## Shell Operator, ETH and Black Hole Information Paradox

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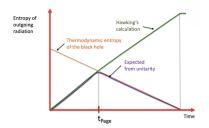
Non-perturbative methods in QFT March 12, 2025

# String Theory and Duality

- ► Pursuit quantum gravity: String theory & BH entropy & Information paradox.
- Quantum gravity seems to need UV completion of Einstein gravity to reduce degree of freedom, and only known UV completion is string theory (Swampland Program).
- String theory revolution: 5 consistent perturbative superstring theory, 11D supergravity, stringy description of  $\frac{1}{2}$  BPS  $D_p$  brane, string duality.
- AdS/CFT: Gauge/Gravity duality, BH evaporation should be unitary. But in what way?

#### **BH Information Paradox**

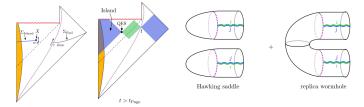
Bekenstein and Hawking



- ► BH complementarity: whether there is a firewall near behind black hole horizon [Almheiri,, Marolf, Polchinski, Sully]
- AdS/CFT version: late time Lorentzian behavior of two point correlator in thermal and finite boundary CFT [Maldacena]
- One simplified version: Euclidean behavior of thermal two point function [Fitzpatrick, Kaplan, Li and Wang]

## Island and Ensemble Average

- Ryu-Takayanagi formula, fined grained entropy, Island formula
- Wormhole saddle



 Factorization problem: when Euclidean wormhole are included, semiclassical partition function no longer factorized

$$Z_{grav}[M_1 \cup M_2] \neq Z_{grav}[M_1]Z_{grav}[M_2]$$

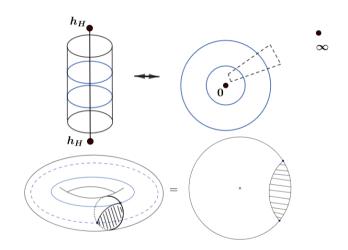
Gravity/Average CFT: average over boundary CFT or high energy states above BH threshold?

#### AdS<sub>3</sub>/CFT<sub>2</sub>

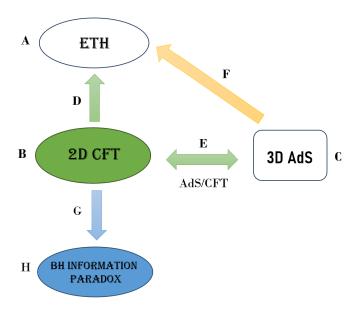
- More like dynamic gravity, curvature singularity; Under much better control than in other dimensions.
- ▶ A proposed exact stringy correspondence: strings on  $AdS_3 \times S^3 \times T^4$  with one unit of NS-NS flux = Sym<sup>N</sup>( $T^4$ ) (symmetry product theory) [Gaberdiel, Gopakumar, Eberhardt].
- 3D Gravity: no propagating degree of freedom, maximally symmetric spacetime, non-trivial BTZ black hole.
- ▶ 2D CFT: Virasoro symmetry, RCFT models, modular invariance.

## Our Setup

Goal: Using field theory techniques to calculate two point function of non-local shell operator.



#### Connection



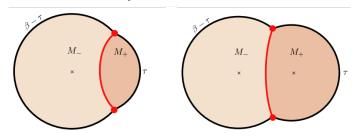
### AdS<sub>3</sub> backreaction

- ▶ Quantity: thin-shell thermal correlator in  $AdS_3$   $\longrightarrow$  non-trivial backreaction
- Process: thin shell of dust start from spacelike infinity and dive into Euclidean section of bulk
- Solution: saddle point solution of Euclidean action

$$I = -\frac{1}{16\pi G} \int \sqrt{g}(R - 2\Lambda) + \int_{\mathcal{W}} \sqrt{h}\sigma$$

## AdS<sub>3</sub> backreaction

Can be solved exactly with relations



$$\frac{r_{-}}{r_{*}} = \sin \frac{r_{-}(\beta - \tau)}{2}, \quad \frac{r_{+}}{r_{*}} = \sin \frac{r_{+}\tau}{2}$$

► Thermal two-point function:

$$G_{\beta}(t) \approx e^{-\Delta I}, \quad \Delta I = I + \log Z(\beta)$$

# ETH analysis

► ETH assume matrix elements of thin shell operators in high energy spectrum are

$$O_{nm} \approx f(E_n)\delta_{nm} + e^{-S(\bar{E})/2}g(\bar{E},\omega)^{1/2}R_{nm}$$

- Need justification: Shell operators are heavy, completely delocalize over spatial manifold, however simple.
- Micro-canonical vs. Canonical ensemble: Shell operators are heavy, micro-canonical ensemble result, backreaction in the bulk.

# ETH analysis

Canonical and Micro-canonical relation:

$$\partial_{\tau} \log \mathcal{Z}_{\beta^*} G_{\beta^*}^2(\tau) = \partial_{\tau} \log G_{E_H}^2(\tau)$$

- Physical meaning: up to some multiplicative factor, canonical correlator equal to micro-canonical one at special mass and micro-canonical correlator equal to canonical one at special temperature.
- ▶ Gravity "like" or only "know" canonical correlator, so we need start from  $Z_{\beta}G_{\beta}(\tau)$  to get  $G_{M_m}(\tau)$

$$G_{E_H}( au) = \int deta \, e^{-S(E_H) + eta E_H} \mathcal{Z}_eta G_eta( au) \sim e^{-S(E_H) + eta^* E_H} \mathcal{Z}_{eta^*} G_{eta^*}( au)$$

where  $\beta^* = \beta^*(E_H, \tau)$  are determined by following condition

$$E_H + \partial_{\beta} \log \mathcal{Z}_{\beta} G_{\beta}(\tau)|_{\beta = \beta^*} = 0$$

#### CFT<sub>2</sub>: Virasoro Block

- ► Four point function ⟨*HHLL*⟩: thermal two local point correlator in Euclidean black hole background.
- Virasoro Block: group operators by Virasoro representation theory, approximation at large c

Zamolodchikov

$$F(h_i, h_p, c, z) \approx e^{-\frac{c}{6}f(\frac{h_i}{c}, \frac{h_p}{c}, z)}$$

Monodromy method and accessory parameter:

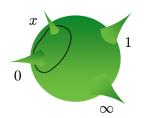
$$\chi''(z) + T(z) \chi(z) = 0, \quad \frac{\partial f(z)}{\partial z} = c_2(z)$$

where

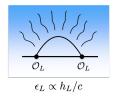
$$T(z) = \frac{h_1}{z^2} + \frac{h_2}{(z-z_0)^2} + \frac{h_3}{(z-1)^2} + \frac{h_1 + h_2 + h_3 - h_4}{z(1-z)} + \frac{c_2(z)z_0(1-z_0)}{z(z-z_0)(1-z)}$$

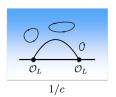
#### Some Intuitions

► Monodromy method:



► Two corrections:

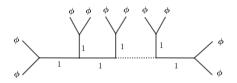




# Continuum Limit Of Shell Operator

- Non-local → hard? Uniform non-local Shell operator → easier!
- Continuum limit of stress tensor

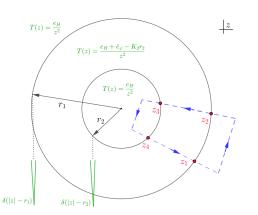
$$T(z) = \frac{h_H}{z^2} - \frac{c_H}{z} + \sum_{j=1}^{2} \sum_{i=1}^{n} \frac{h_{\psi}}{(z - z_j^{(i)})^2} - \frac{c_{\psi,i}}{z - z_j^{(i)}}$$



## Singularity Become More Smooth

Singularities become more smooth in each finite region, but not globally holomorphic anymore

$$T(z,\bar{z}) = \frac{(\hat{e}_{\psi} - K_1 r_1)\Theta(|z| - r_1) + (\hat{e}_{\psi} - K_2 r_2)\Theta(|z| - r_2)}{z^2} - \frac{\hat{e}_{\psi}[r_1 \delta(|z| - r_1) + r_2 \delta(|z| - r_2)]}{z^2}$$



#### Vacuum Virasoro Block Solution

Monodromy matrix

$$M = J_2(z_1)J_2^{-1}(z_2)J_1(z_3)J_1^{-1}(z_4)$$

Monodromy equation

$$r_2^{2\rho_2}(\rho_2 + \rho_1 - \hat{e}_{\psi})(\rho_2 - \rho_1 - \hat{e}_{\psi}) = r_1^{2\rho_2}(\rho_2 - \rho_1 + \hat{e}_{\psi})(\rho_1 + \rho_2 + \hat{e}_{\psi})$$

where

$$\hat{e}_{\psi} = ne_{\psi}, \quad \rho_1 = \frac{\sqrt{1 - 4e_H}}{2}, \quad \rho_2 = \frac{\sqrt{1 - 4(e_H + \hat{e}_{\psi} - K_2r_2)}}{2}$$

This has a wide range of validity! Non-perturbative in  $\frac{h_p}{c}$ , but perturbative in 1/c.

# Result: ETH of non-local operator

Probe limit:

$$\begin{split} \log G_{e_H} = & c_s(e_H, \hat{e}_{\psi}) - \frac{2c\hat{e}_{\psi}}{3} \log \sinh \frac{\sqrt{1 - 4e_H}t}{2} \\ & + \frac{c\hat{e}_{\psi}^2}{3} \coth \frac{\sqrt{1 - 4e_H}t}{2} \left( t \coth \frac{\sqrt{1 - 4e_H}t}{2} - \frac{2}{\sqrt{1 - 4e_H}} \right) \\ & + O(\hat{e}_{\psi}^3) \end{split}$$

- ► Keep terms up to the linearized order, the result is just the thermal two-point function in CFT<sub>2</sub>, which is expected in the probe limit.
- This implies that ETH is also held by non-local simple shell operators in two dimensional holographic CFT!

#### Result: Multi-shell and Gaussian Ensemble

 Leading order field theory results match Gauss ensemble

$$\langle E_{H}|S_{b}(\tau_{4})S_{b}^{\dagger}(\tau_{3})S_{a}(\tau_{2})S_{a}^{\dagger}(\tau_{1})|E_{H}\rangle,$$

$$\langle E_{H}|S_{b}(\tau_{4})S_{b}^{\dagger}(\tau_{3})S_{a}(\tau_{2})S_{a}^{\dagger}(\tau_{1})|E_{H}\rangle,$$

$$\langle E_{H}|S_{b}(\tau_{4})S_{b}^{\dagger}(\tau_{3})S_{a}(\tau_{2})S_{a}^{\dagger}(\tau_{1})|E_{H}\rangle,$$

$$(1)$$

$$(2)$$

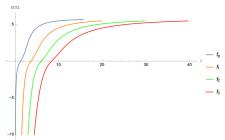
$$(3)$$

## Result: Analytic Property I

Singular at infinite number of points

$$t_l = \frac{2l\pi}{\sqrt{4e_H - 1}}, \quad l \in \mathbb{Z}$$

- Even though the perturbative solutions have forbidden singularities term by term, they all can be resolved non-perturbatively by summing over full probe corrections. [Kaplan, Faulkner, Huajia...]
- Exact in  $\frac{h_p}{c}$ , resummation automatically



# Outcome: Analytic property II

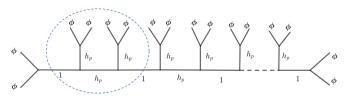
- Information loss problem → Periodicity in Euclidean correlator → Infinitely many forbidden singularities.
- ▶ What is the Lorentzian behavior?

(1): 
$$\begin{aligned} \frac{G'_{e_H}}{G_{e_H}} &= -\frac{c\hat{e}_{\psi}\sqrt{1 - 4e_H}}{3} \coth \frac{\sqrt{1 - 4e_H}t}{2} \\ &+ \frac{c\hat{e}_{\psi}^2[3 + \cosh(\sqrt{1 - 4e_H}t) - 2\sqrt{1 - 4e_H}t \coth \frac{\sqrt{1 - 4e_H}t}{2}]}{3(\cosh(\sqrt{1 - 4e_H}t) - 1)} \\ &+ O(\hat{e}_{\psi}^3) \end{aligned}$$

(2): 
$$\frac{G'_{e_H}}{G_{e_H}} = \frac{c[(4e_H - 1)t^2 - 4k^2\pi^2]}{12t^2} + \frac{16k^2\pi^2c\hat{e}_{\psi}}{3(4e_H - 1)t^3 - 12k^2\pi^2t} - \frac{64k^2\pi^2c[3(4e_H - 1)t^2 + 4k^2\pi^2]\hat{e}_{\psi}^2}{3[(4e_H - 1)t^2 - 4k^2\pi^2]^3} + O(\hat{e}_{\psi}^3)$$

#### **Future directions**

- ▶ How to prove it? Sum over infinite kinematical data...
- ► Future problem: other blocks? need change the accessory equation...



What is the meaning of Island in CFT language? Lorentzian lesson from Island?

## Backup: Junction condition and bulk solution

 Consider the general problem of gluing two Euclidean Schwarzshild-AdS regions with local geometry

$$ds_{\pm}^{2} = f_{\pm}(r)dt_{\pm}^{2} + \frac{dr^{2}}{f_{\pm}(r)} + r^{2}d\phi^{2}$$

where blackening factors are

$$f_{\pm}(r) = r^2 - 8GM_{\pm}$$

▶ Consider the pair  $(h_{ab}^{\pm}, K_{ab}^{\pm})$  of induced metrics and extrinsic curvatures, define  $\Delta h_{ab} = h_{ab}^{+} - h_{ab}^{-}$  and  $\Delta K_{ab} = K_{ab}^{+} - K_{ab}^{-}$ , then junction condition states that

$$\Delta h_{ab} = 0, \quad \Delta K_{ab} - h_{ab} \Delta K = -8\pi G T_{ab}^W$$

# Backup: ETH analysis

For the micro-canonical correlator  $G_{E_H}(\tau)$ , we have

$$G_{E_{H}} = \langle E_{H} | \mathcal{S}(\tau) \mathcal{S}^{+}(0) | E_{H} \rangle = \sum_{m} \langle E_{H} | e^{\tau H} \mathcal{S}(0) e^{-\tau H} | E_{m} \rangle \langle E_{m} | \mathcal{S}^{+}(0) | E_{H} \rangle$$

$$= \sum_{m} e^{\tau (E_{H} - E_{m}) - f(E_{H}, E_{m})} | R_{E_{H}, E_{m}} |^{2} \sim \int dE \, e^{S(E) + \tau (E_{H} - E) - f(E_{H}, E)}$$

$$\sim e^{S(M_{p}) + \tau (E_{H} - M_{p}) - f(E_{H}, M_{p})}$$

where  $M_p = M_p(E_H, \tau)$  are determined by following condition

$$\left. \left( \partial_E S(E) - \tau - \partial_E f(E_H, E) \right) \right|_{E=M_p} = 0$$

The physical meaning of is that to compute micro-canonical correlator we need determine one effective "mass" using saddle point approximation to replace one infinite sum.

# The End

Questions & Comments