

# **PHENIX Data Analysis Status and Prospect**

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KU/RIKEN**

**RBRC Group Meeting  
Feb. 12, 2019 @ 9AM JST**

# Content

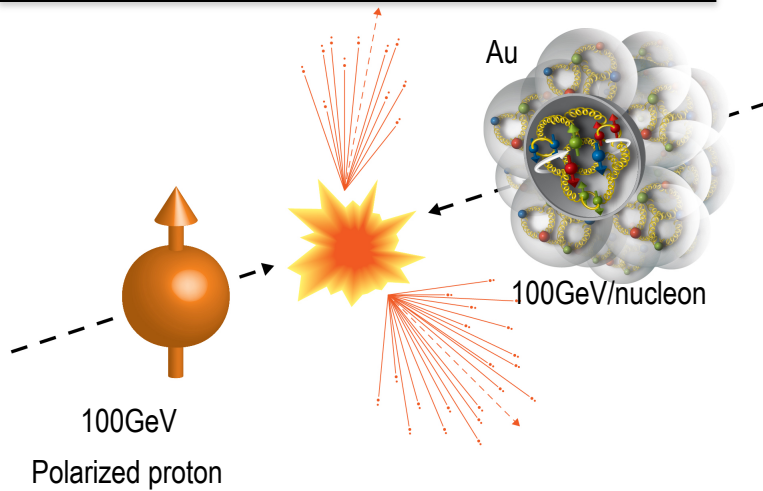
Slide 2

- ⌘ Data set and overview
- ⌘ One-dimensional  $\mathbf{P}_T$  unfolding analysis
- ⌘ Two-dimensional  $(\mathbf{P}_T, \Phi)$  unfolding analysis
- ⌘ Prospect and analysis schedule

# Data Set and Overview<sup>1</sup>

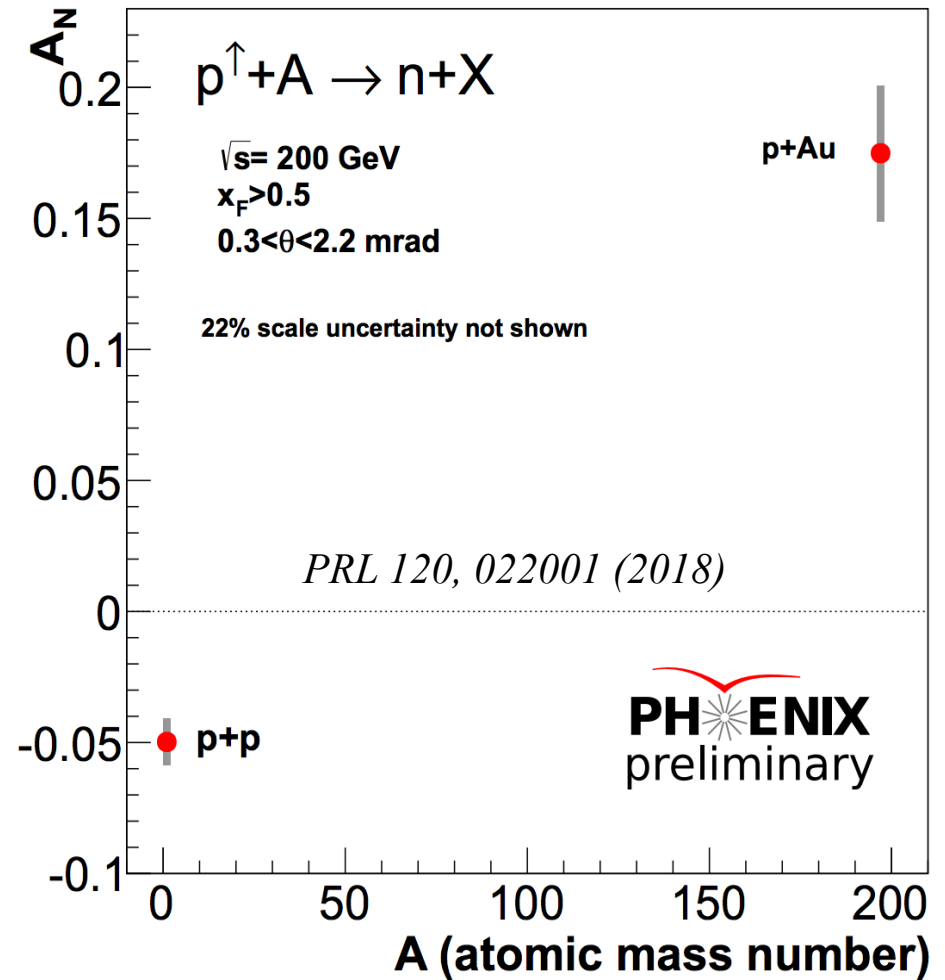
Slide 3

## PHENIX Run15 (2015) Data Set



Nucleus	# of proton	# of neutron
p	1	0
Au	79	118

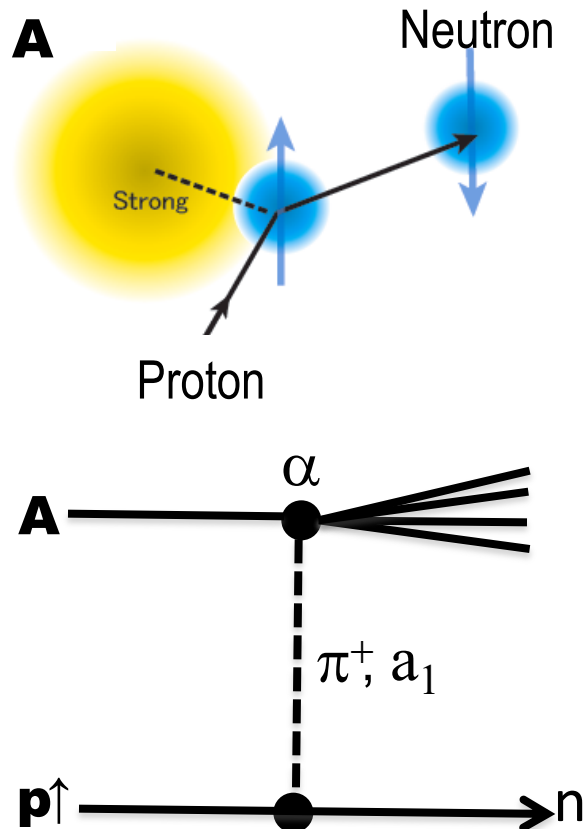
© QED process (Hypothesis)



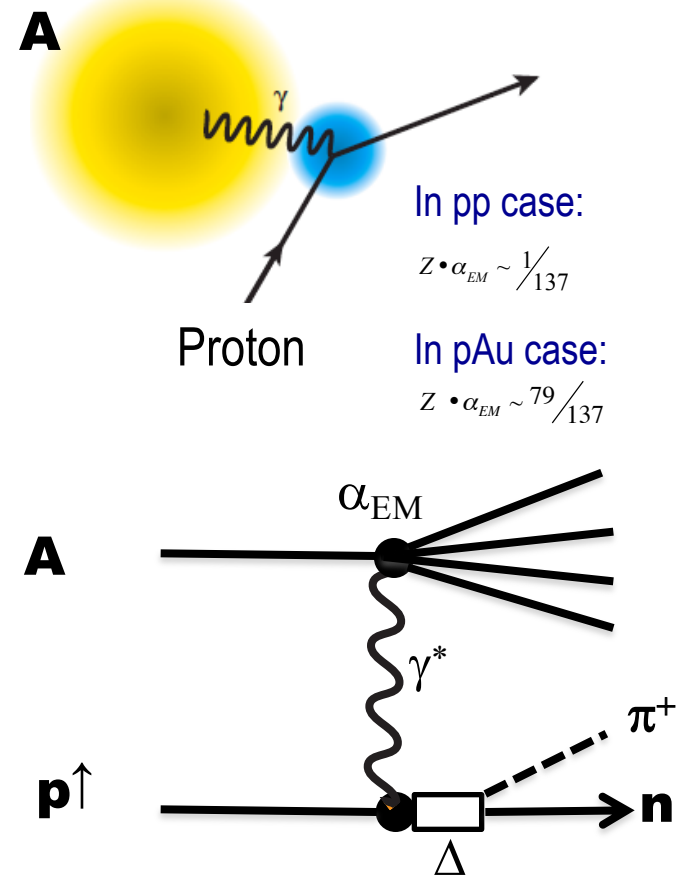
# Data Set and Overview<sup>3</sup>

Slide 4

Strong (hadronic)



Primakoff (Electromagnetic)



# One-dimensional $P_T$ Unfolding Analysis

Slide 5

## Unfolding

- Measurements in high energy physics (HEP) are usually affected by various detector effects such as the resolution, efficiency, etc.
- Unfolding technique removes these effects and recovers the true spectrum.

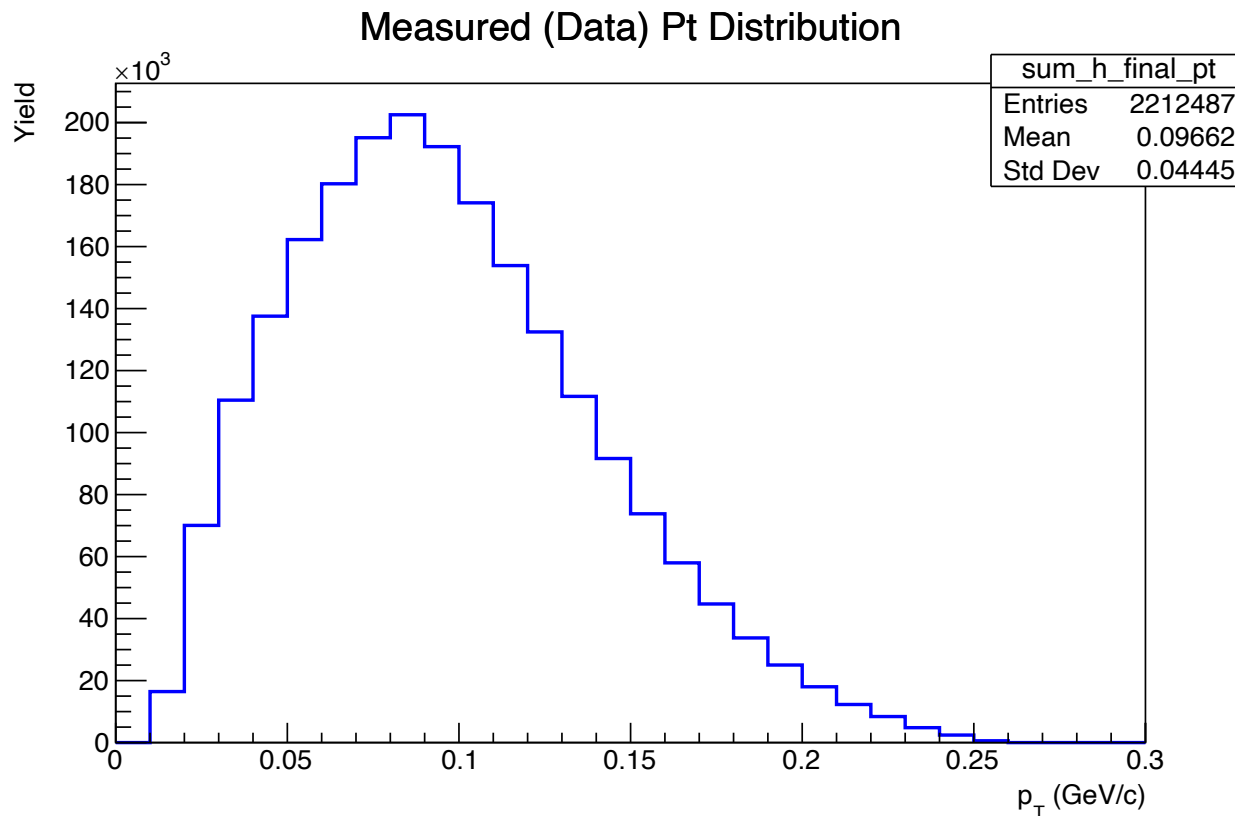
## Inputs to singular value decomposition (SVD) object

- Data
- Covariance matrix
- True spectrum
- Reconstructed spectrum (Reco)
- Detector response (smearing) matrix

# Unfolding Input – Measured $P_T$ Spectrum

Slide 6

- ① Data to unfold: Run 15 inclusive pAu transverse momentum data at  $\sqrt{s_{NN}} = 200$  GeV.



# Unfolding Input – Reco $P_T$ Spectrum

Slide 7

- ② Reco spectrum: pAu reconstructed  $P_T$  spectrum from a combination of UPC (EM) + DPMJET (HAD) MC training samples. This is a hypothesis.

## Neutron Selection Cuts

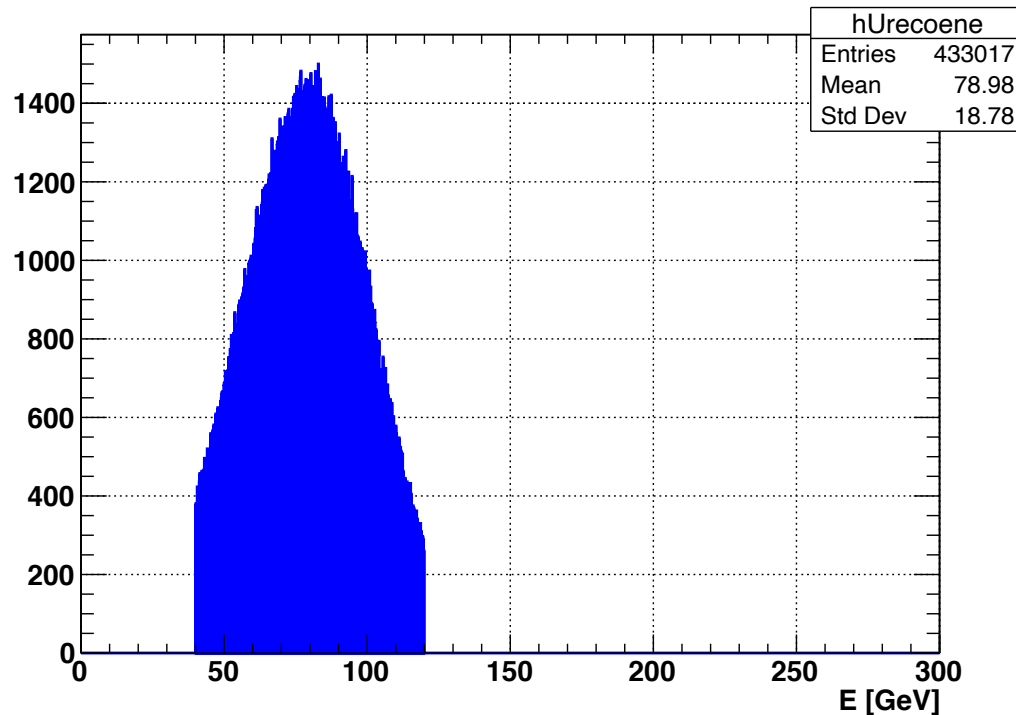
Following cuts were utilized for neutron identification and rejection of photon events. Same cuts were applied to experimental data:

- ⊙ ZDC energy:  $40 < E < 120$  and  $2^{\text{nd}}$  ZDC energy/ZDC total energy  $> 0.03$  ( i.e. non-zero  $2^{\text{nd}}$  ZDC energy )
- ⊙ Acceptance cut:  $0.5 < r < 4.0$  cm
- ⊙ SMD multiplicity:  $N_x/N_y \geq 2$  fired SMD strips.
- ⊙ That is  $N_x$  and  $N_y > 1$  fired strips above SMD threshold  $E = 0.003$  GeV.

# Unfolding Input – Reco $P_T$ Spectrum

Slide 8

## ZDC Total Energy Cut Check

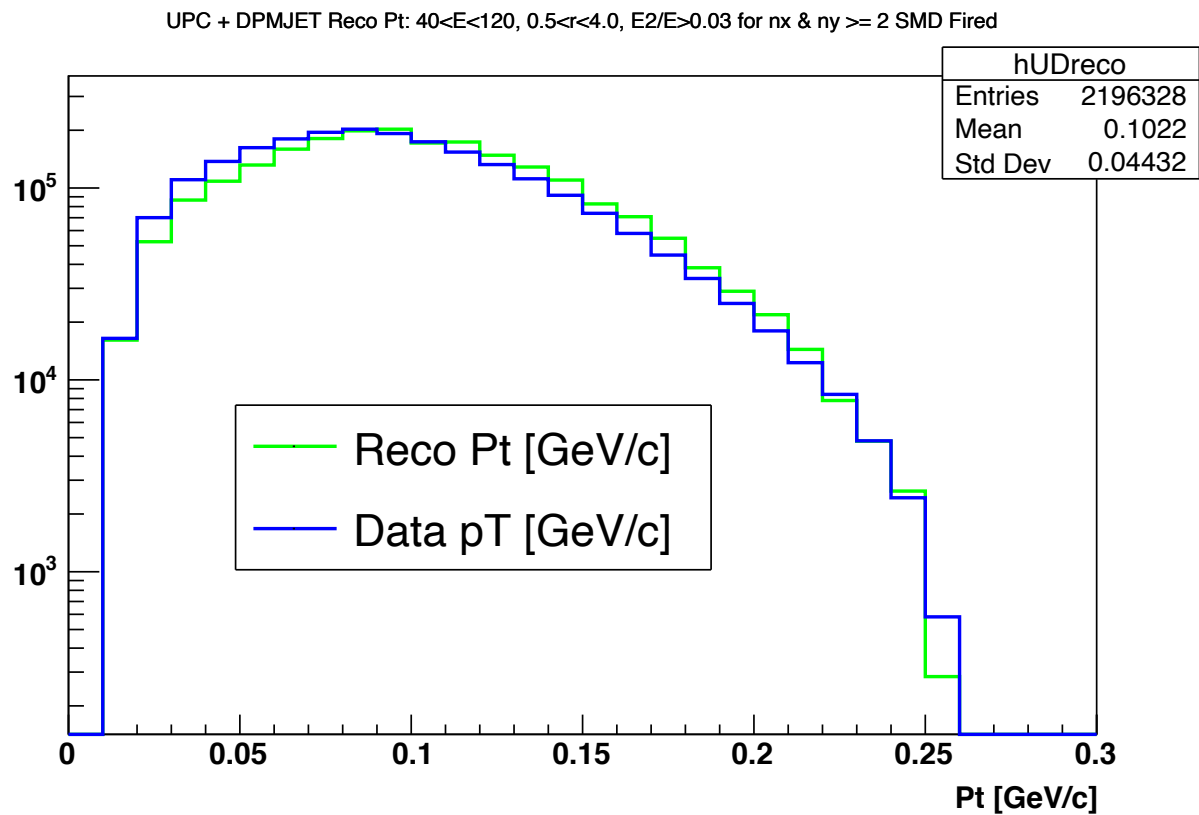


⌘ ZDC total energy:  $40 < E < 120$  and  $2^{\text{nd}}$  ZDC energy/ZDC total energy  $> 0.03$  cuts



# Unfolding Input – Reco $P_T$ Spectrum

Slide 9

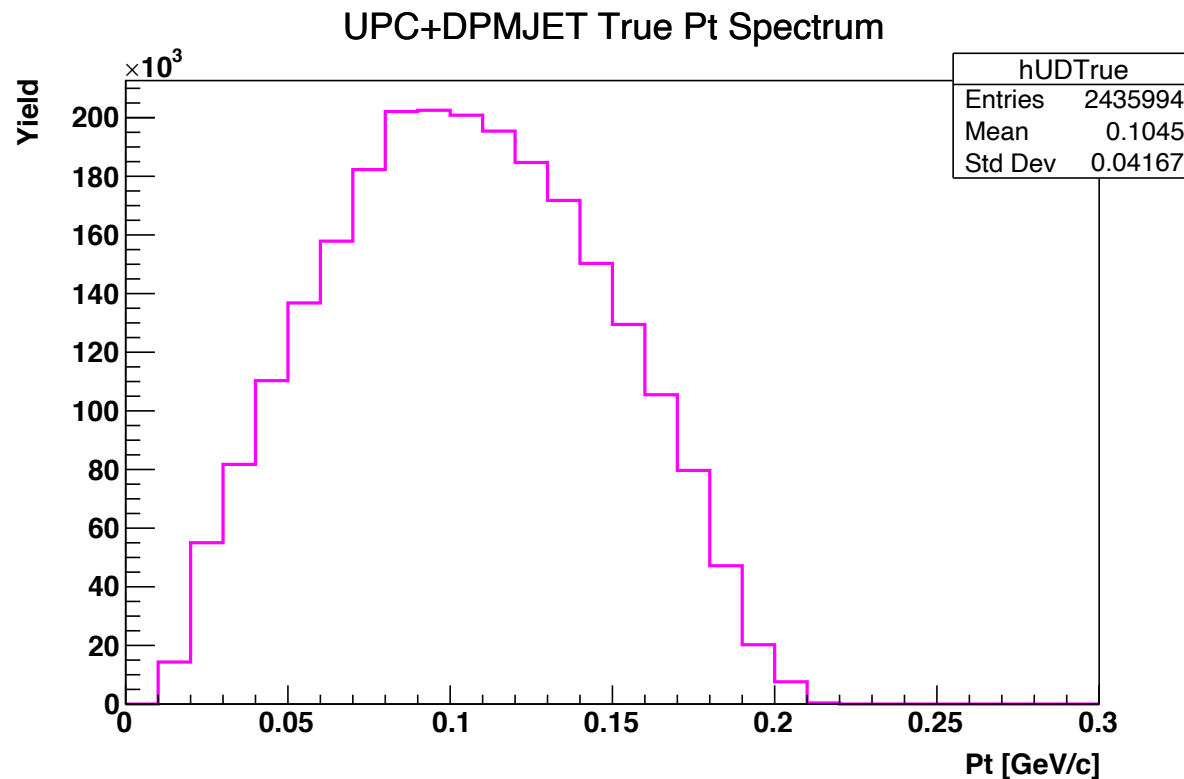


# Unfolding Input – True $P_T$ Distribution

Slide 10

## ③ True spectrum:

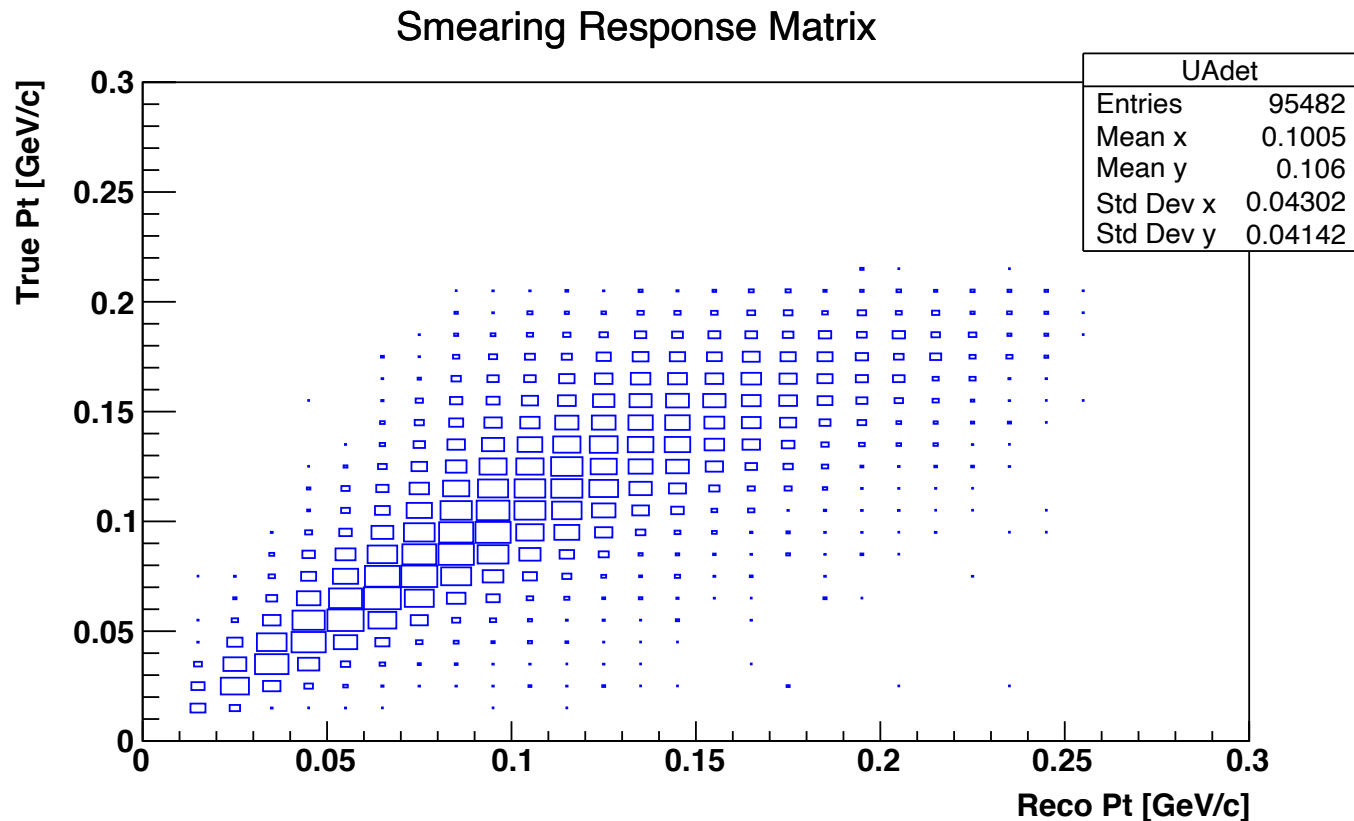
True  $P_T$  spectrum from addition of UPC (EM) + DPMJET (HAD) MC samples.



# Unfolding Input – Response Matrix

Slide 11

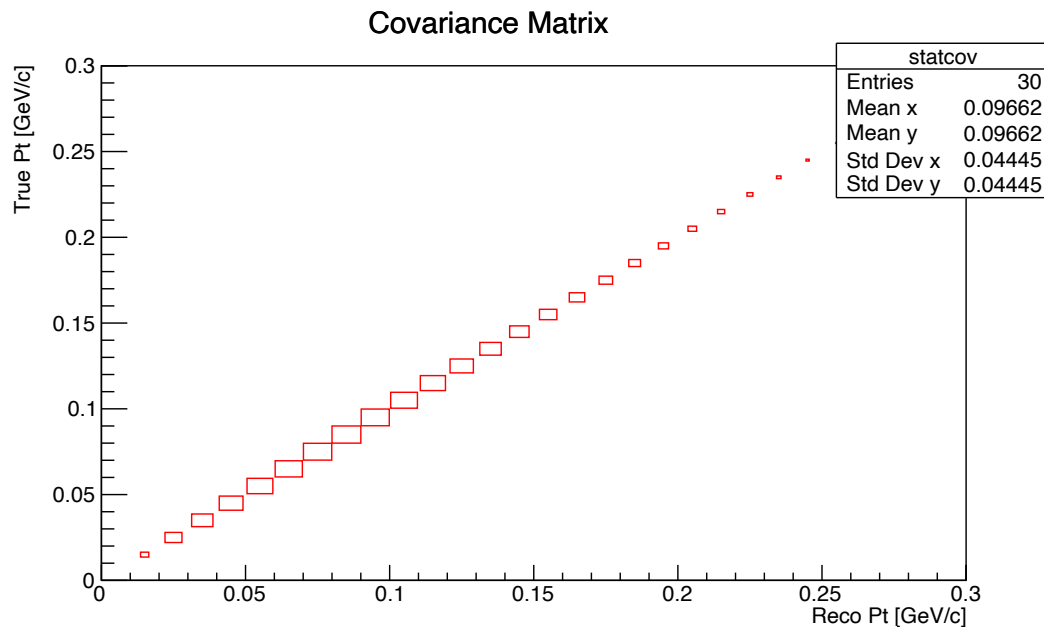
- ④ Detector response matrix: 2D plot extracted from the Reco and True  $P_T$  spectra of MC.



# Unfolding Input – Covariance Matrix

Slide 12

- ⑤ Covariance matrix: 2D histogram extracted from the measured  $P_T$  spectrum.



Finally created a TSVDUnfold object to perform unfolding of the data distribution.

```
TSVDUnfold *tsvdunf = new TSVDUnfold( hdata, statcov, hUDreco, hUDTrue, UAdet )
```

FLAG = ON

1

2

3

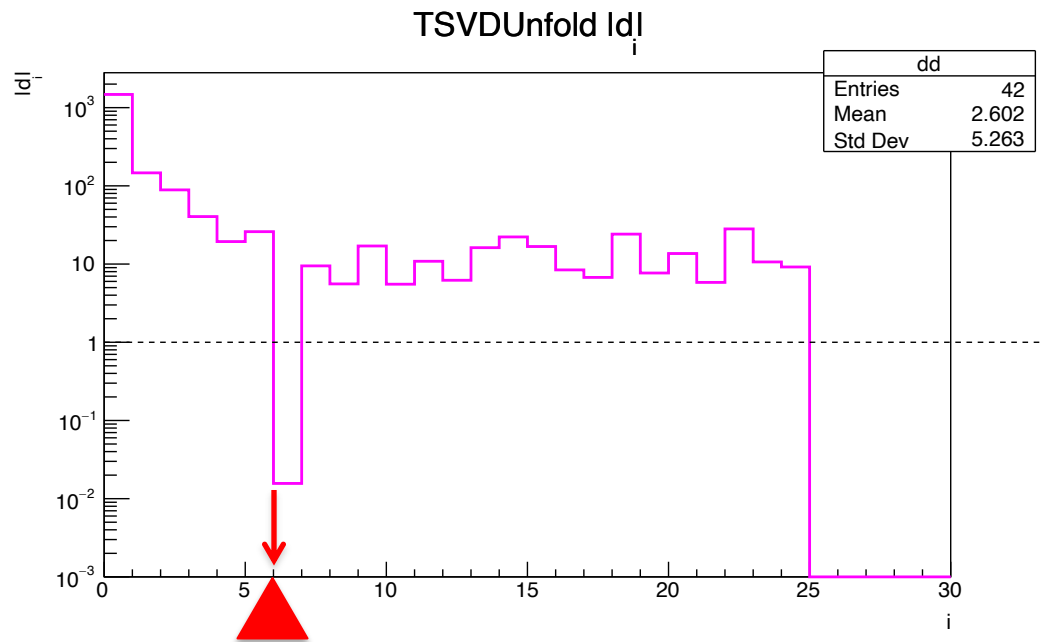
4

5

# Unfolding Output – Regularization Parameter

Slide 13

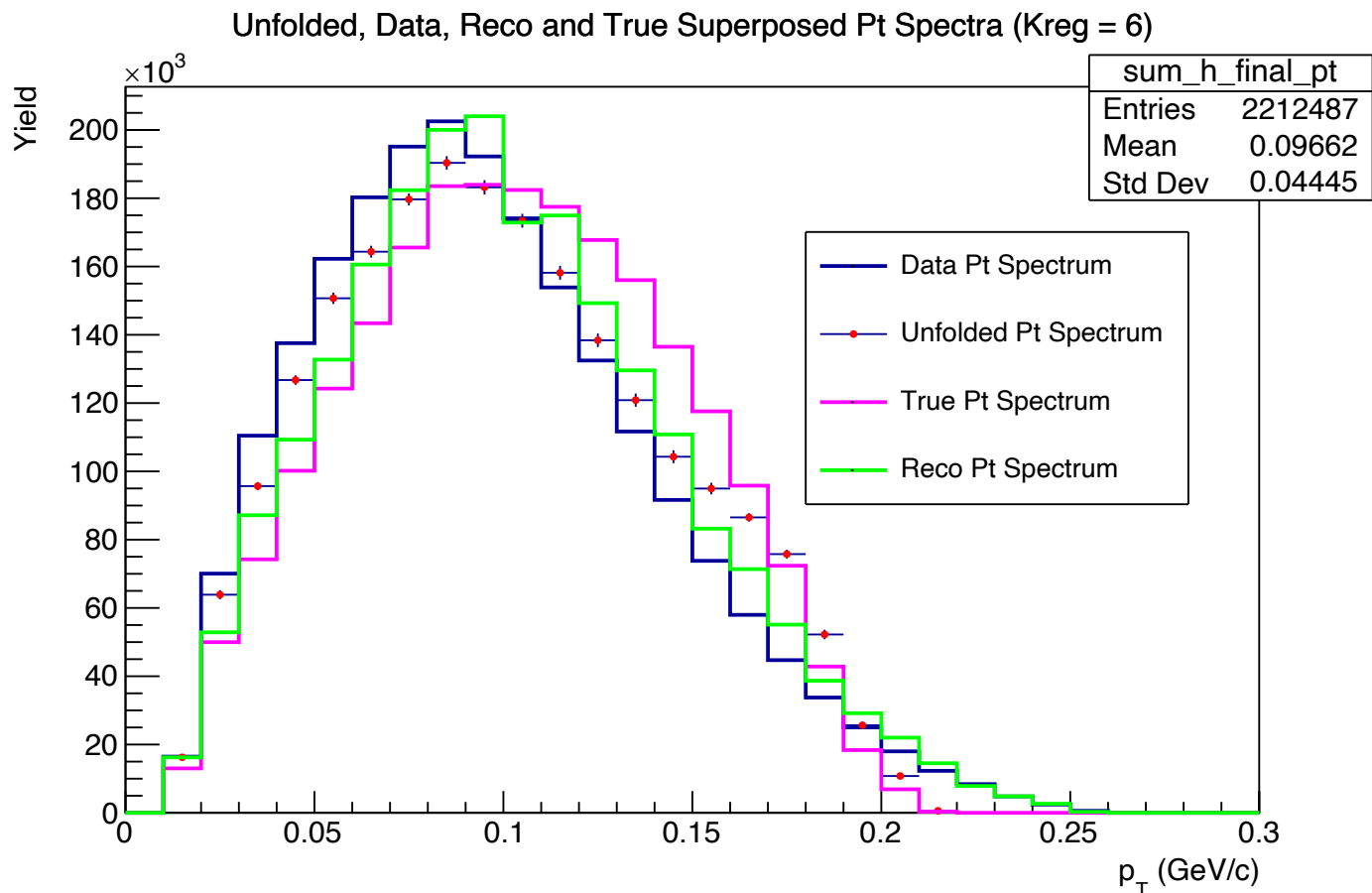
- ⊙ Performed unfolding with the regularization parameter ( $k_{reg} = 6$  as optimum).



- ⊙ This distribution helps us cross-check the quality of our unfolding regularization.
- ⊙ Regularization is chosen as the point where  $|d_i|$  stops being statistically significant.
- ⊙ This is the point where the regularization ( $k_{reg}$ ) is considered most optimal.

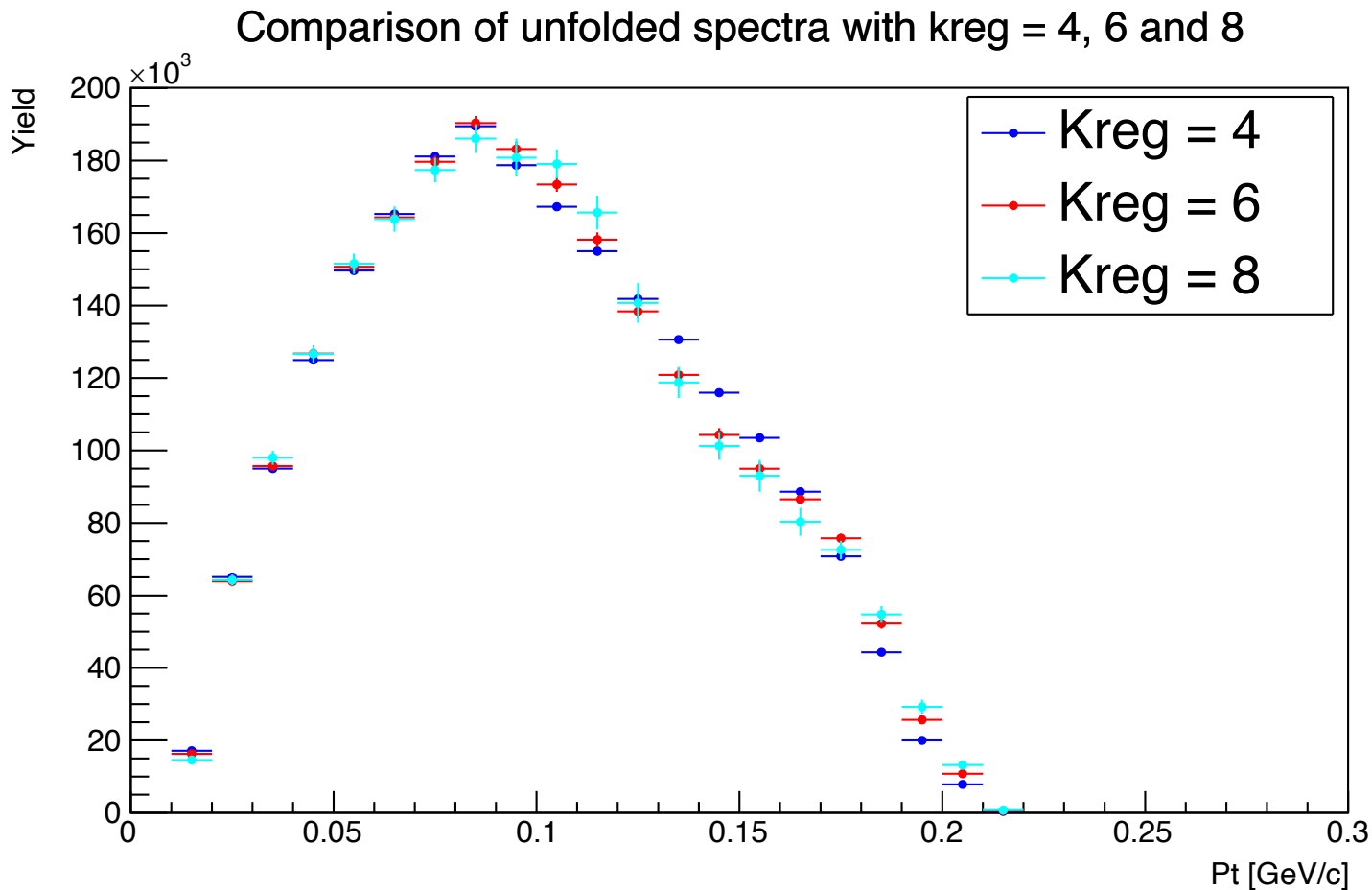
# Unfolding Output – Unfolded $P_T$ Distribution

Slide 14



# Unfolding Output – Unfolded $P_T$ Distribution

Slide 15



# RIKEN Nishina Center Acc. Progress Report

## [One page only]

Slide 16

### Unfolding the transverse momentum distribution for very forward neutron production in pAu collisions at $\sqrt{s_{NN}} = 200$ GeV

B. Mulilo,<sup>\*1,\*2</sup> for the PHENIX collaboration

The PHENIX collaboration measured that when a transversely polarized proton with spin up collides with unpolarized proton at  $\sqrt{s_{NN}} = 200$  GeV, they generate neutrons predominantly to the right<sup>1)</sup>. In 2011, theorists explained this result in terms of the interference of pion and  $a_1$  reggeon exchanges<sup>2)</sup>. But in 2015 using run 15 pAu data, we observed that when a polarized proton collides with a gold nucleus at  $\sqrt{s_{NN}} = 200$  GeV, they generate more neutrons to the left<sup>3)</sup> contrary to theoretical predictions<sup>2)</sup>. This nuclear dependence of the asymmetry ( $A_N$ ) has, therefore, attracted a massive interest in nuclear physics.

To explore the nature of the proton spin further, we are now studying  $A_N$  as a function of the true transverse momentum ( $P_t$ ). We begin with an understanding that our measurements are limited by known effects such as the detector resolution and detection efficiency among others. Our technique is, therefore, to employ a method known as unfolding to remove these known effects and recover the true distribution.

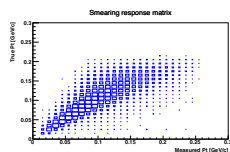


Fig. 1. Smearing response matrix mapping the binned true  $P_t$  spectrum to the measured spectrum.

We proceed by parametrizing measurement effects using the response matrix in Fig.1 from Monte Carlo<sup>4)</sup>. What this matrix does is to map the binned true spectrum in the Magenta line onto the measured spectrum in the Green line of Fig.2. For the measured and true distribution bins  $R_i$  and  $T_j$ , respectively, the smearing matrix element  $S_{ij}$  gives the fraction of entries from bin  $T_j$  that end up being reconstructed in bin  $R_i$ .

The unfolding has been performed using the singular value decomposition method<sup>5)</sup> contained in CERN's ROOT toolkit. Since our smearing matrix is not perfectly diagonal, we unfolded with a parameter, alias Kreg<sup>5)</sup>, which determines the regularization of the un-

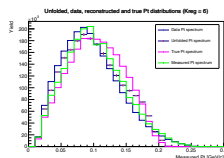


Fig. 2. Superposition of the experimental data, unfolded, true and measured  $P_t$  distributions.

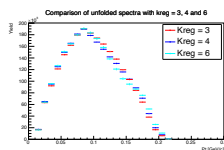


Fig. 3. Unfolded spectra with various parameters.

folding. The unfolded spectrum was the distribution corresponding to an optimum Kreg = 6 as depicted in Fig.2. In the vicinity of optimum Kreg, we expect the unfolded distributions to behave normally, so we compared neighboring Kreg 3 and 4 to the optimum Kreg = 6 and the result was as expected as shown in Fig.3.

We are now extending the ideas of the one dimensional unfolding to two dimensional unfolding of  $P_t$  in azimuth  $\Phi$ . The unfolded spectrum will then be used to calculate  $A_N$  as a function of the unfolded  $P_t$  and we will finally be able to draw further conclusions about the nature of the proton spin.

#### References

- 1) A. Adare et al. Phys. Rev. D88 (2013) 032006.
- 2) B. Z. Kopeliovich et al. Phys. Rev. D84 (2011) 114012
- 3) A. Aidala et al. Phys. Rev. Lett. 120, 022001 (2018)
- 4) G. Mitsuka Phys. Rev. C 95, 044908 (2017)
- 5) Nucl. Instr. Meth. A372, 469 (1996)[hep-ph/9509307]

<sup>\*1</sup> RIKEN Nishina Center

<sup>\*2</sup> Department of Physics, Korea University

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# 2D ( $P_T$ , $\Phi$ ) Unfolding for Asymmetry Calculations

Slide 17

Preparation of the true and reconstructed transverse momenta distributions in azimuth,  $\Phi$ .

True and reconstructed transverse momentum distributions (GeV/c):

- $P_T$  bins = 4 bins
- Minimum  $P_T = 0.0$
- Maximum  $P_T = 0.3$
- $P_T$  bin width = 0.075
- $P_T$  slices =  $0.0 < P_T < 0.075$  (bin 1),  $0.075 < P_T < 0.150$  (bin 2),  $0.150 < P_T < 0.225$  (bin 3),  $0.225 < P_T < 0.300$  (bin 4)

True and reconstructed azimuth,  $\Phi$  (radians):

- $\Phi$  bins = 6 bins
- Minimum  $\Phi = -3.14$  (-Pi)
- Maximum  $\Phi = +3.14$  (+pi)
- $\Phi$  bin width = 1.05
- $\Phi$  slices =  $-(3.14 < \Phi < 2.10)$  (bin 1),  $-(2.10 < \Phi < 1.05)$  (bin 2),  $-(1.05 < \Phi < 0.0)$  (bin 3),  $0.0 < \Phi < 1.05$  (bin 4),  $1.05 < \Phi < 2.10$  (bin 5) and  $2.10 < \Phi < 3.15$  (bin 6)

# Current Tasks

Slide 18

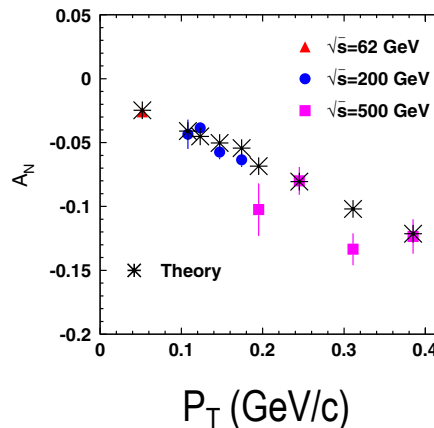
- ❖ Converting two-dimensional to one-dimensional  $P_T$  in  $\Phi$  distribution and construct the smearing response matrix input to the TSVD unfolding (current priority)
- ❖ Apply the unfolding using ROOT's singular value decomposition (SVD) method incorporated in CERN's ROOT analysis toolkit.

## Analysis Prospect

- ❑ Reconstruct  $P_T$  - dependence of  $A_N$  distribution

*PRD84,114012(2011)*

*$p^\uparrow + p$   
Reference*



- ❑ Compute systematic uncertainties associated with the  $A_N$  vs.  $P_T$

# Analysis Schedule (So Compact)

Slide 19

Below is the analysis schedule for tasks remaining after the 1D unfolding results shown in this presentation. The schedule is too compact to finish all remaining tasks this year, 2020.

TIMELINE	ANALYSIS TASKS	STATUS
Nov. 2019	Monte Carlo tuning to match data	Checked
Nov. 2019	Disable single SMD hit event and get rid of spikes	Checked
Nov. 2019	Azimuthal distribution health check of $UPC_{A_N+0.2}$	Checked
Dec. 2019	Convert $2D(P_T, \Phi)$ into 1D preparation for 1D unfolding	Here now
Dec-Jan 2020	$P_T, \Phi$ 1D unfolding	current
Jan-Feb 2020	Stability check of unfolding matrix using MC	current
Feb-Mar 2020	Unfolding experimental data	current
Mar. 2020	Calculate $A_N$ as a function of $P_T$	Pending
Mar. 2020	Backgrounds and systematic uncertainty	Pending
Apr. 2020	Preliminary	Pending
May-Jul 2020	Paper draft	Pending
Aug. 2020	Paper submission	Pending
Aug-Oct 2020	Thesis writing	Pending
Dec. 2020	Defense	Pending

# Analysis Schedule (Tentative)

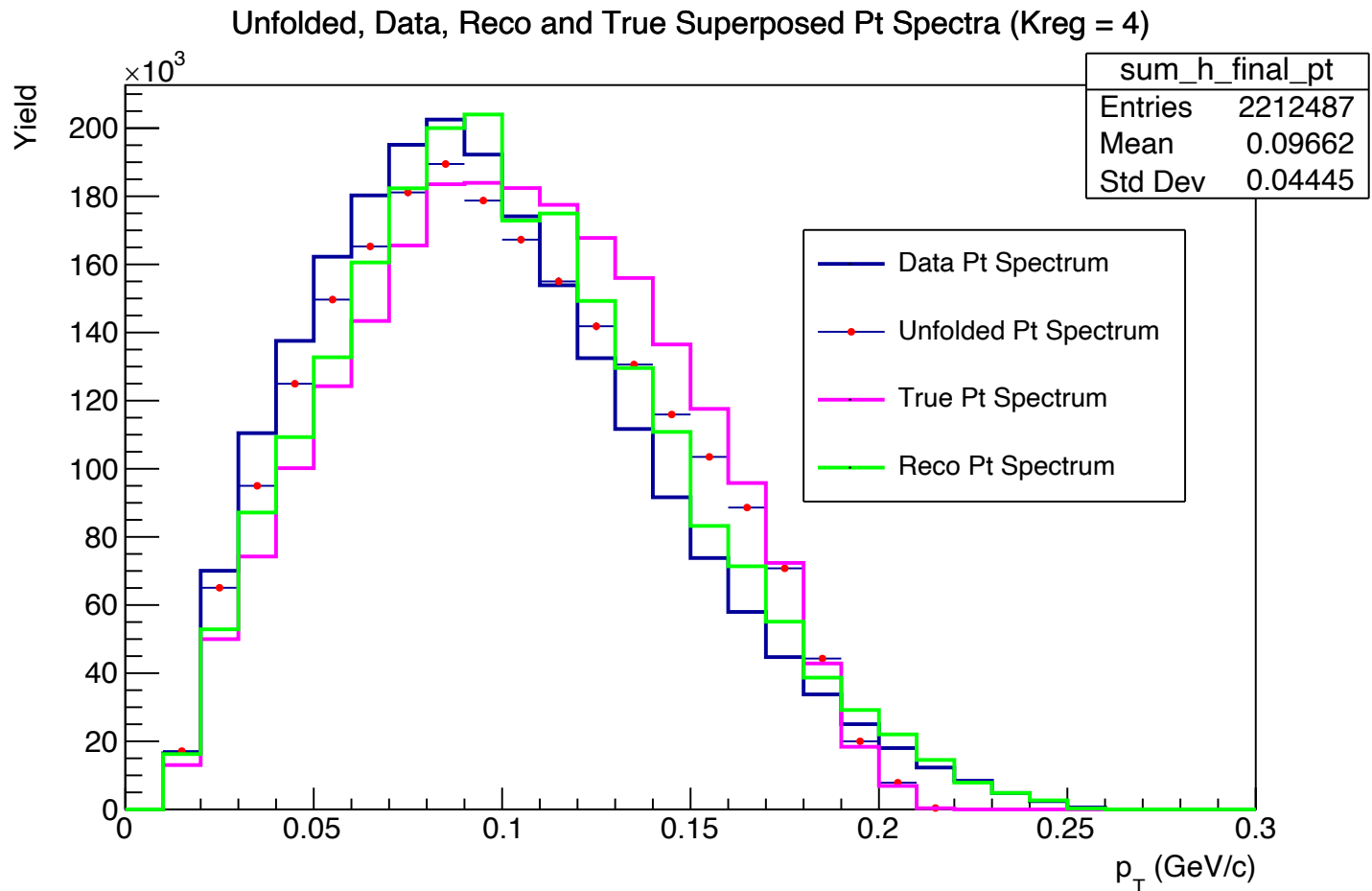
If there will be positive feedback from the University of Zambia? Tentative but more realistic

TIMELINE	ANALYSIS TASKS	STATUS
Jan. 2020	Convert 2D ( $P_T, \Phi$ ) into 1D hist preparation for unfolding	Now here
Mar. 2020	$P_{T-\Phi}$ 1D unfolding and stability check of unfolding matrix	Pending
Aug. 2020	Unfolding the experimental data and calculation of $A_N(P_T)$	Pending
Dec. 2020	Study the background and systematic uncertainty and get preliminary	Pending
Jun. 2021	Preparation and submission of the paper draft	Pending
Dec. 2021	Defending thesis and completion of the Ph.D requirements.	Pending

**BACKUP**

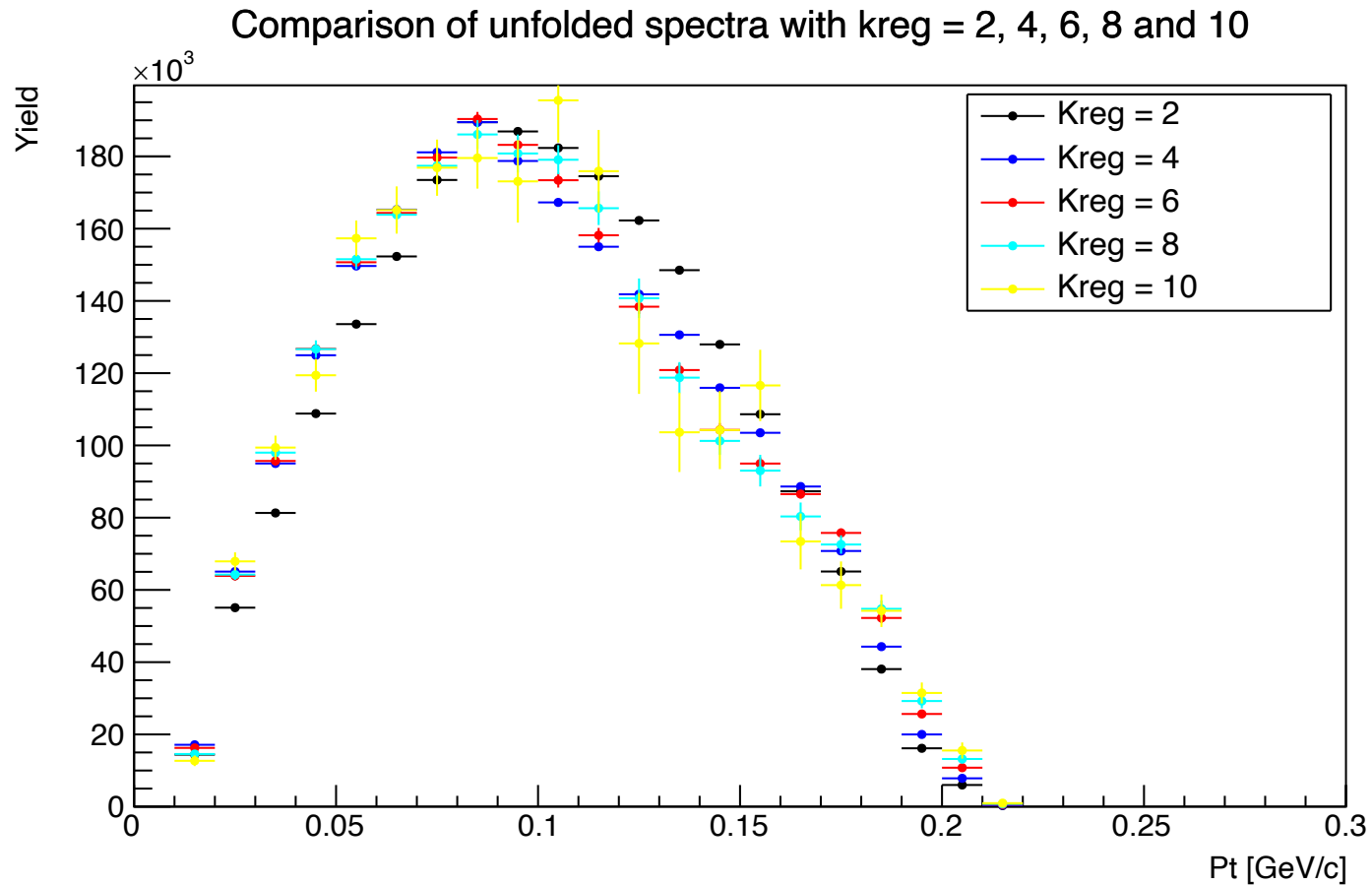
# Unfolding Output – Unfolded $P_T$ Distribution

Slide 1



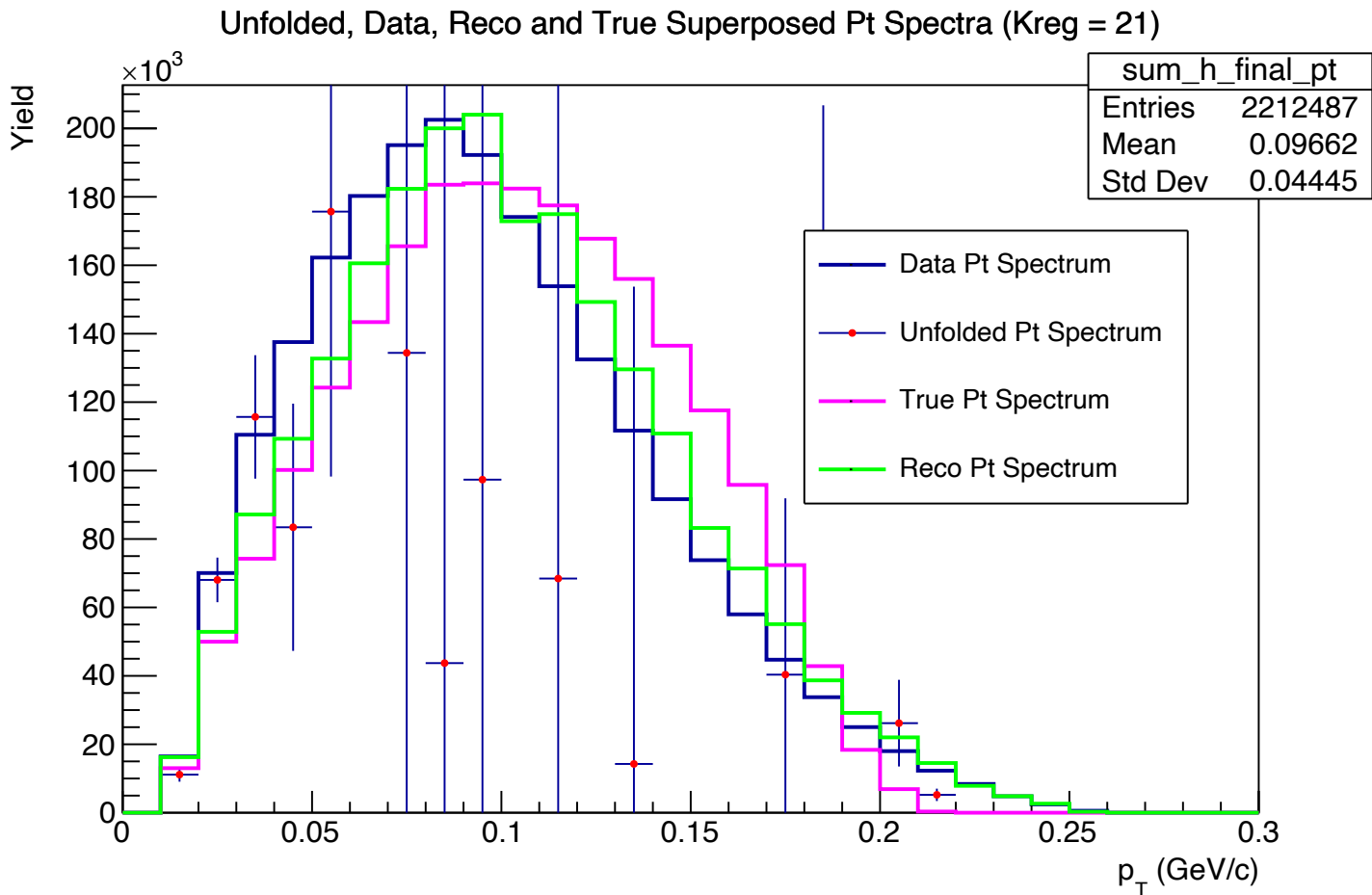
# Unfolding Output – Unfolded $P_T$ Distributions

Slide 2



# Unfolding Output – Unfolded $P_T$ Distribution

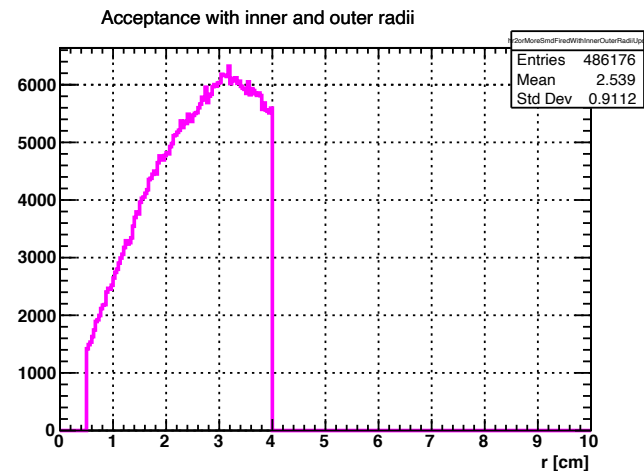
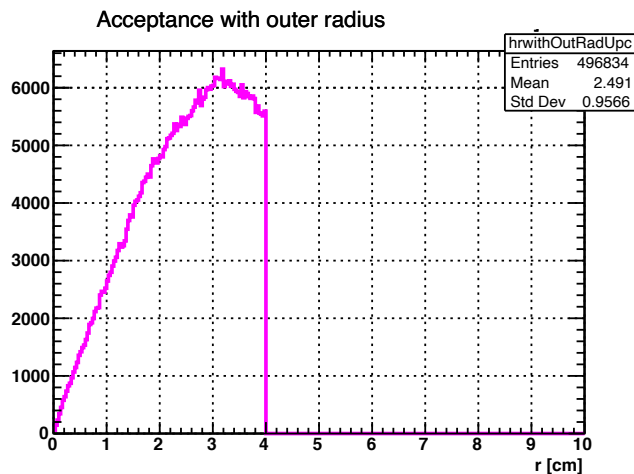
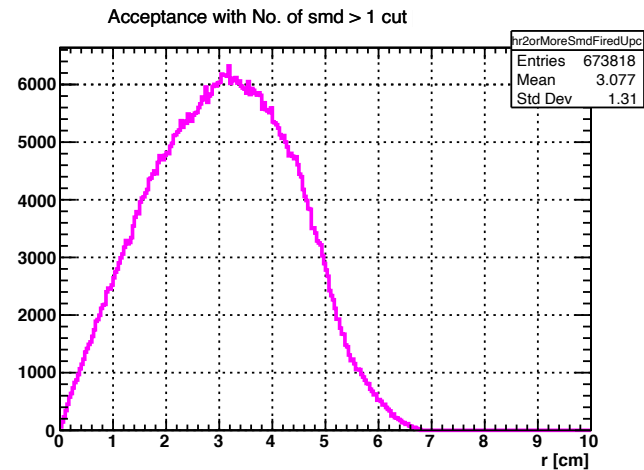
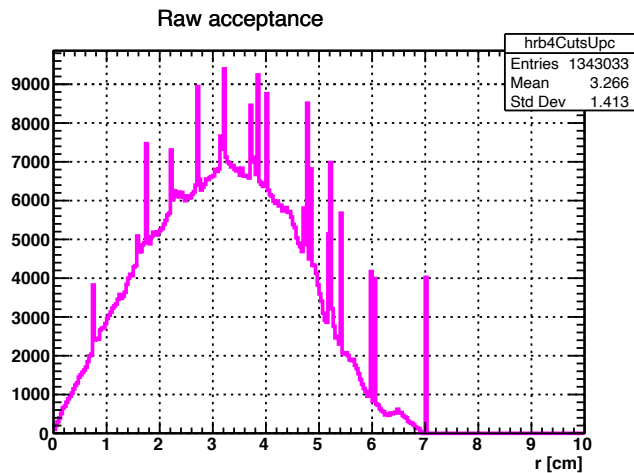
Slide 3





# Acceptance Cut Check

Slide 4

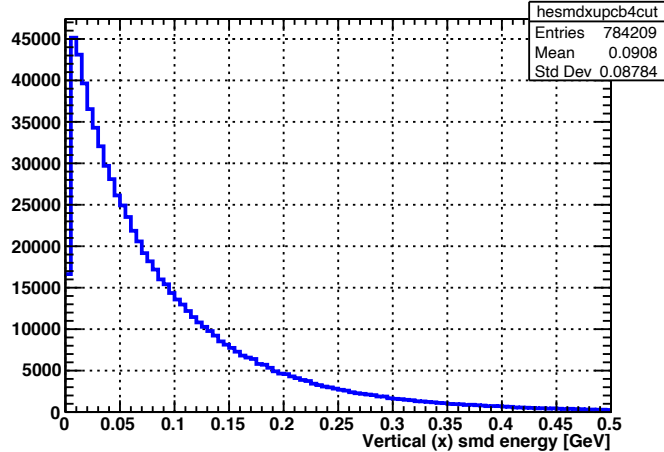


⌘ Inner and outer radii  $> 0.5$  cm and  $< 4.0$  cm cuts, respectively are applied as shown in the bottom right side panel.

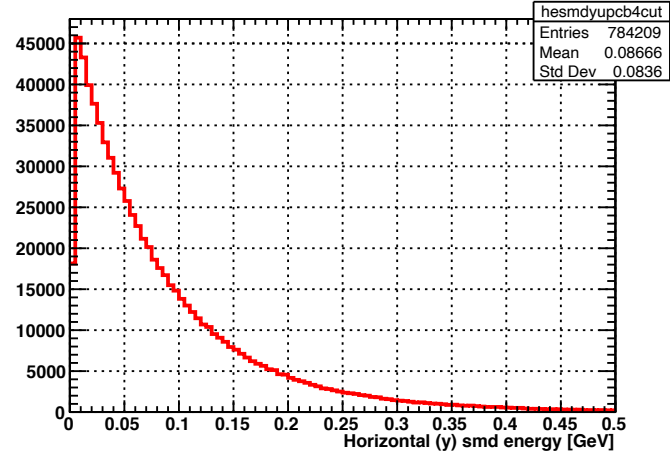
# SMD Energy Check

Slide 5

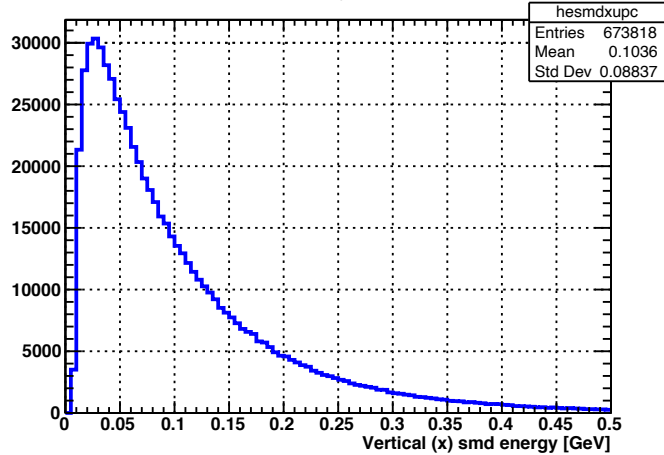
Vertical (x) smd energy before threshold cut



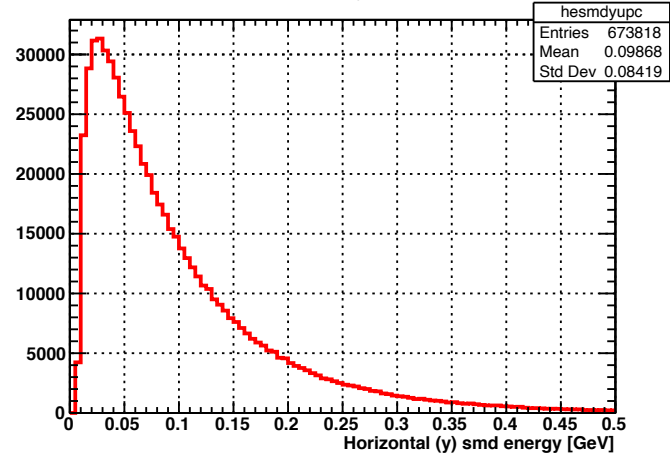
Horizontal (y) smd energy before threshold cut



Vertical (x) smd energy after threshold cut



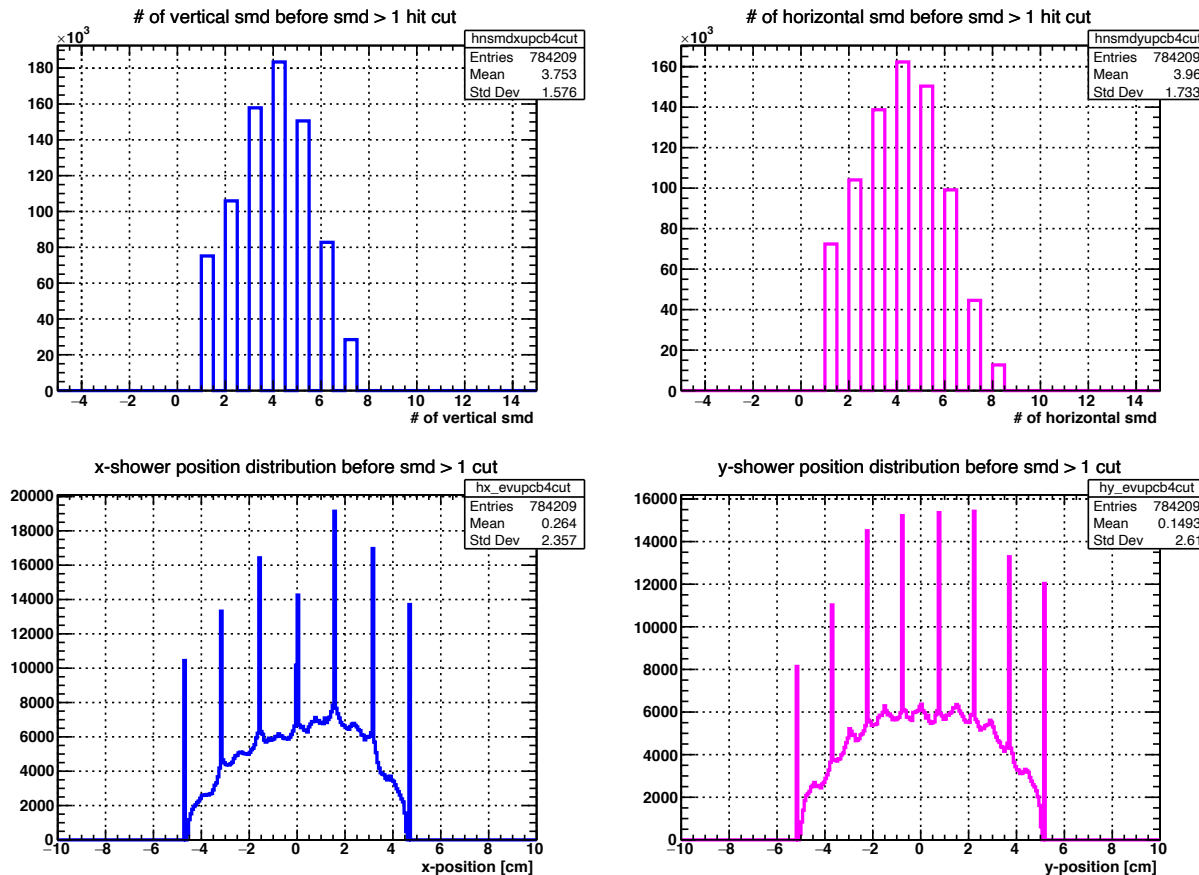
Horizontal (y) smd energy after threshold cut



# SMD XY Position Check

Slide 6

- © Top panels depict # of fired vertical,  $N_x$ , (left) and horizontal,  $N_y$ , (right) smd **before** applying  $N_x(N_y) > 1$  hit cut.

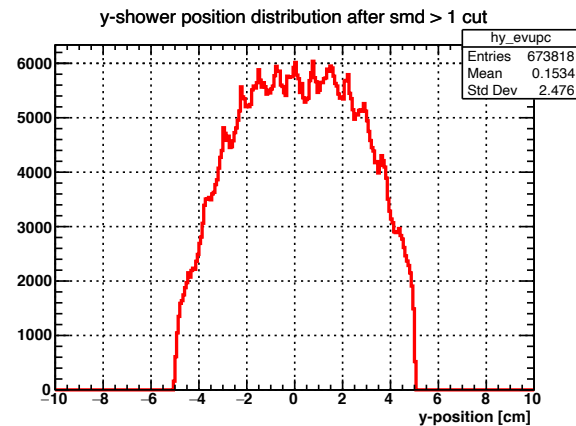
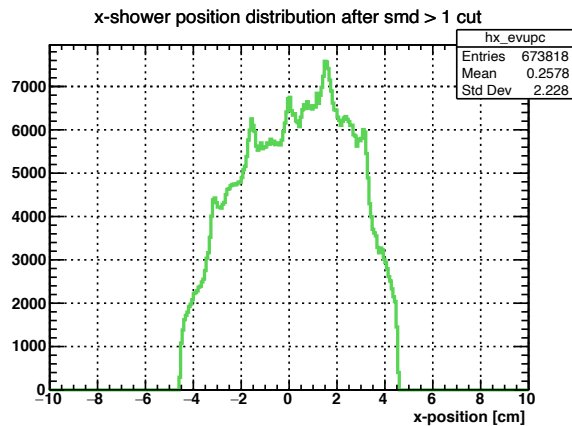
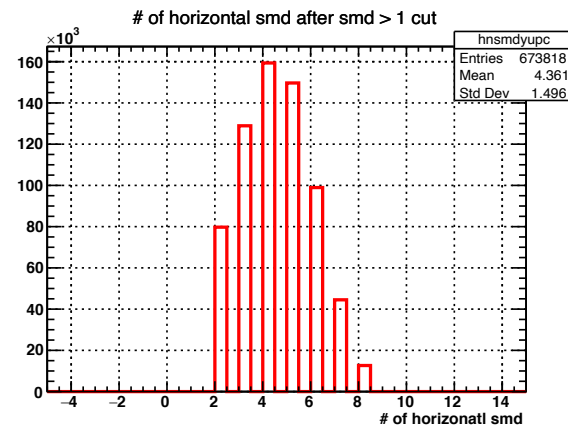
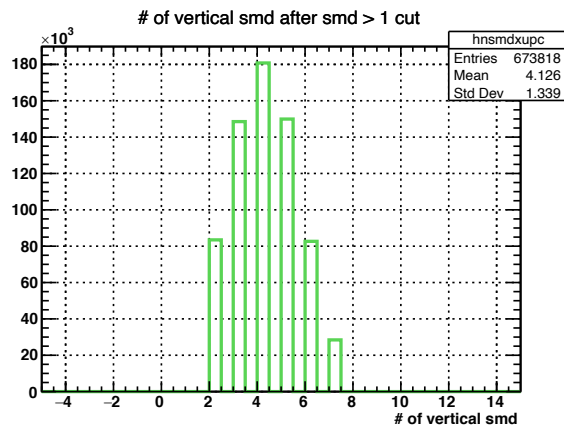


- © Bottom panels are x (left) and y (right) particle position distributions before smd cut,  $N_x$  &  $N_y > 1$  fired strips above SMD threshold,  $E = 0.003$  GeV is applied. The spikes  $x = 7$  and  $y = 8$  are smd strips.

# SMD XY Position Check

Slide 7

© Top panels display the number of vertical,  $N_x$ , (left) and horizontal,  $N_y$ , (right) smd after applying  $N_x(N_y) > 1$  hit cut.



© Bottom panels are x (left) and y (right) particle position distributions after smd cut  $N_x/N_y > 1$  fired strips above the SMD threshold,  $E = 0.003$  GeV is applied. The SMD spikes in the x and y distributions have now been eliminated.