

Unfolding of Inclusive pAu P_T Data

Slide 1

Benard Mulilo [KU/RIKEN]

[2019/08/01 @ 3 pm JST]

RadLab Meeting

CONTENT

Covariance (error) matrix for the unfolded spectrum using toy MC

⌘ Data covariance matrix used as input to generate toys – matrices compared for kregs.

Covariance (error) matrix on the unfolded spectrum from finite detector matrix statistics

⌘ Unfolded covariance matrix from response matrix with kreg comparisons

Cross-checks for unfolded spectrum

⌘ Cross-check using singular value distribution

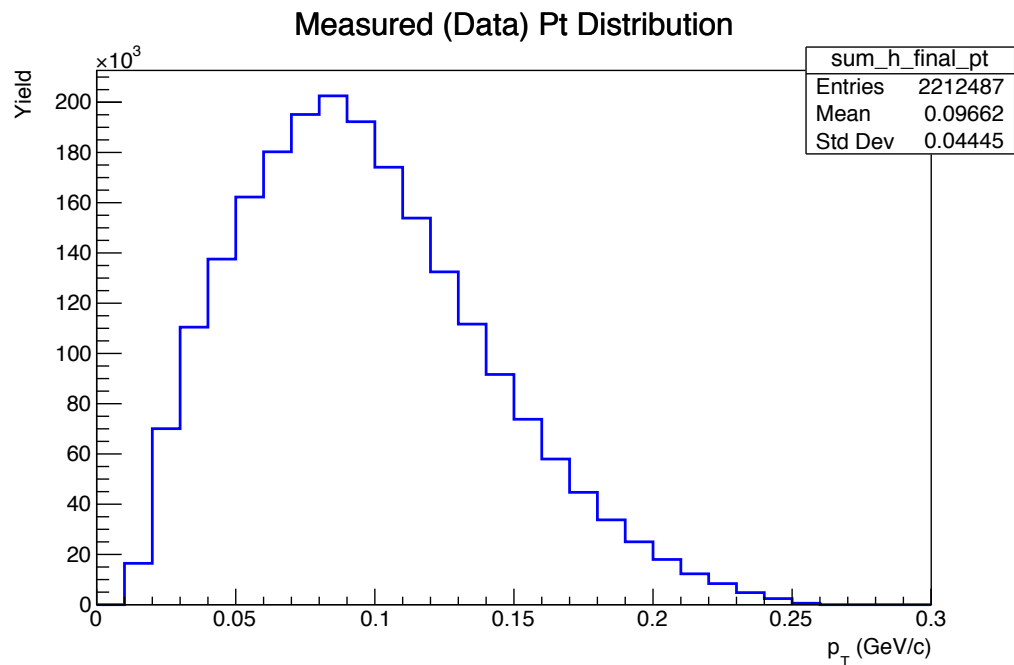
⌘ Trivial cross-check using UPC+DPMJET response matrix on UPC sample

Covariance Matrix for Unfolded Spectrum from Measured Spectrum

Slide 2

Three parameters required:

⌘ Covariance matrix on data



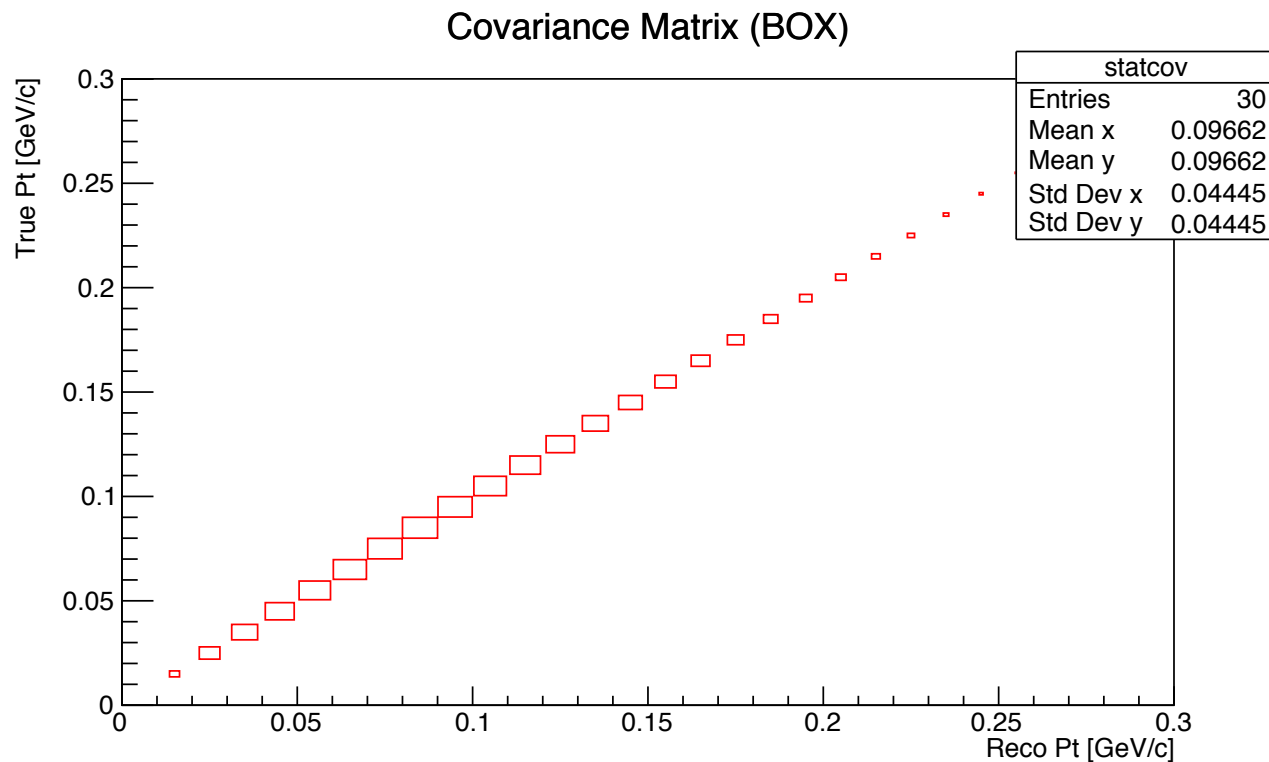
⌘ Pseudo experiments

⌘ Seed for pseudo experiments.

Covariance Matrix for Unfolded Spectrum from Measured Spectrum

Slide 3

© Diagonal covariance matrix from measured spectrum



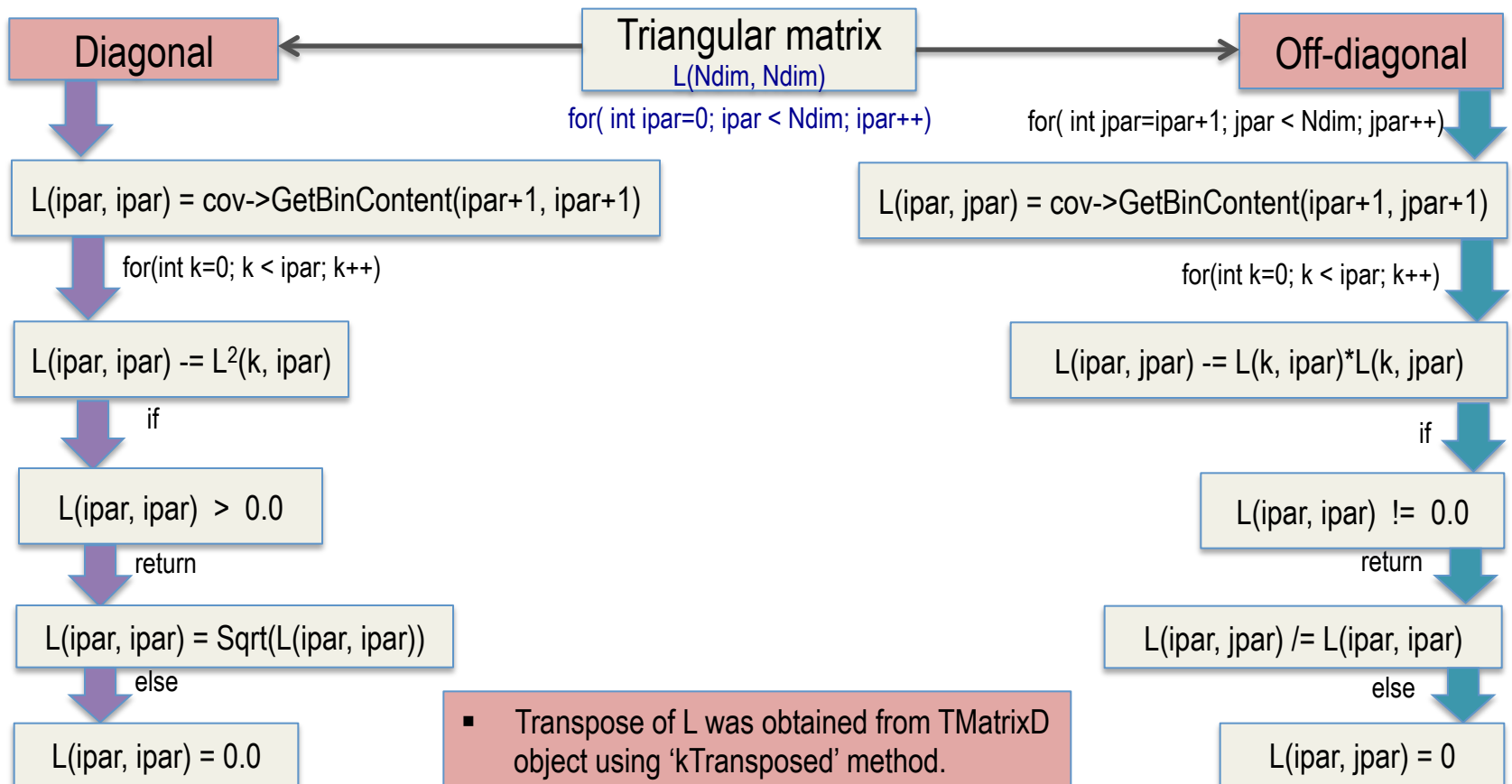
© This covariance matrix was then used to compute error matrix for the unfolded spectrum using toy MC.

Covariance Matrix for Unfolded Spectrum from Measured Spectrum

Slide 4

Toy generation:

- © Elements of the upper-triangular matrix, L that give $L^t * L = C$ were calculated with $L^t = \text{transpose of } L$.
Triangular matrix such that $L = L(\text{Ndim}, \text{Ndim})$ with $\text{Ndim} = \text{dimension}$



Covariance Matrix for Unfolded Spectrum from Measured Spectrum

Slide 5

1D toy histogram is cloned from measured pt distribution (data). Toy mean is obtained by:

- Creating vector of unit Gaussian variables $g(k) = \text{random.Gaus}(0., 1.)$
- Multiplying $g(k)$ by L_t , $g(k) *= L_t$
- Adding mean value offsets

```
toysthistogram->SetBinContent( j, Bdata->GetBinContent(j) + g(j-1) )
```

```
toysthistogram->SetBinError( j, Bdata->GetBinError(j) )
```

Then performed unfolding with regularization parameter

- $k_{\text{reg}} = 4, 6, 8, 10$ with optimal k_{reg} value being 6.

```
toystmean ->SetBinContent( j, toystmean->GetBinContent(j) + unfres->GetBinContent(j)/ntoys
```

Reset random seed and deal with toys for the covariance matrix.

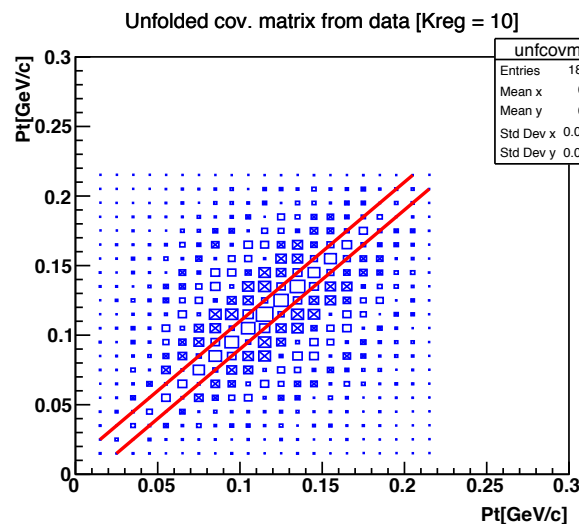
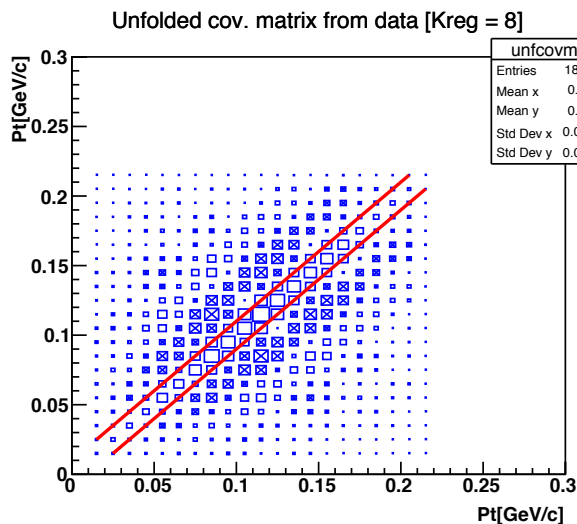
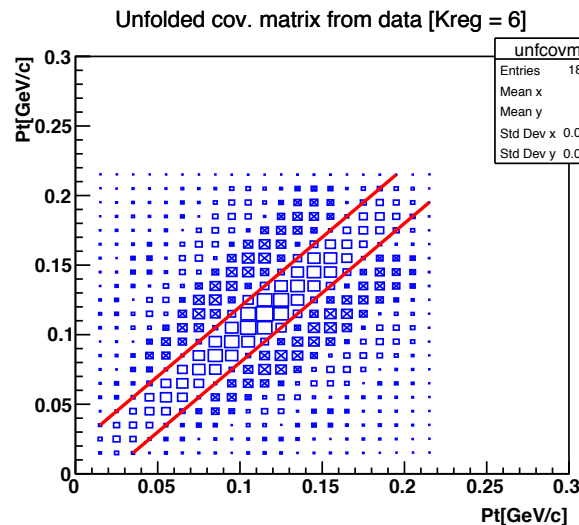
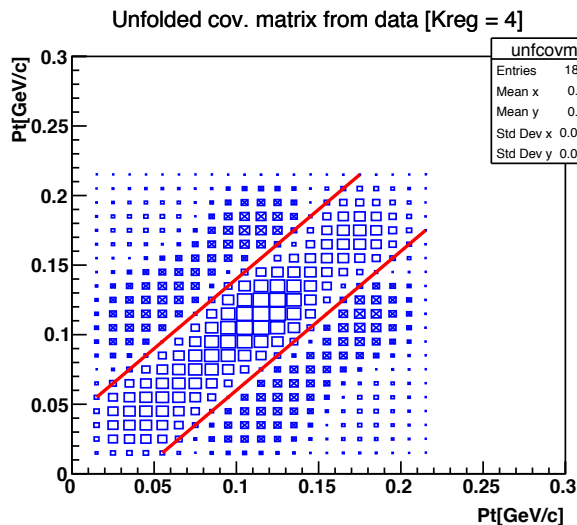
- Created vector of unit Gaussian variables $g(k) = \text{random.Gaus}(0., 1.)$
- Multiplied $g(k)$ by L_t , $g(k) *= L_t$
- Added mean value offsets

Unfolded with regularization parameter from dvector and stored the unfolded covariance matrix.

- Used $k_{\text{reg}} = 4, 6, 8$ and 10 for comparison purpose.
- ```
UnfCov->SetBinContent(j, k, UnfCov->GetBinContent(j,k) + ((unfres->GetBinContent(j) – toystmean->GetBinContent(j)) * (unfres->GetBinContent(k) – toystmean->GetBinContent(k))/(ntoys-1)))
```

# Covariance Matrix for Unfolded Spectrum from Measured Spectrum

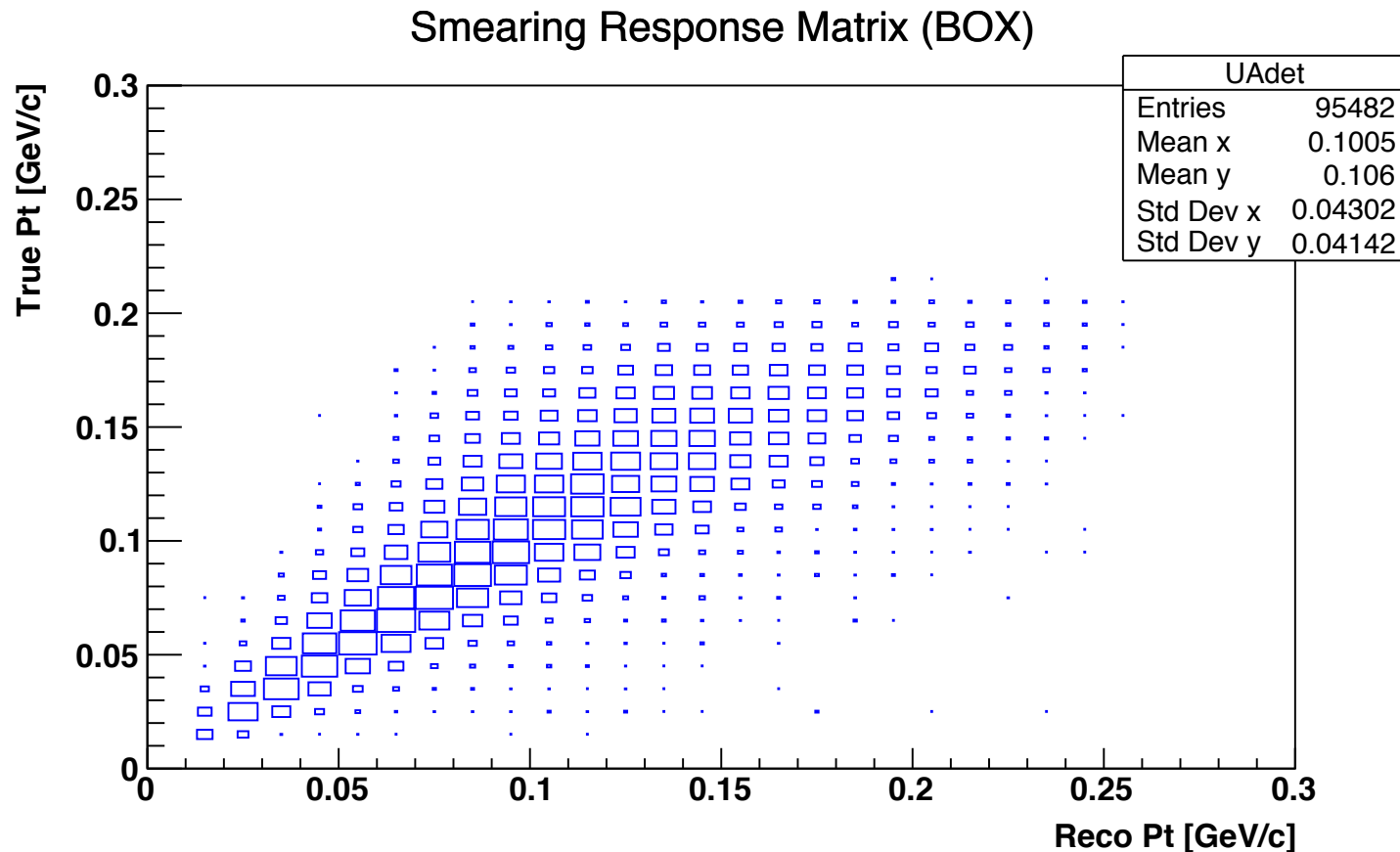
Slide 6



# Covariance Matrix for Unfolded Spectrum from Response Matrix

Slide 7

Detector response matrix: 2D histogram extracted from the reco and true pt spectra of UPC+DPMJET MC



# Covariance Matrix for Unfolded Spectrum from Response Matrix

Slide 8

Two arguments required: (1) ntoys and (2) random seed for pseudo experiments

- A copy of response matrix is made for unfolded covariance matrix.

Toys for detector response matrix are generated using a TRandom3 generator with seed

- Toy matrix (toymat) is cloned from detector response matrix
- Toy mean 1D histogram is also cloned from true MC distribution.
- Toy matrix is then generated based on toy number and dimension of response matrix using Poisson.  
`toymat->SetBinContent(k, m, random. Poisson(ResMat->GetBinContent(k,m)) )`

Unfolding with appropriate regularization parameter (kreg) is performed

- Kreg = 4, 6 (optimal), 8 and 10 plotted for comparison
- Toy mean (toymean) is stored as:  
`toymean->SetBinContent( j, toymean->GetBinContent(j) + unfres->GetBinContent(j)/ntoys`

Random seed is reset for unfolded covariance matrix

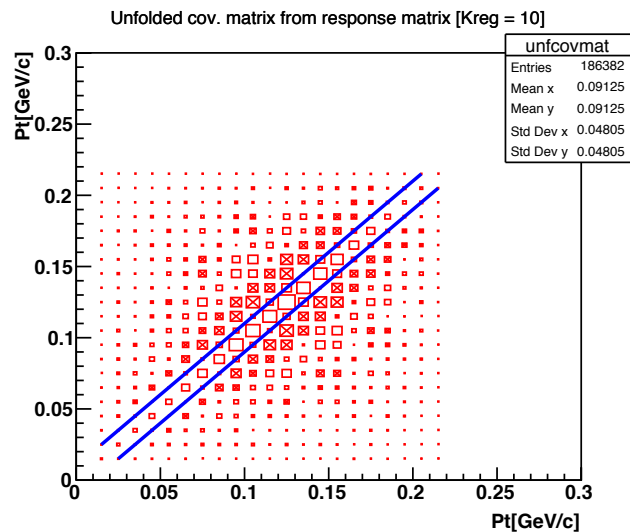
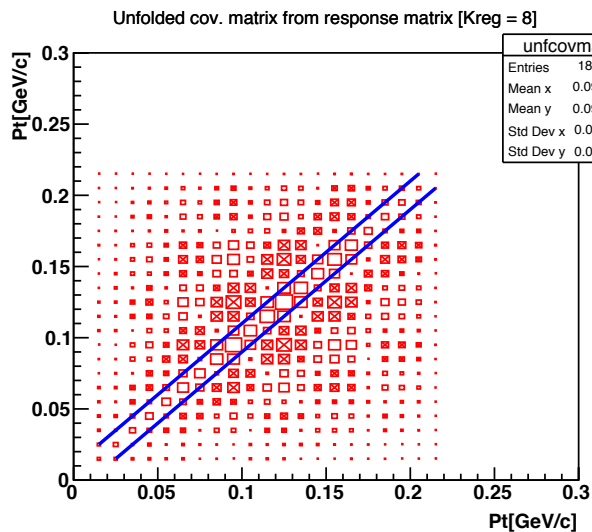
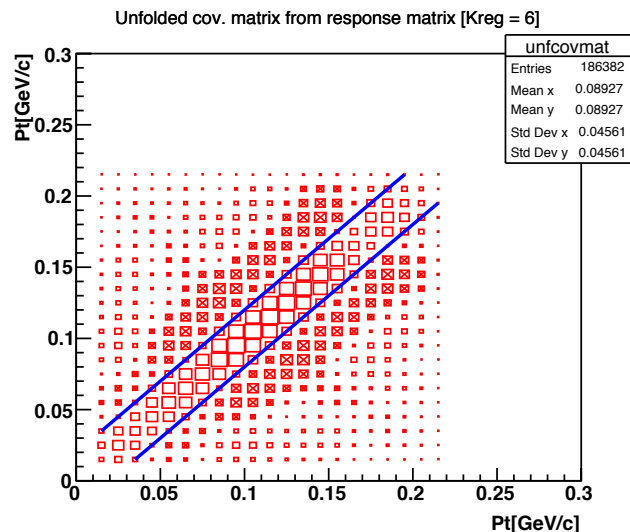
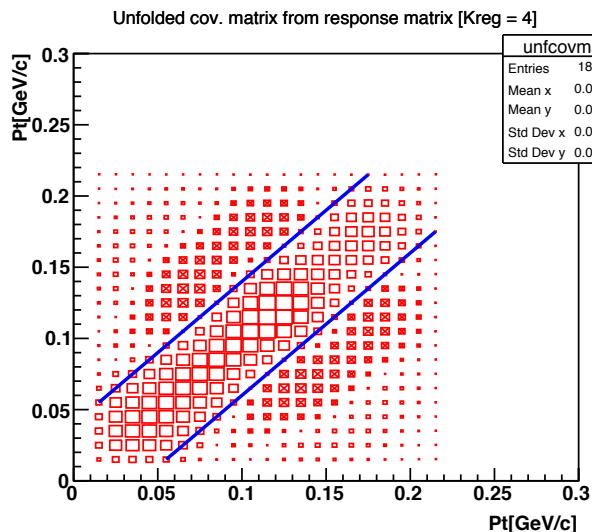
- Toy matrix is generated based on toy number and dimension of response matrix using Poisson  
`toymat->SetBinContent(k, m, random. Poisson(ResMat->GetBinContent(k,m)) )`

Unfolding is performed with regularization parameter = 4, 6, 8, 10 and unfolded covariance matrix is stored.

- `Unfcov->SetBinContent(j, k, unfcov->GetBinContent(j, k) + (( unfres->GetBinContent(j) – toymean->GetBinContent(j) ) * (unfres->GetBinContent(k) – toymean->GetBinContent(k) )/(ntoys-1) )`

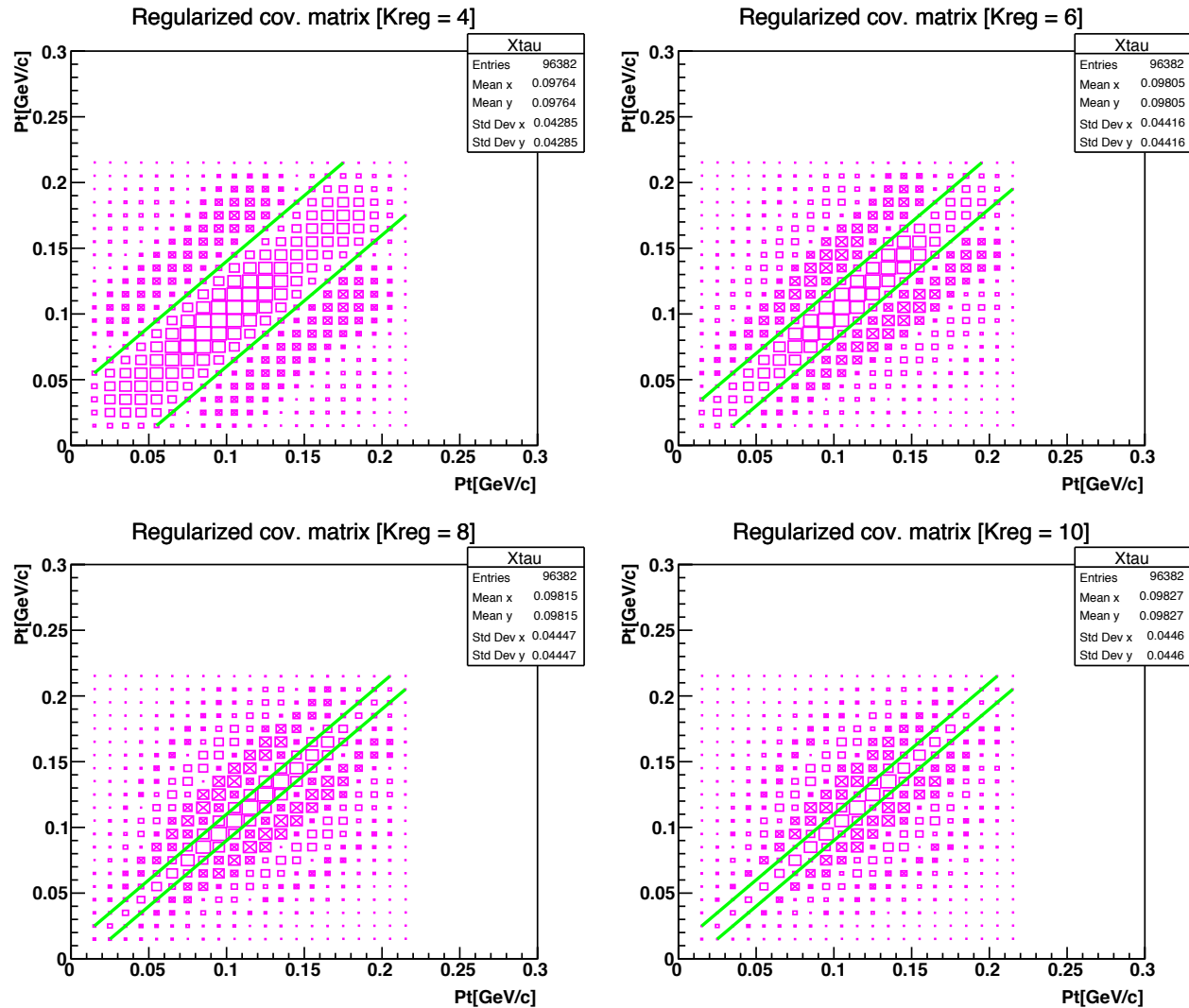
# Covariance Matrix for Unfolded Spectrum from Response Matrix

Slide 9



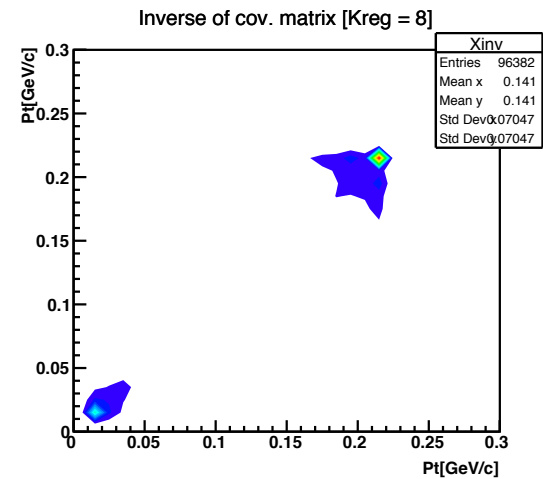
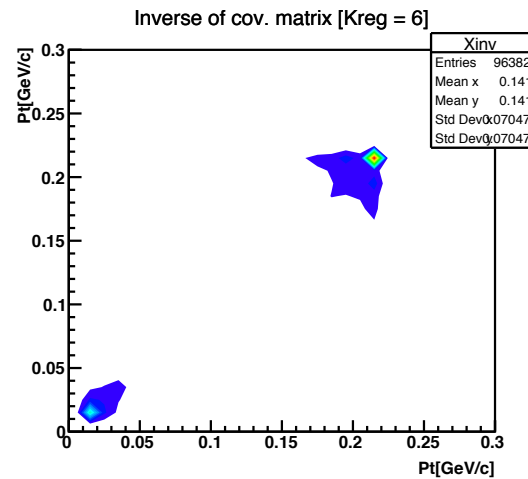
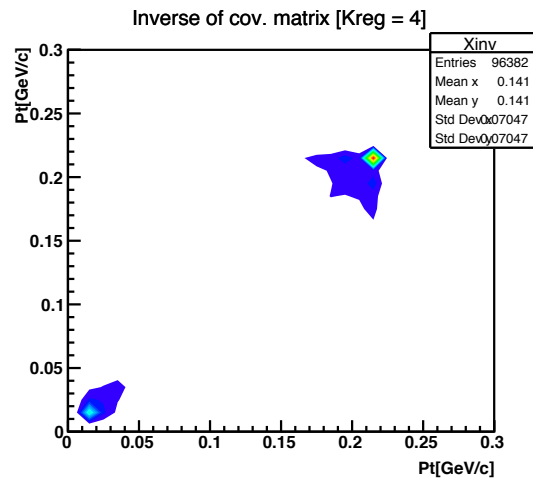
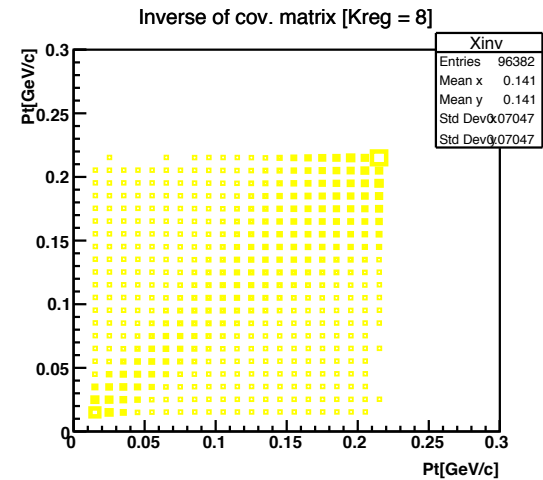
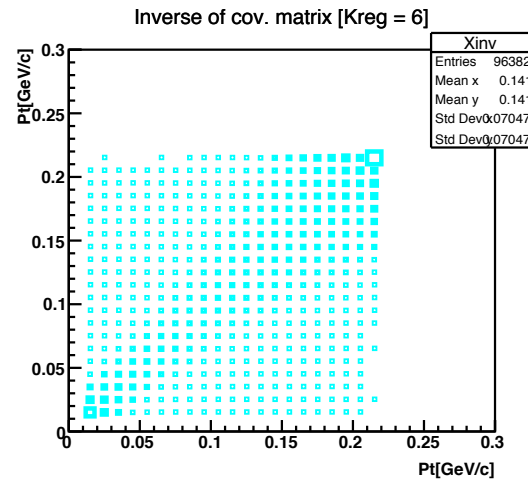
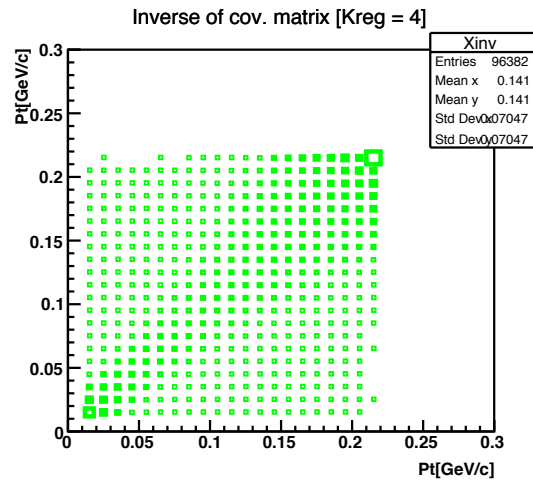
# Regularized Covariance Matrix Corresponding to Total Uncertainties on Data

Slide 10



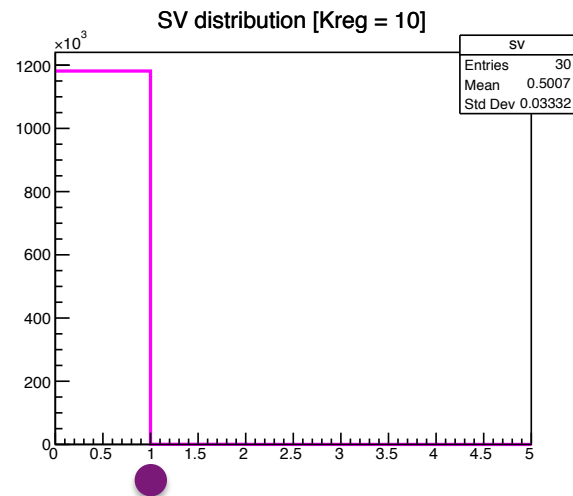
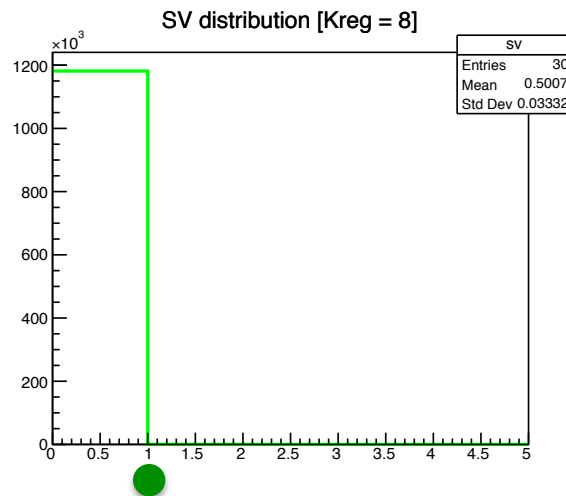
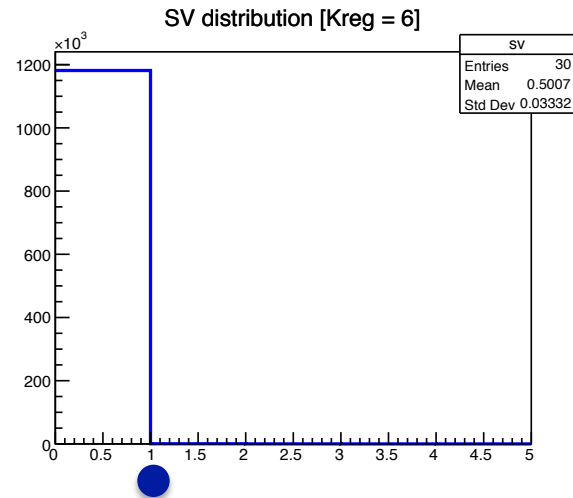
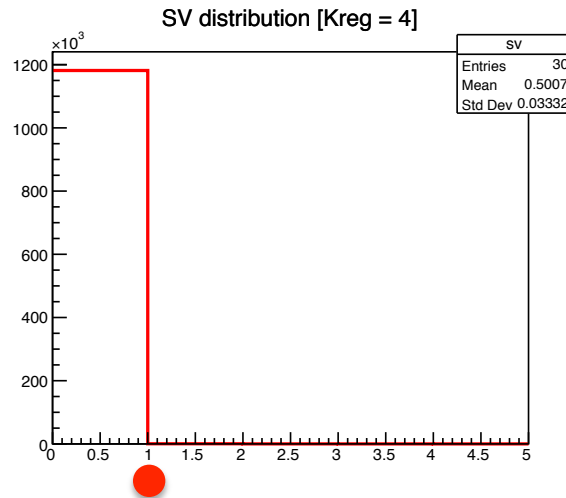
# Inverse of Covariance Matrix – Regularization Independent

Slide 11



# Singular Value Distributions – Unfolding Cross-check

Slide 12



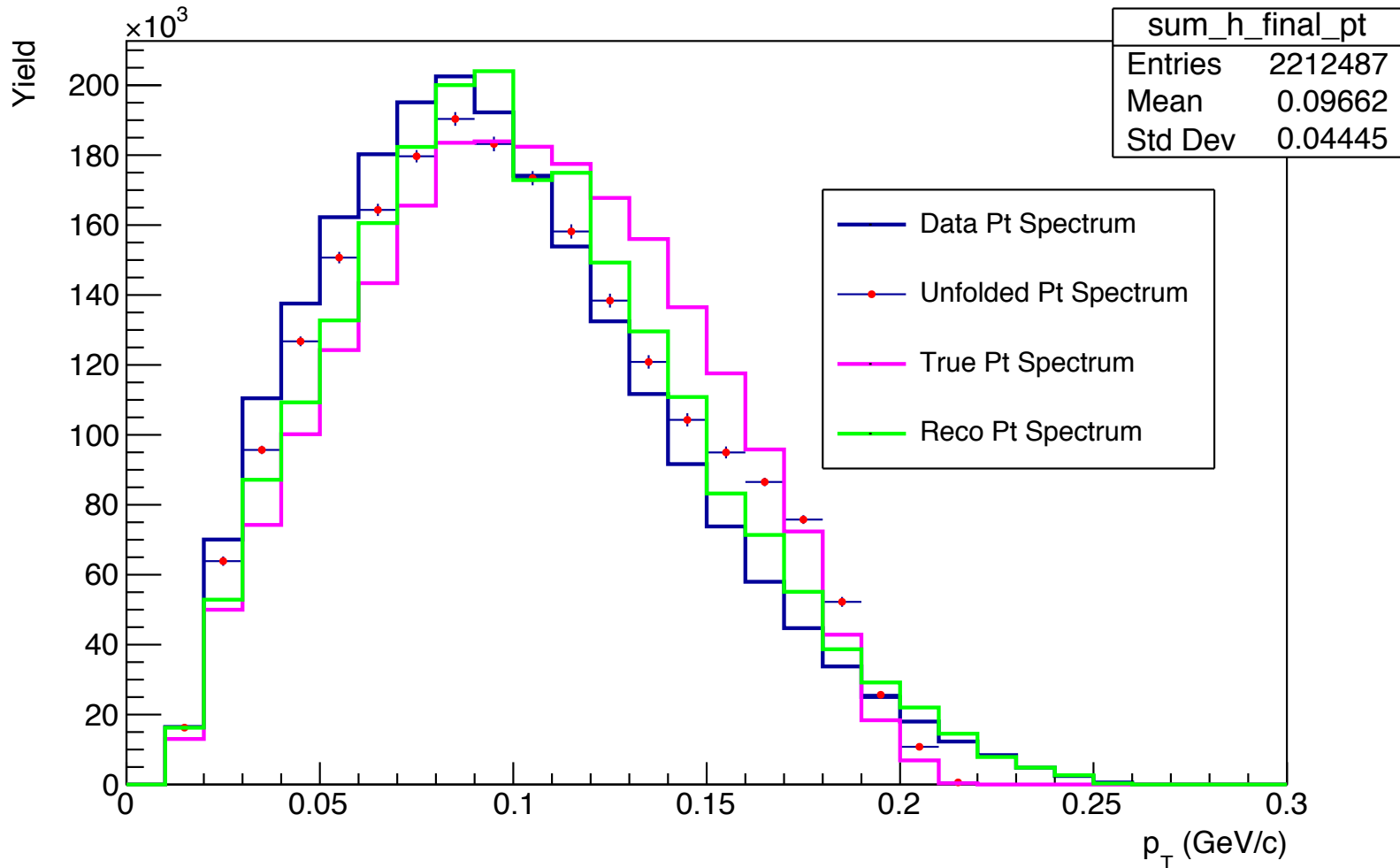
Singular values are distributed as expected and are regularization independent.

# Trivial Unfolding Cross-check – UPC+DPMJET

## Response Matrix on UPC+DPMJET

Slide 13

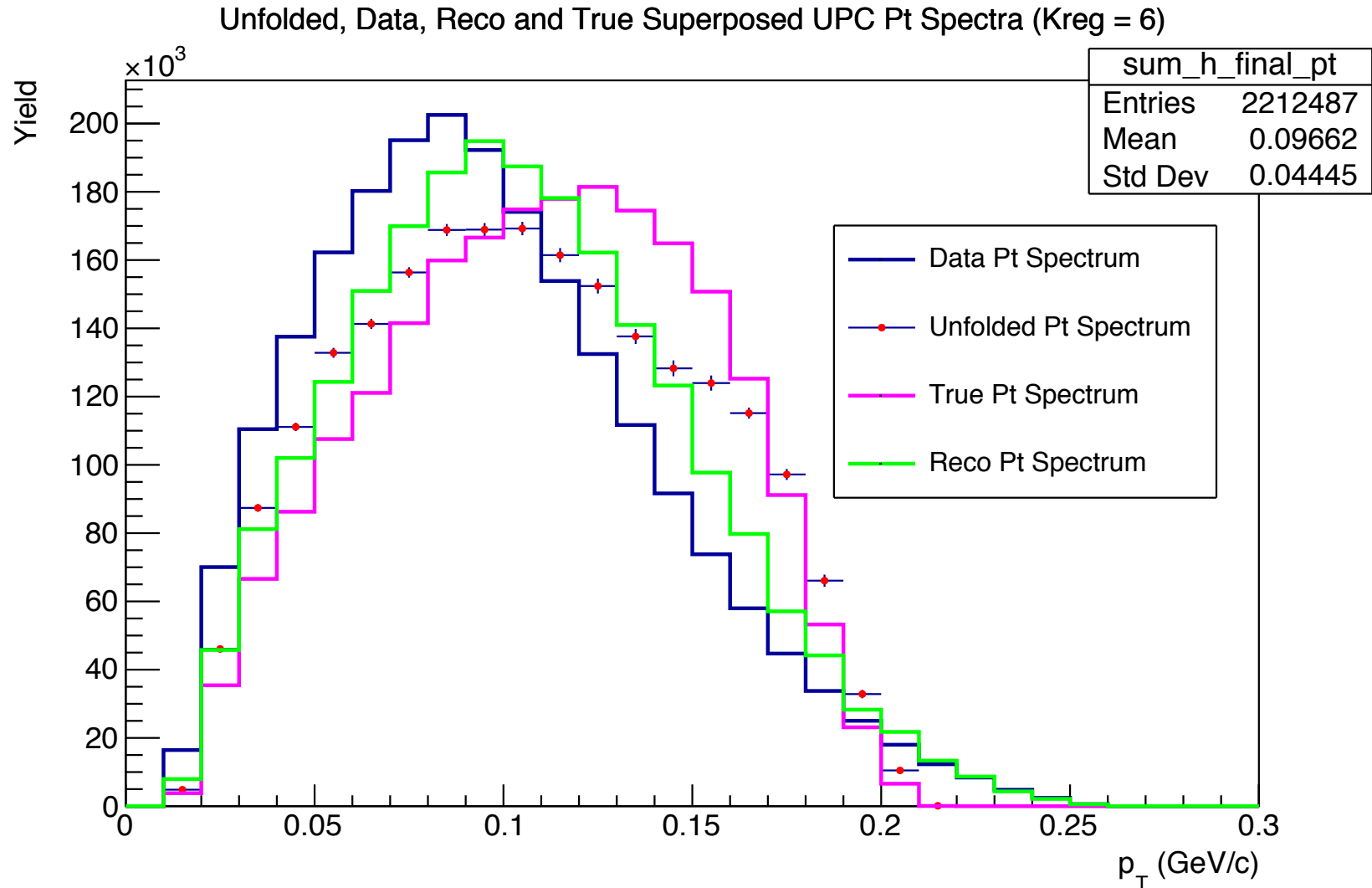
Unfolded, Data, Reco and True Superposed Pt Spectra (Kreg = 6)



# Trivial Unfolding Cross-check – UPC+DPMJET

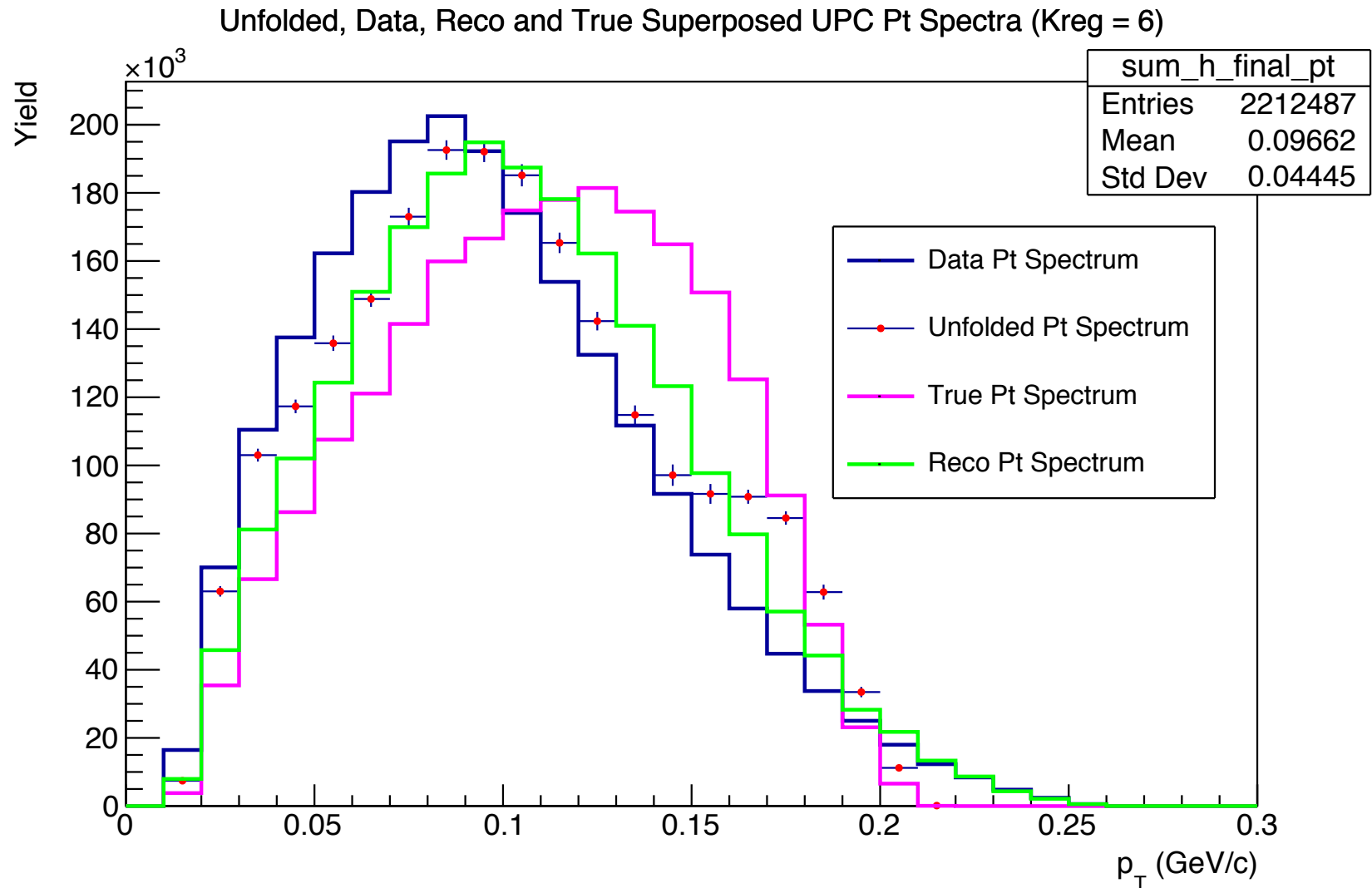
## Response Matrix on UPC

Slide 14



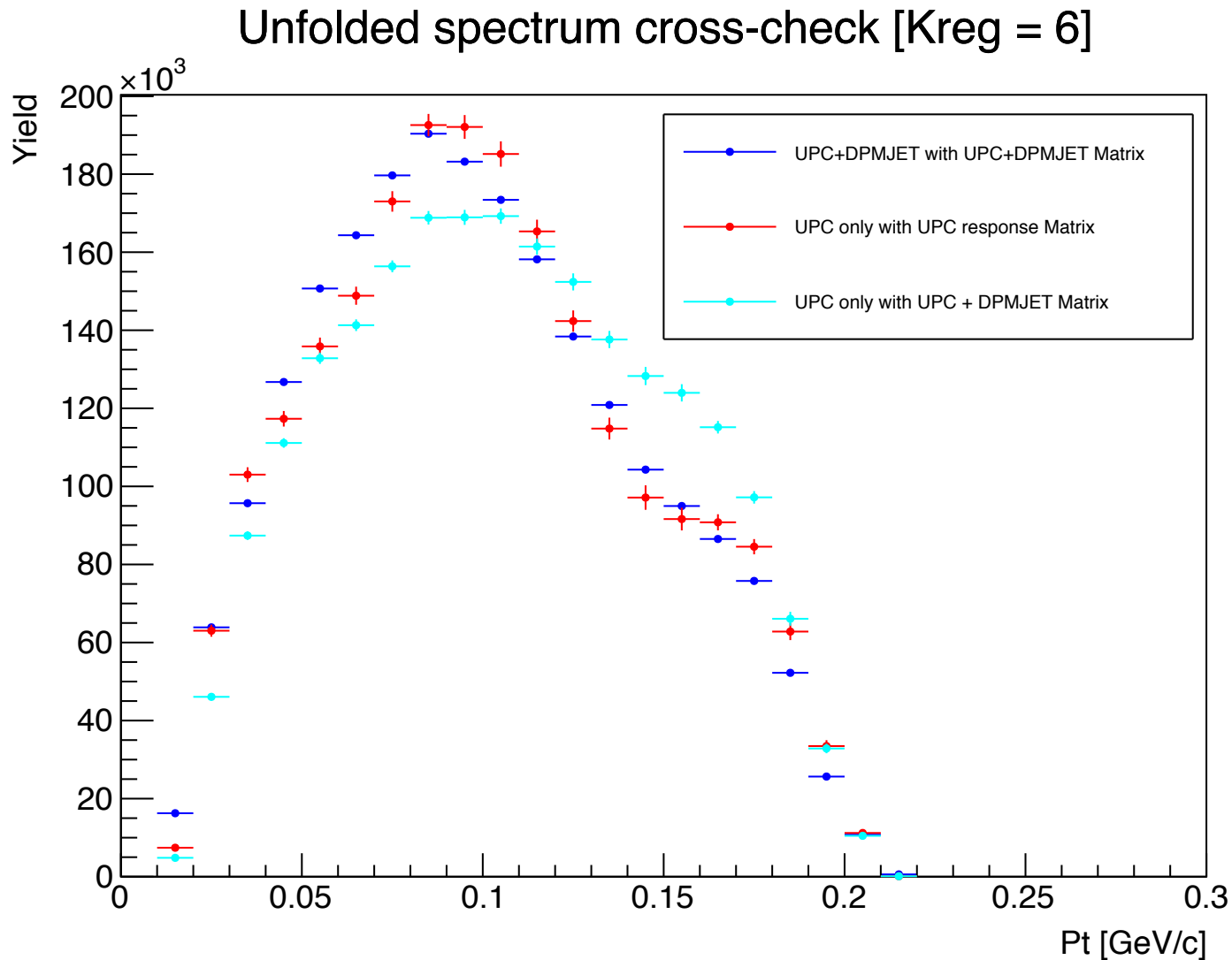
# Trivial Unfolding Cross-check – UPC Response Matrix on UPC

Slide 15



# Trivial Unfolding Cross-check – Unfolded Spectra Comparison

Slide 16



# Next Task

Slide 17

⌘ Way forward to be decided depending on the outcome of this update

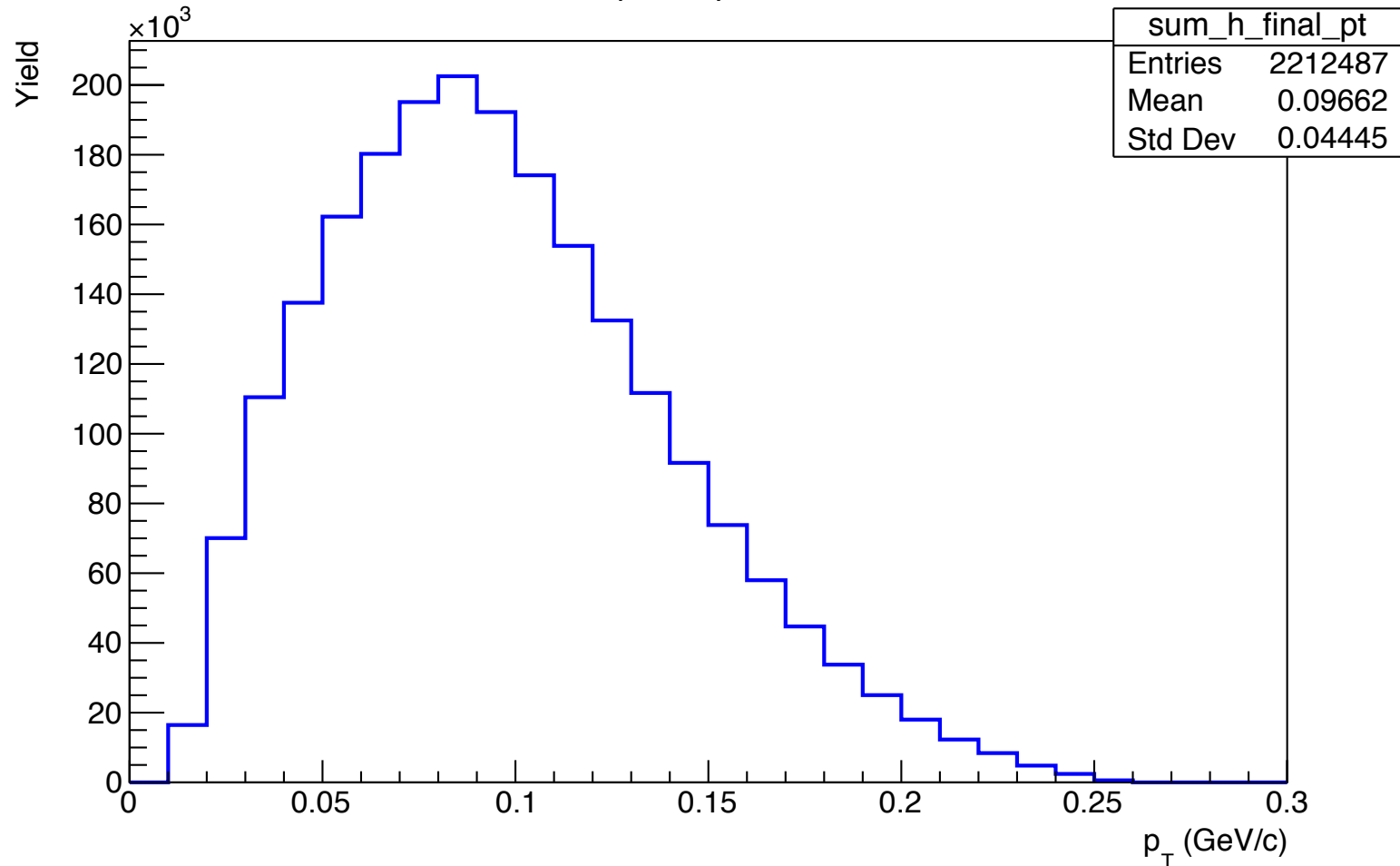
**BACKUP**

# Unfolding Input – Measured Spectrum

Slide 1

① Data to unfold: Run 15 inclusive pAu transverse momentum data at  $\sqrt{s_{NN}} = 200$  GeV

Measured (Data) Pt Distribution



# Unfolding Input – Reco Spectrum

Slide 2

- ② Reconstructed spectrum: pAu reconstructed pt spectrum from a combination of UPC (EM) + DPMJET (HAD) MC samples.

## Neutron Selection Cuts

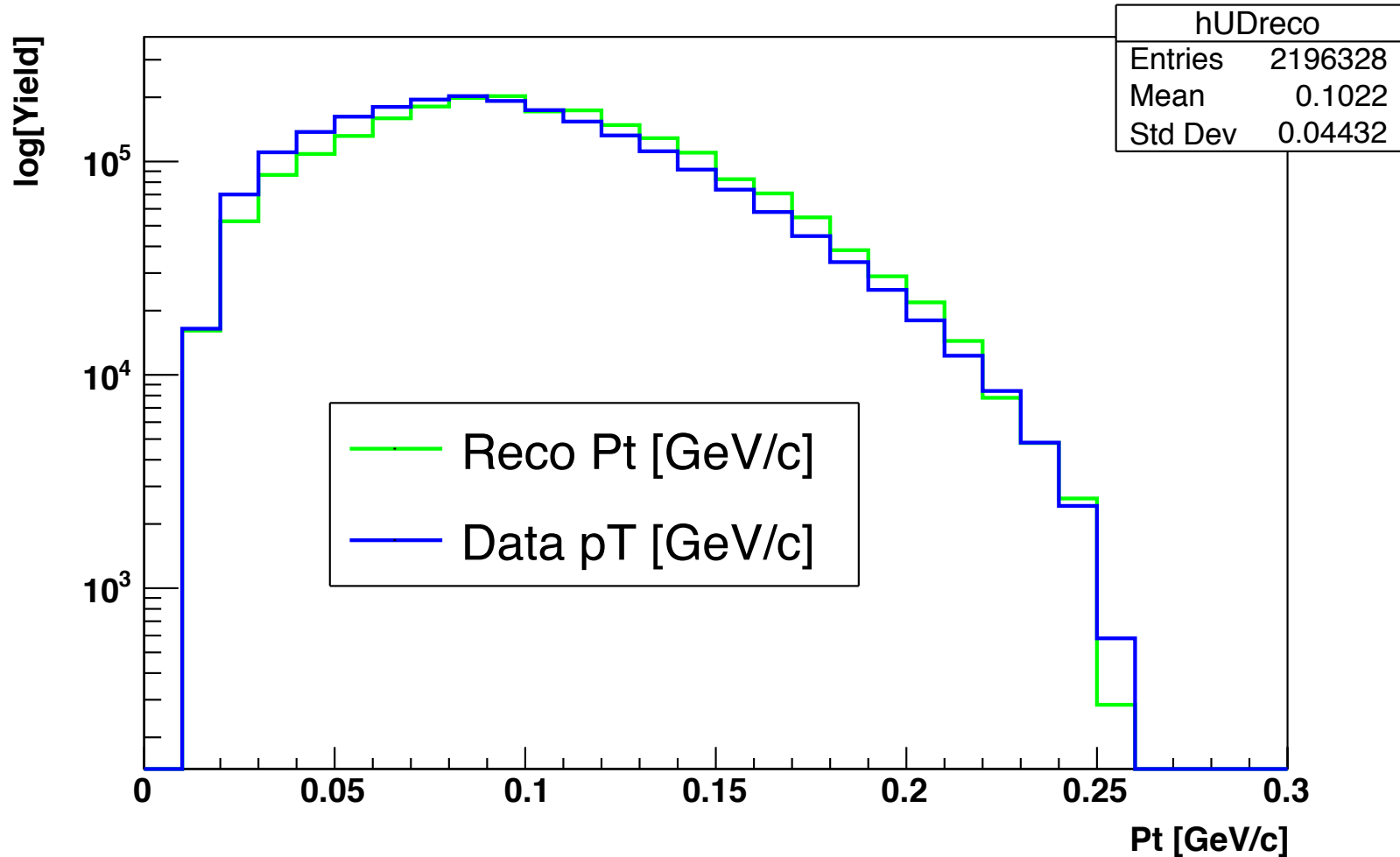
Following cuts were utilized for neutron identification and rejection of photon events. Same cuts as data.

- ⊙ ZDC total energy:  $40 < E < 120$  and  $2^{\text{nd}} \text{ ZDC energy} / \text{ZDC total energy} > 0.03$  ( i.e. non-zero  $2^{\text{nd}}$  ZDC energy )
- ⊙ Acceptance cut:  $0.5 < r < 4.0 \text{ cm}$
- ⊙ SMD multiplicity:  $N_x / N_y \geq 2$  fired SMD strips. That is  $N_x$  and  $N_y > 1$  fired strips above Minimum Ionized Particle (MIP) energy threshold cut.

# Unfolding Input – Reco Spectrum

Slide 3

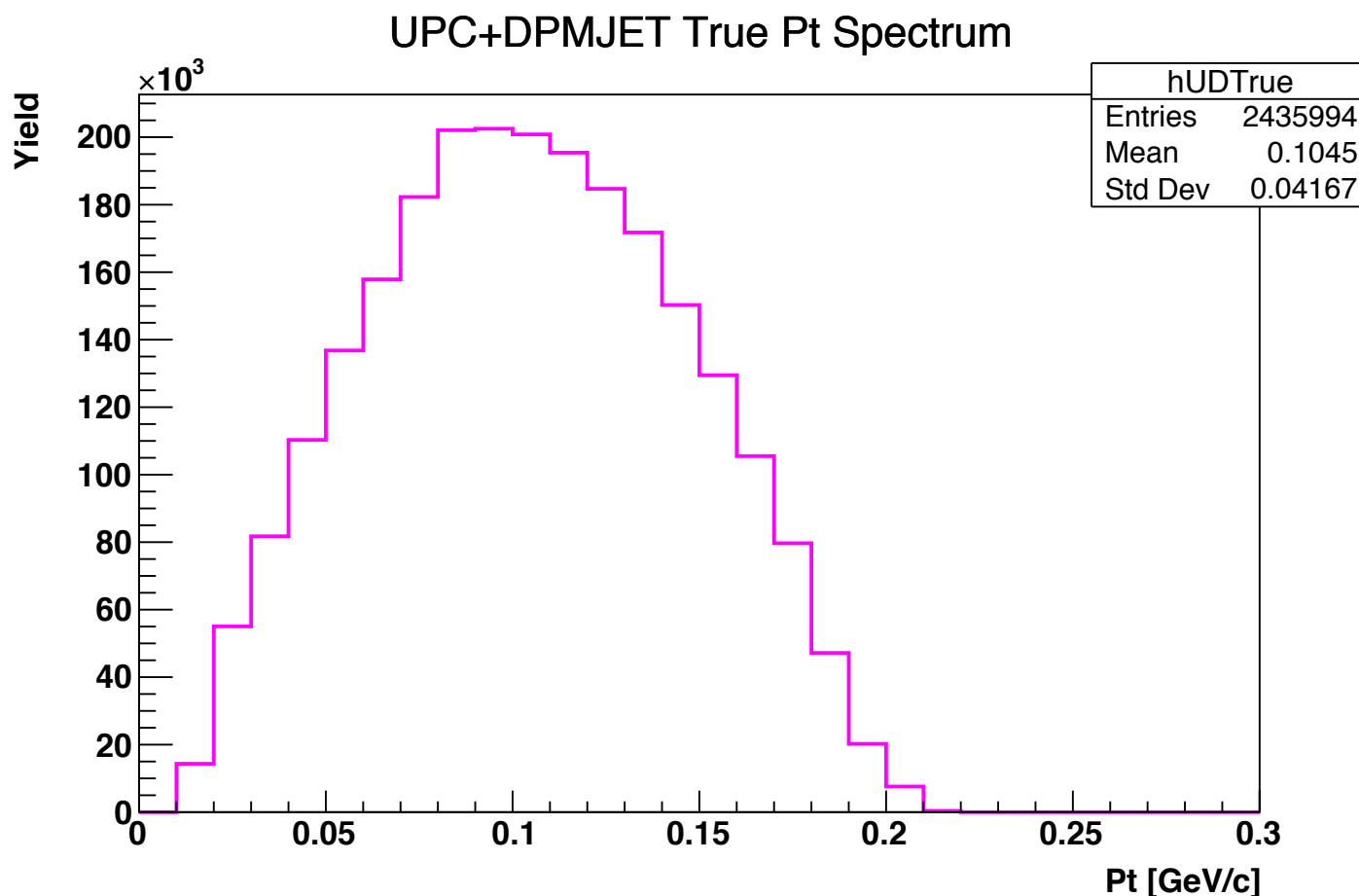
UPC + DPMJET Reco Pt:  $40 < E < 120$ ,  $0.5 < r < 4.0$ ,  $E_2/E > 0.03$  for  $n_x$  &  $n_y \geq 2$  SMD Fired



# Unfolding Input – True Spectrum

Slide 4

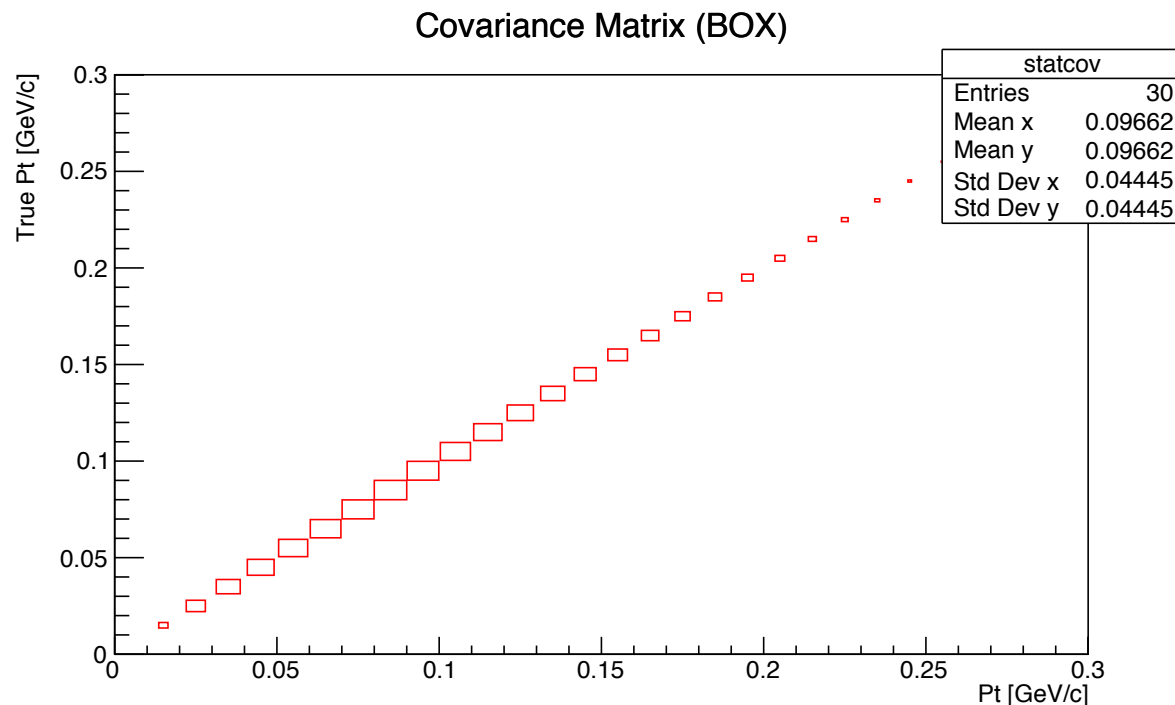
- ③ True spectrum: True pt spectrum from addition of UPC (EM) + DPMJET (HAD) MC samples.



# Unfolding Input – Covariance Matrix: BOX

Slide 5

- ⑤ Covariance matrix: 2D histogram extracted from the measured pt spectrum.



Finally created a TSVDUnfold object to perform unfolding of the measured spectrum

```
TSVDUnfold *tsvdunf = new TSVDUnfold(hdata, statcov, hUDreco, hUDTrue, UAdet)
```

Ready

1

2

3

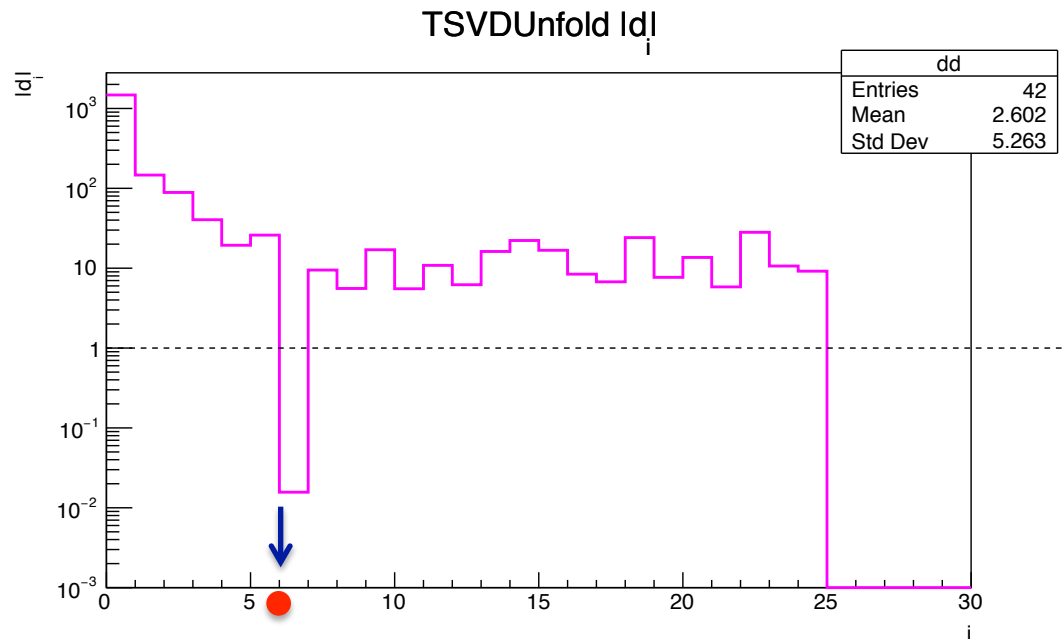
4

5

# Unfolding Output – Distribution of dVector

Slide 6

- © Performed unfolding with the regularization parameter ( $k_{reg} = 6$  as optimum).

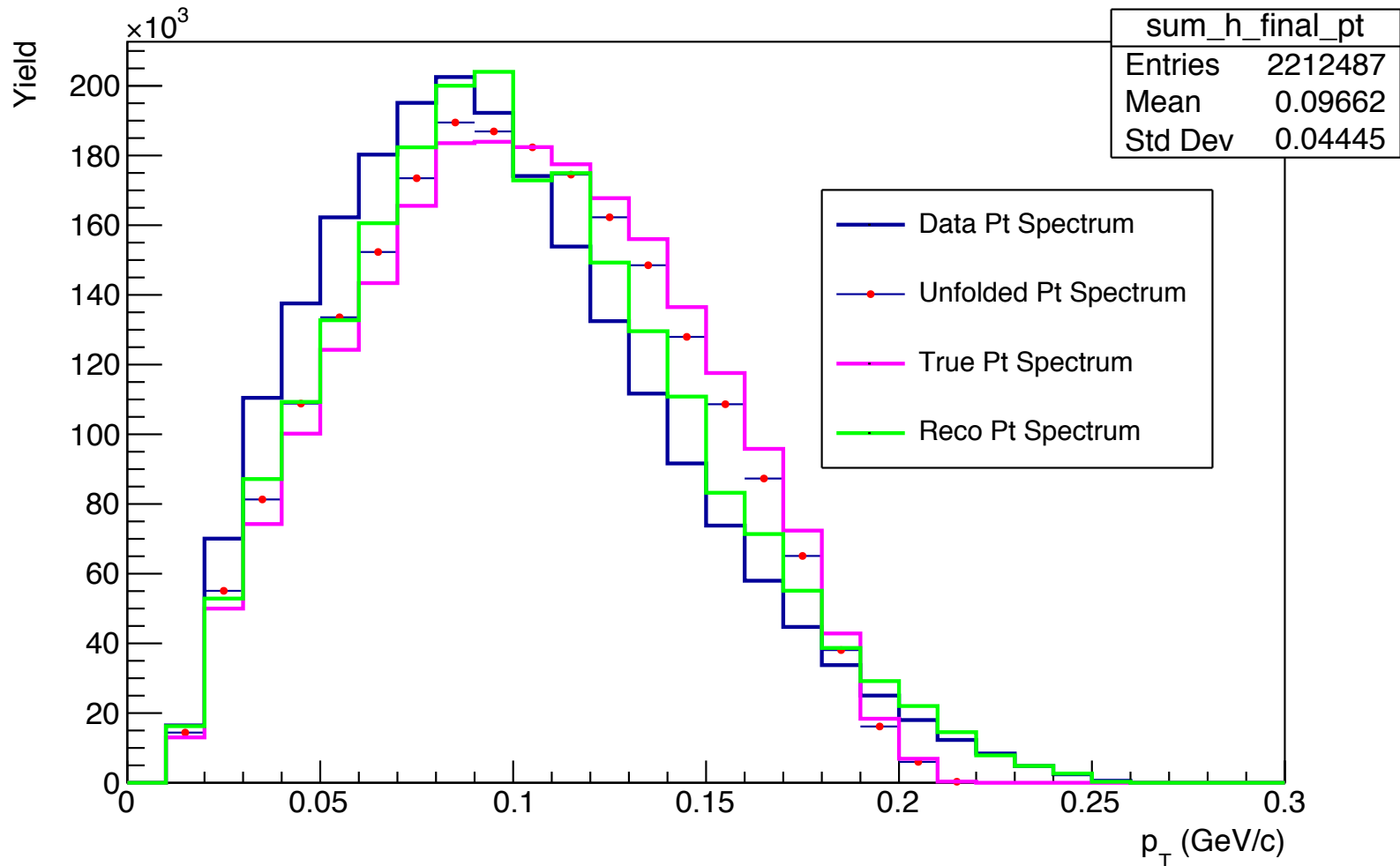


- © This distribution helps us cross-check the quality of our unfolding regularization.
- © Regularization is chosen as the point where  $|d_i|$  stop being statistically significantly  $\gg 1$ . It is the point where regularization is considered optimal.

# Unfolding Output – Unfolded Distribution

Slide 7

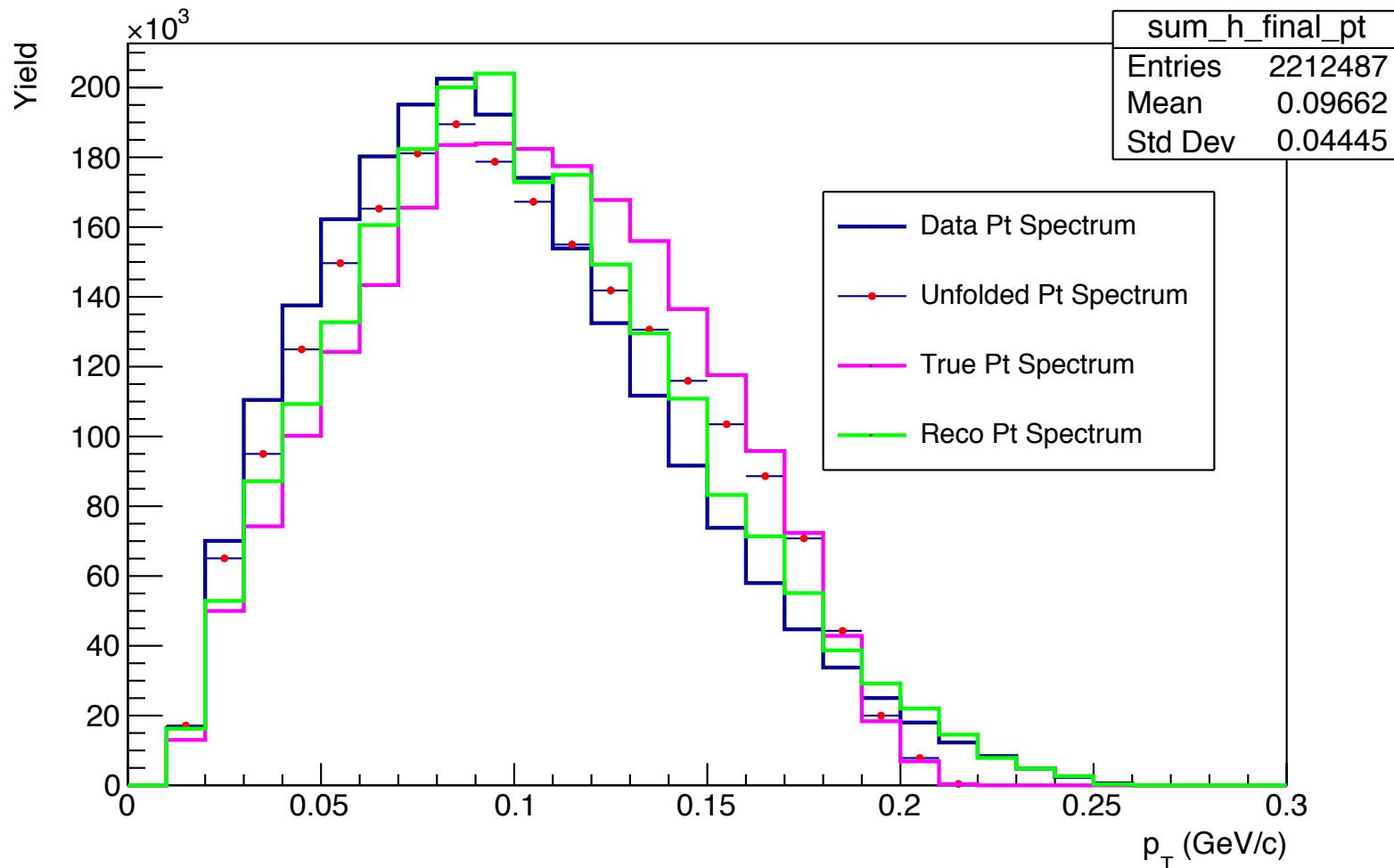
Unfolded, Data, Reco and True Superposed Pt Spectra (Kreg = 2)



# Unfolding Output – Unfolded Distribution

Slide 8

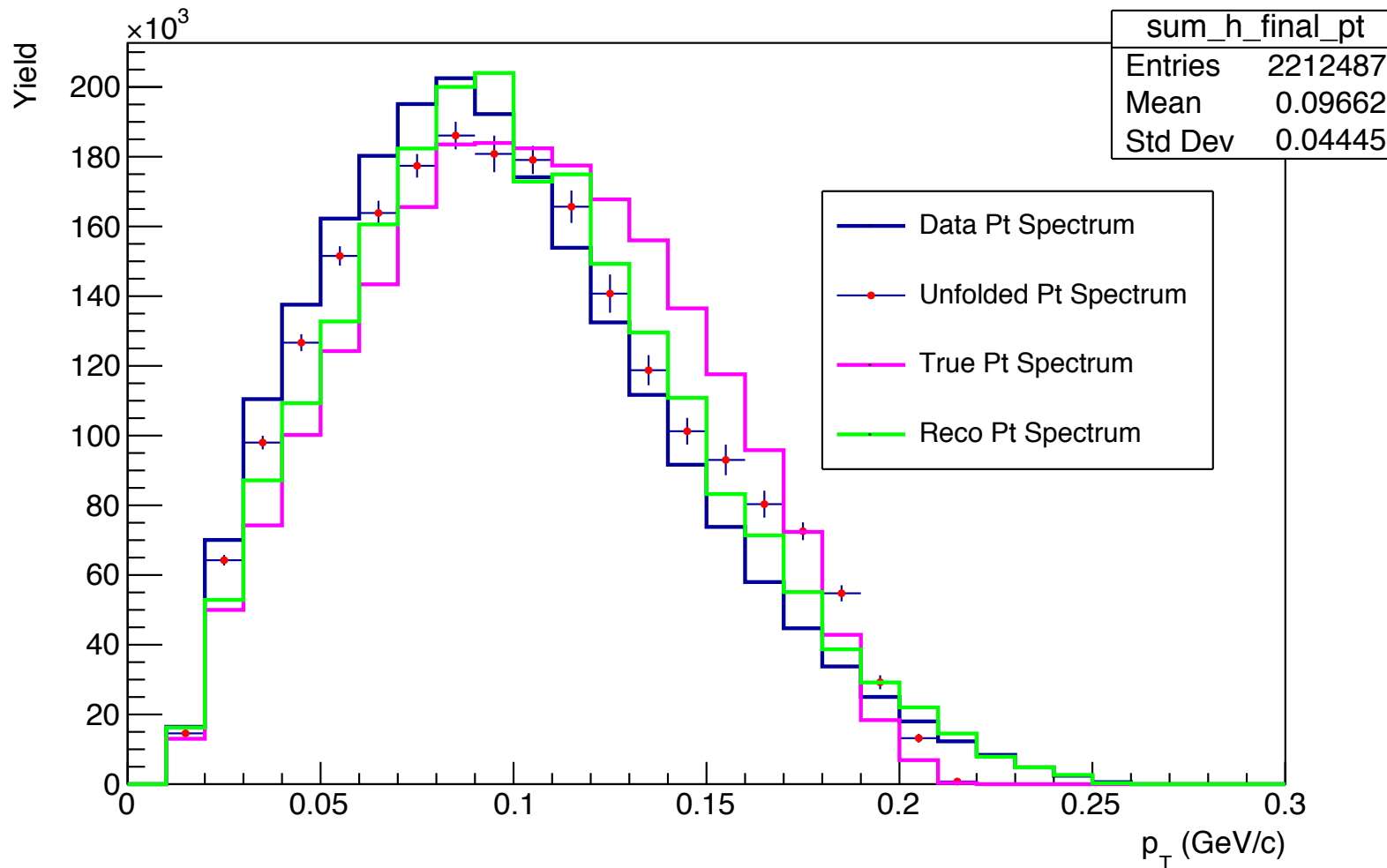
Unfolded, Data, Reco and True Superposed Pt Spectra (Kreg = 4)



# Unfolding Output – Unfolded Distribution

Slide 9

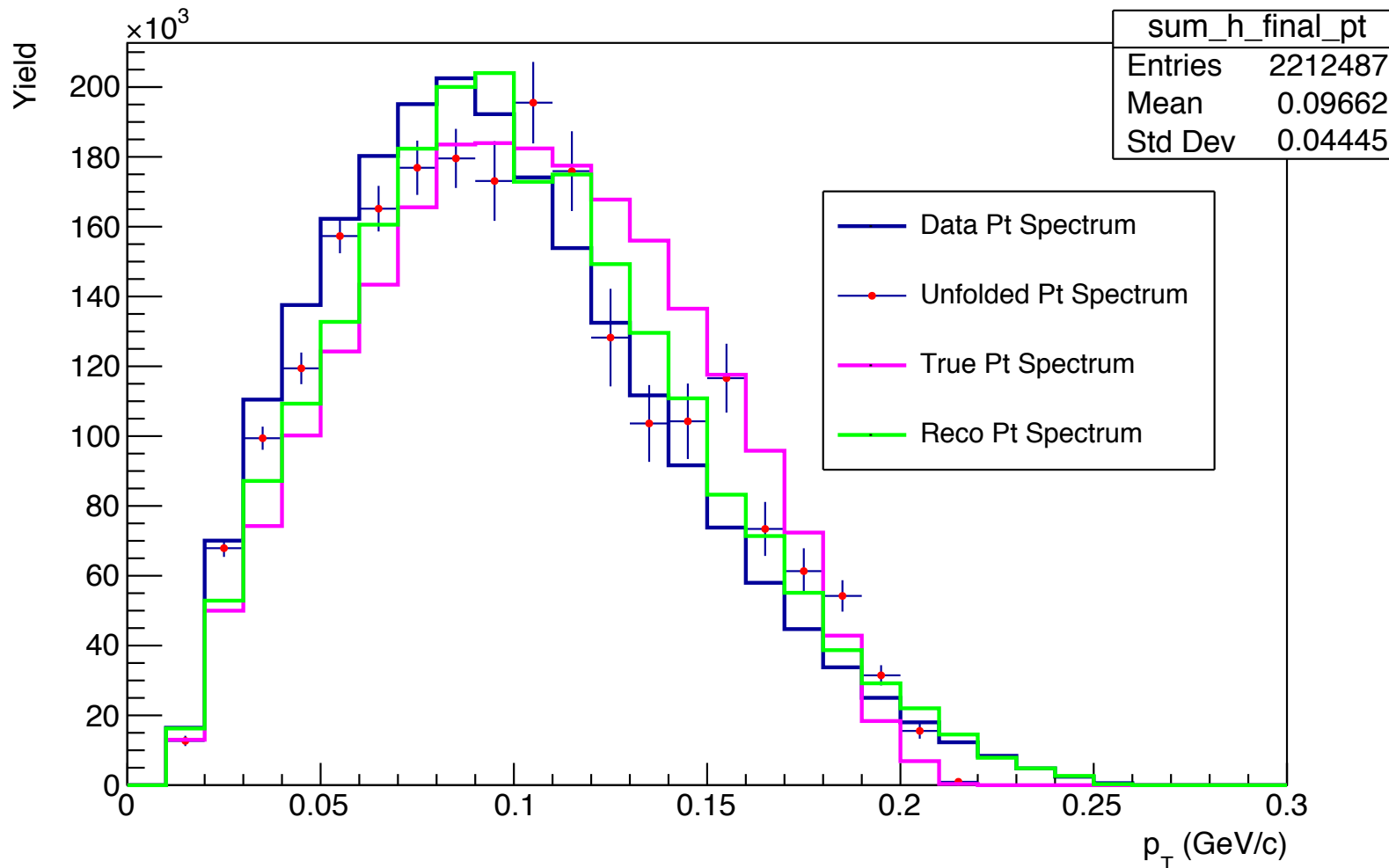
Unfolded, Data, Reco and True Superposed Pt Spectra (Kreg = 8)



# BACKUP – Unfolded Distribution

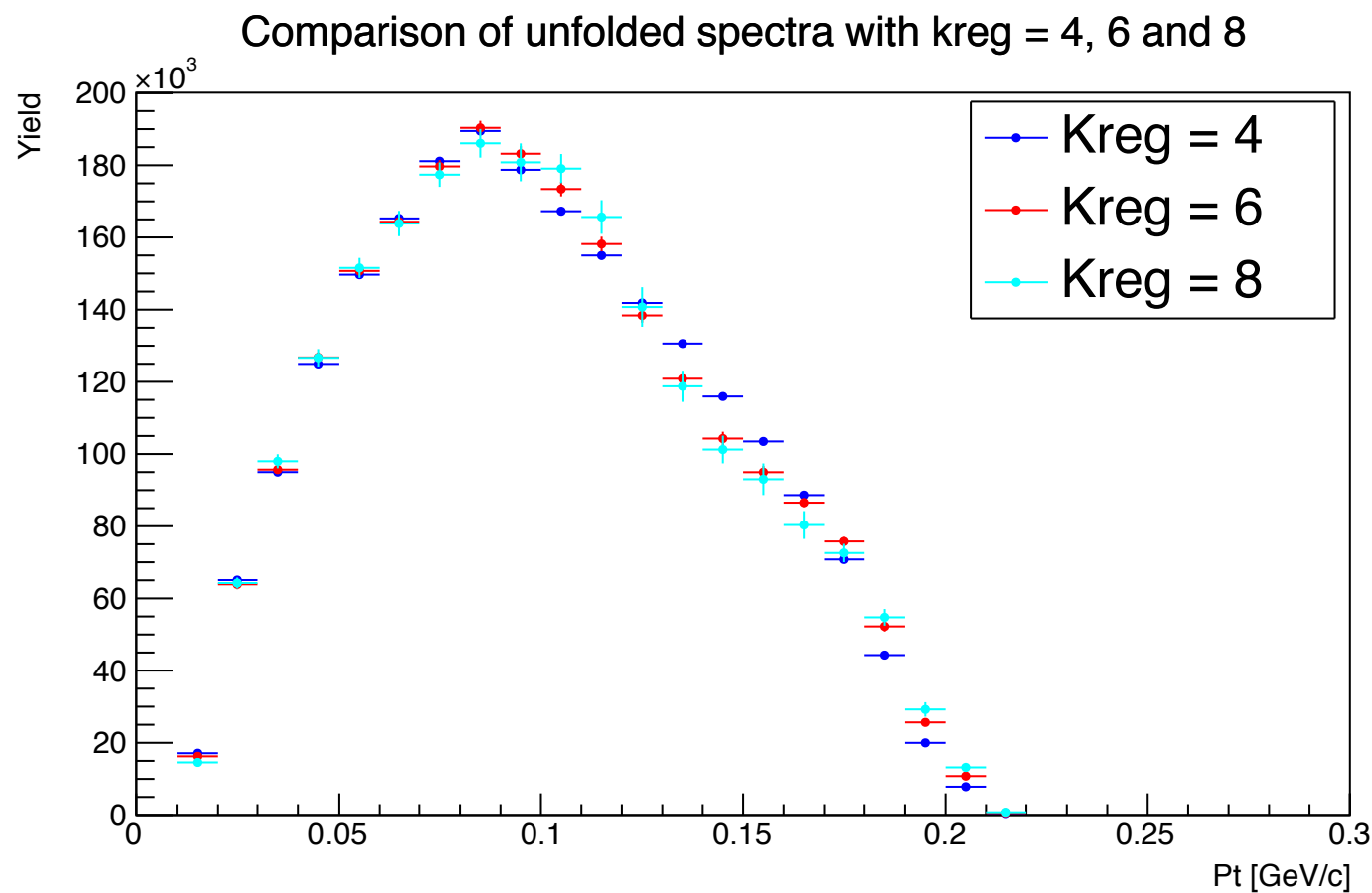
Slide 10

Unfolded, Data, Reco and True Superposed Pt Spectra (Kreg = 10)



# Unfolded Inclusive pAu $P_T$ Data – Spin PWG

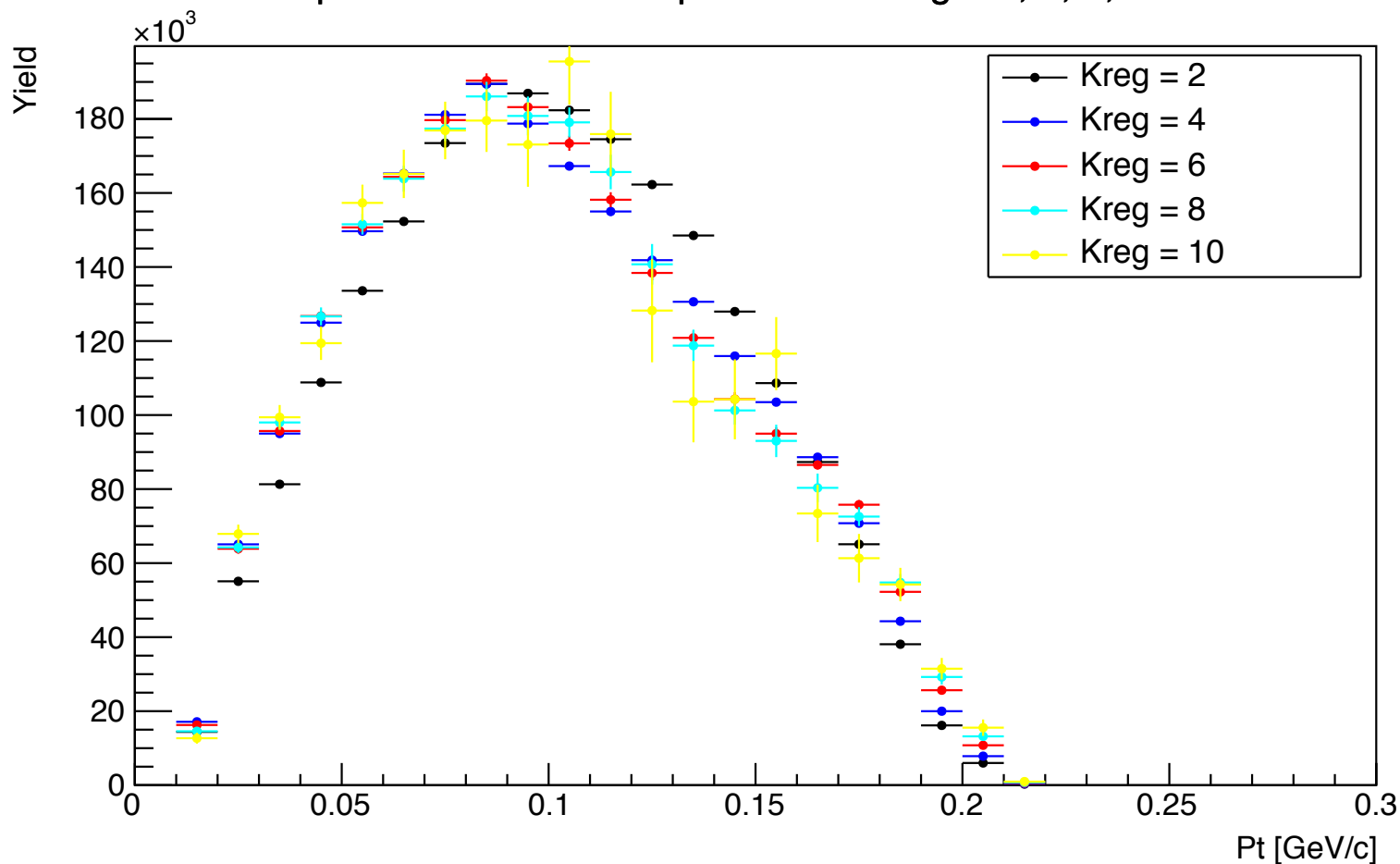
Slide 11



# Unfolding Output – Unfolded Distribution

Slide 12

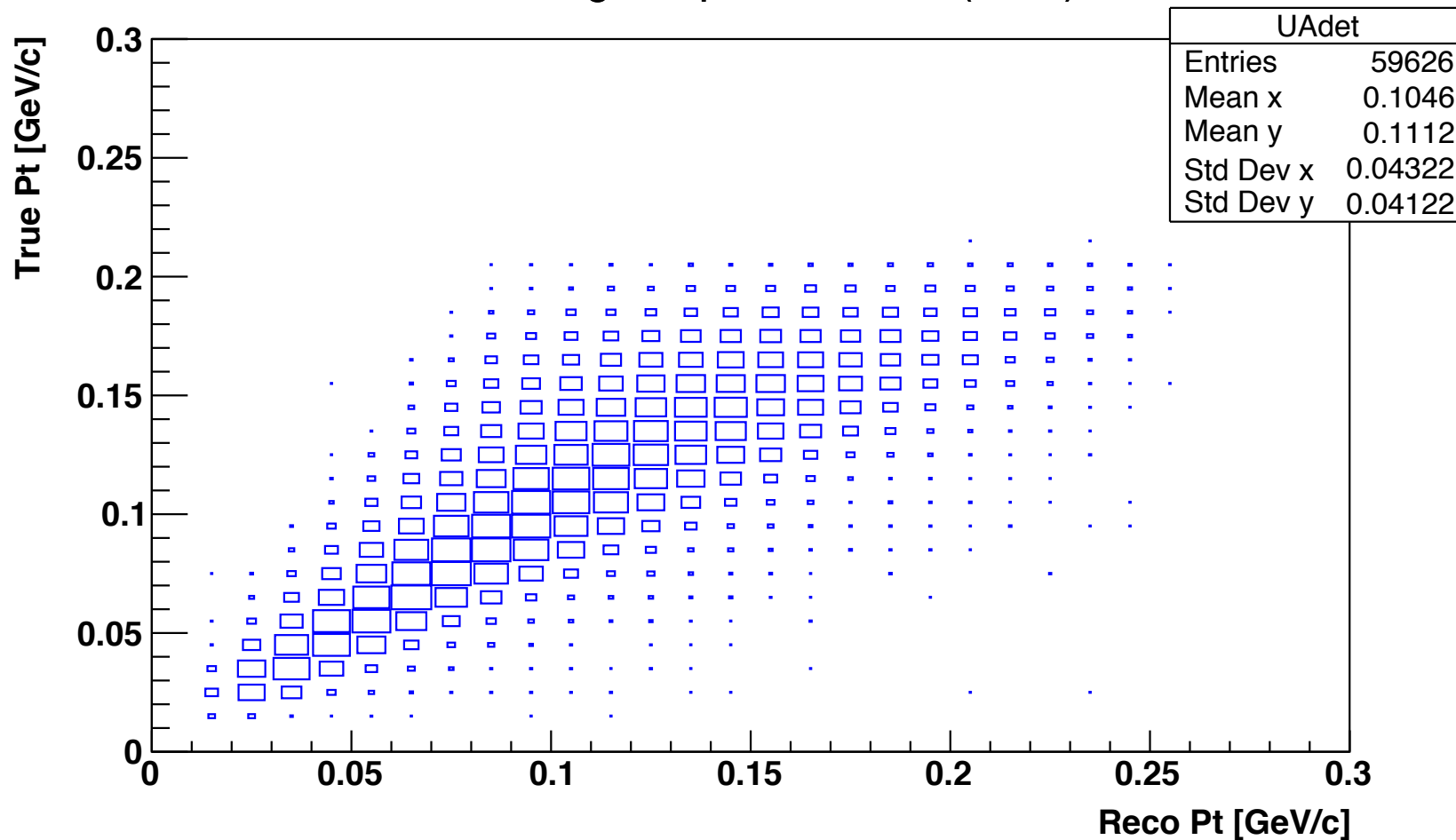
Comparison of unfolded spectra with  $k_{reg} = 2, 4, 6, 8$  and  $10$



# Detector Response Matrix - **UPC**

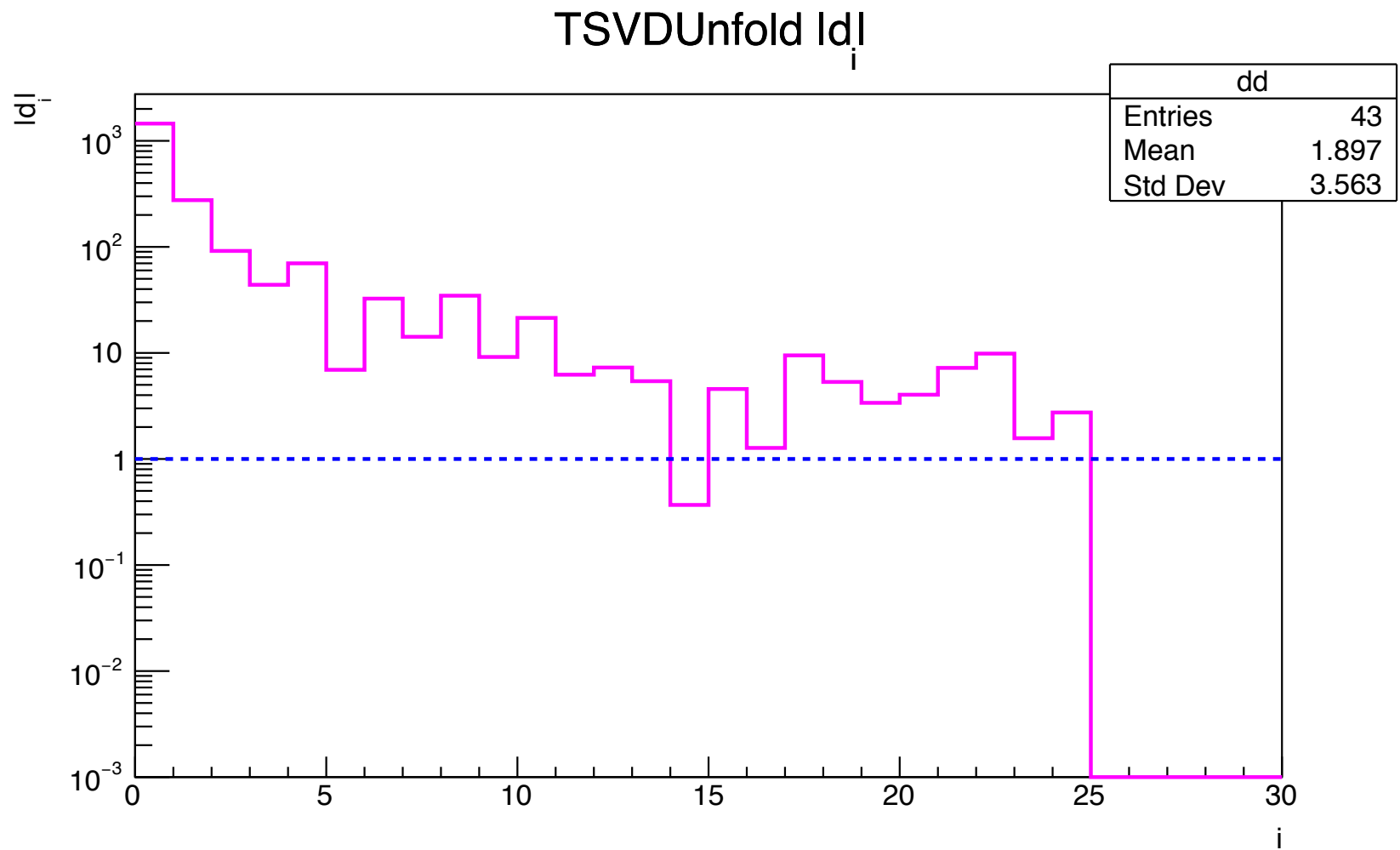
Slide 13

## Smearing Response Matrix (BOX)



# Detector Response Matrix – UPC d Vector

Slide 14



# Unfolding Output – Unfolded Distribution

Slide 15

Unfolded, Data, Reco and True Superposed Pt Spectra (Kreg = 1)

