# Diagnosing trivializing maps 

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## Introduction $(1 / 3)$

- Lattice calculation has been giving important inputs to the standard model.
- A major source of uncertainty is the continuum extrapolation, which can be in principle reduced by adding results of fine lattices.

g-2 window update
RBC/UKQCD (incl NM) 2301.08696
- However, as we reach the continuum limit, we encounter the critical slowing down when generating configurations, which adds at least exponential computational cost to the simple volume scaling.


## Introduction (2/3)

Critical slowing down is a common pitfall of the Monte Carlo algorithm in critical statistical systems; in fact, major algorithm developments has aimed to accelerate Monte Carlo sampling:

- Overrelaxation

Adler 81, Whitmer 84, Creutz 87

- Multigrid Monte Carlo

Parisi 84, Goodman-Sokal 86 (see also Wolff 90)
For Fermion Preconditioner: Wettig's talk on 15th and Peter's talk this morning

- Fourier acceleration/Riemannian manifold MC

Parisi 84, Batrouni et al. 85,88,90 / Nguyen et al. 2112.04556

- Parallel tempering

Swendsen-Wang 86, Geyer 91, Hukushima-Nemoto 96 For Sign Problem: Fukuma's talk just before the break
defect tempering:
Hasenbusch 1706.04443, Berni-Bonanno-D'Elia 1911.03384, Bonanno-Bonati-D'Elia 2012.14000

- Cluster algorithm

Swendsen-Wang 87, Wolff 89

- Trivializing map/normalizing flow

Lüscher 0907.5491 / Rezende-Mohamed 15
stochastic:
Wu-Kohler-Noe 20, Caselle-Cellini-Nada-Panero 2201.08862

- Master field

Lüscher 1707.09758, Bruno-Cè-Francis-Green-Hansen-Zafeiropoulos 2212.09533
Francis' talk on 17th

- L2HMC, winding HMC, ...

Foreman-X.Y.Jin-Osborn 2105.03418, Albandea, et al. 2106.14234, ...

## Introduction (3/3)

## Short timeline of the trivializing map

- Original proposal: trivializing map as a gradient flow Lüscher 0907.5491
- Testing the LO approximation in $C P^{N-1}$ model Engel-Schaefer $\mathbf{1 1 0 2 . 1 8 5 2}$
$\Rightarrow$ performance asserted negatively
"The reduction in the forces, ..., is compensated by the computational overhead"
- Machine learning approaches Albergo-Kanwar-Shanahan 1904.12072, Foreman et al. 2112.01586 Bacchio-Kessel-Schaefer-Vaitl 2212.08469, Gomalizing flow
- Wilson flowed HMC $\square \times 1.5 \sim 2$ in tunneling rate in the unit of MC step Jin LATtice 2021 poster
- Nonperturbatively improving the map with a Schwinger-Dyson equation including all the Wilson loops up to footprint 2

Boyle-Izubuchi-Jin-Jung-NM-Lehner-Tomiya LATTICE2022 [2212.11387]
no vivid reduction in autocorrelation compared to the overhead

The fact that no visible gain has been found (apart from rapid developments in ML) suggests that neglected large loops greatly contribute to the autocorrelation.

A critical problem is that we only know little about the exact trivializing map. More concretely, one may raise the questions:

- What does the exact flow kernel at large $\beta$ look like?

Is it really close to the Wilson flow?

- What are the appropriate basis functions to parametrize the kernel at large $\beta$ ?


## Aim of this work

- Using a simple 2D $U(1)$ model, we analyze (spatially truncated) exact trivializing maps.
- This model is still far from the full QCD; however, there exists topological freezing at large $\beta$ and the trivializing map is nontrivial.

Good testing ground to study the properties of the exact map and to find effective approximations aiming for the full QCD.

This talk reports the ongoing study partially addressing the points:

- The convergence radius of the flow-time expansion of the flow kernel.
- Why the Wilson flow is not so effective.
- How many links need to be involved in the map to stimulate the tunneling (concrete results to be seen in future work).


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## Critical slowing down (1/1)

Goal Make physical predictions from the lattice path integral:

$$
\langle\mathcal{O}\rangle \equiv \frac{\int(d U) e^{-S(U)} \mathcal{O}(U)}{\int(d U) e^{-S(U)}}
$$

e.g., Wilson action Wilson 74

$$
S(U) \equiv-\frac{\beta}{6} \sum_{x, \mu<v} \operatorname{Re} \operatorname{tr}\left[U_{x, \mu} U_{x+\mu, v} U_{x+v, \mu}^{\dagger} U_{x, v}^{\dagger}\right]
$$



- We expect to have a finite correlation length in physical units in the continuum.
infinite correlation length in lattice units (since $a \rightarrow 0$ ), which is a property of 2 nd order phase transition.
- Generically, as we approach the critical point, more and more modes contribute to the correlator to give the quasi-long-range correlation.



Such long correlations make the Monte Carlo simulation inefficient because we need to move a large number of DOF simultaneously and in a specific way to conserve the energy functional. critical slowing down

## Topological freezing $(1 / 3)$

## Further complication in QCD: topological freezing

Cause: nontrivial topological sectors of gauge field on $T^{4}$ in the continuum

- Periodicity is defined only up to gauge transformation:

$$
A_{\mu}\left(x_{v}=L\right)=v_{v}(x)\left(\partial_{\mu}+A_{\mu}\left(x_{v}=0\right)\right) v_{v}^{-1}(x)
$$

't Hooft 81
cf. Dirac monopole


- The gauge function (or transition function) $v_{\mu}(x)$ encodes the topological information of the gauge field: see also Kronfeld 88

$$
\begin{aligned}
Q & \equiv \frac{-1}{16 \pi} \int \mathrm{~d}^{4} x \operatorname{tr} F_{\mu \nu} \tilde{F}_{\mu \nu} \\
& =\frac{1}{24 \pi^{2}}\left\{\begin{array}{l}
\sum_{\mu} \int_{f(\mu)} \operatorname{tr}\left(v_{\mu} d v_{\mu}^{-1}\right)^{3} \\
-3 \sum_{\mu \neq \nu} \int_{p(\mu, v)} \operatorname{tr}\left[d v_{\nu}^{-1}\left(x_{\mu}=L\right) v_{\nu}\left(x_{\mu}=L\right) v_{\mu}\left(x_{v}=0\right) d v_{\mu}^{-1}\left(x_{\nu}=0\right)\right]
\end{array}\right\} \text { Solely expressed with } v_{\mu}(x)!
\end{aligned}
$$

- One can show that $Q \in \mathbb{Z}$ by, e.g., taking the pure gauge:

$$
A_{\mu} d x^{\mu}=g^{-1} d g \quad\left(\text { constraint: } g^{-1}\left(x_{\mu}=L\right) g\left(x_{\mu}=0\right)=v_{\mu}\right) \quad \Rightarrow Q \equiv \frac{1}{24 \pi^{2}} \int_{\partial V} \operatorname{tr}\left(g^{-1} d g\right)^{3} \in \mathbb{Z}
$$

- Topological sectors are disconnected $\because$ they have $v_{\mu}(x)$ that cannot be continuously deformed to one another.

As the continuum limit is reached, the lattice gauge field acquires continuum-like nature. Correspondingly, configurations will be trapped in the emerging disconnected sectors during the Monte Carlo simulation (topological freezing).

More mathematical way to see the freezing is through the geometrical definition of the lattice topological charge.

## Topological freezing (2/3)

Simpler example: $U(1)$ on $T^{2} \quad$ Phillips 85, see also Fujiwara et al. hep-lat/0001029

- Lattice topological charge: total winding of the plaquette angles $\kappa_{x}$ :

$$
Q^{(\text {lat })}=\frac{-1}{2 \pi} \sum_{x} \kappa_{x} \quad\left(\kappa_{x} \equiv \frac{1}{i} \log \left(U_{x, 0} U_{x+0,1} U_{x+1,0}^{\dagger} U_{x, 1}^{\dagger}\right) \in[-\pi, \pi)\right]
$$

$Q$ is defined unambiguously except for the exceptional configurations.
s.t. $\exists x, \kappa_{x}=\pi$
(.: measure zero in path integral).
$\Rightarrow$ Boundary of $Q$ sectors are the exceptional configurations.

- Tunneling only occurs when the fluctuation becomes so large
 that the plaquette angle goes around the $S^{1}$ penetrating the potential barrier at $\pm \pi$.

However, such large fluctuation will be directly suppressed for the Wilson action at large $\beta$ :

$$
S(U)=-\beta \sum_{x} \cos k_{x} .
$$Emergence of disconnected topological sectors.



Similarly for $\operatorname{SU}(2)$ on $T^{4}$, exceptional configurations (= boundary of $Q$ )
Lüscher 82 consists of $\exists$ (local Wilson loop) $=-1$, which will be suppressed at large $\beta$.
Lüscher $\mathbf{8 2}$ gave the map from the nonexceptional gauge fields to the transition functions $v_{\mu}(x)$.

## Topological freezing (3/3)

A detour for the topological freezing: open boundary condition Lüscher-Schaefer 1105.4749

## Pros

No more topological sectors in the continuum!
Cons
Need to consider the boundary effects.
In particular, translational invariance will be violated.

want to avoid if possible
$\because$ many statistical techniques assume the translational invariance

Regarding both critical slowing down and topological freezing, they are rather intrinsic to the lattice simulation near the continuum (at large $\beta$ ).
it will be advantageous if one can use small $\beta$ simulation to generate large $\beta$ configurations.
trivializing map!

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## Trivializing map (1/4)

## Idea Lüscher 0907.5491

- With a field transformation, we can obtain a new effective action:

$$
\begin{aligned}
& \text { For } U=\mathcal{F}(V), \\
& \qquad Z \equiv \int d U e^{-S(U)}=\int d V \frac{\operatorname{det} \mathcal{F}_{*}(V)}{} e^{-S(\mathcal{F}(V))} \equiv \int d V e^{-S_{\mathrm{eff}}(V)}
\end{aligned}
$$

$$
\left[S_{\mathrm{eff}}(V) \equiv S(\mathcal{F}(V))-\ln \operatorname{det} \mathcal{F}_{*}(V)\right]
$$



- We can perform the ordinary Monte Carlo sampling (e.g., HMC) in the $V$-space with the action $S_{\text {eff }}(V)$. Duane et al. 87
$\downarrow$ Prepare $\mathcal{F}$ so that the sampling in $V$-space becomes efficient!
- Ultimate $\mathcal{F}$ : trivializing map

$$
S_{\mathrm{eff}}(V)=\mathrm{const}
$$

Such $\mathcal{F}$ maps the finite $\beta$ theory to the strong coupling limit ( $\beta=0$ ), which is the opposite of where the critical slowing down occurs $(\beta=\infty)$.

## Trivializing map (2/4)

## Writing down the Jacobian $\mathcal{F}_{*}(V)$

- Introduce a local parametrization $\left(\theta_{x, \mu}^{a}\right)$ of the field space around a configuration $U_{x, \mu}$ :

$$
e^{\theta_{x, \mu}^{a} T^{a}} U_{x, \mu} . \quad T^{a}: \text { su(3) generators. } \operatorname{tr}\left(T^{a} T^{b}\right)=-\frac{1}{2} \delta^{a b}
$$

- Haar measure: $(d U) \propto \prod_{A} d \theta^{A} \quad A \equiv(x, \mu, a)$ labels the DOF
- $\mathcal{F}_{*}(V)=\left(\mathcal{F}_{*}(V)^{A B}\right)$ can be read off from the infinitesimals:

$$
d \theta_{(U)}^{A}=\mathcal{F}_{*}^{A B}(V) d \theta_{(V)}^{B} .
$$



For later convenience, we also define the derivative:

$$
\partial_{x, \mu}^{a} U_{x, \mu} \equiv \lim _{t \rightarrow 0} \frac{\left(e^{t T^{a}}-1\right) U_{x, \mu}}{t}=T^{a} U_{x, \mu} . \quad \text { In other words, } \partial_{x, \mu}^{a}=\left.\partial_{\theta_{x, \mu}^{a}}\right|_{\theta=0} .
$$

## Comment on the convention

In Lüscher 0907.5491, the symbol $\theta_{x, \mu}^{a}$ is used for the Maurer-Cartan form $\Theta_{x, \mu}^{a}$ :

$$
\Theta_{x, \mu}^{a}=(1+O(\theta)) d \theta_{x, \mu}^{a} \quad \text { (at each point } U_{x, \mu} \text { on the group manifold). }
$$

$\left[\Theta_{x, \mu}^{a}\right.$ is the dual of $\left.\partial_{x, \mu}^{a}:\left\langle\Theta^{A}, \partial^{B}\right\rangle=\delta^{A B}.\right] \quad$ See, e.g., Chevalley 46

## Trivializing map (3/4)

- Lüscher chose the gradient flow ansatz: Lüscher 0907.5491

$$
\dot{\mathcal{F}}_{t}(U)_{x, \mu}=-T^{a} \partial_{x, \mu}^{a} K_{t}(U) \cdot U_{x, \mu} .
$$

- Require that $\mathcal{F}_{t}$ trivializes the theory at $t=1$ :


$$
\begin{aligned}
& S_{\text {eff, } t}(V) \stackrel{\Delta}{=} S\left(\mathcal{F}_{t}(V)\right)-\ln \operatorname{det} \mathcal{F}_{t *}(V) \stackrel{*}{=}(1-t) S\left(\mathcal{F}_{t}(V)\right) \\
& \text { requirement } \\
& d / d t
\end{aligned}
$$

NB $S(U)$ : original action $S_{\text {eff }, t}(V)$ : effective action $K_{t}(U)$ : flow kernel

$$
-\left(\partial^{A}\right)^{2} K_{t}+t \partial^{A} S \partial^{A} K_{t} \stackrel{*}{=}-S \quad \text { (up to const; ignored hereafter) }
$$

from Jacobian from action

- For convenience we define

$$
\mathcal{L}_{t} \equiv-\left(\partial^{A}\right)^{2}+t \partial^{A} S \partial^{A}
$$

$$
\therefore \quad \mathcal{L}_{t} K_{t} \stackrel{*}{=}-S
$$

## Trivializing map (4/4)

## Existence

- The differential operator $\mathcal{L}_{t}=-\left(\partial^{A}\right)^{2}+t \partial^{A} S \partial^{A}$ is
[ - elliptic (: bounded from below)
- $\quad$ symmetric with respect to the inner product: $\quad(\psi, \phi) \equiv \int(d U) e^{-t S(U)} \psi^{*}(U) \phi(U)$

$$
\text { i.e., }\left(\psi, \mathcal{L}_{t} \phi\right)=\left(\mathcal{L}_{t} \psi, \phi\right) \text {. }
$$

$\therefore \mathcal{L}_{t}$ shares (mostly) the same properties with the Hamiltonian in QM.
$\square \mathcal{L}_{t}$ is diagonalizable and the eigenvectors form a complete set.

- For a normalized eigenvector $\psi_{n}, \quad \lambda_{n}=\left(\psi_{n}, \mathcal{L}_{t} \psi_{n}\right)=\int(d U) e^{-t S}\left|\partial^{A} \psi_{n}\right|^{2} \geq 0$.- eigenvalues of $\mathcal{L}_{t}$ are nonnegative
- zero-mode is unique, which is constant ( $\left.\because \partial^{A} \psi_{n}=0\right)$
$\mathcal{L}_{t}^{-1}$ can be taken after removing the zero mode, and thus modulo constant.
$\therefore$ The solution of $\mathcal{L}_{t} K_{t}=-S$ exists!

NB Structure-wise $\mathcal{L}_{t}=\left(-\partial^{A}+t \partial^{A} S\right) \circ \partial^{A}$ may be related to the Fokker-Planck Hamiltonian of Langevin dynamics.

## $t$-expansion (1/2)

Lüscher further gave a way to construct the map as a $t$-expansion: Lüscher 0907.5491

- Expand $K_{t}$ as a Taylor series:

$$
K_{t}=\sum_{m \geq 0} t^{m} K^{(m)}
$$

Plug into the equation:

$$
-\left(\partial^{A}\right)^{2} K_{t}+t \partial^{A} S \partial^{A} K_{t}=-S
$$

Matching the powers of $t$,

$$
\left\{\begin{array}{l}
\mathcal{L}_{0} K^{(0)}=S \\
\mathcal{L}_{0} K^{(m)}=-\partial S \cdot \partial K^{(m-1)}(m \geq 1)
\end{array}\right.
$$

- This recurrence equation can be inverted order by order.

$$
\begin{array}{ll}
\text { Basic machinery } & \cdot \\
\begin{array}{l}
\text { Recall: } \\
\partial_{x, \mu}^{a} \sim T^{a}
\end{array} \\
& \operatorname{tr}\left[\left(T^{a}\right)^{2} \ldots\right]=-\frac{4}{3} \operatorname{tr}[\ldots]
\end{array}
$$

- Radius of convergence proven to be finite (more at this point later)


## $t$-expansion (2/2)

- Solution for the Wilson action case: Lüscher 0907.5491

$$
\begin{aligned}
K_{t} & =-\frac{\beta}{32} W_{0} \leftarrow \text { LO: plaquette } \\
& +t \frac{\beta^{2}}{192}\left(-\frac{4}{33} W_{1}+\frac{12}{119} W_{2}+\frac{1}{33} W_{3}-\frac{5}{119} W_{4}+\frac{3}{10} W_{5}-\frac{1}{5} W_{6}+\frac{1}{9} W_{7}\right) \\
& +O\left(t^{2}\right) \quad \text { NLO: rectangle, chair, twisted rectangle } \ldots \\
& \text { "footprint } 2 \text { shapes" }
\end{aligned}
$$

$$
\begin{aligned}
& W_{0}=\sum(\square . \square+\text { c.c. }) \\
& \left.W_{1}=\sum\left(\underset{\square}{\square}+\frac{\square}{\square}+\text { c.c. }\right) \quad W_{2}=\sum\left(\frac{F}{\frac{7}{t}}+\frac{\square}{\square}+\text { c.c. }\right)\right]
\end{aligned}
$$

$$
\begin{aligned}
& W_{5}=\sum(\sqrt{\square}+\text { c.c. }) \quad W_{6}=\sum(\sqrt{\square}+\text { c.c. }) \\
& W_{7}=\sum \xrightarrow{\square}
\end{aligned}
$$

- Leading order: Wilson flow = stout smearing


Improving the map = adding more complicated shapes in RHS

- Lüscher's proposal: use the truncated kernel as an approximated kernel. LO=Wilson flow.


## Performance of field-transformed HMC (Schwinger-Dyson attempt) $(1 / 1)$

$8^{3} \times 16, \beta=0.89$ DBW2 (4d) $\quad\left(a^{-1}=1.49 \mathrm{GeV}\right)$
Normalized autocorrelation function $\rho(n)$ for the smeared energy density $\left(t_{w}=30 t_{0}\right)$


Boyle-Izubuchi-Jin-Jung-NM-Lehner-Tomiya 2212.11387
$\binom{t_{W}:$ Wilson smearing flow time }{$\left.t_{W}^{2}\langle E\rangle\right|_{t_{W}=t_{0}}=0.3 \quad$ Lüscher 1006.4518 }
$t_{w}=30 t_{0}$


Computational cost (1 step flow)


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2D U(1) (revisited) $(1 / 1)$

Wilson action: | $S(U)$ | $\equiv-\beta \sum_{x} \cos \kappa_{x}$ |
| ---: | :--- |
|  | $=-\beta W_{0}$ |
| Topological charge: $\quad Q$ | $=\frac{-1}{2 \pi} \sum_{x} \kappa_{x}$ |\(\quad\left(\begin{array}{l}plaquette angle <br>

\kappa_{x} \equiv \frac{1}{i} \log \left(U_{x, 0} U_{x+0,1} U_{x+1,0}^{\dagger} U_{x, 1}^{\dagger}\right), <br>
W_{0} \equiv \frac{1}{2} \sum(\square 7+c . c .) <br>
<br>
=\sum \cos \kappa_{x} <br>
\left.(factor 2 absorbed in W_{0}\right)\end{array}\right), ~\)

## Characteristic features

- Exactly solvable by character expansion
- Energy density: UV divergent

$$
\langle e\rangle \equiv \frac{1}{2 V} \int d^{2} x F_{01}^{2} \sim \frac{g^{2}}{2 a^{2}} .
$$

- Correlation length $=0$
- topological susceptibility finite: $\chi_{Q} \sim \frac{g^{2}}{(2 \pi)^{2}}$.

Thus in the following we deal with the topological freezing rather than the entire critical slowing down.

## $t$-expansion (revisited) $(1 / 1)$

Though algorithmically it was difficult to see, the $t$-expansion is the power-series expansion of $\frac{1}{1-x}$ :

- Equation to solve: $\left[-\left(\partial^{A}\right)^{2}+\beta t \partial^{A} W_{0} \partial^{A}\right] K_{t}=\beta W_{0}$
- Solution: $K_{t}=\beta \cdot \frac{1}{-\left(\partial^{A}\right)^{2}+\beta t \partial^{A} W_{0} \partial^{A}} \cdot W_{0}$

$$
\begin{aligned}
& =\beta \cdot \frac{1}{1-\beta t\left(\partial^{A}\right)^{-2} \cdot \partial^{A} W_{0} \partial^{A}} \cdot\left[-\left(\partial^{A}\right)^{-2} W_{0}\right] \\
& =\beta \cdot \frac{1}{1-\beta t \widehat{M}} \cdot W_{0} \quad \quad\left(\widehat{M} \equiv\left(\partial^{A}\right)^{-2} \cdot \partial^{A} S \partial^{A}\right) \\
& =\beta \cdot\left(1+\beta t \widehat{M}+(\beta t)^{2} \widehat{M}^{2}+\cdots\right) \cdot W_{0}
\end{aligned}
$$

Convergence radius

- Determined by the largest eigenvalue of the operator $\widehat{M}$; it then determines the applicable flow time $t$ for a given $\beta$.
- Since $t$ always appears in the product $\beta t$, large $\beta$ trivialization can easily be out of convergence.
(In such cases, no reason for the Wilson flow to be a good approximation.)


## Preparatory study: 1-plaquette model (1/2)

- System:

- Radius of convergence: $\beta t<2.40$
- We compare the Wilson flow kernel with the exact trivializing map obtained by an inversion w/ CG


## Comparison of the coefficients

History of $Q$ in 2D $16 \times 16$ ordinary HMC



Angular dependence quite modest




Does the exact flow kernel close to the Wilson flow? --- Apparently not.

## Preparatory study: 1-plaquette model (2/2)

w/ Wilson flow kernel

w/ kernel obtained by CG


- As expected from the gradient, Wilson flow overshoots (at least for this simplest system)
- The peaky structure can hinder the tunneling.
- Higher windings are essential to control the shape of $K_{t}$ over the entire $U(1)$.


## Remark (1/1)

- It is also possible to make a $1 /(\beta t)$ expansion:

$$
\begin{aligned}
K_{t} & =\beta \cdot \frac{1}{-\left(\partial^{A}\right)^{2}+\beta t \partial^{A} W_{0} \partial^{A}} \cdot W_{0} \\
& =\beta \cdot \frac{1}{1-\frac{1}{\beta t}\left(\partial^{A} W_{0} \partial^{A}\right)^{-1}\left(\partial^{A}\right)^{2}} \cdot \frac{1}{\beta t}\left(\partial^{A} W_{0} \partial^{A}\right)^{-1} \cdot W_{0} \\
& =\beta \cdot\left(1+\frac{1}{\beta t} \widehat{M}^{-1}+\frac{1}{(\beta t)^{2}} \widehat{M}^{-2}+\cdots\right) \cdot \frac{1}{\beta t}\left(\partial^{A} W_{0} \partial^{A}\right)^{-1} \cdot W_{0} \quad \quad\left(\widehat{M}=\left(\partial^{A}\right)^{-2} \cdot \partial^{A} S \partial^{A}\right)
\end{aligned}
$$

Convergence region complementary to the $\beta t$ expansion.

- Recall that, in the strong coupling regime, the plaquettes may be thought of as a spin-like collective variable.

By analogy, in the weak coupling regime, the function:

$$
\left(\partial^{A} W_{0} \partial^{A}\right)^{-1} \cdot W_{0}
$$

may be regarded as a (gauge-invariant) wave-like collective variable; at least, its gradient gives the direction in which $\beta$ decreases at $\beta=\infty$.

What are the appropriate basis functions to parametrize the kernel at large $\beta$ ?
--- The above Krylov basis!

## Trivializing a local region in 2D $(1 / 3)$

- Since the growth of basis functions seems unavoidable, it should be a good strategy to trivialize a local region, not the entire system.
- As a first step, we trivialize one link in the 2D:

- Still, we can update a quarter of the links simultaneously.


## Trivializing a local region in 2D (2/3)

We measure the acceptance rate when using the simple Metropolis (updates performed locally in parallel; if map is exact, acceptance=100\%)


Larger function space required for larger $\beta$
varying step size $\epsilon$

varying \#irrep to include


## Trivializing a local region in 2D $(3 / 3)$

- Caveat: one link is not sufficient for the tunneling
$\because$ The value of the link is almost determined by the surrounding links (situation similar to a local heat-bath)

Suppose the surrounding links are fixed to 1;
then the active link can fluctuate only within the width determined by the plaquette distribution.



- How many links would we need to make an instanton?
$\longrightarrow$ With four links, one can create an instanton even when the surrounding links are fixed to 1 .


The islands of configurations with nonvanishing probabilities will be again stretched to form a flat distribution.

In this way, the trivializing map can make up a global update algorithm (when having islands with nontrivial topology).


- We should however need a larger trivialization region for large $\beta$.

[^1]

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## How difficult is enlarging the region? $(1 / 1)$

Since a matrix representation of $\mathcal{L}_{t}$ is sparse, we use, e.g., GMRES for the inversion.
$\mathcal{L}_{t}$ can be symmetrized by a preconditioning (as for the Fokker-Planck Hamiltonian), but then the treatment of zero-modes becomes tricky especially for multiple DOF.

## 1 link trivialization case

- Time required to obtain the total map $\propto$ \#basis ${ }^{1.5}$ (unless \#basis unnecessarily large )

- Condition number $\propto$ \#basis ${ }^{1}$



## Summary

- Using a simple 2D $U(1)$ model, we analyzed (spatially truncated) exact trivializing maps.
- We reported the ongoing study partially addressing the points:
- The convergence radius of the flow-time expansion of the flow kernel.
- Why the Wilson flow is not so effective.
- How many links need to be involved in the map to stimulate the tunneling.


## Outlook

- Study field-transformed HMC with an effective exact trivializing map!
- Towards this goal, there are many technical issues not discussed here:
- $\quad \epsilon$ needs to be taken small when including higher irreps to ensure the map is one-to-one
( $\because$ force can become larger for a kernel with higher windings)
Adaptive step size? Higher order Runge-Kutta?
- Even with the kernels obtained by BiCGStab, $S_{\text {eff }}$ has a very thin peak at $\kappa=\pi$ due to $O\left(\epsilon^{2}\right)$ effect, which migrates to large forces in field-transformed HMC.
Make the target action slightly differ from the flat distribution?
- Include fermion / develop algorithm that is capable of it. Peter's talk this morning

Thank you.


[^0]:    Boyle-Izubuchi-Jin-Jung-NM-Lehner-Tomiya, PoS(LATTICE2022)229 [arXiv:2212.11387],

    Work in progress

[^1]:    : appearance probability of nontrivial topology is determined by the topological susceptibility in the $U$-space; there is an obvious volume scaling.

