# Probing the Internal Structure of the Nucleon: Experimental Overview

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## First, please allow me to introduce myself

- 2013 2018: Master's and PhD at the Florida State University
- 2018 2023: Research Associate at University of Virginia
- 2023 Now: Senior Researcher at BRIN

Research Area: Experimental Nuclear & Particle Physics. Worked in Jefferson Lab and Fermilab and just join ALICE experiment at CERN

#### Jefferson Laboratory:

- Located in Virginia
- 12 GeV electron & photon beam
- Dedicated for spectroscopy and nucleon-structure research



#### Fermilab:

- Located near Chicago
- 120 GeV proton beam
- Involved in the SpinQuest experiment (for probing the internal structure of the nucleon)
	- Involved in the installation of the polarized target



#### ALICE at CERN:

The HEP group at BRIN will be involved in the Forward Calorimeter detector upgrade



# **Outline**

- Introduction
- Scattering & Discovery of Nucleon Structure
- Probing one-dimensional information of nucleon structure: Charge & Momentum Distribution & Spin Puzzle
- Unified picture of Nucleon Structure
- GPD & TMD: DVCS at Jefferson Lab, Sivers Measurement at Fermilab & Future Experiment at EIC
- Summary

## Why do we need to study the internal structure of the nucleon? Because we understand next to nothing about our universe



- 95% of the universe consists of dark energy and dark matter (something that we don't know yet)
- ~5% of the universe consists of mostly proton and neutron (neutron) (also something that we know a little)

- Visible matter only constitutes ~5% of the universe (Standard Model of Particle Physics)
- ~100% of the visible-matter mass is concentrated in nuclei/nucleons
- We understand atoms quite well in term of its constituent and electromagnetic interaction:



- We also learned that nucleon consist of three (valence) quarks
- But ~99% on nucleon mass comes from the strong interaction among quarks and gluons (Not fully understood)



Not only the origin of the nucleon mass, but other nucleon properties are also not fully understood (spin and radius)

• Proton spin crisis



• Proton radius puzzle





A summary:

- So far, we only understand the visible matter which constitutes ~5% of the universe
- $\sim$ 100% of this visible matter is concentrated in nucleons
- But we don't understand even the basic properties of the nucleon: the origin of its mass, proton spin crisis and the proton radius puzzle

Therefore, understanding nucleon structure (along with nucleon spectroscopy) is a key to understanding our universe

# Scattering & Discovery of the Nucleon Structure

## How do we probe the nucleon structure? Scattering experiment!

Rutherford: The father of scattering experiment



This experiment prove that an atom is mostly empty and something very solid and positively charge is inside (proton)

**Elastic scattering:** initial and final state is the same, only momenta change.

### Deep inelastic scattering (DIS):

state of the nucleon changed, new particles created.



#### **Measurements:**

- $\star$  Inclusive only the electron is detected
- $\star$  Semi-inclusive electron and typically one hadron detected
- $\star$  Exclusive all final state particles detected





 $\overline{9}$ 



What we see depend on the resolution scale which depend on 4-momentum transfer ( $Q^2$ )

### What you see depends on what you use to look...

# Electron scattering at Stanford 1954 - 57



Prize winner



Professor Hofstadter's group worked here at SLAC during the 1960s and were the first to find out about the charge distribution of protons in the nucleus - using high energy electron scattering.







Form factor curve depends on the nucleon content

 $F(\mathbf{q}^2) = \int \rho(\mathbf{r})e^{i\mathbf{q}\cdot\mathbf{r}} d^3\mathbf{r}.$ 

$$
\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} = \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \cos^2\frac{\theta}{2}.
$$

$$
\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \to \frac{\alpha^2}{4E^2 \sin^4(\theta/2)} \cos^2\left(\frac{\theta}{2}\right) \left|F(\mathbf{q}^2)\right|^2
$$

Cross-section from point-like proton Cross section from proton with a finite size

12 The discovery of finite-size proton and "particle zoo" in 1950's led to the Quarks model based on SU(3) symmetry

Deviation from Mott curve showed that Nucleon has finite size

# Evidence of Quarks & Gluons

 $\Box$  Another evidence of the quarks & gluons evidence is provided by the electron-positron annihilation experiment at DESY



 $\Box$  This experiment measures the cross-section ratio of hadrons and muons production

$$
R_{\mu} \equiv \frac{\sigma(e^+e^- \to \text{hadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)} = 3 \sum_{\text{flavours}} Q_q^2.
$$

 $R_{\mu}^{\text{d},\text{u},\text{s}} = 3 \times \left(\frac{4}{9} + \frac{1}{9} + \frac{1}{9}\right) = 2.$  For 3 flavors of quarks  $(u, d, s)$ 



## $\Box$  Experimental result:



 $\Box$  This experiment provides another victory for the quarks model

#### $\Box$  This experiment also provides the evidence of gluons existence



The existence of gluons are shown in the multiple Jet tracks detected in the spectrometer

Jet production in  $e^+e^-$  annihilation. The example events were recorded at  $\sqrt{s} = 91$  GeV by the OPAL experiment at LEP in the mid 1990s. They correspond to (a)  $e^+e^- \rightarrow q\overline{q} \rightarrow$  two-jets, (b)  $e^+e^- \rightarrow q\overline{q}g \rightarrow$ three-jets and (c)  $e^+e^- \rightarrow q\bar{q}gg \rightarrow$  four-jets. Reproduced courtesy of the OPAL collaboration. Also shown are possible Feynman diagrams corresponding to the observed events. In the case of four-jet production there are also diagrams where both gluons are radiated from the quarks.

# Probing one-dimensional information of nucleon structure

Charge & Momentum Distribution & Spin Puzzle

## Charge density inside a nucleon



PRL 100, 032004 (2008)

Inelastic **Scattering** 

- The dynamics of such production processes may be, similar to the case of elastic scattering, described in terms of form factors.
- In the inelastic case the complex structure of the proton is described by two **structure functions:**  $W_1$  and  $W_2$ .
- In elastic scattering, at a given beam energy E, only one of the kinematical parameters may vary freely. (Ex:  $\theta$  fixed  $\rightarrow Q^2$ , fixed since  $2Mv - Q^2 = 0$ )
- In inelastic scattering the excitation energy of the proton adds a further degree of freedom  $\rightarrow$  structure functions and cross-sections are functions of two independent, free **parameters**, e. g.,  $(E, \theta)$  or  $(Q^2, v)$

$$
\frac{d\sigma^2}{d\Omega dE'} = \left(\frac{d\sigma}{d\Omega}\right)_M \times \left(W_2(Q^2, \nu) + 2W_1(Q^2, \nu)tan^2\frac{\theta}{2}\right)
$$
  
Electric interaction

The experimental observation of the cross section almost independent of  $Q^2$  suggested that ٠ the process could be described as the incoherent elastic scattering off point-like **particles**  $\rightarrow$  the cross section is scale invariant (doesn't depend on  $Q^2$ ) and depends only of the ratio  $x=Q^2/2Mv$ .

The structure functions  $W_1(Q^2, v)$  and  $W_2(Q^2, v)$  are usually replaced by two dimensionless structure functions:

$$
F_1(x, Q^2) = MW_1(Q^2, \nu) \quad F_2(x, Q^2) = \nu W_2(Q^2, \nu)
$$

At fixed values of x the structure functions  $F_1(x,Q^2)$  $\bullet$ and  $F<sub>2</sub>$  (x,Q<sup>2</sup>) depend only weakly, or not at all, on Q<sup>2</sup>

 $F_{1,2}(x,Q^2) \approx F_{1,2}(x)$ 

Comparing the DIS cross section formula with the Mott and  $\bullet$ Dirac elastic cross sections for particles of mass  $m = xM$  and spin  $1/2$ 

$$
F_2(x) = 2xF_1(x)
$$

**Callan-Gross relation** 





#### This model is discussed in a fast moving system (IMF)

The proton has a very large momentum **P** 

- The photon is interacting with free charged point-like particles (partons) inside the proton (the relativistic time dilation slows down the rate with which the quarks interact with each other).
- The partons will have collinear momentum with the proton and each parton of charge  $e_i$ , has a probability  $f_i(x)$  to carry a fraction x of the parent proton momentum.  $\sum \int x f_i(x) dx = 1$
- The proton (partons) move along the z-axis; the parton (proton) has:
	- energy  $xE(E)$
	- longitudinal momentum  $xp_i(p_i)$
	- transversal momentum  $p_T = 0$  ( $p_T = 0$ )
	- mass  $xM(M)$ .

It is easy to demonstrate that:  $F_2(x) = \sum e_1^2 x f_i(x)$ 



Only 50% of the proton momentum is carried by the quarks & antiquarks

#### More kinematics exploration!!



Deviations of  $F_2$  from Bjorken scaling at high values of  $Q^2$  and low values of x:  $F_2 = F(Q^2, x)$ 

•  $F_2$  increases with Q<sup>2</sup> at low x This violation is not due to a finite size of partons, but to the QCD processes that describe the interaction between the constituents of the nucleons.





- Scaling violation is due to the fact that the quarks radiate gluons that can "materialize" as q-qbar pairs (sea quarks)
- With increasing  $Q^2$  increases the  $\bullet$ resolution of the probe ( $\nu$ h/ $\sqrt{Q^2}$ ) and thus increases the number of partons that are "seen" bring a fraction x of the proton momentum
- The parton distribution functions (PDFs) can not be calculated from first principle of QCD but their  $Q^2$ dependence is calculable in perturbative QCD using the **DGLAP** evolution equations
- . All available deep inelastic and related hard scattering data involving incoming protons (and antiprotons) are used to determine the parton densities,  $f_i$ of the proton.
- The procedure is to parametrize the x dependence of  $f_i(x,Q^2)$  at some low, yet perturbative, scale  $Q<sup>2</sup>$ <sub>0</sub>. Then to use the DGLAP equations to evolve the  $f_i$  up in  $Q<sup>2</sup>$ , and to fit to all the available data (DIS structure functions, Drell-Yan production, Tevatron jet and W production...) to determine the values of the input parameters



#### **Elastic Scattering**  $1.5$  $1.0$  $0.5$  $P_z \rightarrow$  $[ f m ]$  $[\,\underline{f}\,\underline{m}\,]$  $\rho(b_\perp)$  $0.0$  $\mathbf{q}$ b,  $N'$  $-0.5$  $b_{\perp}$ Cross-section parameterised  $-1.0$ Transverse quark in terms of Form Factors distributions: charge, (Pauli, Dirac, axial, pseudo- $-1.5$ magnetisation.

 $-1.5$   $-1.0$   $-0.5$  0.0 0.5 1.0 1.5  $[fm]$  $b_x$ 

Proton

**Deep Inelastic Scattering** 

 $\pmb{e}$ 

scalar)

 $\,N$ 





First experimental evidence of partons inside a nucleon

Cross-section parameterised in terms of polarised and unpolarised Structure Functions

Longitudinal momentum and helicity distributions of partons



### **Deep Inelastic Scattering with polarized target and beam**





- Mesurement of  $\Gamma_1$ <sup>p</sup>,  $\Gamma_1$ <sup>n</sup>
- Constraint based on the hyperon beta decay lifetimes
- Assumption of SU(3) flavou symmetry
- Global fit with DGLAP  $Q^2$  evolution

 $\bf \Delta \Sigma \approx 0.25$ 



arX:1209.2803

Only small fraction of the proton spin is carried by the quarks & antiquarks!!

# **Gluon Helicity**







# The Incomplete Nucleon: Spin Puzzle



Significant proton spin might be carried by the angular momentum of the parton!!

# Unified Picture of Nucleon Structure

# A full "knowledge" of the nucleon...



# ... is hard to come by



G. Renee Guzlas, artist.

What you see depends also on how you look...

# **Images of the nucleon**



# Unified View of the Nucleon Structure



**Generalised Parton Distributions**  $(GPDs)$  proposed by Müller (1994), Radyushkin, Ji (1997).

> $\bullet$  Directly related to the matrix element of the energymomentum tensor evaluated between hadron states.

In the infinite momentum frame, can be interpreted as relating transverse position of partons (impact parameter),  $b_{\perp}$ , to their longitudinal momentum fraction  $(x)$ .

### Tomography: 3D image of the nucleon.





**\*** First studies at JLab and DESY (HERMES), currently at JLab and CERN (COMPASS). A crucial part of the  $JLab12$  programme  $$ and, in the future, of the EIC.

# GPD & TMD

DVCS at Jefferson Lab, Sivers Measurement at Fermilab & Future Experiment at EIC

Generalized Parton Distributions (GPDs) provide correlated information of the **transverse position** and the longitudinal momentum distributions of partons.





Production

**Scattering** 

**Channel of interest**

**Scattering** 

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**Collisions** 

## Generalized Parton Distribution (GPDs)



Generalized Parton Distributions (GPDs) provide key access to important nucleon properties:



R. Dupre et al arXiv:1704.07330

• Nucleon Tomography: • Angular momentum of the partons



**OAM** 

Ji's angular momentum sum rule

Generalized Parton Distributions (GPDs) provide key access to important nucleon properties:

• Mechanical properties of the nucleons (pressure, force, …)



Mass and force/pressure distributions

$$
M_2^q(t) + \frac{4}{5}d_1(t)\xi^2 = \frac{1}{2}\int_{-1}^1 dx \, xH^q(x,\xi,t)
$$

$$
d_1(t) \propto \int \frac{j_0(r\sqrt{-t})}{2t} p(r) \mathrm{d}^3 r
$$

Pressure distributions inside proton

without JLab 6 GeV data

with JLab 6 GeV data

with JLab 12 GeV data (projected)

V. D. Burkert $^{\!\!1\!}\ast$ , L. Elouadrhiri $^{\!\!1\!}$  & F. X. Girod $^{\!\!1\!}$ 

Generalized Parton Distributions (GPDs) provide key access to important nucleon properties:

• Access to PDFs and Elastic Forms Factors



#### 4 chiral even GPDs can be accessed via DVCS



Twist-2 Chiral even GPDs: quark helicity is conserved



GPDs are related to Compton-Form Factors (CFFs) via convolution:

$$
\mathcal{H}(x_B, t, Q^2) = \int_{-1}^1 dx \left[ \frac{1}{\xi - x - i\epsilon} - \frac{1}{\xi + x - i\epsilon} \right] H(x, \xi, t, Q^2)
$$

CFFs extractions (access directly via cross section or asymmetry measurements) is a good way to obtain GPDs

- Past: PDFs from FFs extractions
- Present: GPDs from CFFs extractions

CFFs are observables that could access directly from experiments  $42$ 

## DVCS channel

Cross sections = DVCS + Bethe-Heitler (BH)

$$
\sigma \propto |\mathcal{A}|^2 = |\mathcal{A}_{BH} + \mathcal{A}_{DVCS}|^2 = |\mathcal{A}_{BH}|^2 + |\mathcal{A}_{DVCS}|^2 + \mathcal{I}
$$

**Bethe-Heitler process** 

















- Energy of the incoming electron.
- Electron squared momentum transfer:  $-(k k')^2$
- Squared momentum transfer to the proton:  $(p'-p)^2$
- $x_B$  Bjorken variable:  $x_B = \frac{Q^2}{2(na)}$  $\mathcal{C}$ Momentum fraction of the quark or gluon on which the photon scatters.

#### DVCS Cross sections formulas:

- Ji (1996)
- BKM (Belitsky, Muller, Kirchner): BKM02, BKM10
- BMJ (Belitsky, Muller, Ji, 2012)
- BMMP (Braun, Manashov, Muller, Pirnay, 2014)
- VA (B. Kriesten et all,): VA 19, VA 21
- Yuxun Guo et all, 2021

### CFFs Model:

- VGG (Vanderhaeghen, Guichon, Guidal, 1999)
- GK (Goleskokov, Kroll, 2005)
- KM (Kumericki, Muller): KM09, KM10, KM15
- KMM12 (Kumericki, Muller, Murray, 2012)
- VA-reggeized spectator (B. Kriesten, S. Liuti, 2021)

## DVCS at JLAB

DVCS Data on Cross sections and Asymmetries:

- No ϕ-dependence: HERMES, COMPASS, ZEUS, A1
- High statistics with ϕ-dependence: JLAB Hall A, CLAS (Hall B)

Data used in this work: All ϕ-dependence cross-sections

- JLAB Hall A experiment: E00-110 (2015), E07-007 (2017), E12-06-114 (2022)
- JLAB Hall B experiment: e1-DVCS1 (2015)

A total of 195 kinematic sets (3882 data points) are used in this analysis





## Extracting Compton Form Factors from DVCS Cross Sections

#### BKM10 Formulism at leading twist

$$
\frac{d^5 \sigma}{dx_{Bj}dQ^2d|t|d\phi d\phi_S} = \frac{\alpha^3 x_B y^2}{16\pi^2 Q^4 \sqrt{1+\epsilon^2}} \frac{1}{e^6} \left[ \underbrace{\left[\mathcal{T}^{BH}\right]^2}_{\text{Exact (QED)}} + \underbrace{\left[\mathcal{T}^{DVCS}\right]^2}_{\phi\text{-indep}} + \underbrace{\mathcal{T}^{BMK}}_{\text{SCFFs}}\right]
$$
\n
$$
\mathcal{T}^{BMK} = \frac{e^6}{x_B y^3 t \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)} \left[A_{UU}^{BKM} \left(F_1 \Re e \mathcal{H} - \frac{t}{4M^2} F_2 \Re e \mathcal{E}\right) + B_{UU}^{BKM} G_M \left(\Re e \mathcal{H} + \Re e \mathcal{E}\right) + C_{UU}^{BKM} G_M \Re e \widetilde{\mathcal{H}}\right]
$$

$$
|\mathcal{T}_{DVCS}|^2 = \frac{e^6}{y^2 Q^2} \left\{ 2(2 - 2y - y^2) \right\} \underbrace{C_{unp}^{DVCS}(\mathcal{F}, \mathcal{F}^*)}_{\text{8 CFFs}}
$$

4 fit parameters:

 $\Re e\mathcal{H}, \Re e\mathcal{E}, \Re e\widetilde{\mathcal{H}},$ pure DVCS



Access to GPD via Compton Form Factors

 $\mathbb{R}^n$ 

## **TMD distribution functions**



Transverse Momentum Distributions (TMDs) of partons describe the distribution of quarks and gluons in a nucleon with respect to x and the intrinsic transverse momentum  $k_T$  carried by the quarks



## **Sivers Function to probe orbital-angular momentum of the partons**



**Sivers function** describes the distribution of unpolarized quarks inside a transversely polarized nucleon, through a correlation between the quark transverse momentum and the nucleon transverse spin.

Non-zero Sivers function/asymmetry implies a non-zero OAM <sup>47</sup>

## **Transverse Momentum Distribution (TMD) & Sivers Function**

**Sivers function** initially was formulated to explain large left-right asymmetry in the pion production from pp scattering



Pion asymmetry observed in  $pp^\uparrow \to \pi X$  from E704 Experiment

## **Transverse Momentum Distribution (TMD) & Sivers Function**

Supposed the proton is moving toward us and its spin is pointing upward. it turns out that we see up quarks moving preferentially to the right and down quarks to the left



The up and down quark density distortion in transverse-momentum space, obtained by studies of the Sivers function

## **Why the Sea quarks are important?**

HERMES, COMPASS and Jlab have measured nonzero values of the Sivers function of the nucleon, with the data indicating that the valence d-quark and u-quark Sivers functions are approximately equal and opposite in sign (zero contribution to the overall nucleon spin)



## **Why the Sea quarks are important?**

The E866 Experiment at FermiLab shows the asymmetry between  $\bar{d}/\bar{u}$ . E866 results might point to Sea quarks OAM according to the pion-cloud model.



The distribution ratio of  $\bar{d}/\bar{u}$ 

$$
|p\rangle \propto |p_0\rangle + |n\pi^+\rangle + |\Delta^{++}\pi^-\rangle + ...
$$

To conserve parity, pion in  $N\pi$  system should have the orbital angular momentum. Therefore, the  $\bar{d}$ excess in the nucleon should have nonzero OAM.

How to probe sea quark's sivers function

 $e+p^{\uparrow} \rightarrow e^{\prime} \pi X$ 

Polarized Semi-Inclusive DIS



- L-R asymmetry in hadron production
- quark to hadron fragmentation function
- valence-sea quark: mixed



### has not been tried yet

only experiment sensitive to sea quarks at large x



## selects sea quark from target

- $\blacksquare \frac{d^2\sigma}{dx_bdx_t} = \frac{4\pi\alpha^2}{9x_bx_t}\frac{1}{s}\sum_i e_i^2$  $\times \{q_i(x_b)\bar{q_i}(x_t)+\bar{q_i}(x_b)q_i(x_t)\}\$
- for E1039 kinematic configuration first term dominates
- measure Sivers asymmetry for both
	- $\bullet \bar{u}(x), \bar{d}(x)$

● determine possible flavor asymmetry



- Drell-Yan production
- no fragmentation function
- valence-sea quark: isolated

## **E1039 EXPERIMENT**



SpinQuest Experiment

#### EIC: Electron – Ion Collider at Brookhaven, USA



- Highly polarized electron  $(\sim 70\%)$ and proton  $(\sim 70\%)$  beams;
- Ion beams from deuterons to heavy nuclei such as gold, lead, or uranium;
- Variable  $e+p$  center-of-mass energies from  $29-140 \text{ GeV}$ ;
- High collision electron-nucleon luminosity  $10^{33} - 10^{34}$  cm<sup>-2</sup> s<sup>-1</sup>;
- The possibility to have more than one interaction region.

#### EIC: Electron – Ion Collider at Brookhaven, USA





EIC will probe low-x regime or gluon and sea quarks rich environment

# Summary

## Goal: understanding the partonic structure of the nucleon





Semi-Inclusive **Deep Inelastic Scattering** 



**Momentum Space** 



**Complete Proton Tomography** in  $3+2$  D from phase-space distributions  $GTMDs \longleftrightarrow Wigner distr.$ 



**Deeply Virtual Compton Scattering** 



**Transverse Coordinate Space** 

## **Nucleon at different scales**

#### **Valence quarks**

Jefferson Lab: fixed-target electron scattering  $0.1 < x_B < 0.7$ 





HERMES: fixed gas-target electron/positron scattering  $0.02 < x_B < 0.3$ 

Sea quarks





COMPASS: fixed-target muon scattering  $0.01 < x_B < 0.1$ 

## The glue





**EIC:**  $10^{-4} < x_B < 0.2$ 

Luminosity 100 - 1000 times that of HERA

A complete picture on Nucleon require many experiments probing the whole kinematic range and all configuration of the beam/target polarization

# Thank You

#### Unified View of the Nucleon Structure



