

# **Pinning Defects, Fusion and Factorization**

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**Kyushu IAS-iTHEMS conference: Non-perturbative methods in QFT**

**Based on 2404.05815, 2406.01550 w/ O. Diatlyk, H. Khanchandani, F. Popov  
2411.16522 w/ O. Diatlyk, Z. Sun and work-to-appear w/ F. Popov**

# **Plan of the Talk**

**Motivation and Background on Defects**

**Defect Fusion and Universal Results**

**Generalized Pinning Field Defects**

**Examples in  $d=2$  and  $d=3$**

**Factorization by RG**

**Speculations**

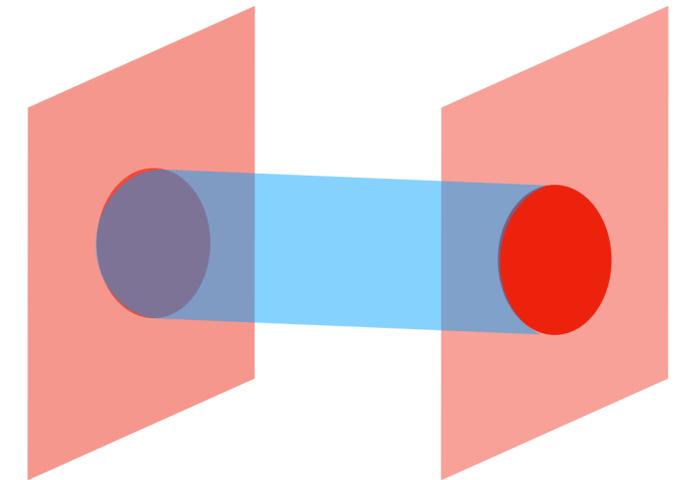
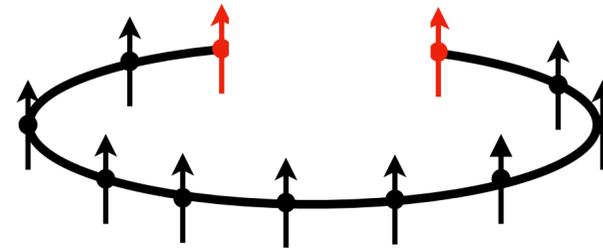
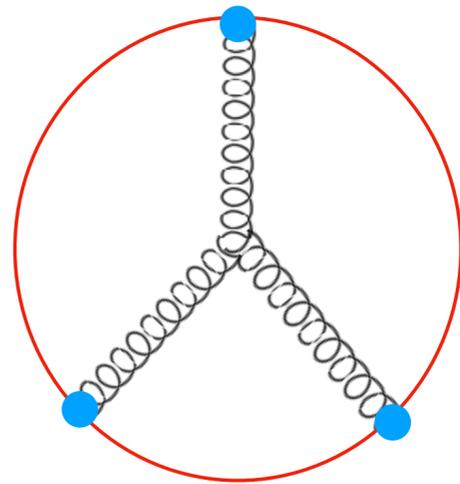
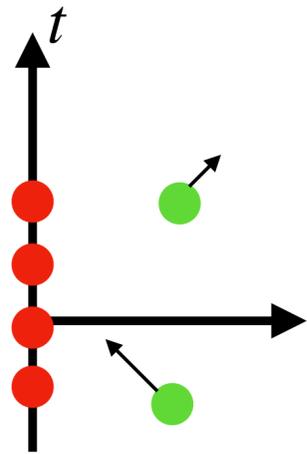
# Defects are Ubiquitous

- Kondo effect: magnetic impurities in metal
- Worldlines of heavy charged particles
- Lattice systems (spin chain) with boundaries
- D-Branes in worldsheet string theory

Descriptions in QFT



- Defect lines in SU(2) WZW [Affleck-Ludwig,...]
- Wilson/'t Hooft loops [Wilson, 't Hooft, Kapustin,...]
- Conformal boundaries [Cardy, Diehl,...]
- 2d Cardy states [Cardy,...]



Monodromy (twist) defects  $\longrightarrow$

**Renyi and Entanglement Entropy**

[Calabrese Cardy 04, Hung Myers Smolkin 14,...]

Defects with isotopy invariance  $\longrightarrow$

**Topological Defects and Generalized Symmetries**

[Gaiotto Kapustin Seiberg Willet 14, ...]

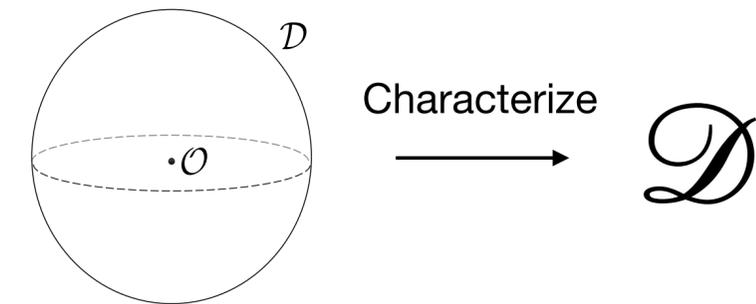
# Conformal Defects (DCFT)

- **Critical phase** in the presence of boundaries/defects
- **Universality classes** of defect RG flows (monotonicity theorems)
- **No local p-dimensional** stress tensor or currents (generically)
- **New critical exponents and OPE data** (e.g. defect local ops  $\mathcal{S}$  and bulk local op. 1pf  $\langle \mathcal{O} \rangle_{\mathcal{D}}$ )
- Constrained by **defect bootstrap** equations (e.g. residual conf symmetry, crossing and unitarity)

Residual conformal symmetry of  $p$  dimensional conformal defect

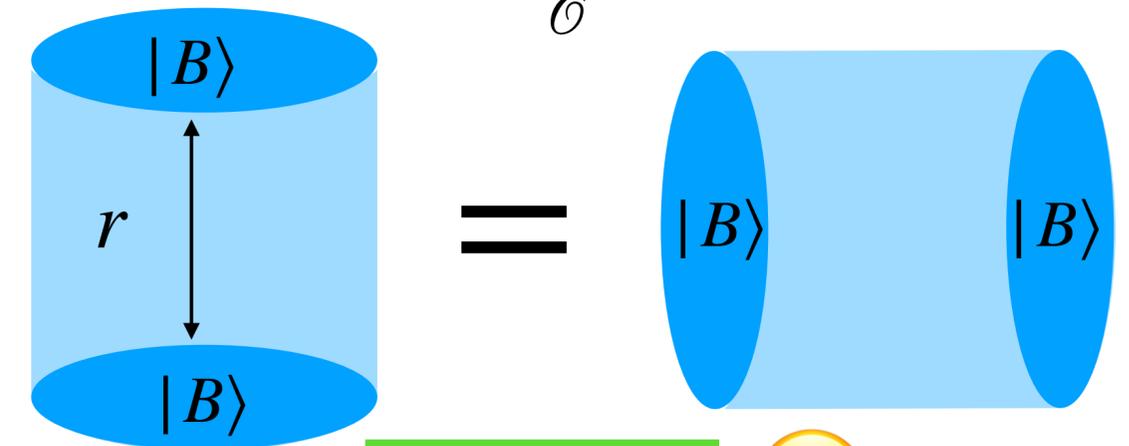
$$SO(p,2) \times SO(d-p) \subset SO(d,2)$$

↑  
topological defect

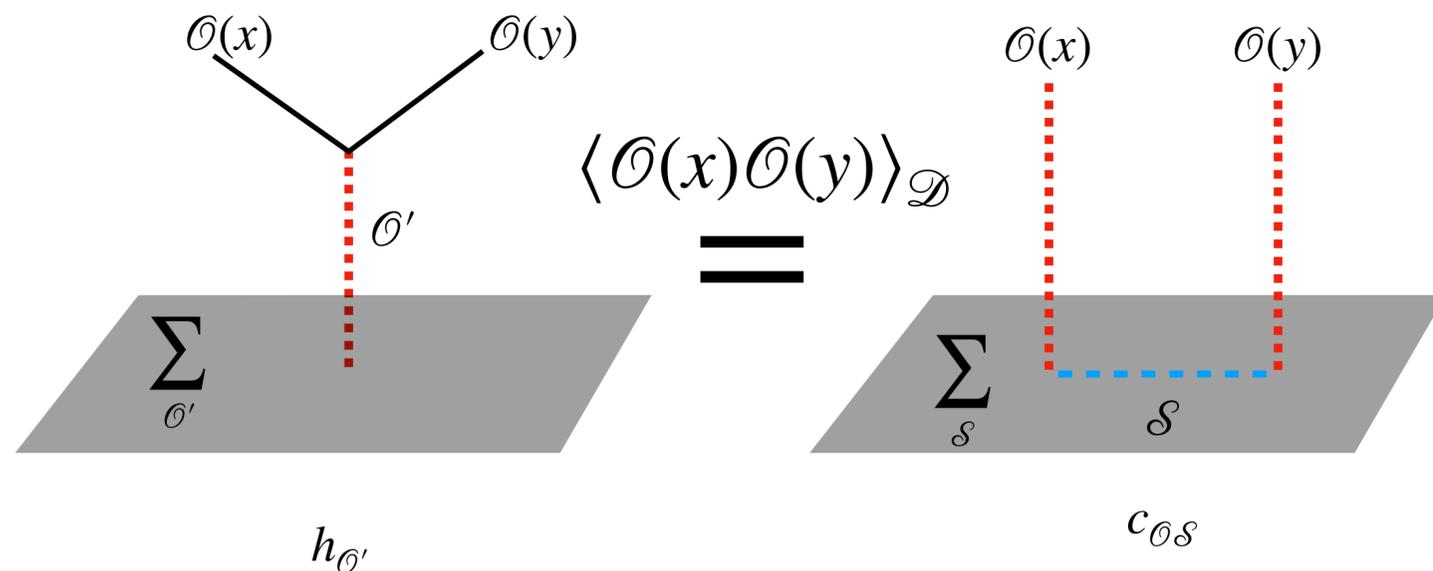


All bulk op  $\mathcal{O}$

$$|B\rangle = \sum_{\mathcal{O}} \langle \mathcal{O} \rangle_B | \mathcal{O} \rangle$$



Positive!



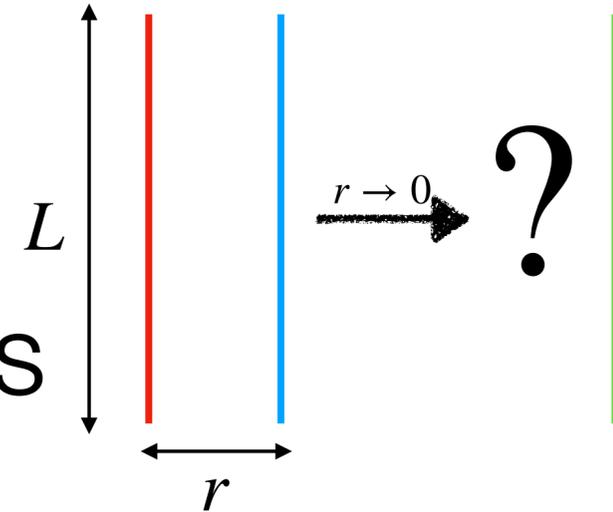
[Cardy, Cardy Lewellen, Liendo Rastelli van Rees, Gaiotto Mazac Paulos, Liendo Meneghelli, Billo Goncalves Lauria Meineri,...]

# **Defect Fusion**

**What is it and What is it good for?**

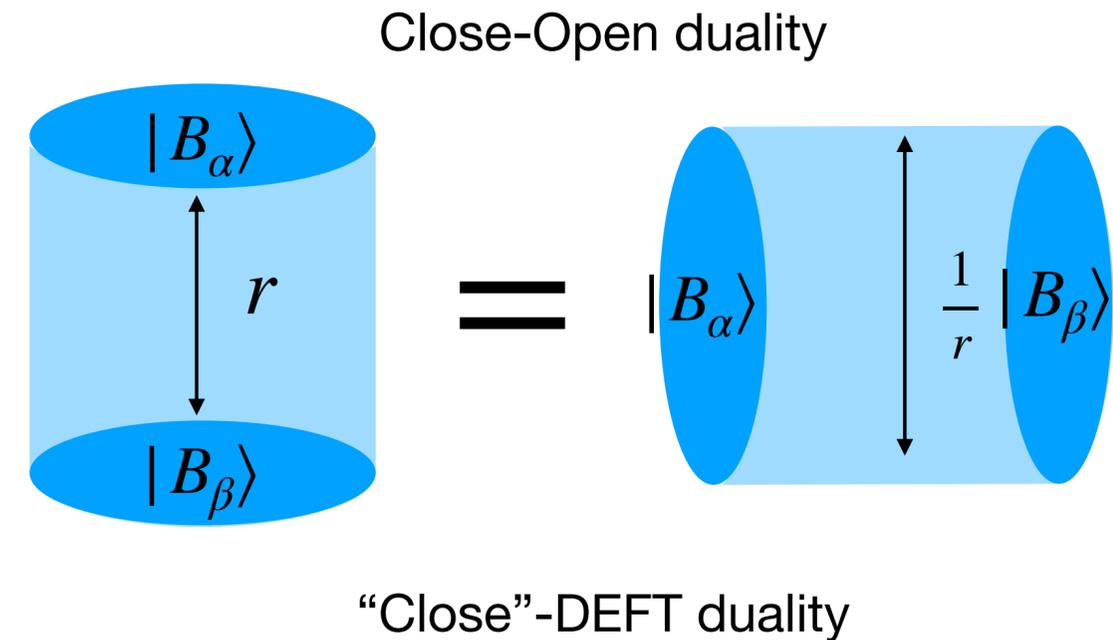
# Defect Fusion (topological and non-topological)

- Defect Operator Product Expansion: **collective effect** of multiple defects at long distance/combined operation of defect operators on Hilbert space
- Basic binary operation: **Defect Fusion**  $D_1 \circ D_2 = \bigoplus_i D_i$
- Well-understood for **topological** defects/interfaces (also mutually BPS defects).
- Encode important generalized symmetry structures, generalized anomalies, generalized gaugings
- Fusion of more general **non-topological** conformal defects?
  - Divergence from Casimir energy (e.g.  $e^{-\frac{L\mathcal{E}}{r}}$  for line defects where  $\mathcal{E}$  can be negative)
  - Nontrivial defect RG flow (integrated insertion of local operators on the defect)
  - Different properties of the fusion product compared to the topological case



# Cylinder/Annulus Bootstrap Equation from Fusion

- 2d Cardy Condition: powerful constraint on boundary states  $|B_\alpha\rangle$
- Generalization to higher dimension?
- Universal (high energy) DCFT data from Defect EFT from integrating modes of mass  $1/r$  in the fusion limit



**Cardy-like formula for one-point functions in DCFT in general dimensions**

Large  $\Delta$

$$\overline{\langle \mathcal{O}_\Delta \rangle_B^2} \sim \frac{((1-d)\mathcal{E}S_{d-1})^{\frac{d+1}{2d}}}{\Delta^{\frac{2d+1}{2d}}} e^{d\left(\frac{\Delta}{d-1}\right)^{\frac{d-1}{d}}} (-\mathcal{E}S_{d-1})^{\frac{1}{d}}$$

[Diatlyk Khanchandani Popov YW 24,  
Kravchuk Radcliffe Sinha 24,  
Cuomo He Komargodski 24]

Casimir energy  
in fusion limit

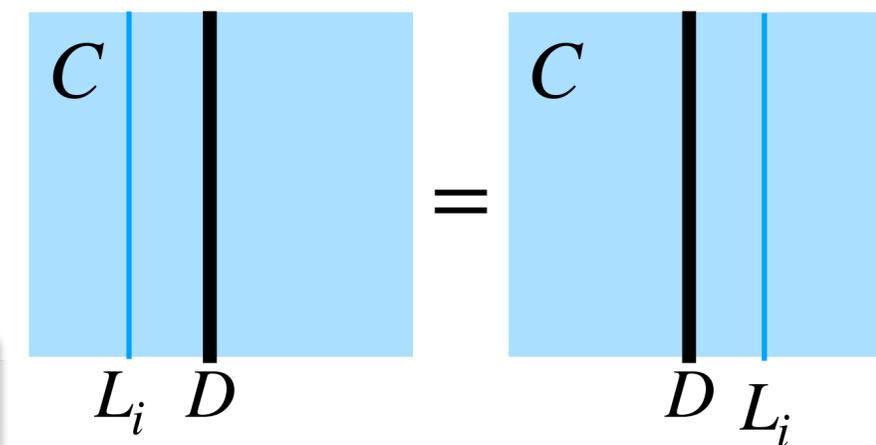
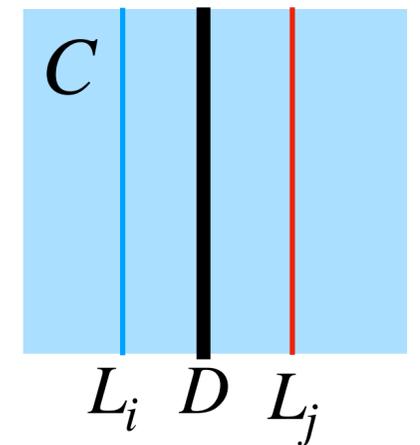
$$\lim_{r \rightarrow 0} \langle B | e^{-rH} | B \rangle \sim e^{-\frac{V}{r^{d-1}} \mathcal{E}}$$

Example: One-point function  
with boundary in CFTd

# Symmetry Constraints from Fusion

- Family of conformal defects related by fusion w/ topological defects for symmetry  $C$
- Symmetry properties of conformal defects in theory with symmetry  $C$  captured by  $(C, C)$ -bimodule categories (fusion w/ topological defects)
- Defect fusion respects tensor structure of the bimodule categories
- **$C$ -symmetric conformal defects:** commutes with  $C$  i.e.  
 $D \circ L_i = L_i \circ D$

Focus on line defects in  $d=2$



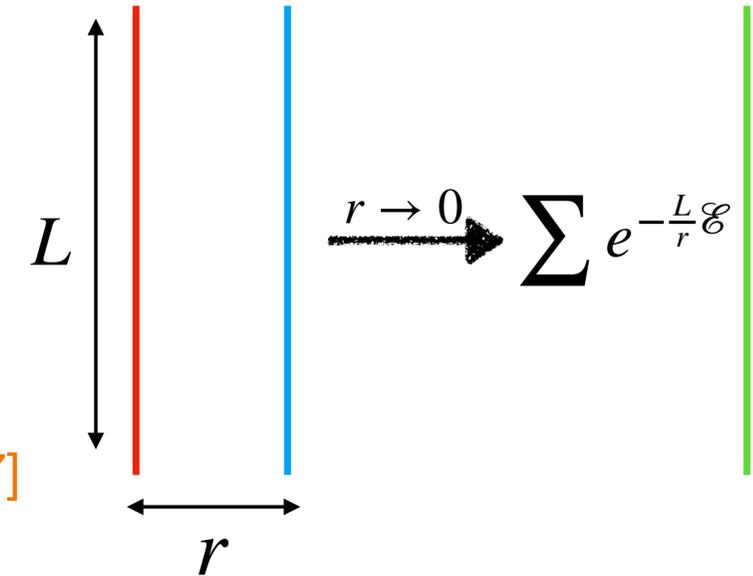
Constraints on RG flows  $D e^{-h \int dt \phi(x,t)}$  of  $C$ -symmetric defects

# Defect Fusion in 2d CFT

- Large family of conformal line defects (equivalently boundaries related by **folding trick**)

- Full classification **elusive** even for rational CFTs except for **Ising**

[Affleck Oshikawa 1997]



- Simple divergence from Casimir energy:  $e^{-\frac{L}{r}\mathcal{E}}$  with  $\mathcal{E} = h_{\min} - \frac{c}{24}$

Leading term in the defect OPE

- Fusion product defined by  $\mathcal{D} \circ \mathcal{D}' \stackrel{\text{Leading term in the defect OPE}}{=} \lim_{r \rightarrow 0} e^{\frac{L\mathcal{E}}{r}} \mathcal{D}(r) \mathcal{D}'(0)$  **Hard!**

[Bachas Brunner 07, Bachas Brunner Roggenkamp 13, Konechny 15]

- More tractable case: many such line defects can be obtained by defect RG flows from topological defects

[Kormos Runkel Watts 09]

- Modest goal: deduce non-topological defect fusion from deformation

See also for fusion of non-conformal integrable defects  
[Manolopoulos Runkel 09]

# Generalities: Defect Operator Algebra $(\circ, \oplus)$ in General Dimension

$\mathcal{D}_{1,2}$  Conformal defects of dimension  $p$  in  $d$ -dimensional CFT  $SO(p,2) \times SO(d-p) \subset SO(d,2)$

- Richer divergence structure for  $p > 1$

$$(\mathcal{D}_1 \circ \mathcal{D}_2)(\Sigma) \equiv \lim_{r \rightarrow 0} e^{\sum_{n=0}^{\lfloor p/2 \rfloor} \int_{\Sigma} r^{2n-p} C_{\mathcal{D}_1 \mathcal{D}_2}^{(n)} \mathcal{R}^n} \mathcal{D}_1(\Sigma_r) \mathcal{D}_2(\Sigma)$$

Leading term in the defect OPE

Curvature invariants on  $\Sigma$

Generalized Casimir coefficients

transverse separation  $r$

General properties of the fusion product

$$\mathcal{D}_1 \circ \mathcal{D}_2(\Sigma) = \bigoplus_i \mathcal{C}_i(\Sigma) \mathcal{D}_i(\Sigma)$$

(T)QFT fusion coefficients

[Roumpedakis Seifnashri Shao 22, Choi Cordova Hsin Lam Shao 22,...]

- Commutative** for codimension  $> 1$

- Non-dualizable** (i.e.  $\mathbb{1} \notin \mathcal{D} \circ \overline{\mathcal{D}}$ )

Orientation Reversal

In contrast to the fusion product of topological defects

- Non-associative** (i.e.  $\mathcal{D}_1 \circ (\mathcal{D}_2 \circ \mathcal{D}_3) \neq (\mathcal{D}_1 \circ \mathcal{D}_2) \circ \mathcal{D}_3$ ) due to the truncation

# **(Generalized) Pinning Field Defects**

**Simple UV definitions and nontrivial IR dynamics**

# Pinning Field Line Defects

拼音  
pīnyīn Defects

$$S = \int d^d x (\partial\phi^I)^2 + \lambda(\phi^I)^4 + h \int dt \phi^I(t, \vec{x} = 0)$$

- Simple defects defined by local perturbation via the pinning field  $h$  which locally **pins the order** (also known as local magnetic defect)
- First introduced in lattice systems: efficient way to diagnose bulk phase by introduce local symmetry breaking [\[Assaad Herbut 13...\]](#)
- Rich family of nontrivial conformal line defects protected by g-theorem [\[Cuomo Komargodski Raviv Moshe 21\]](#) e.g. in 3d O(N) model [\[...Cuomo Komargodski Mezei 21...\]](#)

Simple Examples of Defect RG flows

# Pinning Field Defects in $d > 2$ $O(N)$ Model

- Wilson-Fisher  $\phi^4$  CFT with  $O(N)$  global symmetry in  $d = 4 - \epsilon < 4$

$$S = \int d^d x \left( \frac{1}{2} (\partial_\mu \phi^I)^2 + \frac{\lambda_b \Lambda_T^{4-d}}{4} (\phi^I \phi^I)^2 \right) + \underbrace{h_{1,b} a_D^{\frac{d-4}{2}} \int dy \phi^1(y, z=0, \vec{x}=0)}_{\Delta_\phi = 1 - \epsilon/2 + \dots}$$

- Depends on an  $O(N)$  orientation (breaking to  $O(N-1)$ )

Perturbative  
Fixed Points

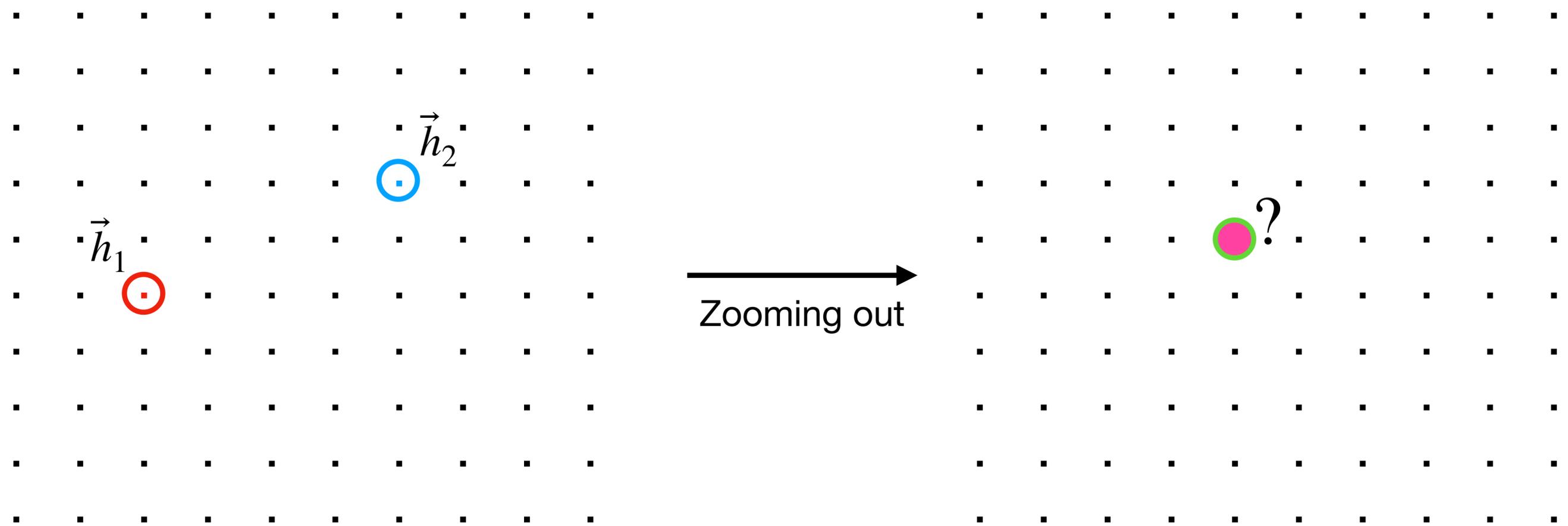
$$\lambda_* = \frac{8\pi^2}{(N+8)}\epsilon, \quad h_\pm = \pm h_*, \quad h_* = \left[ \sqrt{N+8} + \frac{4N^2 + 45N + 170}{4(N+8)^{\frac{3}{2}}}\epsilon \right] + O(\epsilon^2).$$

[Cuomo Komargodski Raviv-Moshe 2021]

- Family of conformal lines  $\mathcal{D}(\hat{n})$  labelled by  $\hat{n} \in S^{N-1}$
- Evidence they persist to  $d = 3$  (by g-theorem, large  $N$  and lattice simulation)

# Pinning Field Defects and Fusion

$$S = \int d^d x (\partial \phi^I)^2 + \lambda (\phi^I)^4 + \int dt \vec{h}_1 \cdot \phi(t, \vec{x} = 0) + \int dt \vec{h}_2 \cdot \phi(t, \vec{x} = \vec{r})$$



# Fusing Pinning Line Defects in $O(N)$ Model

$$\mathcal{D}(\hat{n}) \circ \mathcal{D}(\hat{m}) = \mathcal{D}\left(\frac{\hat{n} + \hat{m}}{\sqrt{2(1 + \hat{n} \cdot \hat{m})}}\right)$$

Symmetry enhancement  
to  $O(N - 1)$  upon fusion

Idempotent fusion rule

Non-associative, non-dualizable

$N = 1$   
Ising

$$\mathcal{D}_+ \circ \mathcal{D}_+ = \mathcal{D}_+$$

$$\mathcal{D}_- \circ \mathcal{D}_- = \mathcal{D}_-$$

$$\mathcal{D}_+ \circ \mathcal{D}_- = 1$$

**Casimir energy** for a pair of magnetic line defects from similar computation

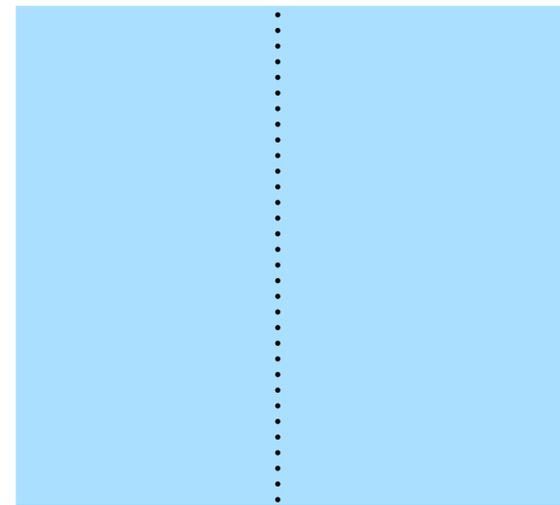
$$\langle \mathcal{D}_1(r) \mathcal{D}_2(0) \rangle \xrightarrow{\text{Small } r} e^{\frac{-L\mathcal{E}(\hat{n}, \hat{m})}{r}}$$

$$\mathcal{E}(\hat{n}, \hat{m}) = -(\hat{n} \cdot \hat{m}) \frac{N + 8}{4\pi} - \frac{\epsilon}{4\pi} \left( (\hat{n} \cdot \hat{m}) \frac{N^2 - 3N - 22}{2(N + 8)} - \frac{(1 + 2(\hat{n} \cdot \hat{m})^2) \pi^2 (N + 8)}{16} \right)$$

# Generalized Pinning Field Defects

$$S_{\text{CFT}} \rightarrow S_{\text{CFT}} + h \int_{x_{\perp}=0} d^{d-1}x \phi$$

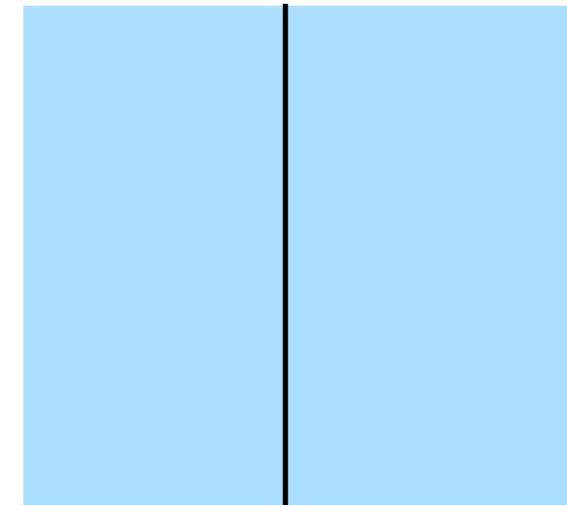
scalar  $\Delta_{\phi} < d - 1$



$\mathcal{D}_{\text{UV}}$



Defect RG  
flow



$\mathcal{D}_{\text{IR}}$

- Pinning defect is conformal at large distance:

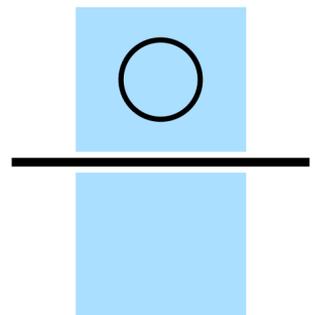
g-theorem in  $d=2$ :  $1 = g(\mathcal{D}_{\text{UV}}) > g(\mathcal{D}_{\text{IR}})$

[Affleck Ludwig 91, Friedan Konechny 03, Cuomo Komargodski Raviv Moshe 21, Casini Landea Torroba 22]

b-theorem in  $d=3$ :  $0 = b(\mathcal{D}_{\text{UV}}) > b(\mathcal{D}_{\text{IR}})$

[Jensen-O'Bannon 15, Casini Salazar Landea Torroba 18, Wang 20, Shachar Sinha Smolkin 22]

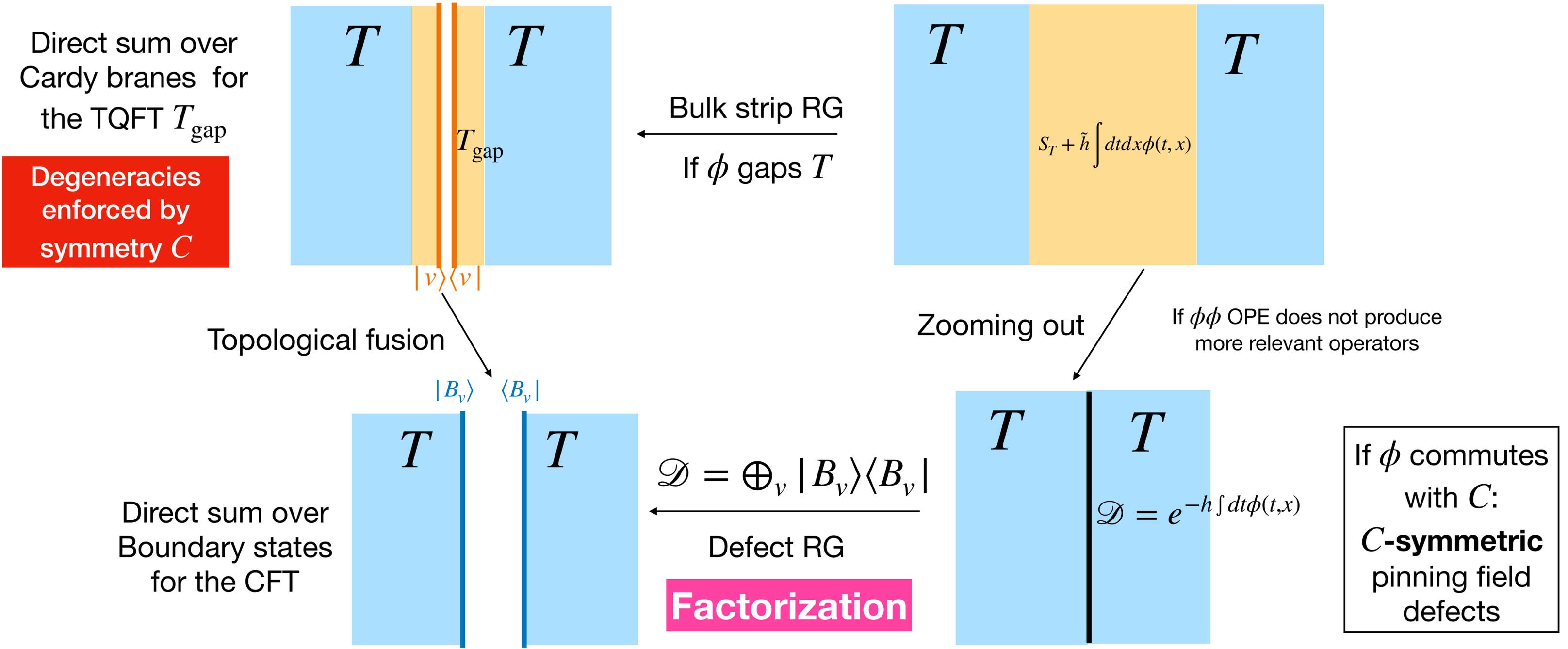
Defect free energy



- Expect pinning defect to have simple fusion rule :  $\mathcal{D}_{\text{IR}} \circ \mathcal{D}_{\text{IR}} = \mathcal{D}_{\text{IR}}$

# Pinning Field Defect in 2d

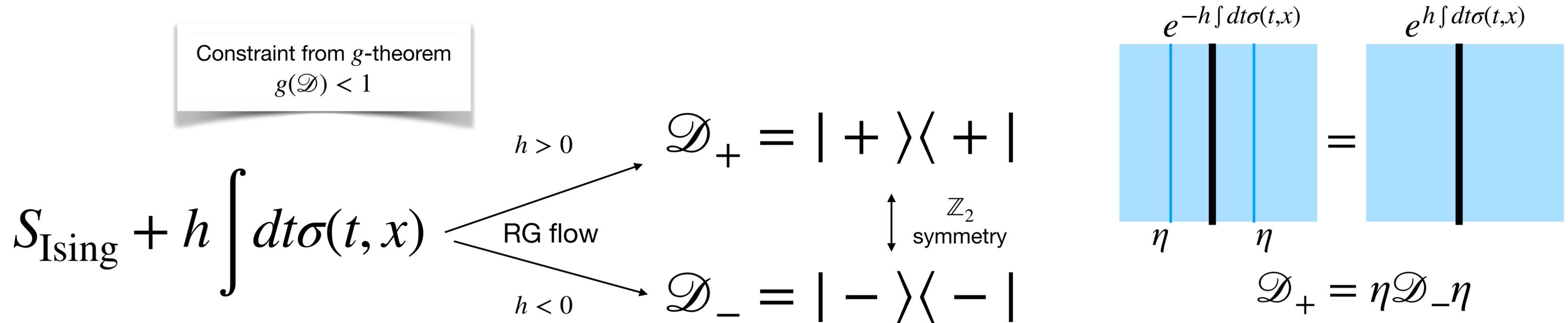
- Large zoo of (generalized) pinning field defects from turning on operator  $\phi$  w/  $\Delta < 1$  along a line (e.g. all defects in Ising up to fusion with topological defects if also allow  $\Delta = 1$ )
- Pinning field defect often gives rise to **factorized** interfaces in 2d CFT  $T$



# Pinning Field Defect in Ising CFT

$$S_{\text{Ising}} = \int d^2x (\partial\sigma)^2 + g\sigma^4$$

- Single operator of dimension  $\Delta < 1$ :  $\sigma$
- IR defect is factorized: since the CFT under deformation is trivially gapped and  $\sigma\sigma \rightarrow 1 + \epsilon$
- Boundary states of Ising:  $|+\rangle, |-\rangle, |f\rangle$



- Simple Defect Fusion

$$\mathcal{D}_+ \circ \mathcal{D}_+ = \mathcal{D}_+, \quad \mathcal{D}_- \circ \mathcal{D}_- = \mathcal{D}_-, \quad \mathcal{D}_+ \circ \mathcal{D}_- = |+\rangle\langle -|$$

Subtle defect RG flow

# Pinning Field Defect in Tricritical Ising CFT

$$\mathcal{L}_{\text{TIM}} = (\partial\sigma)^2 + g_1\sigma^6 + g_2\sigma^4$$

- Fixed point CFT has 6 primary operators and symmetry  $\text{Ising} \times \text{Fib}$

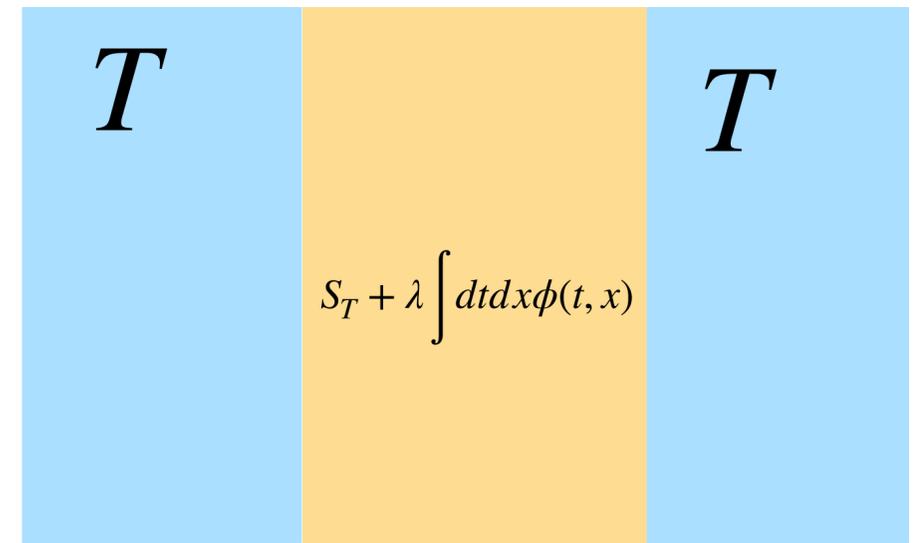
- 6 elementary boundary states:  $|+\rangle, |-\rangle, |0\rangle, |d\rangle, |0-\rangle, |+0\rangle$
- 

- Three operators with  $\Delta = h + \bar{h} < 1$ :  $\epsilon_{1/10,1/10}, \sigma'_{7/16,7/16}, \sigma_{3/80,3/80}$

Pinning field	Symmetry	+ deformation in the bulk	- deformation in the bulk
$\epsilon$	$\mathbb{Z}_2$	SSB	Trivial
$\sigma$	None	Trivial	Trivial
$\sigma'$	Fib	SSB w/ gsd=2	SSB w/ gsd=2

# Pinning Field Defect in Tricritical Ising CFT

$$S_{\text{Ising}} + \lambda \int dt \phi(t, x) \begin{cases} \nearrow \lambda > 0 \\ \text{RG flow} \\ \searrow \lambda < 0 \end{cases} \text{?}$$



Constraints from factorization,  $g$ -theorem and symmetries

Pinning field	Symmetry	+ deformation on the line	- deformation on the line
$\epsilon$	$\mathbb{Z}_2$	$ +\rangle\langle+  \oplus  -\rangle\langle- $	$ 0\rangle\langle 0 $
$\sigma$	None	$ +\rangle\langle+ $	$ -\rangle\langle- $
$\sigma'$	Fib	$ +\rangle\langle+  \oplus  0-\rangle\langle 0- $	$ -\rangle\langle-  \oplus  +0\rangle\langle+0 $

# Generalized Pinning Field Defects in d=3

$$S = \int d^3x \left[ \frac{1}{2} (\partial\phi^I)^2 + \frac{\lambda}{4!} (\phi^I\phi^I)^2 \right] + h \int_{x_\perp=0} d^2x (\phi^I)^2$$

- Localized mass deformation on a surface [Bray Moore 1977... Krishnan Metlitski 23, Trepanier 23, Raviv-Moshe Zhong 23, Giombi Liu 23, Cuomo Zhang 23]

- $\Delta_{\phi^2} < 2$  from bootstrap and leading  $O(N)$  singlet operator

- In the bulk: symmetry breaking for  $h < 0$  and trivially gapped for  $h > 0$

Dirichlet  
boundary  
condition for  
 $O(N)$

$$S_{O(N)} + h \int_{x_\perp=0} d^2x (\phi^I)^2 \xrightarrow{h > 0} \mathcal{D}_+ = |\text{Ord}\rangle\langle\text{Ord}|$$

- Conjecture based on large N analysis [Krishnan Metlitski 23]

- Evidence at finite N from  $\epsilon$  expansion w/ comparison to numerics for Ord BCFT

# **Factorization by RG**

**from codimension-one pinning field defects**

# Factorizing Interface

- Codimension-one defect (self-interface) that factorizes

$$I = \bigoplus_{\nu} |B_{\nu}\rangle\langle B'_{\nu}|$$

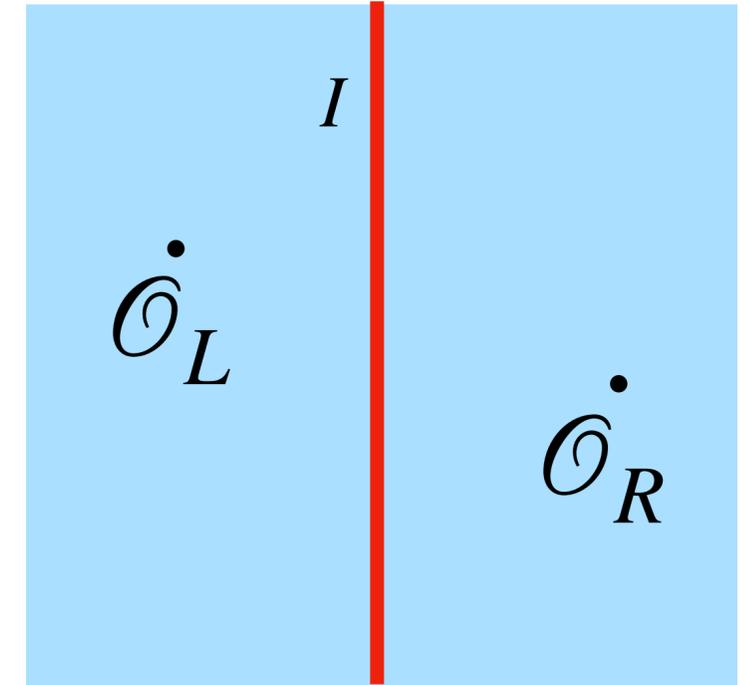
- Factorized correlation functions on the right and left

$$\langle \mathcal{O}_L \dots \mathcal{O}_L \mathcal{O}_R \dots \mathcal{O}_R \rangle_I = \sum_{\nu} \langle \mathcal{O}_L \dots \mathcal{O}_L \rangle_{B_{\nu}} \langle \mathcal{O}_R \dots \mathcal{O}_R \rangle_{B'_{\nu}}$$

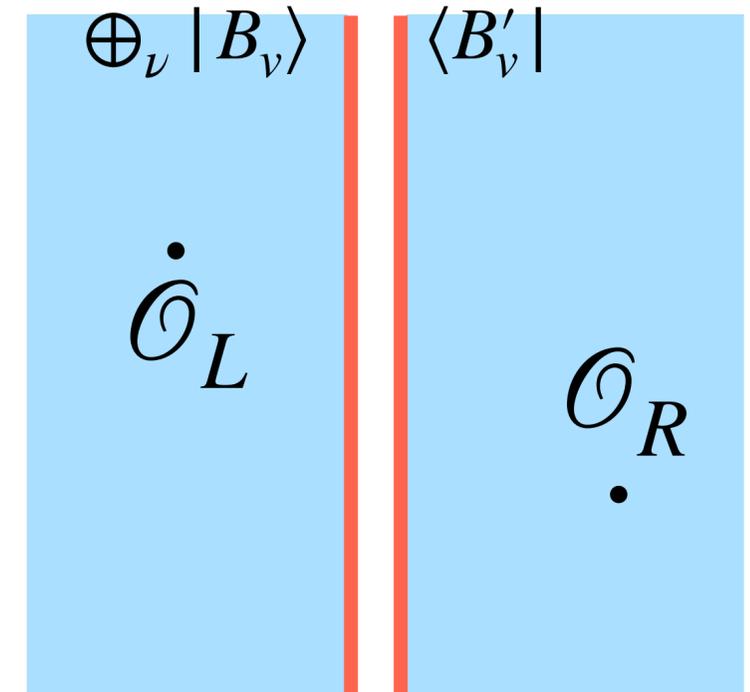
- Total reflection of energy

$$\langle T_L^{\mu\nu} T_R^{\rho\sigma} \rangle = 0$$

**Pinning field interface makes  
an Energy Shield!**



||



# Proving Factorization

$$D_h(\mathcal{O}) = [e^{-h\hat{\mathcal{O}}}]_{\text{ren}} \quad \hat{\mathcal{O}} \equiv \int d^{d-1} \mathcal{O}$$

- **Main idea:** the IR of  $D_h(\mathcal{O})$  is dominated by the largest ( $h < 0$ ) eigenvalue of  $\hat{\mathcal{O}}$  acting on the CFT Hilbert space  $\mathcal{H}$
- It suffices to show that the largest eigenvalue is realized by Ishibashi states

[Nakayama Ooguri 2015]

$$P_i |B\rangle = K_i |B\rangle = D |B\rangle = 0 \quad \text{Planar frame}$$

- Then

$$D(\mathcal{O}) = \lim_{h \rightarrow \infty} D_h(\mathcal{O}) = \bigoplus_{\alpha} |B_{\alpha}\rangle \langle B_{\alpha}| \quad \leftarrow \text{Ishibashi states}$$

- **Caveats:**  $\hat{\mathcal{O}}$  is unbounded operator, Ishibashi states are not in  $\mathcal{H}$ , ...
- **Resolution:** Spectral theory of unbounded operators and Gelfand triple construction

$$\boxed{\text{CFT primary states}} \quad \Phi \subset \mathcal{H} \subset \Phi' \quad \boxed{\text{Ishibashi States}}$$

[Popov YW to appear]

# **Speculations**

**Further properties of pinning defects,  
applications and beyond**

# Speculations

## Stability

- Observation: Pinning defects are **stable** (symmetry enforced **self-organized criticalities**)
- Lower bound on  $g$  function of defects from symmetries (symmetry enriched  $g$  theorem)  
[... Cordova García-Sepúlveda 2022]

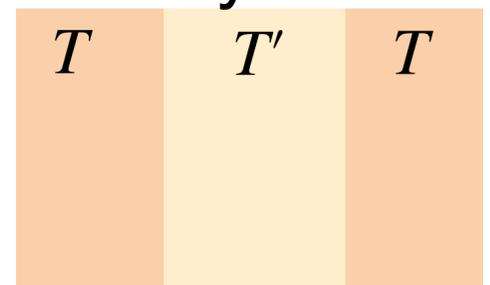
## Applications

- Pinning defects in 4d conformal gauge theories (Caswell-Banks-Zaks, SYM)
- Pinning defects as local quantum channels for weak measurement and decoherence  
[... Lee Jian Xu 2023 ...]
- Pinning defects dual to branes and geometries in the holographic dual

## Generalizations

- Construction of defects from general RG flows on the strip and gauging (relatedly fusion of RG interfaces)

[Gaiotto 2012...]



**Thank you!**