

# Transverse structure of the Nucleon

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# Spin structure of the Nucleon

### Naive Understanding of the Nucleon Spin

- A nucleon consists of two up and one down quarks.
- Nucleon spin: 1/2
- Quark spin: 1/2

Nucleon spin should come from the three quarks:





Picture in the NRQM

Figure taken from Eur. Phys. J. A (2016) 52: 268

### Spin Crisis in 1988

A Measurement of the Spin Asymmetry and Determination of the Structure Function g(1) in Deep Inelastic Muon-Proton Scattering European Muon Collaboration (J. Ashman (Sheffield U.) et al.). Dec 1987. 7 pp. Published in Phys.Lett. B206 (1988) 364

CERN-EP-87-230 DOI: <u>10.1016/0370-2693(88)91523-7</u> Conference: <u>C94-01-05.1</u>, p.340-346 <u>Proceedings</u> <u>References | BibTeX | LaTeX(US) | LaTeX(EU) | Harvmac | EndNote</u> <u>CERN Document Server; ADS Abstract Service</u> Data: <u>INSPIRE | HepData</u>

Detailed record - Cited by 2094 records 1000+

### $\Delta \Sigma \sim 0.15$ ( $\Delta \Sigma_{\rm NRQM} = 1$ )

### What's wrong with the NRQM?

$$\Delta \Sigma = g_A^0 = \Delta u + \Delta d + \Delta s$$

Sea-quark polarization

### Spin structure of the nucleon

 $\Delta \Sigma |_{\mathrm{DIS}} = 0.33 - 0.36$  Aidala etal, RMP, 85, 655 (2013)

The quark content of the nucleon spin: max(40 %)

### Where does the nucleon spin come from?



One VI direction of hadronic physics in the future

### Spin structure of the nucleon

 $\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_q + L_G$ 



### $NRQM \longrightarrow NPQCD$

Figure taken from Eur. Phys. J. A (2016) 52: 268

### Transversity of the nucleon

$$\delta \mathbf{q}(\mathbf{x}) = \mathbf{0} - \mathbf{0}$$

 $\langle N \left| \bar{\psi} \sigma_{\mu\nu} \lambda^{\chi} \psi \right| N \rangle \sim \text{Tensor charges}$ 

- No explicit probe for the tensor charge! Difficult to be measured.
- Chiral-odd Parton Distribution Function can get accessed via the SSA of SIDIS (HERMES and COMPASS).

SIDIS [16] $(0.80 \mathrm{GeV^2})$ :	$\delta u = 0.54^{+0.09}_{-0.22} ,$	$\delta d = -0.231^{+0.09}_{-0.16},$
SIDIS [16] $(0.36 \mathrm{GeV^2})$ :	$\delta u = 0.60^{+0.10}_{-0.24} ,$	$\delta d = -0.26^{+0.1}_{-0.18},$
Lattice [21] $(4.00 \mathrm{GeV^2})$ :	$\delta u = 0.86 \pm 0.13 ,$	$\delta d = -0.21 \pm 0.005 ,$
Lattice [21] $(0.36 \mathrm{GeV}^2)$ :	$\delta u = 1.05 \pm 0.16 ,$	$\delta d = -0.26 \pm 0.01 ,$
$\chi QSM (0.36  GeV^2)$ :	$\delta u = 1.08 ,$	$\delta d = -0.32 ,$

[16] M. Anselmino et al. Nucl. Phys. B, Proc. Suppl. 191, 98 (2009)[21] M. Goeckeler et al., PLB 627, 113 (2005)

# Modern Understanding of the Nucleon

### Traditional way of a hadron structure

Traditional way of studying structures of hadrons



### Modern understanding of a baryon structure



Today's topic to discuss

State of the art of the nucleon tomography

Figure taken from Eur. Phys. J. A (2016) 52: 268

### Modern understanding of a baryon structure

### 3D Nucleon Tomography



Transverse densities of Form factors

GPDs Nucleon Tomography Structure functions Parton distributions

### Modern understanding of a baryon structure

Probes are unknown for Tensor form factors and the Energy-Momentum Tensor form factors!



# Nucleon as Nc quarks bound by the pion mean fields

# Mean-Field Approximation

Simple picture of a mean-field approximation



Mean-field potential that is produced by all other particles.

- Nuclear shell models
- Ginzburg-Landau theory for superconductivity
- Quark potential models for baryons

# Mean-Field Approximation

More theoretically defined mean fields

Given action,  $S[\phi]$ 

 $\left.\frac{\delta S}{\delta \phi}\right|_{\phi=\phi_0}=0: \text{Solution of this saddle-point equation } \phi_0$ 

Key point: Ignore the quantum fluctuation.



How we can understand the structure of baryons, based on this mean field approach, this is the subject of the present talk.

- \* A baryon can be viewed as a state of Nc quarks bound by mesonic mean fields (E. Witten, NPB, 1979 & 1983).
  - Its mass is proportional to Nc, while its width is of order O(1).
  - Mesons are weakly interacting (Quantum fluctuations are suppressed by 1/Nc: O(1/Nc).

### Meson mean-field approach (Chiral Quark-Soliton Model)

\* Baryons as a state of Nc quarks bound by mesonic mean fields.

 $S_{\rm eff} = -N_c \mathrm{Tr} \ln \left( i \partial \!\!\!/ + i M U^{\gamma_5} + i \hat{m} \right)$ 

\* Key point: Hedgehog Ansatz

$$\pi^{a}(\mathbf{r}) = \begin{cases} n^{a}F(r), n^{a} = x^{a}/r, & a = 1, 2, 3\\ 0, & a = 4, 5, 6, 7, 8. \end{cases}$$



 $\rightarrow$  It breaks spontaneously  $SU(3)_{flavor} \otimes O(3)_{space} \rightarrow SU(2)_{isospin+space}$ 

### \*Merits of the Chiral Quark-Soliton Model

It is directly related to nonperturbative QCD via the Instanton vacuum.

Natural scale of the model given by the instanton size:  $ho pprox (600\,{
m MeV})^{-1}$ 

 Fully relativistic quantum-field theoretic model (we have a QCD vacuum): It explains almost all properties of the lowest-lying baryons.

 It describes the light & heavy baryons on an equal footing (Advantage of the mean-field approach).

 Basically, no free parameter to fit the experimental data. Cutoff parameter is fixed by the pion decay constant, and Dynamical quark mass (M=420 MeV) is fixed by the proton radius.









system is stabilized

## A light baryon in pion mean fields



$$\langle J_B J_B^{\dagger} \rangle_0 \sim e^{-N_c E_{\rm val} T}$$

Presence of Nc quarks will polarize the vacuum or create mean fields.



## A light baryon in pion mean fields



$$E_{\rm cl} = N_c E_{\rm val} + E_{\rm sea}$$



Classical Nucleon mass is described by the Nc valence quark energy and sea-quark energy.



### An observable for the light baryon



# EM Form factors of the Nucleon

### Traditional definition of form factors



### Traditional definition of form factors

 $G_E^{p,n}(Q^2) \iff \rho_{\rm ch}^{p,n}(r^2)$ 

Fourier transform

Textbook physics since 1950s.



### New Definition

 $\blacktriangleright xP_z$ 

Ζ.



Quark probabilities inside a nucleon

### Transverse charge density

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### Why transverse charge densities?

I

2-D Fourier transform of the GPDs in impact-parameter space

### Proton & neutron EM fom factors



Silva, Urbano, HChK, PTEP, 2018

### Transverse charge density

#### Inside an unpolarized nucleon

M. Burkardt, PRD **62**, 071503 (2000); Int. J. Mod. Phys. A **18**, 173 (2003).

G.A. Miller, PRL 99, 112001 (2007)

$$\rho_{\rm ch}^{\chi}(b) = \int_0^\infty \frac{dQ}{2\pi} Q J_0(Qb) F_1^{\chi}(Q^2)$$

#### Inside an polarized nucleon

Carlson and Vanderhaeghen, PRL 100, 032004

$$\rho_T^{\chi}(b) = \rho_{\rm ch}^{\chi}(b) - \sin(\phi_b - \phi_S) \frac{1}{2M_N} \int_0^\infty \frac{dQ}{2\pi} Q^2 J_1(Qb) F_2^{\chi}(Q^2)$$

Transverse charge densities inside an unpolarized proton



Centered positive charge distribution



Surprisingly, negative charge distribution in the center of the neutron!



#### Transverse charge densities inside an polarized nucleon





### Flavor structure



### Flavor structure

#### Nucleon polarized along the x direction



## EM transition form factors of the decuplet



 $(\omega, \boldsymbol{q})$   $(E_{\Delta}, \boldsymbol{0})$   $(E_N, -\boldsymbol{q})$ 

EM transition FFs provide information on how the Delta looks like.



 EM transition FFs are related to the VBB coupling constants through VDM & CFI.

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Essential to understand a production mechanism of hadrons.

Carlson & Vanderhaeghen, PRD 100 (2008) 032004

## Multipole patter in the transverse plane



# Gravitational Form factors of the pion & Nucleon

### Gravitational form factors



 $\delta S = 0$  under Poincaré transform

$$\langle \pi^{a}(p')|T_{\mu\nu}(0)|\pi^{b}(p)\rangle = \frac{\delta^{ab}}{2} [(tg_{\mu\nu} - q_{\mu}q_{\nu})\Theta_{1}(t) + 2P_{\mu}P_{\nu}\Theta_{2}(t)]$$

### Gravitational form factors

$$2\delta^{ab}H_{\pi}^{I=0}(x,\xi,t) = \frac{1}{2}\int \frac{d\lambda}{2\pi} e^{ix\lambda(P\cdot n)} \langle \pi^{a}(p')|\bar{\psi}(-\lambda n/2)\dot{n}[-\lambda n/2,\lambda n/2]\psi(\lambda n/2)|\pi^{b}(p)\rangle$$

Gravitational or EMT form factors as the second Melin moments of the EM GPD

$$\int dx x H_{\pi}^{I=0}(x,\xi,t) = A_{2,0}(t) + 4\xi^2 A_{2,2}(t) \quad \Theta_1 = -4A_{2,2}^{I=0} \qquad \Theta_2 = A_{2,0}^{I=0}$$

$$\langle \pi^{a}(p')|T_{\mu\nu}(0)|\pi^{b}(p)\rangle = \frac{\delta^{aa}}{2} \left[ (tg_{\mu\nu} - q_{\mu}q_{\nu})\Theta_{1}(t) + 2P_{\mu}P_{\nu}\Theta_{2}(t) \right]$$

 $T^{00}$  : Mass form factor

0

- $T^{i0}$ : Angular momentum
- $T^{ij}$  : Shear force and Pressure

### Mechanics of a particle

Stability of a particle: von Laue condition

# Stability

Pion: The stability is guaranteed by the chiral symmetry and its spontaneous breakdown H.D. Son & HChK, PRD 90 (2014) 111901

$$\mathcal{P} = \frac{3M}{f_\pi^2 \bar{M}} (m \langle \bar{\psi}\psi \rangle + m_\pi^2 f_\pi^2) = 0$$

Nucleon: The stability is guaranteed by the balance between the core valence quarks and the sea quarks (XQSM).



## Stability

 Nucleon: The stability is guaranteed by the balance between the pion and rho mesons (Skyrme picture).



### Original Skyrme model

### pi-rho-omega model

Cebulla et al., NPA794 (2007) 87

HChK, P. Schweitzer, U. Yakhshiev, PLB 718 (2012) 625 J.H. Jung, U. Yakhshiev, HChK, J.Phys. G41 (2014) 055107

# Spin structure of the Nucleon

### **Tensor form factors**

$$\langle N_{s'}(p') | \overline{\psi}(0) i \sigma^{\mu\nu} \lambda^{\chi} \psi(0) | N_{s}(p) \rangle = \overline{u}_{s'}(p') \left[ H_{T}^{\chi}(Q^{2}) i \sigma^{\mu\nu} + E_{T}^{\chi}(Q^{2}) \frac{\gamma^{\mu} q^{\nu} - q^{\mu} \gamma^{\nu}}{2M} + \tilde{H}_{T}^{\chi}(Q^{2}) \frac{(n^{\mu} q^{\nu} - q^{\mu} n^{\nu})}{2M^{2}} \right] u_{s}(p)$$

$$\int_{-1}^{1} dx \, H_{T}^{\chi}(x,\xi,t) = H_{T}^{\chi}(q^{2}), \qquad H_{T}^{0}(0) = g_{T}^{0} = \delta u + \delta d + \delta s$$

$$H_{T}^{3}(0) = g_{T}^{3} = \delta u - \delta d$$

$$\int_{-1}^{1} dx \, \tilde{H}_{T}^{\chi}(x,\xi,t) = \tilde{H}_{T}^{\chi}(q^{2}), \qquad H_{T}^{8}(0) = g_{T}^{8} = \frac{1}{\sqrt{3}} (\delta u + \delta d - 2\delta s)$$

$$H_T^{*\chi}(Q^2) = \frac{2M}{\mathbf{q}^2} \int \frac{d\Omega}{4\pi} \langle N_{\frac{1}{2}}(p') | \psi^{\dagger} \gamma^k q^k \lambda^{\chi} \psi | N_{\frac{1}{2}}(p) \rangle$$
  
$$\kappa_T^{\chi} = -H_T^{\chi}(0) - H_T^{*\chi}(0)$$

Together with the anomalous magnetic moment, this will allow us to describe the transverse spin quark densities inside the nucleon.

### Scale dependence

Tensor charges and anomalous tensor magnetic moments are scale-dependent.

$$\delta q(\mu^2) = \left(\frac{\alpha_S(\mu^2)}{\alpha_S(\mu_i^2)}\right)^{4/27} \left[1 - \frac{337}{486\pi} \left(\alpha_S(\mu_i^2) - \alpha_S(\mu^2)\right)\right] \delta q(\mu_i^2),$$
  
$$\alpha_S^{NLO}(\mu^2) = \frac{4\pi}{9\ln(\mu^2/\Lambda_{\rm QCD}^2)} \left[1 - \frac{64}{81} \frac{\ln\ln(\mu^2/\Lambda_{\rm QCD}^2)}{\ln(\mu^2/\Lambda_{\rm QCD}^2)}\right]$$

 $\Lambda_{\rm QCD}=0.248\,{\rm GeV}$ 

M. Gluck, E. Reya, and A. Vogt, Z.Phys. C 67, 433(1995).

### Comparison with Axial-vector constants

	$g_T^0$	$g_T^3$	$g_T^8$	$g^0_A$	$g_A^3$	$g_A^8$	$\Delta u$	$\delta u$	$\Delta d$	$\delta d$	$\Delta s$	$\delta s$
$\chi QSM SU(3)$	0.76	1.40	0.45	0.45	1.18	0.35	0.84	1.08	-0.34	-0.32	-0.05	-0.01
$\chi {\rm QSM}$ SU(2)	0.75	1.44		0.45	1.21		0.82	1.08	-0.37	-0.32		
NRQM	1	5/3		1	5/3		$\frac{4}{3}$	$\frac{4}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	——	



### Results





[16] M. Anselmino et al. Nucl. Phys. B, Proc. Suppl. 191, 98 (2009)

[21] M. Goeckeler et al., PLB 627, 113 (2005)

T. Ledwig, A. Silva, HChK, Phys. Rev. D 82 (2010) 034022

### Results



### Results



### Transverse spin density

$$\rho(\mathbf{b}, \mathbf{S}, \mathbf{s}) = \frac{1}{2} \left[ H(b^2) - S^i \epsilon^{ij} b^j \frac{1}{M_N} \frac{\partial E(b^2)}{\partial b^2} - s^i \epsilon^{ij} b^j \frac{1}{M_N} \frac{\partial \kappa_T(b^2)}{\partial b^2} \right]$$

 $[\mathbf{S}, \mathbf{s}] = [(1,0), (0,0)], \ [\mathbf{S}, \mathbf{s}] = [(0,0), (1,0)]$ 

$$\mathcal{F}^{\chi}(b^2) = \int_0^\infty \frac{dQ}{2\pi} Q J_0(bQ) F^{\chi}(Q^2)$$
$$H(b^2) = F_1(b^2), \quad E(b^2) = F_2(b^2)$$

M. Diehl & Ph. Haegler, Euro.Phys. J., C 44 (2005) 87.

### Transverse spin density

### Up quark transverse spin density inside a nucleon





### Transverse spin density

### Strange quark transverse spin density inside a nucleon



This is the **first** result of the strange quark transverse spin density inside a nucleon

# Spin structure of the Pion

### What we know about the Pion



- Pion Mass = 139.57 MeV
- Pion Spin: S = 0



Their structures are simpler than that of the nucleon but messy enough!

### The spin structure of the pion

### Vector & Tensor Form factors of the pion

x y d d d xP  $b_{\perp}$  xP  $b_{\perp}$  xP  $b_{\perp}$  xP  $b_{\perp}$  xP Whinsi

### Pion: Spin S=0

Longitudinal spin structure is trivial.  $\langle \pi(p') | \bar{\psi} \gamma_3 \gamma^5 \psi | \pi(p) \rangle = 0$ 

What about the transversely polarized quarks inside a pion?

Internal spin structure of the pion

### Comparison with Axial-vector constants

$$\rho_n(b_{\perp}, s_{\perp}) = \int_{-1}^1 dx \, x^{n-1} \rho(x, b_{\perp}, s_{\perp}) = \frac{1}{2} \left[ A_{n0}(b_{\perp}^2) - \left( \frac{s_{\perp}^i \epsilon^{ij} b_{\perp}^j}{m_{\pi}} \frac{\partial B_{n0}(b_{\perp}^2)}{\partial b_{\perp}^2} \right) \right]$$

Spin probability densities in the transverse plane  $A_{n0}$ : Vector densities of the pion,  $B_{n0}$ : Tensor densities of the pion

$$\int_{-1}^{1} dx \, x^{n-1} H(x,\xi=0,b_{\perp}^2) = A_{n0}(b_{\perp}^2), \quad \int_{-1}^{1} dx \, x^{n-1} E(x,\xi=0,b_{\perp}^2) = B_{n0}(b_{\perp}^2)$$

#### Vector and Tensor form factors of the pion

$$\langle \pi(p_f) | \psi^{\dagger} \gamma_{\mu} \hat{Q} \psi | \pi(p_i) \rangle = (p_i + p_f) A_{10}(q^2)$$

$$\langle \pi^+(p_f) | \mathcal{O}_T^{\mu\nu\mu_1\cdots\mu_{n-1}} | \pi^+(p_i) \rangle = \mathcal{AS} \left[ \frac{(p^\mu q^\nu - q^\mu p^\nu)}{m_\pi} \sum_{i=\text{even}}^{n-1} q^{\mu_1} \cdots q^{\mu_i} p^{\mu_{i+1}} \cdots p^{\mu_{n-1}} B_{ni}(Q^2) \right]$$

### Gauged effective chiral action

### Gauged Effective Nonlocal Chiral Action

$$S_{\text{eff}} = -N_c \text{Tr} \ln \left[ i D + im + i \sqrt{M(iD,m)} U^{\gamma_5} \sqrt{M(iD,m)} \right]$$

### The nonlocal chiral quark model from the instanton vacuum

- Fully relativistically field theoretic model.
- "Derived" from QCD via the Instanton vacuum.
- Renormalization scale is naturally given.

•No free parameter

 $\rho \approx 0.3 \,\mathrm{fm}, \ R \approx 1 \,\mathrm{fm}$ 

Dilute instanton liquid ensemble

#### $\mu \approx 600 \,\mathrm{MeV}$

D. Diakonov & V. Petrov Nucl.Phys. B272 (1986) 457 H.-Ch.K, M. Musakhanov, M. Siddikov Phys. Lett. B **608**, 95 (2005). Musakhanov & H.-Ch. K, Phys. Lett. B **572**, 181-188 (2003)

 $D_{\mu} = \partial_{\mu} - i\gamma_{\mu}V_{\mu}$ 

### EM form factors of the pion

EM form factor (A<sub>10</sub>)  $\langle \pi(p_f) | \psi^{\dagger} \gamma_{\mu} \hat{Q} \psi | \pi(p_i) \rangle = (p_i + p_f) A_{10}(q^2)$ 



 $\sqrt{\langle r^2 \rangle} = 0.675 \,\mathrm{fm}$  $\sqrt{\langle r^2 \rangle} = 0.672 \pm 0.008 \,\mathrm{fm} \,(\mathrm{Exp})$ 

$$F_{\pi}(Q^2) = A_{10}(Q^2) = \frac{1}{1 + Q^2/M^2}$$

M(Phen.): 0.714 GeV M(Lattice): 0.727 GeV M(XQM): 0.738 GeV

S.i. Nam & HChK, Phys. Rev. D77 (2008) 094014

### EM form factors of the pion



RG equation for the tensor form factor  $B_{10}(Q^2, \mu) = B_{10}(Q^2, \mu_0) \left[\frac{\alpha(\mu)}{\alpha(\mu_0)}\right]^{\gamma/2\beta_0}$   $\gamma_1 = 8/3, \gamma_2 = 8, \beta_0 = 11N_c/3 - 2N_f/3$ 

p-pole parametrization for the form factor

$$B_{10}(Q^2) = B_{10}(0) \left[ 1 + \frac{Q^2}{pm_p^2} \right]^{-p}$$

S.i. Nam & H.-Ch.K, Phys. Lett. B 700, 305 (2011).

For the kaon, S.i. Nam & HChK, Phys. Lett. B707, 546 (2012)

## Spin density of the quark inside a pion



## Spin density of the quark inside a pion



Significant distortion appears for the polarized quark!

$m_{\pi} = 140 \text{ MeV}$	$B_{10}(0)$	$m_{p_1} \; [\text{GeV}]$	$\langle b_y \rangle$ [fm]	$B_{20}(0)$	$m_{p_2} \; [\text{GeV}]$
Present work	0.216	0.762	0.152	0.032	0.864
Lattice QCD $[7]$	$0.216 \pm 0.034$	$0.756 \pm 0.095$	0.151	$0.039 \pm 0.099$	$1.130\pm0.265$

Results are in a good agreement with the lattice calculation!

# Summary & Outlook

## Summary

- In the present talk, we aimed at reviewing a certain aspect on the transverse spin structure of the nucleon.
- We discussed first the transverse charge densities and its conceptual difference from the traditional 3D charge densities.
- We briefly discussed the gravitational form factors of the pion and nucleon.
- Finally, we presented recent results of the transverse spin structure of the nucleon and pion.
- Though we haven't shown the kaon, its spin structure was also studied.

### Outlook

- The same method can be extended to heavy hadrons.
- Quasi parton distribution (Comparison with the lattice data)
- GPDs of the pion and nucleon
- TMDs of the nucleon
- Wigner functions in the XQSM

To investigate the spin structure of the nucleon, it is essential to use a field-theoretic approach such as the chiral quark-soliton model (both the valence-quark and vacuum polarization effects included).

# Though this be madness, yet there is method in it.

Hamlet Act 2, Scene 2

by Shakespeare

### Thank you very much for the attention!