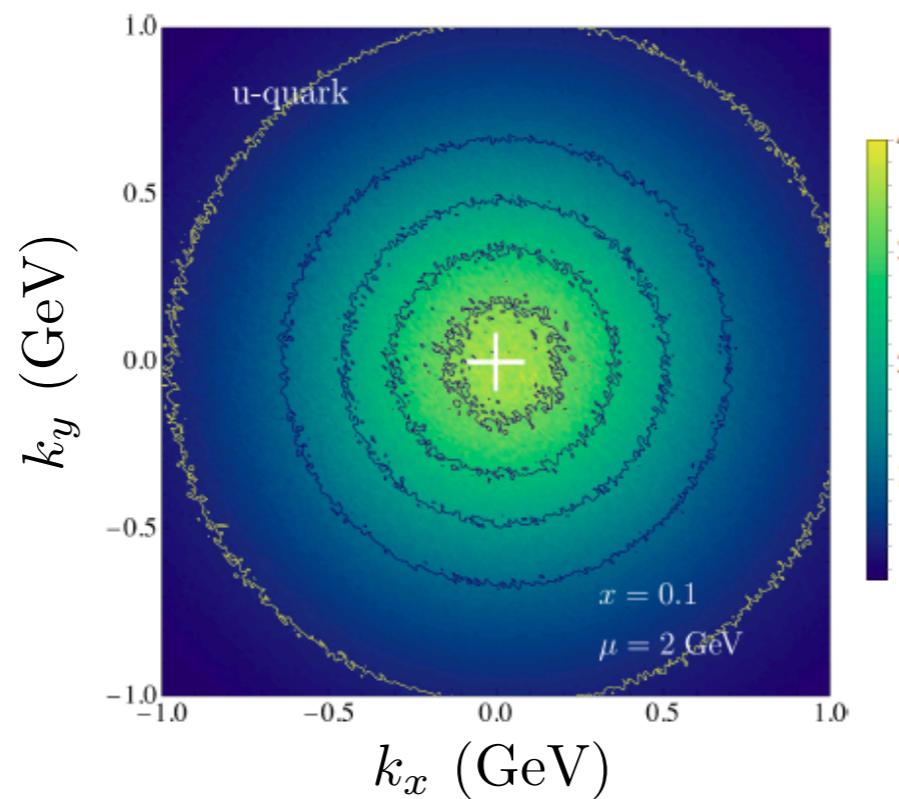
# Global fit to SIDIS, DY, $W^\pm/Z$ boson production

$f_1$

$f_{1T}^\perp$



$$\rho_{UT_y}(x, \vec{k}_\perp, S_y) = f_1(x, k_\perp) - \frac{k_x}{M} f_{1T}^\perp(x, k_\perp)$$



## TMDs and OAM

TMDs are sensitive to various aspects of OAM

NO model-independent relations between TMDs and OAM

→ any quantitative statement must rely on model assumptions

# TMDs and OAM

TMDs are sensitive to various aspects of OAM

NO model-independent relations between TMDs and OAM

→ any quantitative statement must rely on model assumptions

**Sivers TMD** ← **lensing function** → **GPD E**

- valid only in two-body bound systems with restrictive conditions on the quark-gluon vertex interaction
- lensing relation is unlikely to survive the full complexity of QCD

*Burkardt, PRD66 (2002); Pasquini, Rodini, Bacchetta, PRD 100(2019)*

# TMDs and OAM

TMDs are sensitive to various aspects of OAM

NO model-independent relations between TMDs and OAM

→ any quantitative statement must rely on model assumptions

**Sivers TMD** ← lensing function → **GPD E**

- valid only in two-body bound systems with restrictive conditions on the quark-gluon vertex interaction
- lensing relation is unlikely to survive the full complexity of QCD

Burkardt, PRD66 (2002); Pasquini, Rodini, Bacchetta, PRD 100(2019)

## Pretzelosity and OAM

$$\mathcal{L}_z = - \int dx d^2\vec{k}_\perp \frac{k_\perp^2}{2M^2} h_{1T}^\perp(x, k_\perp^2)$$

- valid in quark models with spherical symmetry in the rest frame (bag model, lift-front quark models)

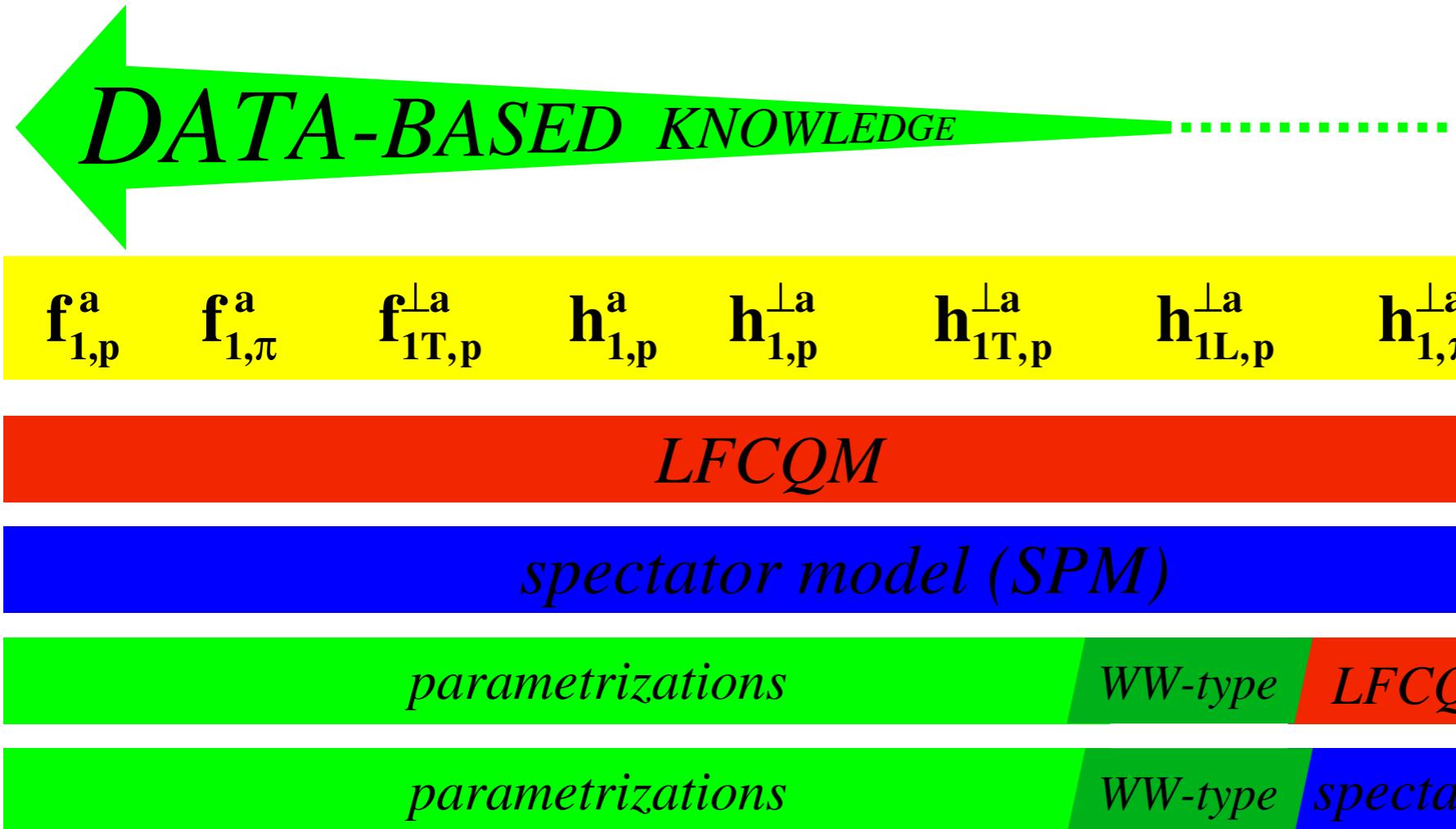
She, Zhu, Ma, PRD79, 2009; Avakian, Efremov, Schweitzer, Yuan, PRD81, 2010; Lorcé and Pasquini, PLB710, 2012

# Pion induced Drell-Yan

$$\pi^- + \vec{p} \rightarrow \ell^+ \ell^- + X$$

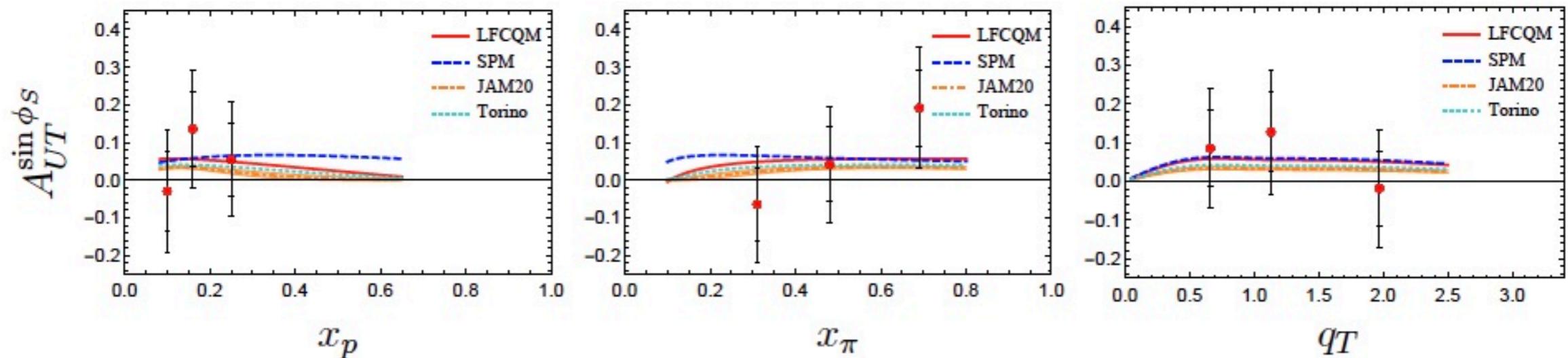
New insights in the partonic structure of **both** the pion and the nucleon

Ongoing analysis of COMPASS DY data  $\longrightarrow$  *Talk of A. Chumakov, TMD session, Mon. 18*

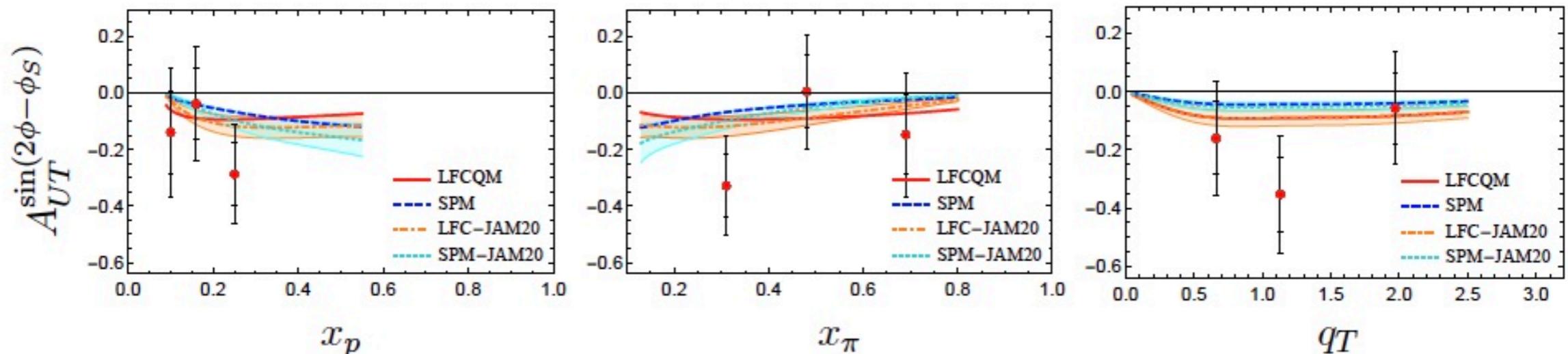


Complete description of polarized DY at leading twist using TMD evolution at NLL accuracy

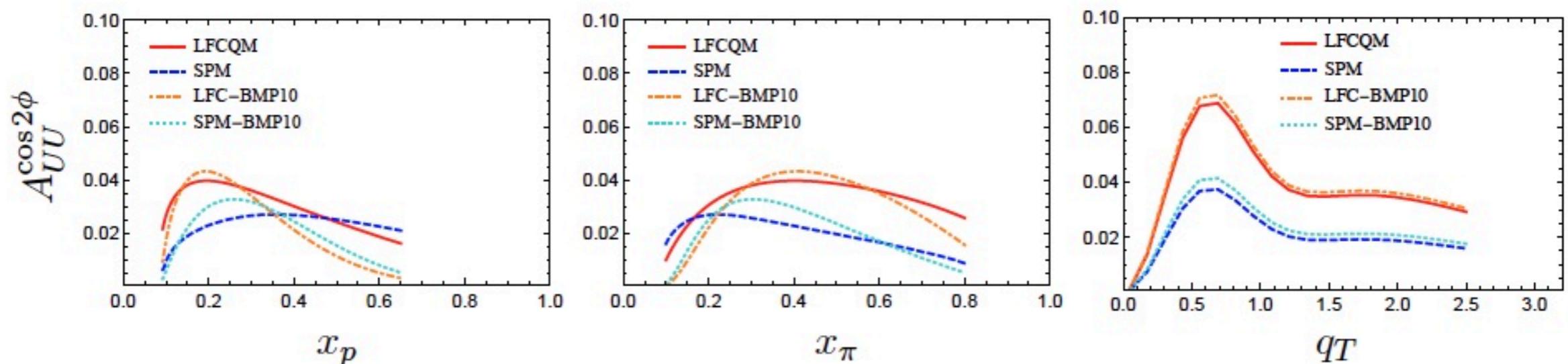
$$A_{UT}^{\sin \phi_s} \propto f_{1T}^{\perp u}(x_p) > 0 \implies f_{1T}^{\perp u}(x_p) > 0$$



$$A_{UT}^{\sin(2\phi-\phi_s)} \propto -h_{1,\pi^-}^{\perp(1)\bar{u}}(x_\pi)h_{1,p}^u(x_p) < 0 \implies h_{1,\pi^-}^{\perp\bar{u}} > 0$$



$$A_{UU}^{\cos 2\phi} \propto h_{1,\pi^-}^{\perp(1)\bar{u}}(x_\pi)h_{1,p}^{\perp(1)u}(x_p) > 0 \implies h_{1,p}^{\perp u}(x_p) > 0$$



→ Consistent with ongoing analysis of COMPASS data, Talk of A. Chumakov, TMD session, Mon. 18

## Library and Plotting tools for collinear parton distributions

**LHAPDF**

[lhapdf.hepforge.org](http://lhapdf.hepforge.org)



**APFEL** ++

[github.com/vbertone/apfelxx](https://github.com/vbertone/apfelxx)  
[apfel.mi.infn.it](http://apfel.mi.infn.it)

## Dedicated Softwares to study GPDs



**PARtonic  
Tomography  
Of  
Nucleon  
Software**



**GeParD**

not yet public

## Dedicated software to study and fit TMDs

**arTeMiDe**

[teorica.fis.ucm.es/artemide](http://teorica.fis.ucm.es/artemide)

**TMD lib and TMD Plotter**

[tmdlib.hepforge.org](http://tmdlib.hepforge.org)

**NangaParbat**

[MapCollaboration/NangaParbat](#)

**Efforts to combine different inputs to understand  
PDFs, TMDs and GPDs in an unified framework**