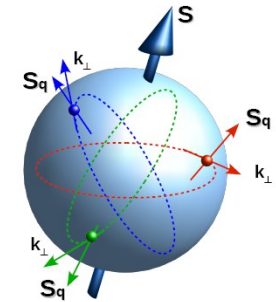




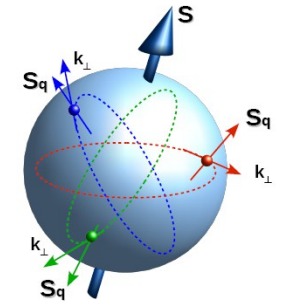
*In collaboration with*  
***M. Anselmino, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin***

# Summary



- **Introduction:** strategy and main ideas
- **Experiments:** old and new data sets
- **Theory:** which functions are we actually extracting ?
- **New data analysis and new results**
- **Open issues and future perspectives:**
  - ★ BaBar data
  - ★ TMD evolution
- **Conclusions**

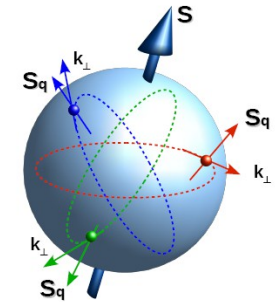
# Strategy and main ideas



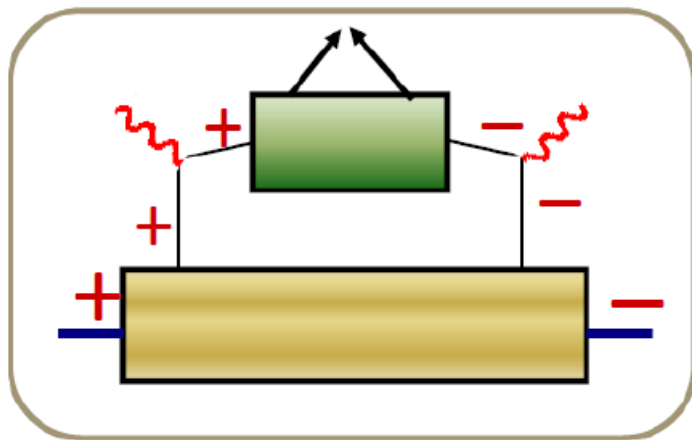
- The **transversity distribution function** contains basic information on the spin structure of the nucleons.
- Being related to the expectation value of a chiral odd operator, it appears in physical processes which require a quark helicity flip; therefore it cannot be measured in usual DIS.
- Drell-Yan  $\rightarrow$  planned experiments in polarized pp at PAX.
- At present, the only chance of gathering information on transversity is **SIDIS**, where it appears associated to the Collins fragmentation function.
- **DOUBLE PUZZLE**: we cannot determine transversity if we do not know the Collins fragmentation function.

# Transversity

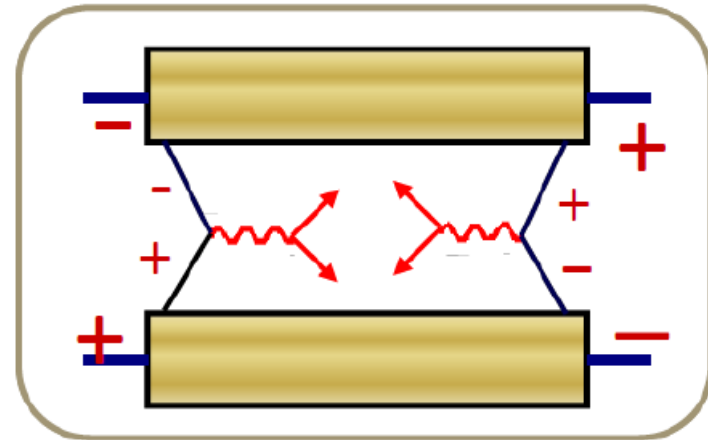
- There is **no gluon** transversity distribution function
- Transversity cannot be studied in deep inelastic scattering because it is **chirally odd**
- Transversity can only appear in a cross-section convoluted to another **chirally odd function**



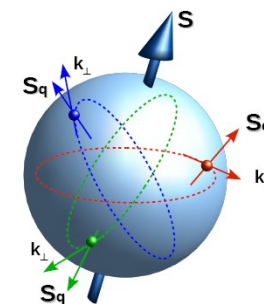
## SIDIS



## Drell -Yan



# Strategy and main ideas



A **global fit** of HERMES and COMPASS **SIDIS** single spin asymmetries combined with **BELLE** data on  $e^+e^- \rightarrow h_1 h_2 X$  will allow us to determine both the **transversity** distribution and the **Collins** fragmentation functions of u and d quarks.

# Experiments: old and new data

## Old data:

**BELLE** : 2006 first release  
2008 new analysis Phys. Rev. D 78, 032011 (2008)

**HERMES** : 2005 data, A. Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002.  
2007 release, M. Diefenthaler, (2007), arXiv:0706.2242 [hep-ex].

**COMPASS – d** : 2007 data, E.S. Ageev et al., Nucl. Phys. B765 (2007) 31.  
2008 release, M. Alekseev et al., (2008), arXiv:0802.2160 [hep-ex].

## New data:

**BELLE** : 2012 erratum, R. Seidl et al., Phys. Rev. D 86, 039905(E) (2012)

**HERMES** : 2010 data, Phys. Lett. B 693, (2010)

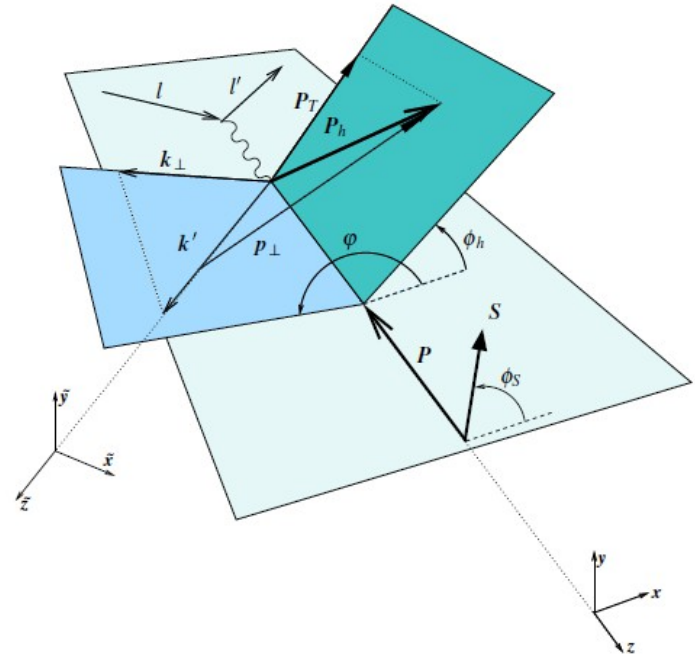
**COMPASS – p** : 2010-2011 new data on proton target, charged hadron production  
presented at Transversity 2011  
Hadron-separated (pions and kaons) presented at SPIN 2012.

# **Theory perspectives**



# Theory: transversity and Collins function in SIDIS

$$A_{UT}^{\sin(\phi+\phi_S)} \equiv 2 \frac{\int d\phi d\phi_S [d\sigma^\uparrow - d\sigma^\downarrow] \sin(\phi + \phi_S)}{\int d\phi d\phi_S [d\sigma^\uparrow + d\sigma^\downarrow]}$$



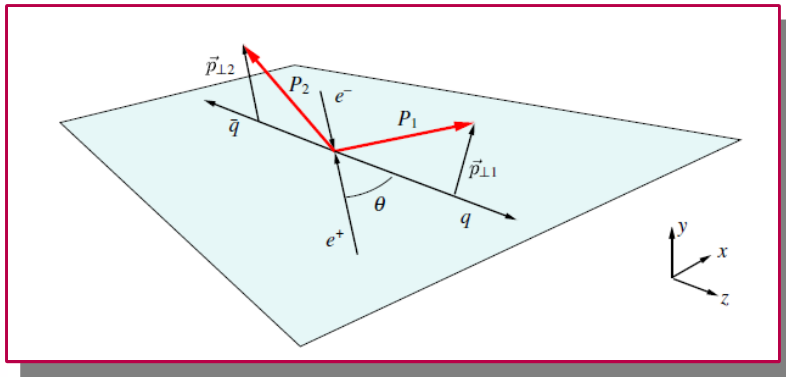
$$d\sigma^\uparrow - d\sigma^\downarrow = \sum_q h_{1q}(x, k_\perp) \otimes d\Delta\hat{\sigma}(y, k_\perp) \otimes \Delta^N D_{h/q^\uparrow}(z, p_\perp)$$

Transversity

Collins function



# BELLE $A_{12}$ – thrust axis method



$$\frac{d\sigma^{e^+e^- \rightarrow h_1 h_2 X}}{dz_1 dz_2 d\cos\theta d(\varphi_1 + \varphi_2)} = \frac{3\alpha^2}{4s} \sum_q e_q^2 \left\{ (1 + \cos^2\theta) D_{h_1/q}(z_1) D_{h_2/\bar{q}}(z_2) + \frac{1}{4} \sin^2\theta \cos(\varphi_1 + \varphi_2) \Delta^N D_{h_1/q^\dagger}(z_1) \Delta^N D_{h_2/\bar{q}^\dagger}(z_2) \right\},$$

Having defined

$$\int d^2 p_\perp \Delta^N D_{h/q^\dagger}(z, p_\perp) \equiv \Delta^N D_{h/q^\dagger}(z).$$

By normalizing to the azimuthal averaged cross section, we have

$$\begin{aligned} A(z_1, z_2, \theta, \varphi_1 + \varphi_2) &\equiv \frac{1}{\langle d\sigma \rangle} \frac{d\sigma^{e^+e^- \rightarrow h_1 h_2 X}}{dz_1 dz_2 d\cos\theta d(\varphi_1 + \varphi_2)} \\ &= 1 + \frac{1}{4} \frac{\sin^2\theta}{1 + \cos^2\theta} \cos(\varphi_1 + \varphi_2) \\ &\quad \times \frac{\sum_q e_q^2 \Delta^N D_{h_1/q^\dagger}(z_1) \Delta^N D_{h_2/\bar{q}^\dagger}(z_2)}{\sum_q e_q^2 D_{h_1/q}(z_1) D_{h_2/\bar{q}}(z_2)}. \end{aligned}$$

What we are extracting from experimental data is actually the product of two Collins function azimuthal moments

$$\begin{aligned} \Delta^N D_{h/q^\dagger}(z) &= \int d^2 p_\perp \Delta^N D_{h/q^\dagger}(z, p_\perp) \\ &= \int d^2 p_\perp \frac{2p_\perp}{zm_h} H_1^{\perp q}(z, p_\perp) = 4H_1^{\perp(1/2)q}(z). \end{aligned}$$

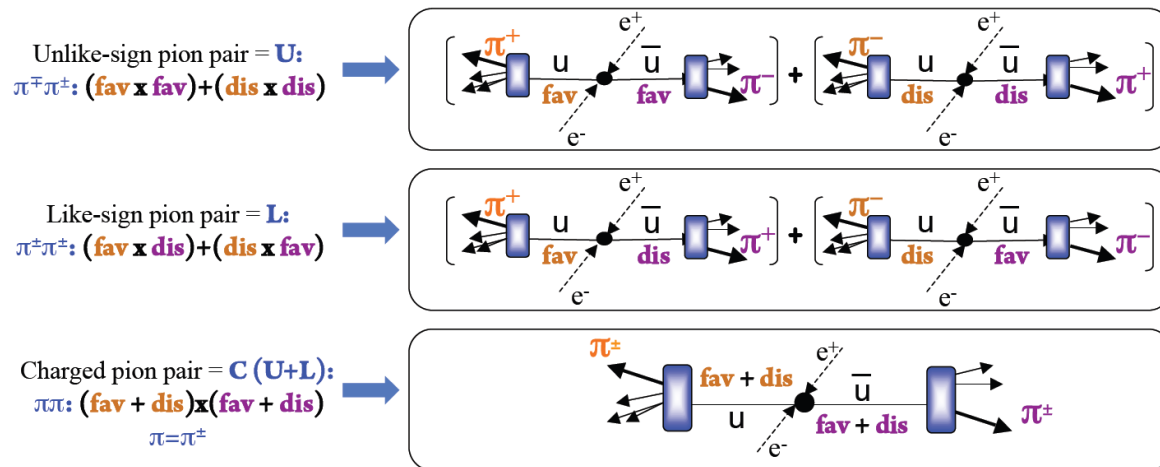
# BELLE $A_{12}$ – thrust axis method

To eliminate false asymmetries, the BELLE (and BaBar) Collaborations measure the ratio of unlike to like sign hadron pair production, and of unlike and like pairs

$$R \equiv \frac{A_U}{A_L} = \frac{1 + \frac{1}{4} \cos(\varphi_1 + \varphi_2) \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} P_U}{1 + \frac{1}{4} \cos(\varphi_1 + \varphi_2) \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} P_L}$$

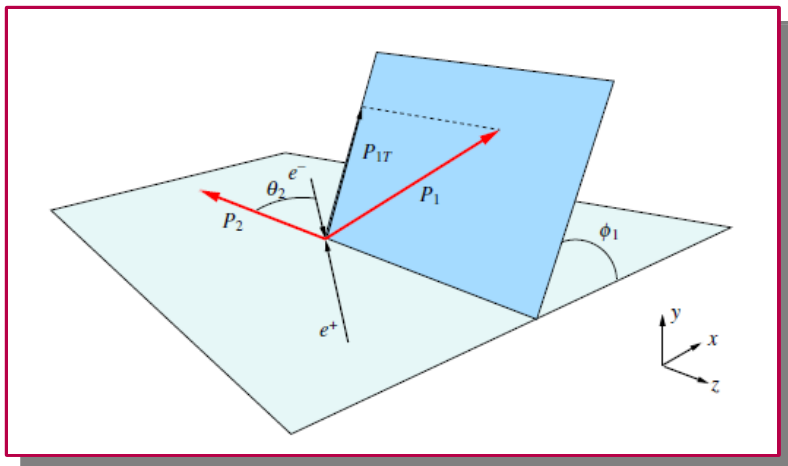
$$\simeq 1 + \frac{1}{4} \cos(\varphi_1 + \varphi_2) \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} (P_U - P_L)$$

$$\equiv 1 + \cos(\varphi_1 + \varphi_2) A_{12}(z_1, z_2),$$



As Anselm Vossen and David Muller nicely explained in their talks

# BELLE $A_0$ – hadron plane method



Kinematically much more complex than the previous method

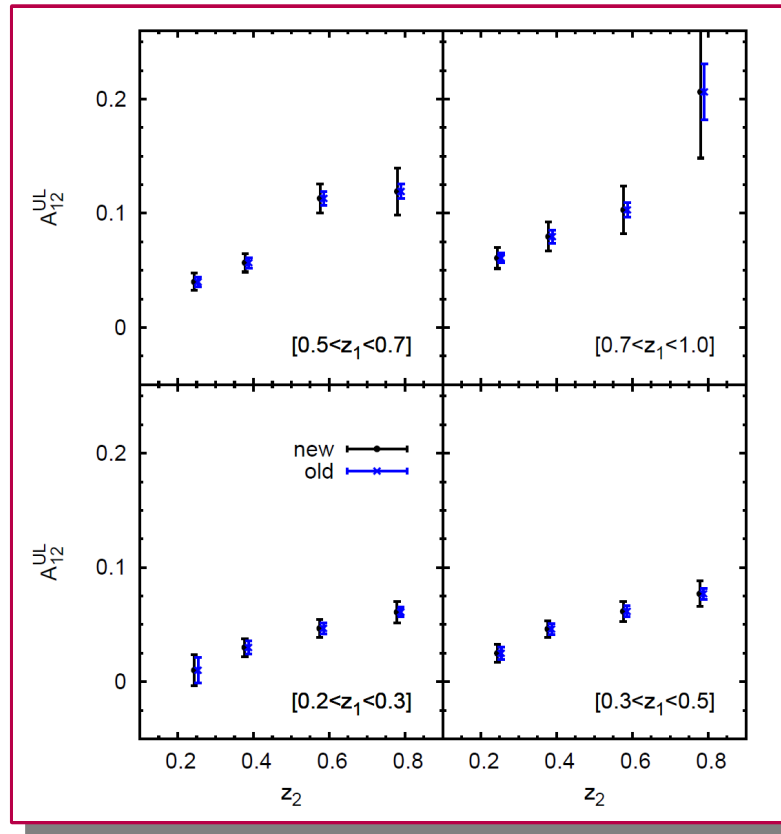
$$R \simeq 1 + \cos(2\phi_1)A_0(z_1, z_2),$$

$$A_0(z_1, z_2) = \frac{1}{\pi} \frac{z_1 z_2}{z_1^2 + z_2^2} \frac{\langle \sin^2 \theta_2 \rangle}{\langle 1 + \cos^2 \theta_2 \rangle} (P_U - P_L),$$

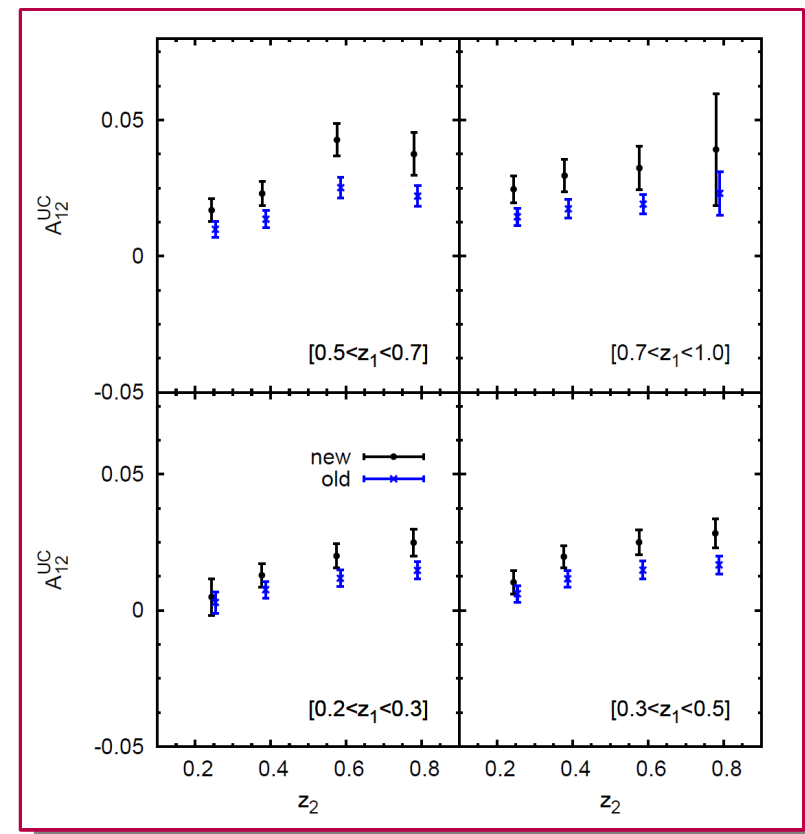
$$A(z_1, z_2, \theta_2, \phi_1) = 1 + \frac{1}{\pi} \frac{z_1 z_2}{z_1^2 + z_2^2} \frac{\sin^2 \theta_2}{1 + \cos^2 \theta_2} \cos(2\phi_1) \times \frac{\sum_q e_q^2 \Delta^N D_{h_1/q^\dagger}(z_1) \Delta^N D_{h_2/\bar{q}^\dagger}(z_2)}{\sum_q e_q^2 D_{h_1/q}(z_1) D_{h_2/\bar{q}}(z_2)}$$

# **The newest data**

# BELLE $A_{12}$ : old and new data

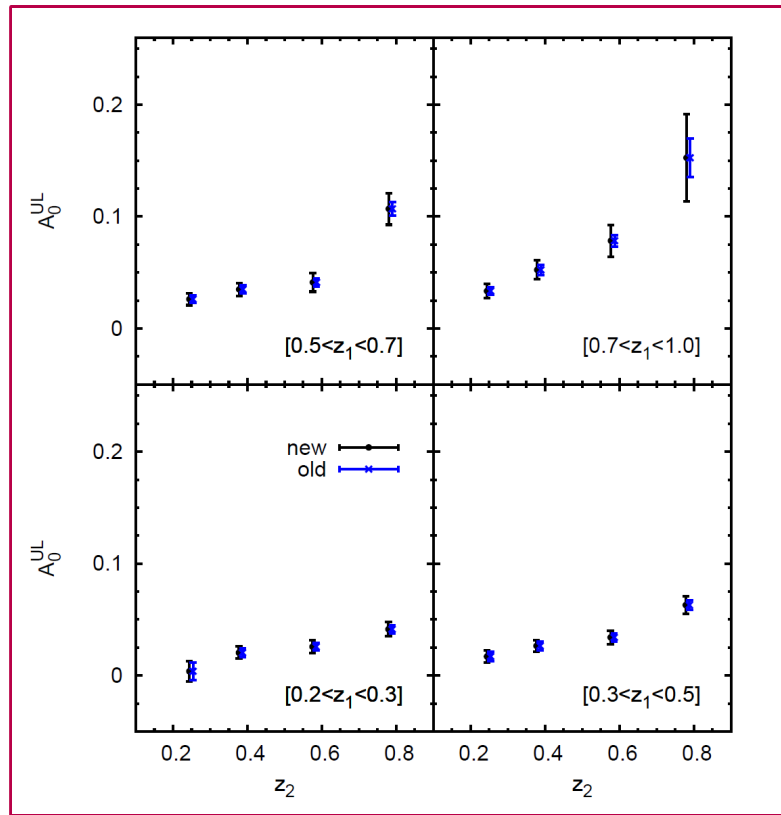


$A_{12}^{UL}$ : same central values  
larger error bars

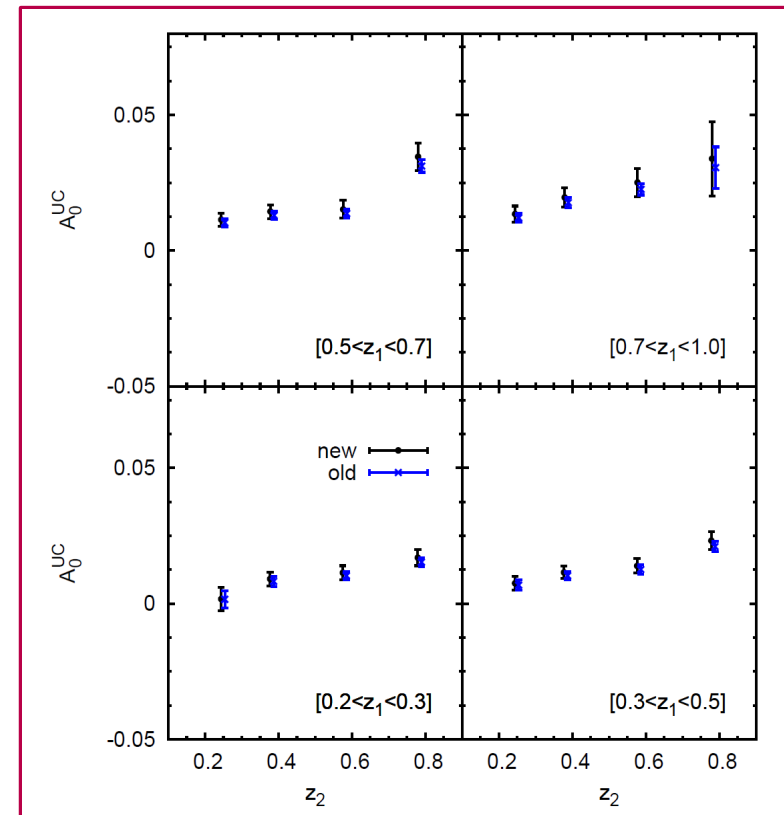


$A_{12}^{UC}$ : larger central values (different normalization)  
larger error bars

# BELLE $A_0$ : old and new data



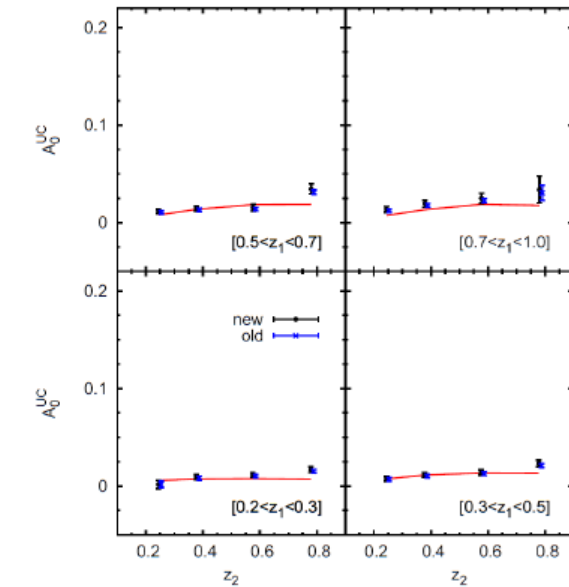
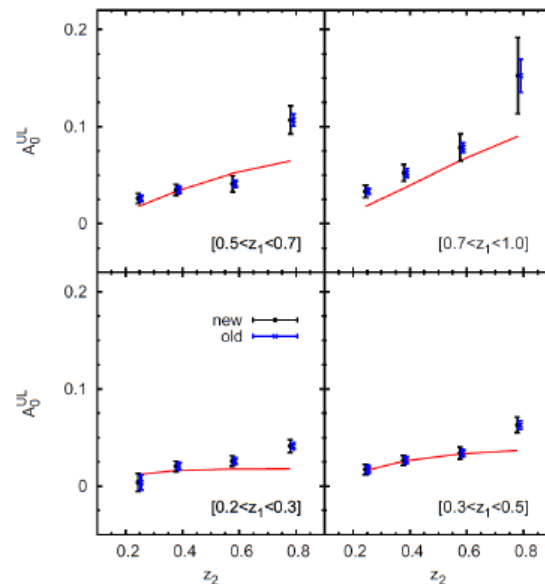
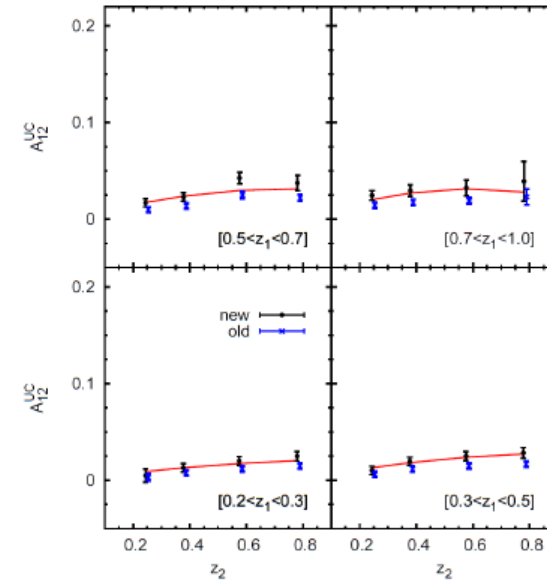
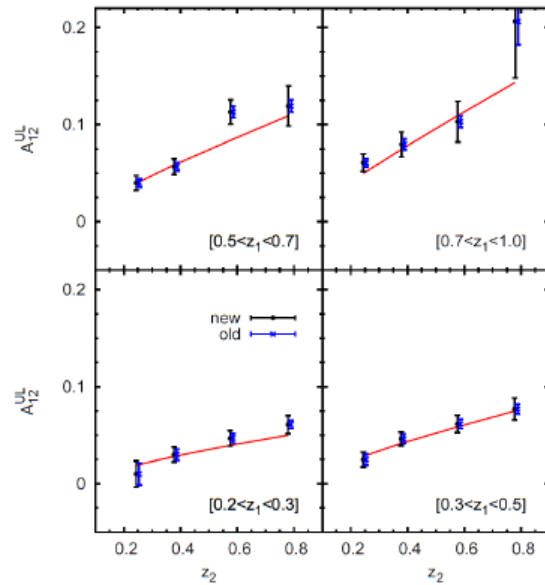
$A_{12}^{UL}$ : same central values  
larger error bars



$A_{12}^{UC}$ : larger central values (different normalization)  
larger error bars

**Good consistency between UL and UC ratios**  
**Still some tension between thrust axis and hadronic plane methods**

# BELLE: old fit, new data



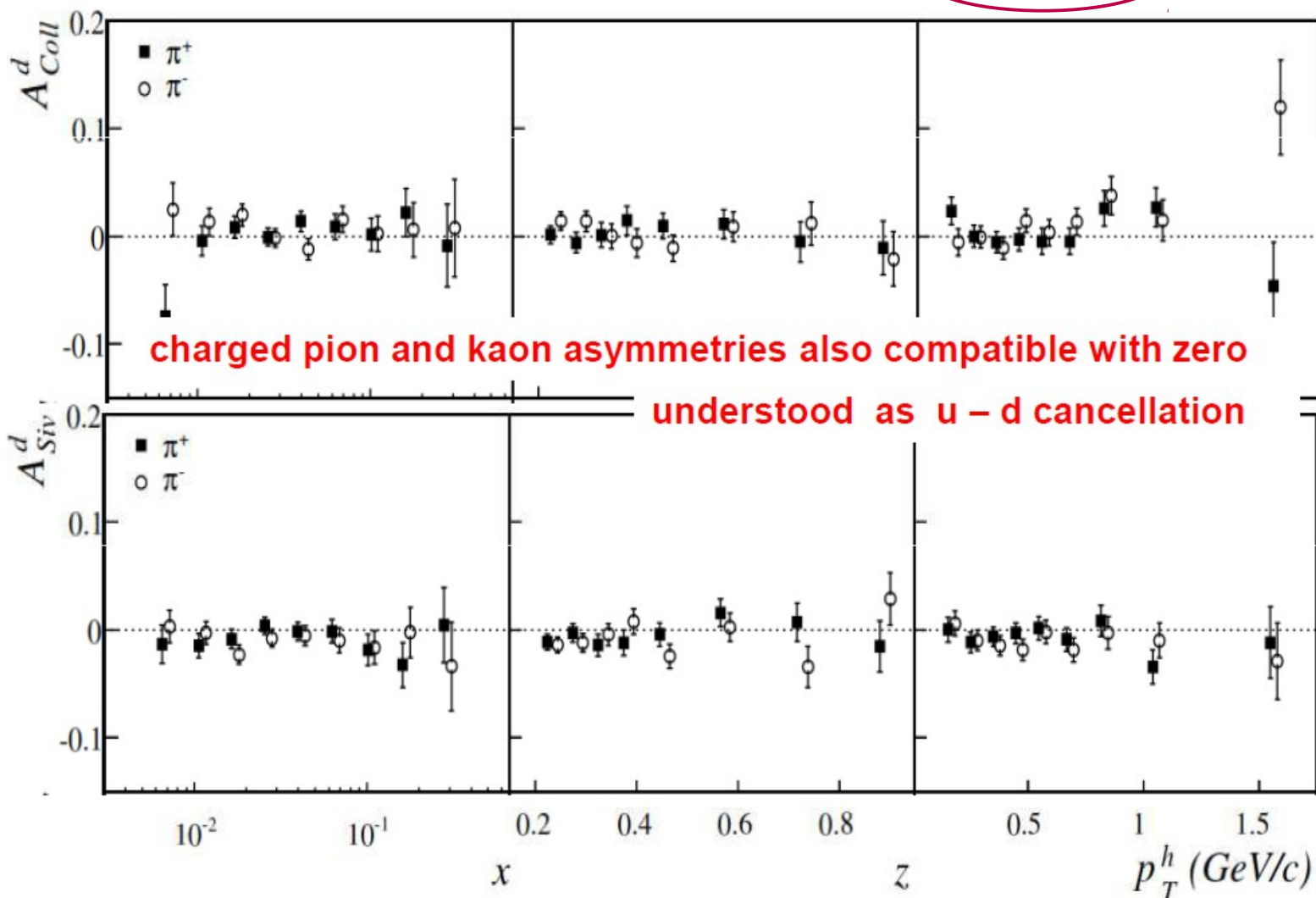


# COMPASS deuteron: latest analysis 2009

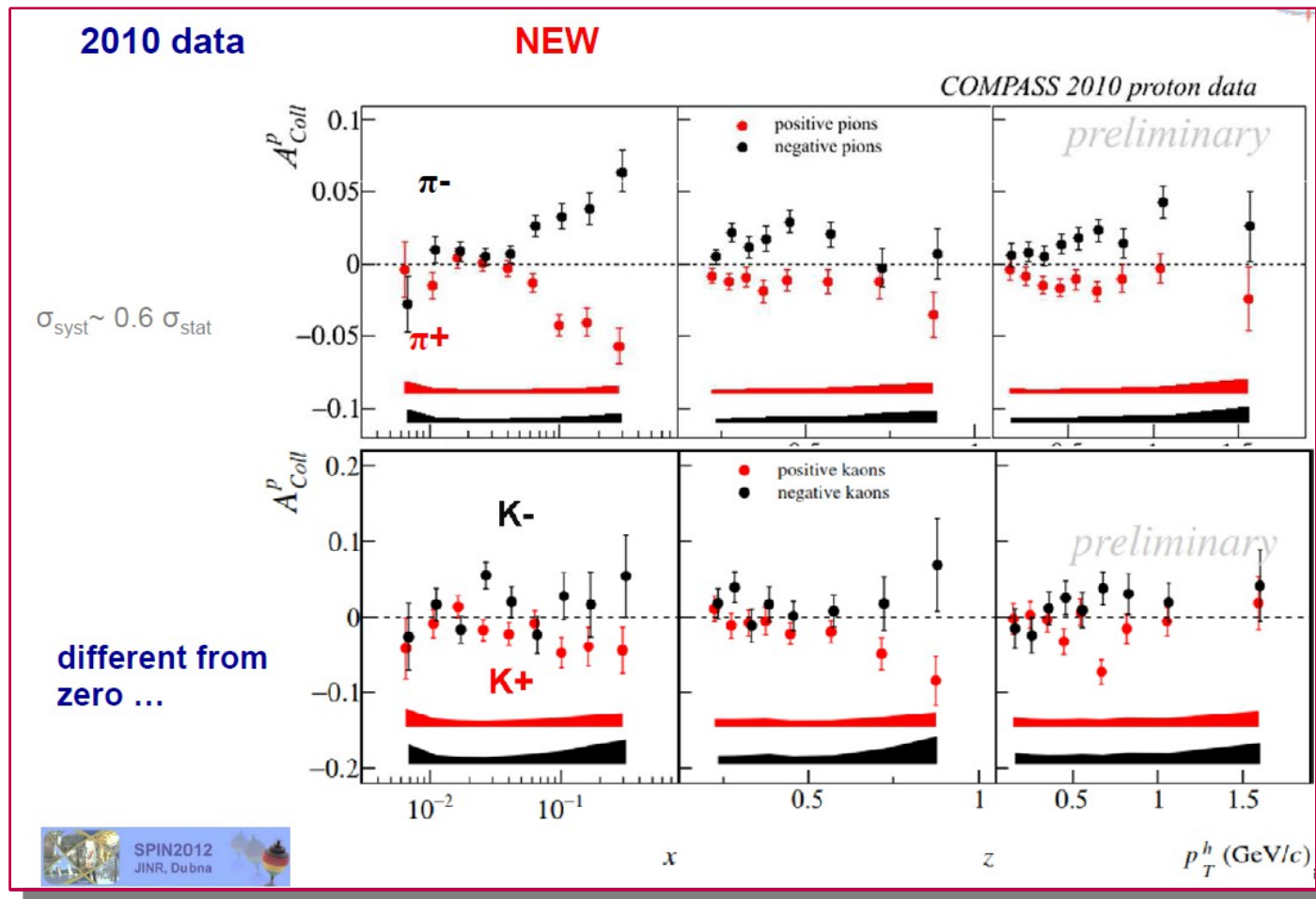
first results in 2005

final results on deuteron 2002-2004 data

NPB 765 (2007) 31 PLB 673 (2009) 127



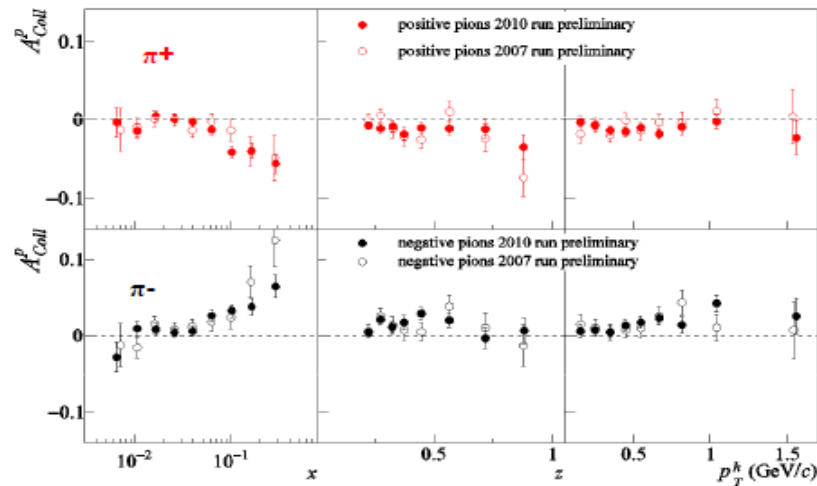
# COMPASS proton: newest data 2012



Courtesy of Anna Martin

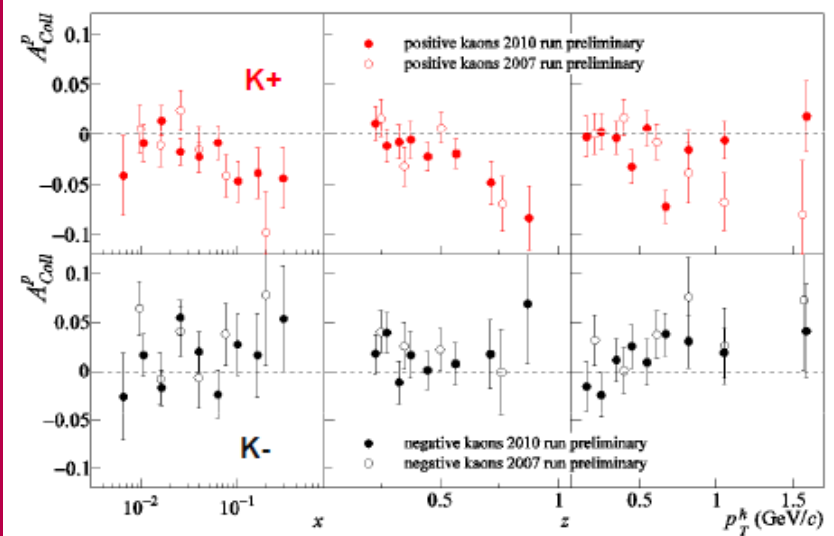
# COMPASS proton: 2007 data versus 2010 data

## Collins asymmetry 2010 vs 2007

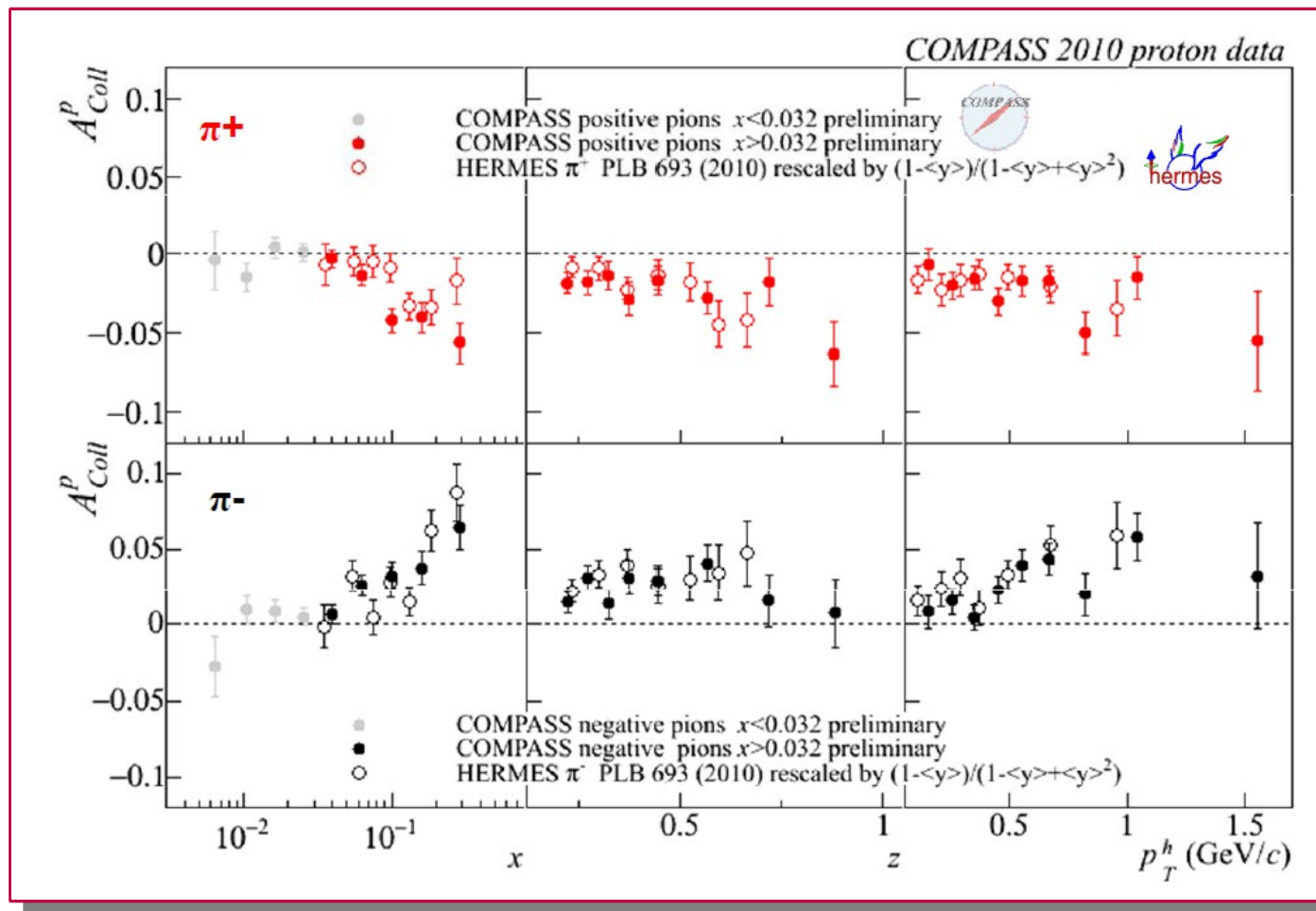


Courtesy of Anna Martin

For the moment being  
only unidentified hadron  
data are published in  
PLB 69 (2210) 240

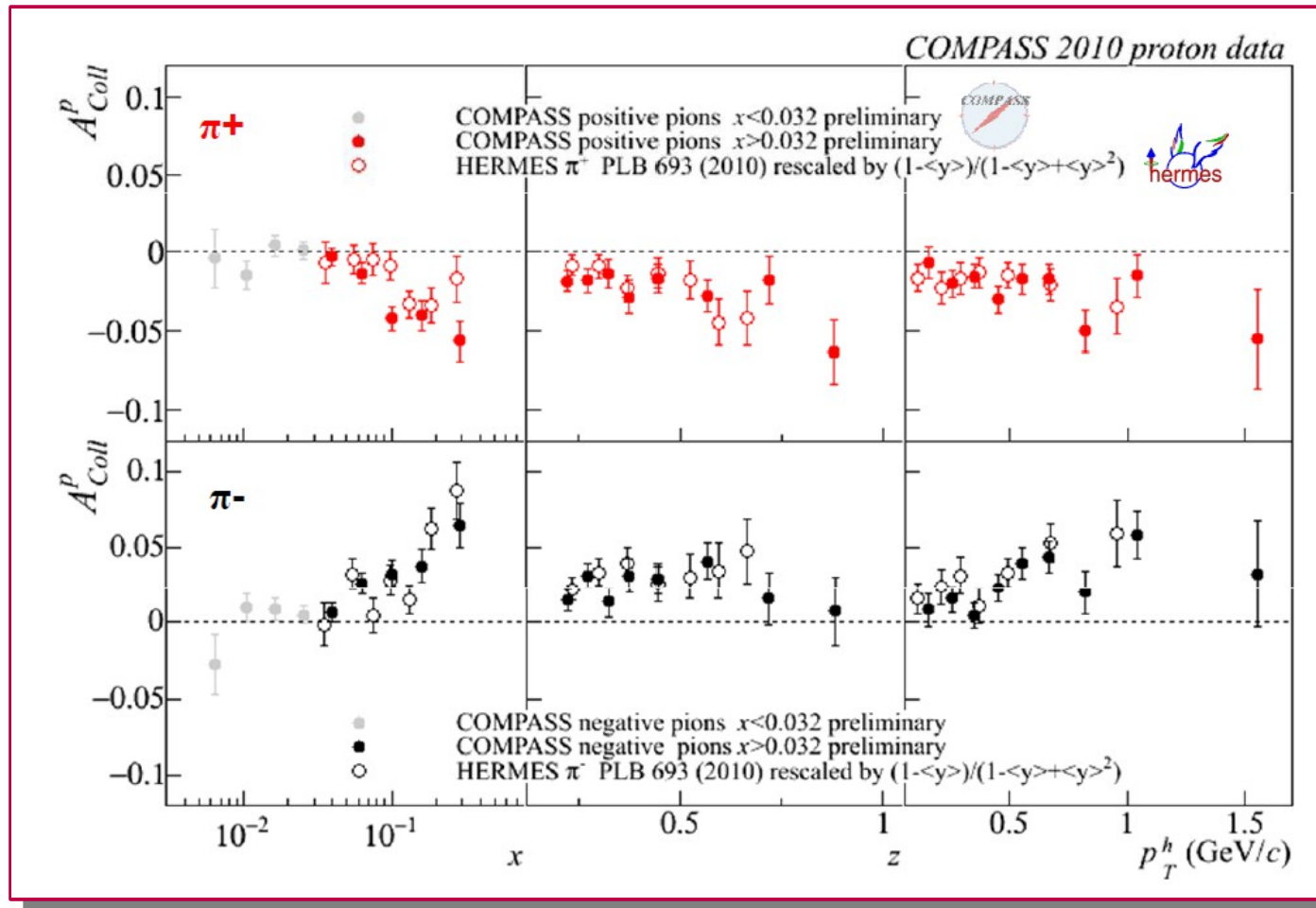


# COMPASS and HERMES on proton: comparison



*Courtesy of Anna Martin*

# COMPASS and HERMES on proton: comparison



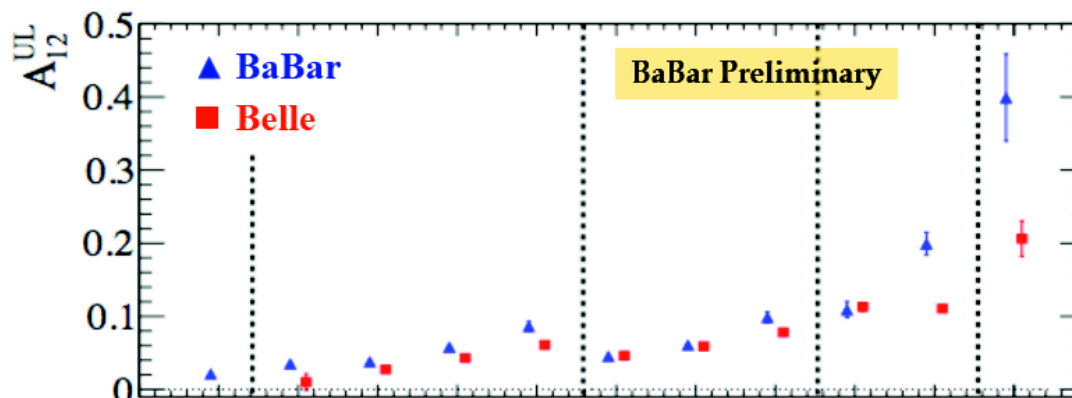
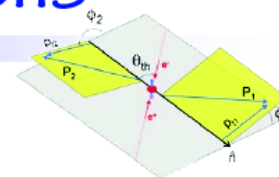
*Courtesy of Anna Martin*



# **Future perspectives: BaBar data**

# BaBar and BELLE: comparison

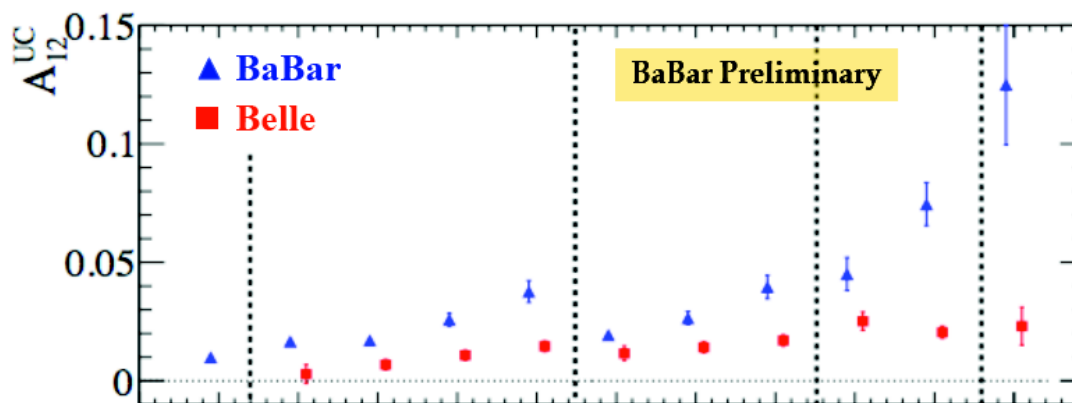
## RF 1 2: BaBar/Belle asymmetries comparisons



BaBar ( $0.15 < z < 0.9$ )  $\mathcal{L} \sim 470 \text{ fb}^{-1}$   
 Belle ( $0.2 < z < 1$ )  $\mathcal{L} \sim 547 \text{ fb}^{-1}$   
 PRD 78, 032011 (2008)

$A_{12}^{UL}$ : large discrepancy in the last two bins of  $z$

- bin-by-bin correction factors (30%)
- $z < 0.9$  to remove the contamination from  $\mu\mu\gamma$  background and exclusive events



$A_{12}^{UC}$ : BaBar asymmetry systematically above the Belle results for all  $z$ .

Belle analysts are investigating the source of discrepancies.

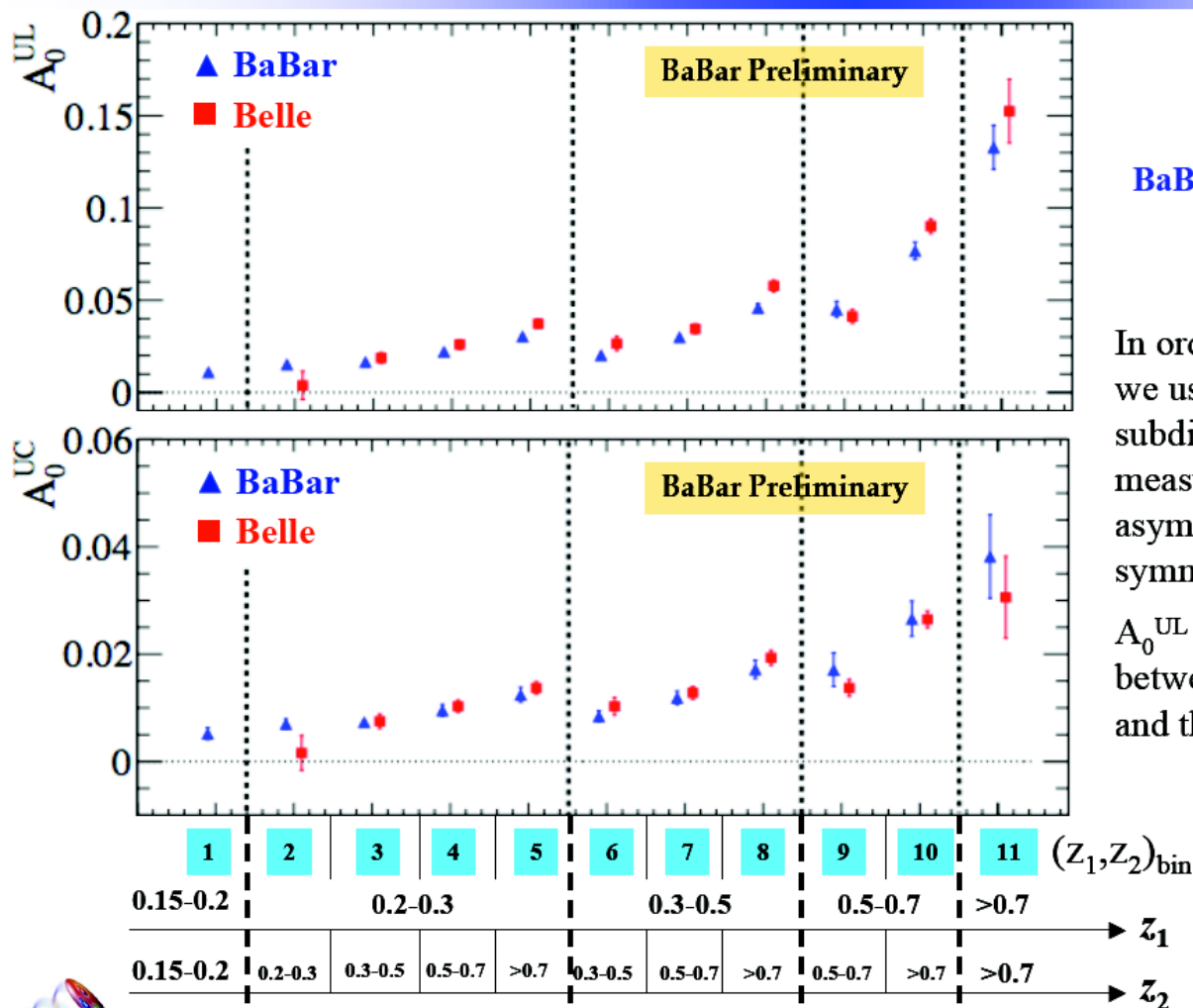
| 1        | 2       | 3       | 4       | 5    | 6       | 7       | 8    | 9       | 10   | 11   | $(z_1, z_2)_{\text{bin}}$ |
|----------|---------|---------|---------|------|---------|---------|------|---------|------|------|---------------------------|
| 0.15-0.2 | 0.2-0.3 | 0.3-0.5 | 0.5-0.7 | >0.7 | 0.3-0.5 | 0.5-0.7 | >0.7 | 0.5-0.7 | >0.7 | >0.7 | $z_1$                     |
| 0.15-0.2 | 0.2-0.3 | 0.3-0.5 | 0.5-0.7 | >0.7 | 0.3-0.5 | 0.5-0.7 | >0.7 | 0.5-0.7 | >0.7 | >0.7 | $z_2$                     |

Courtesy of Isabella Garcia



# BaBar and BELLE: comparison

## RFO:BaBar/Belle asymmetries comparisons



In order to perform this comparison, we used 10 (+1) symmetrized z-bin subdivisions, averaging the measured Belle and BaBar asymmetries which fell in the same symmetric bins

$A_0^{UL}$  and  $A_0^{UC}$  : good agreement between the BaBar asymmetries and the Belle results.

Courtesy of Isabella Garcia

# **The fit: new global analysis and new results**

**(MINUIT ran until a minute ago ...  
thanks Stefano and Alexei  
for your formidable efforts !)**

# The fit: parameters and parametrization

$$f_{q/p}(x, k_{\perp}) = f_{q/p}(x) \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}}{\pi \langle k_{\perp}^2 \rangle},$$

$$D_{h/q}(z, p_{\perp}) = D_{h/q}(z) \frac{e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle}}{\pi \langle p_{\perp}^2 \rangle},$$

$$\langle k_{\perp}^2 \rangle = 0.25 \text{ GeV}^2, \quad \langle p_{\perp}^2 \rangle = 0.20 \text{ GeV}^2.$$

These parameters were extracted in 2005 mostly from EMC data. It is now about time to perform a new extraction of unpolarized TMDs with the outstanding high precision multiplicity data from COMPASS, HERMES, BaBar and hopefully BELLE.

## Transversity

$$\Delta_T q(x, k_{\perp}) = \frac{1}{2} \mathcal{N}_q^T(x) [f_{q/p}(x) + \Delta q(x)] \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle_T}}{\pi \langle k_{\perp}^2 \rangle_T},$$

$$\mathcal{N}_q^T(x) = N_q^T x^{\alpha} (1-x)^{\beta} \frac{(\alpha + \beta)^{(\alpha + \beta)}}{\alpha^{\alpha} \beta^{\beta}},$$

## Collins

$$\Delta^N D_{h/q^{\dagger}}(z, p_{\perp}) = 2 \mathcal{N}_q^C(z) D_{h/q}(z) h(p_{\perp}) \frac{e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle}}{\pi \langle p_{\perp}^2 \rangle},$$

$$\mathcal{N}_q^C(z) = N_q^C z^{\gamma} (1-z)^{\delta} \frac{(\gamma + \delta)^{(\gamma + \delta)}}{\gamma^{\gamma} \delta^{\delta}},$$

$$h(p_{\perp}) = \sqrt{2} e \frac{p_{\perp}}{M} e^{-p_{\perp}^2 / M^2},$$

# The fit: parameters and parametrization

## Transversity

$$\mathcal{N}_q^T(x) = N_q^T x^\alpha (1-x)^\beta \frac{(\alpha + \beta)^{(\alpha + \beta)}}{\alpha^\alpha \beta^\beta},$$

## Collins function

$$\mathcal{N}_q^C(z) = N_q^C z^\gamma (1-z)^\delta \frac{(\gamma + \delta)^{(\gamma + \delta)}}{\gamma^\gamma \delta^\delta},$$

$$h(p_\perp) = \sqrt{2} e \frac{p_\perp}{M} e^{-p_\perp^2/M^2},$$

## Transversity

$$N_u \sim 0.5$$

$$N_d \sim -1.0$$

$$\alpha \sim 1.0$$

$$\beta \sim 3.5 \pm \text{large error}$$

$$\chi^2_{\text{dof}} = 0.8$$

*Previous extractions:*  
*Anselmino et al., Phys. Rev. D 75, 054032 (2006)*  
*Nucl.Phys.Proc.Suppl. 191, 98 (2009)*

## Collins function

$$N_{\text{fav}} \sim 0.5$$

$$N_{\text{unf}} \sim -1.0$$

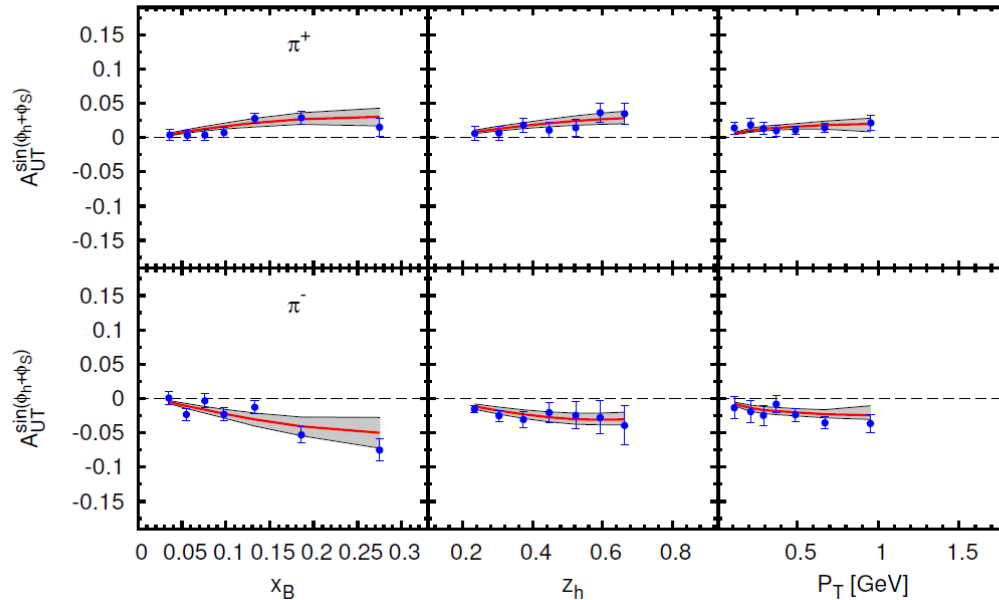
$$\gamma \sim 1.0$$

$$\delta \sim 0.0 \pm \text{large error}$$

$$M^2 \sim 1.5 \text{ GeV}^2$$

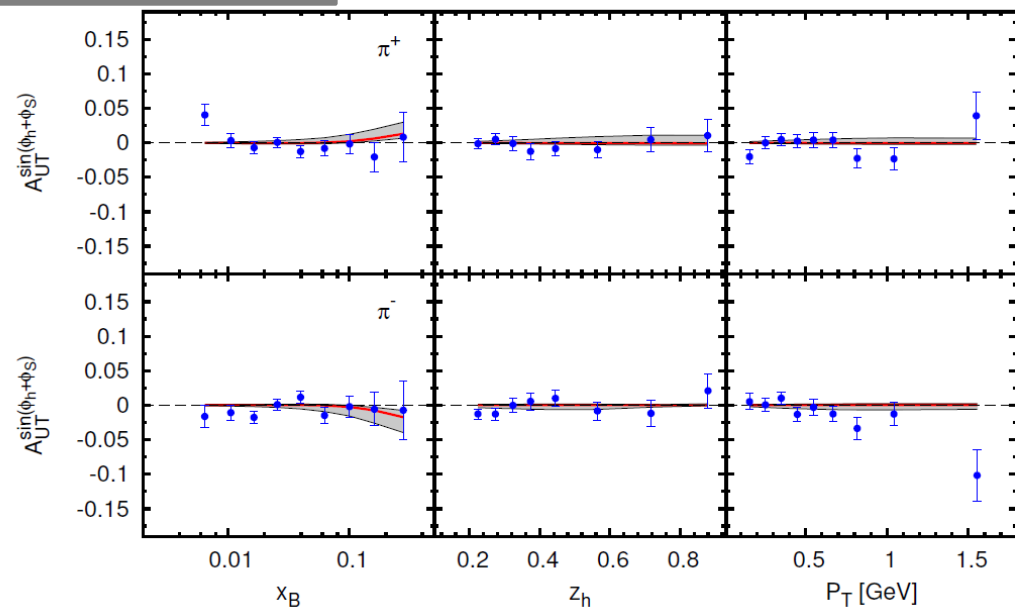
# HERMES and COMPASS - deuteron

HERMES PROTON - DGLAP

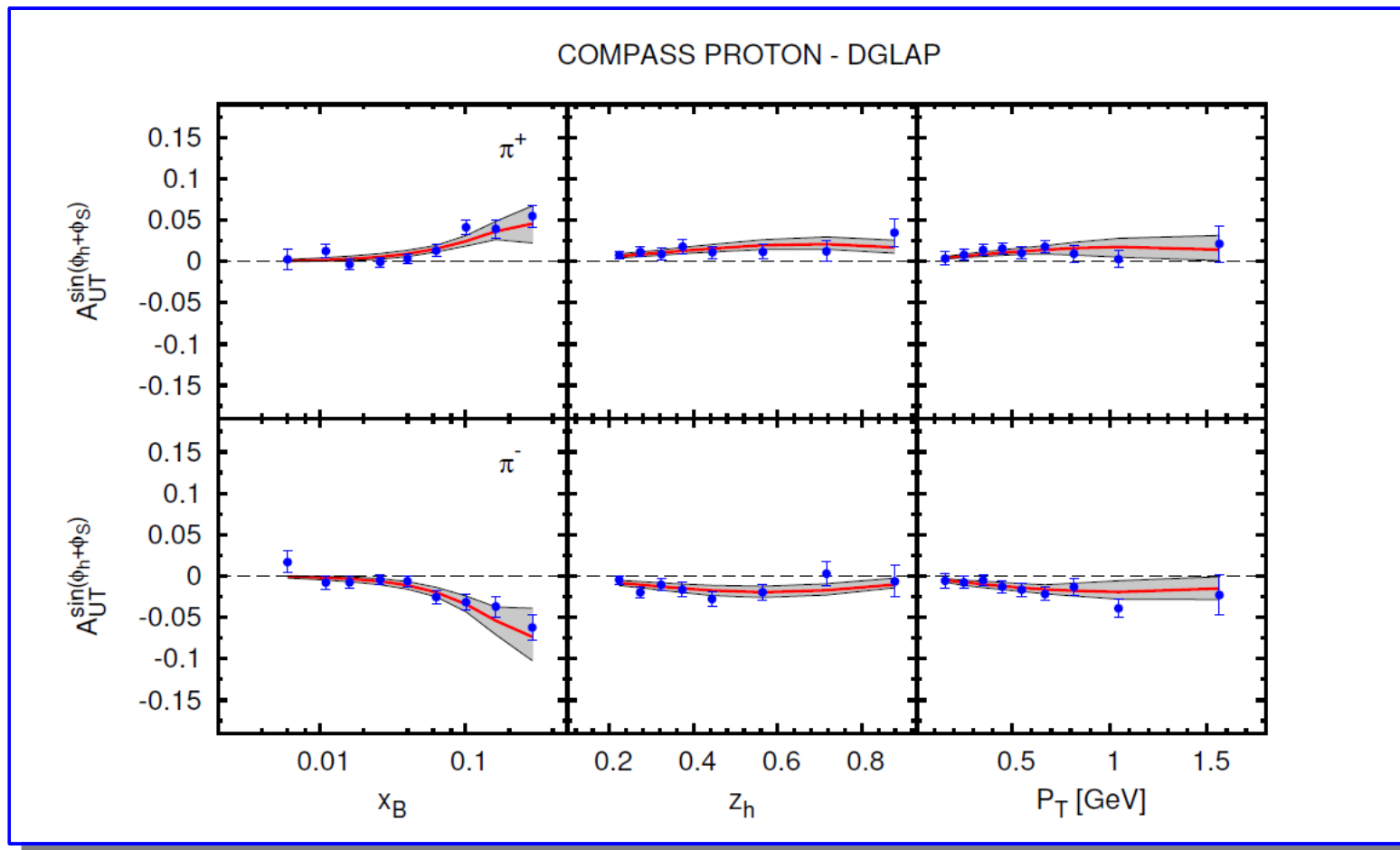


The bands are obtained by exploring the (9) parameter space over the region covered by  $\Delta\chi^2 = 17.2$

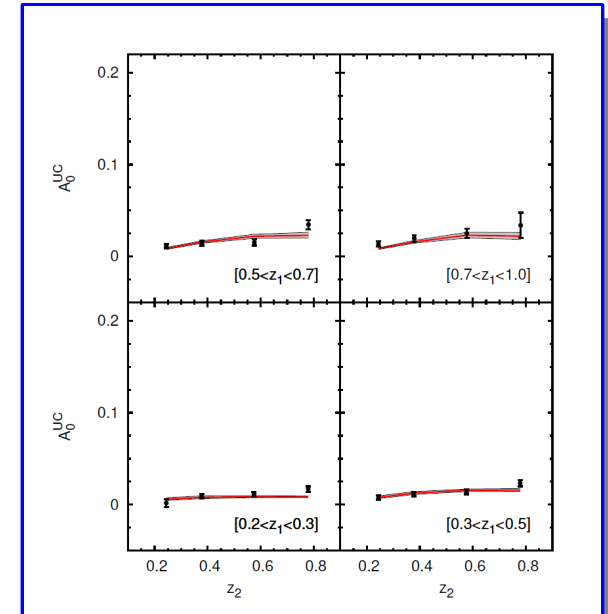
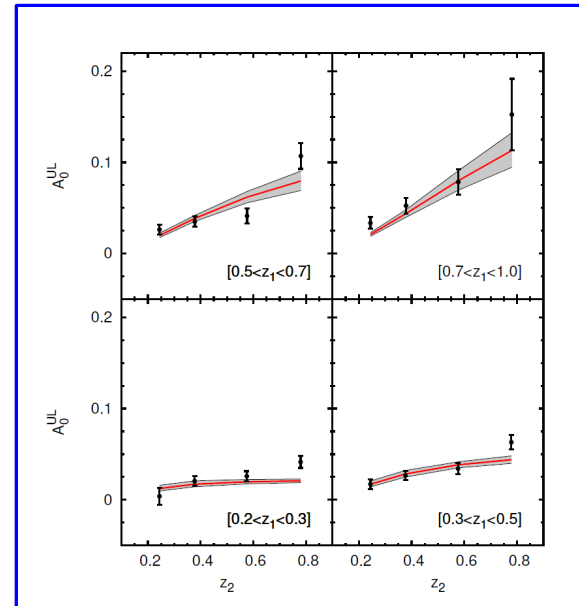
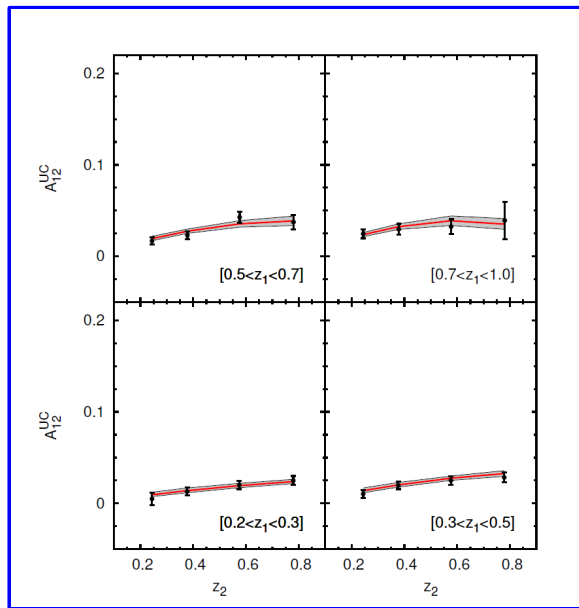
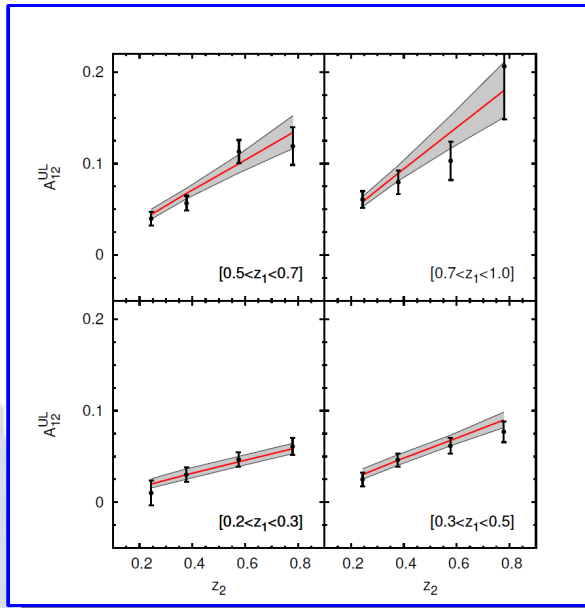
COMPASS DEUTERON - DGLAP



# COMPASS - proton

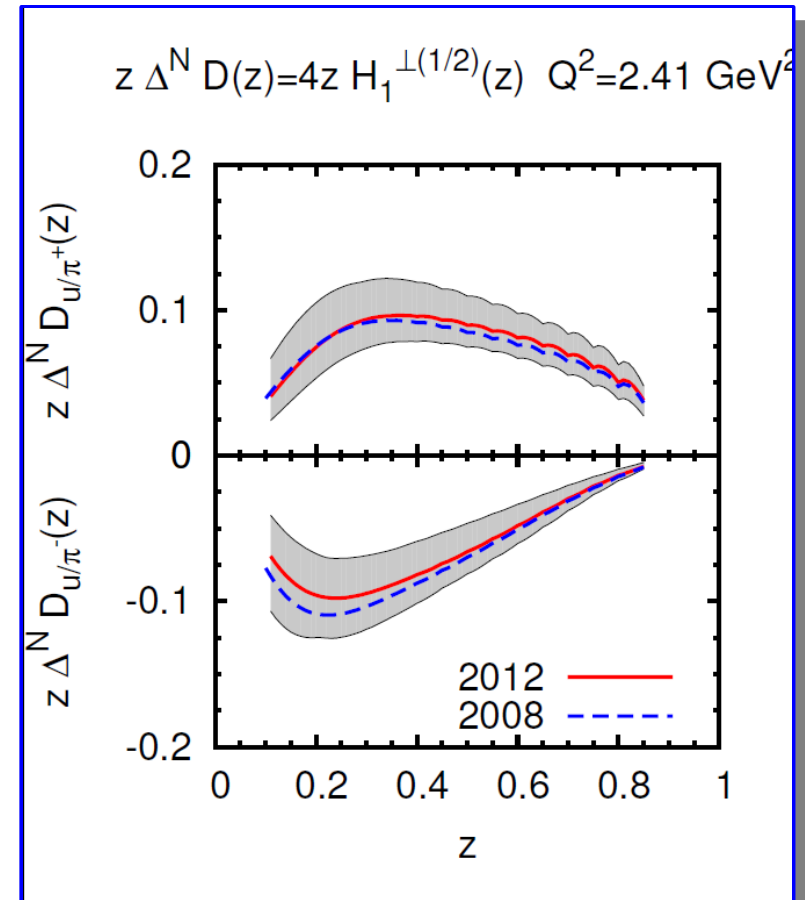
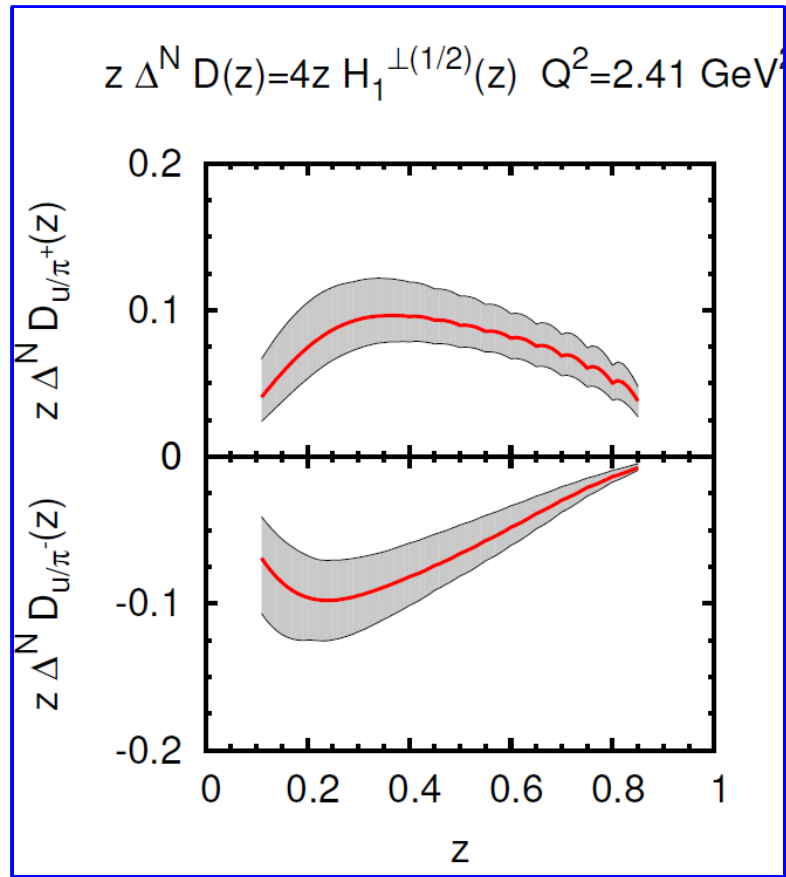


# BELLE $A_{12}$ and $A_0$

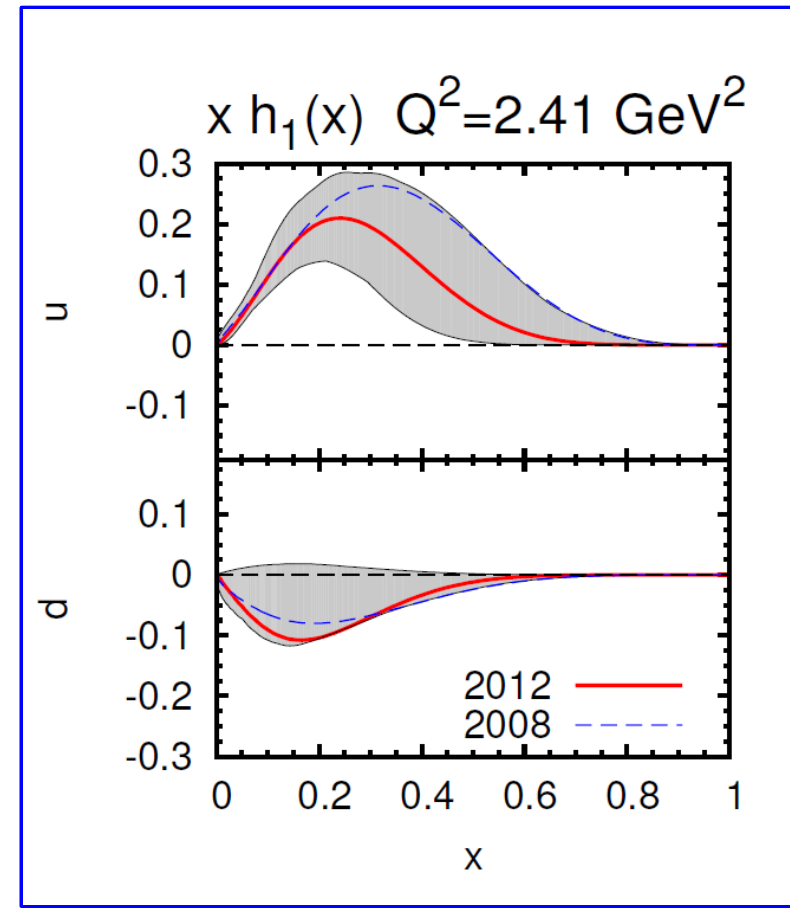
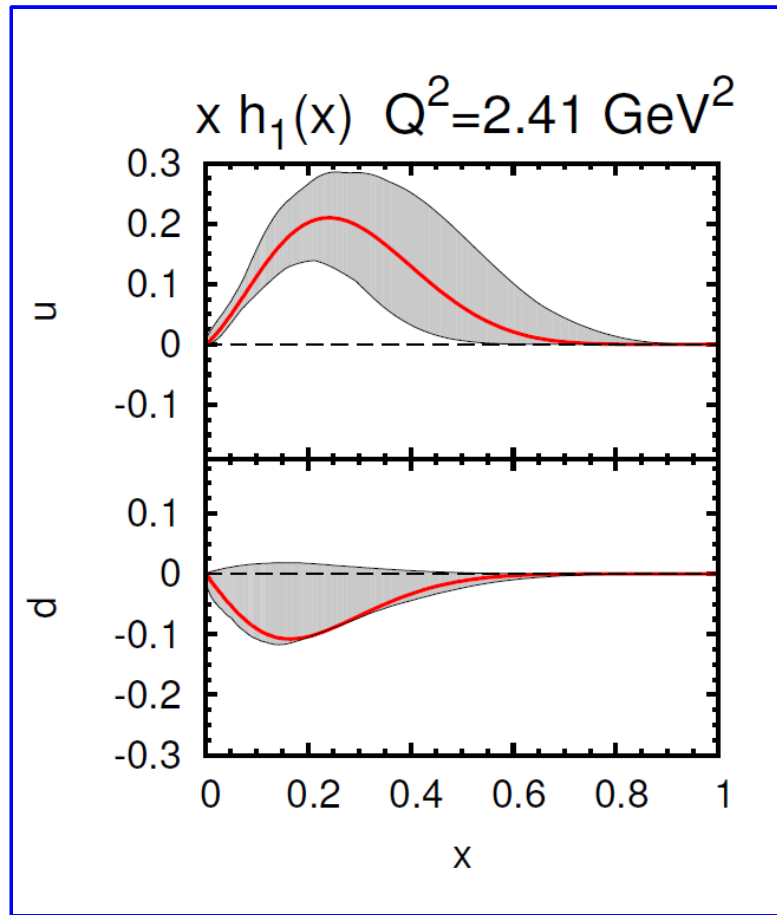




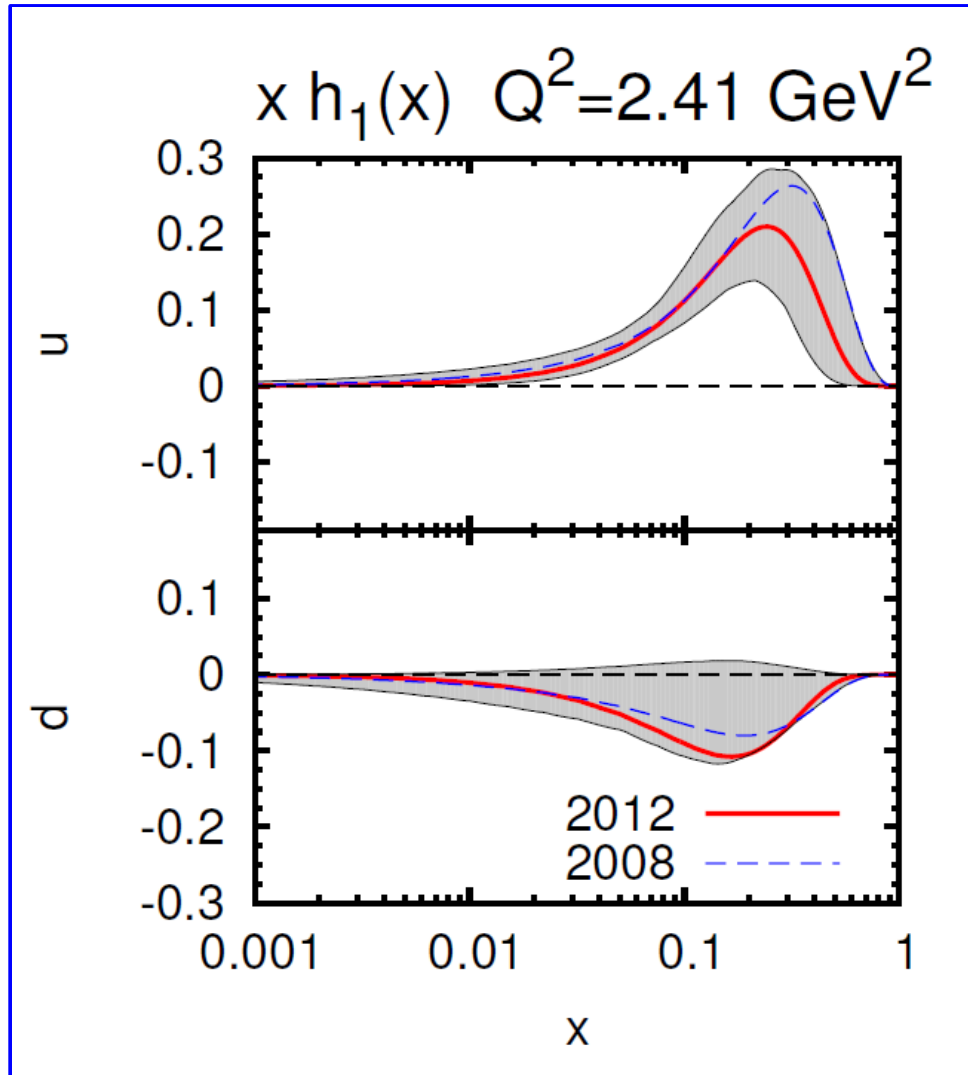
# The Collins function



# Transversity

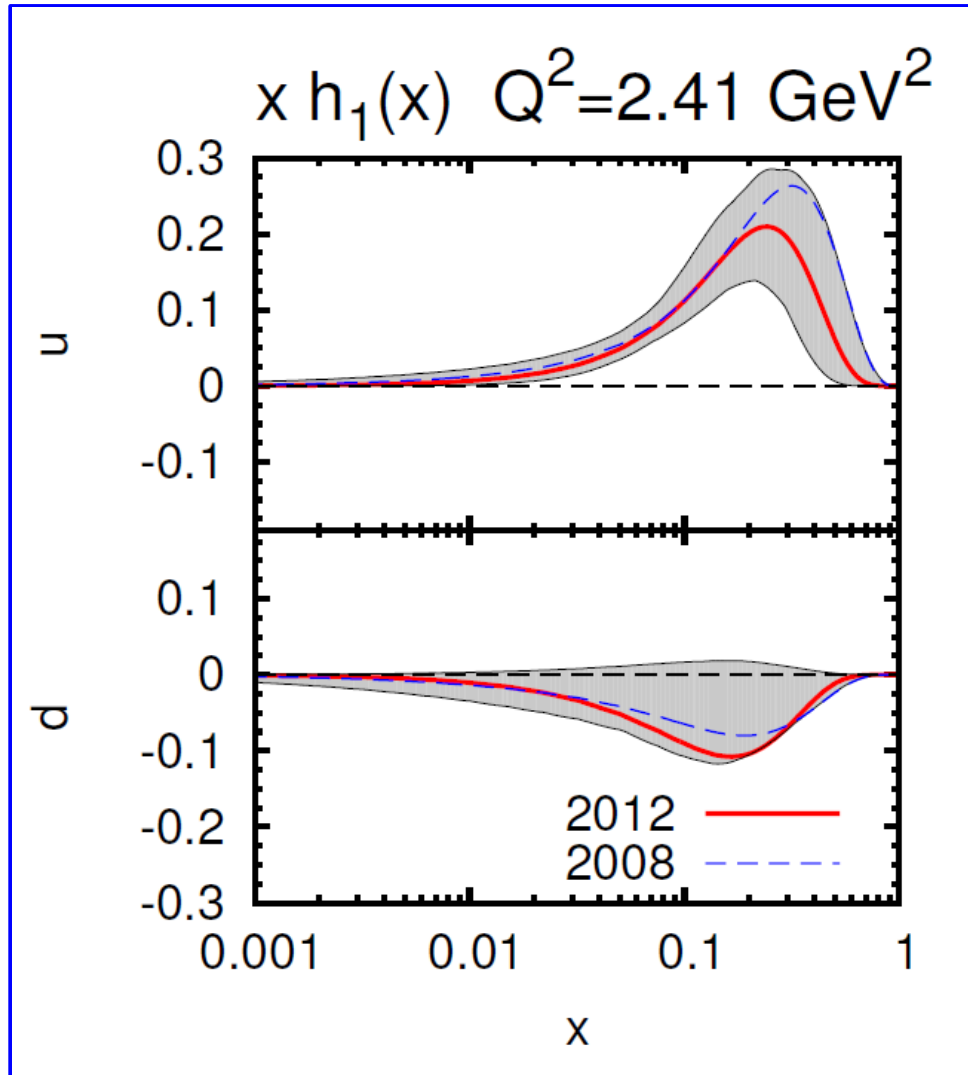


# Transversity



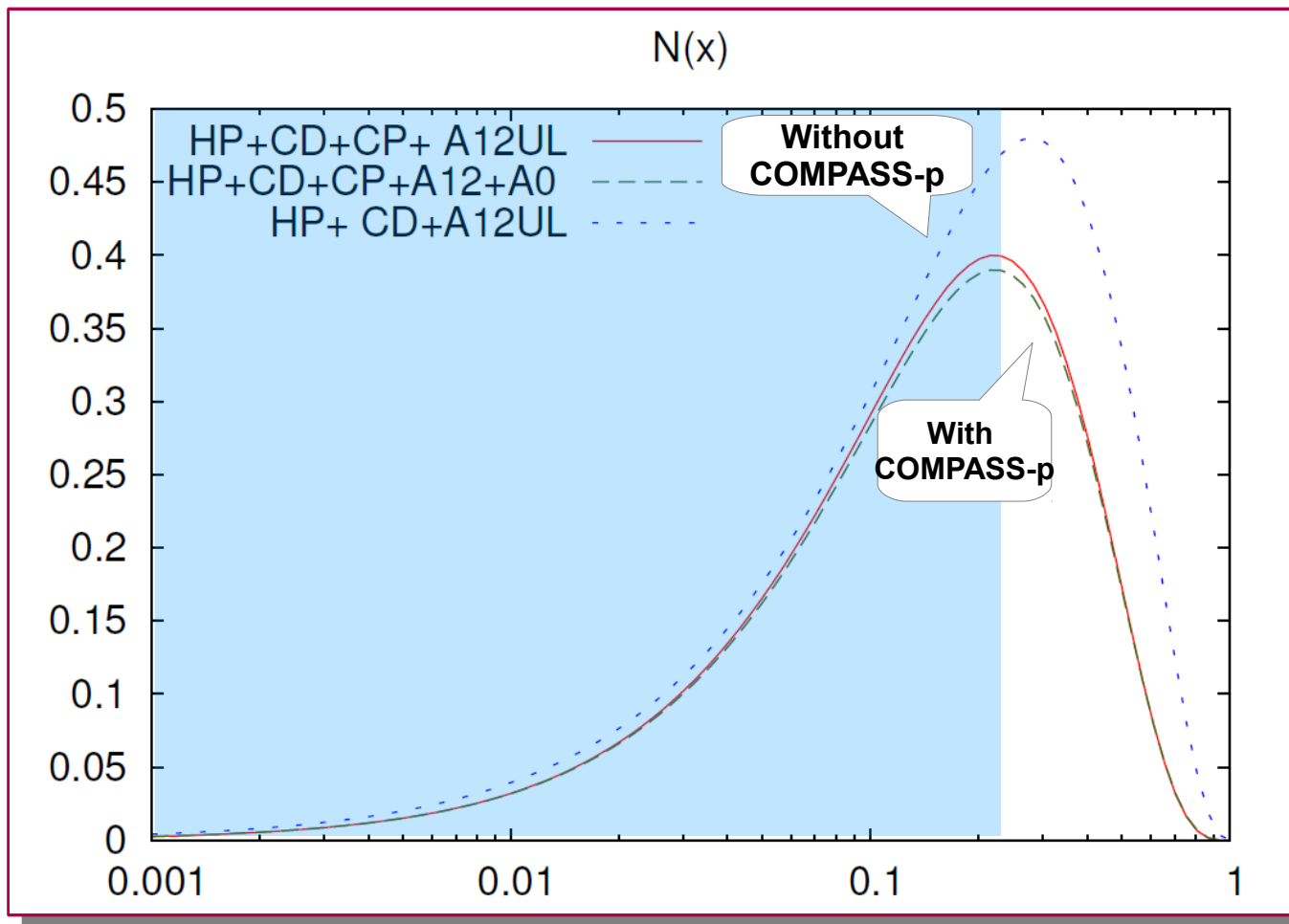
The 2012 extraction is perfectly consistent with the 2008 extraction in the region covered by the experimental data

# Transversity



The 2012 extraction is perfectly consistent with the 2008 extraction in the region covered by the experimental data

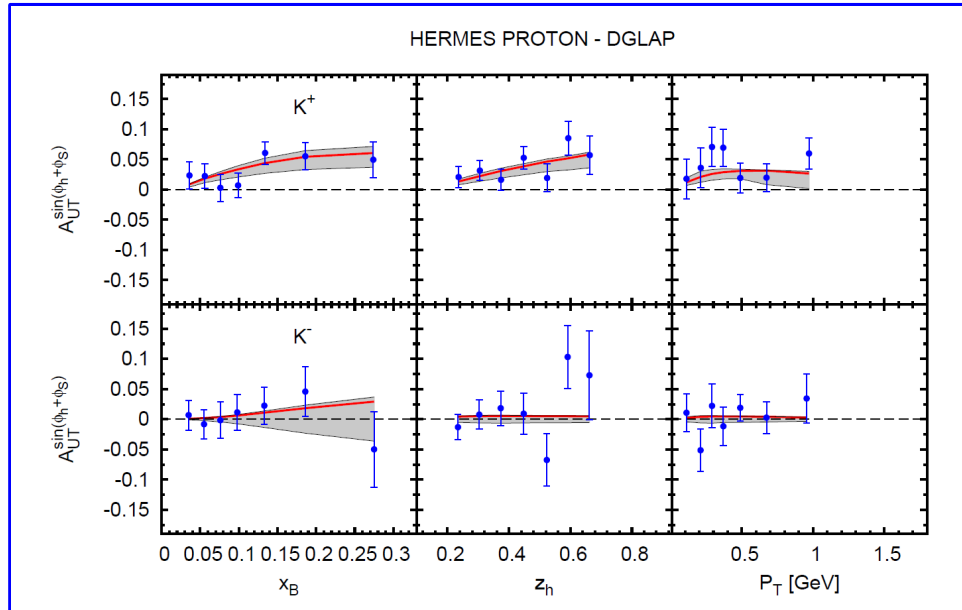
# Impact of new COMPASS-proton data on the fit results



The new COMPASS-proton data show a preference for a smaller transversity functions than those extracted previously

# Collins fragmentation function for kaons

## Kaon Collins function



$$\chi^2_{\text{dof}} = 1.0$$

$$N_{\text{fav}} \sim 1.0$$

$$N_{\text{unf}} \sim +1.0$$

$$\gamma \sim 1.0 \text{ fixed}$$

$$\delta \sim 0.0 \text{ fixed}$$

$$M^2 \sim 0.7 \text{ GeV}^2$$

- At present, only SIDIS data for  $K^+$  and  $K^-$  production are available for the extraction of the Collins function for kaons.
- In this extraction the transversity functions are those obtained by fitting pion data (only valence contribution)  
→ strong suppression of s and s-bar contributions.  
As a consequence  $N_{\text{fav}}$  is stretched to its maximum.
- Favoured and unfavoured K-Collins functions have the same sign, while for pion production they have opposite signs.
- $e^+e^-$  data from BELLE and BaBar will soon be available for kaon pair production (see Francesca Giordano talk) which will allow a proper extraction.

# **TMD Evolution formalism**



# TMD Evolution formalism

Let  $F$  be either an unpolarized distribution or fragmentation function in the **impact parameter space**.

In general terms, its TMD evolution equation can be written as

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

*Aybat, Collins, Qiu, Rogers*

*J.C. Collins, Foundation of Perturbative QCD, Cambridge Monographs on Particle Physics, Nuclear Physics and Cosmology, No. 32, Cambridge University Press, 2011.*

*S. M. Aybat and T. C. Rogers, Phys. Rev. D83, 114042 (2011), arXiv:1101.5057 [hep-ph]*

*S. M. Aybat, J. C. Collins, J.-W. Qiu and T.C. Rogers, arXiv:1110.6428 [hep-ph]*

# TMD Evolution formalism

Let  $F_{\square}$  be either an unpolarized distribution or fragmentation function in the **impact parameter space**.

In general terms, its TMD evolution equation can be written as

$$\tilde{F}(x, \mathbf{b}_T; Q) = \tilde{F}(x, \mathbf{b}_T; Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -g_K(b_T) \ln \frac{Q}{Q_0} \right\}$$

Input function

*Aybat, Collins, Qiu, Rogers*

Unknown,  
but universal  
and scale  
Independent,  
input function

$$\tilde{R}(Q, Q_0, b_T) \equiv \exp \left\{ \ln \frac{Q}{Q_0} \int_{Q_0}^{\mu_b} \frac{d\mu'}{\mu'} \gamma_K(\mu') + \int_{Q_0}^Q \frac{d\mu}{\mu} \gamma_F \left( \mu, \frac{Q^2}{\mu^2} \right) \right\}$$

$$\gamma_K(\mu) = \alpha_s(\mu) \frac{2C_F}{\pi}$$

$$\gamma_F(\mu; \frac{Q^2}{\mu^2}) = \alpha_s(\mu) \frac{C_F}{\pi} \left( \frac{3}{2} - \ln \frac{Q^2}{\mu^2} \right)$$

# TMD Evolution formalism

Parametrization of the unknown functions

$$\begin{aligned}\tilde{f}_{q/p}(x, b_T; Q) &= f_{q/p}(x, Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -b_T^2 \left( \alpha^2 + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}, \\ \tilde{D}_{h/q}(z, b_T; Q) &= \frac{1}{z^2} D_{h/q}(z, Q_0) \tilde{R}(Q, Q_0, b_T) \exp \left\{ -b_T^2 \left( \beta^2 + \frac{g_2}{2} \ln \frac{Q}{Q_0} \right) \right\}\end{aligned}$$

Under some conditions, it is possible to find an analytical solution for the evolution equations  
For the unpolarized TMD parton fragmentation function, we have

$$D_{h/q}(z, p_\perp, Q) = D_{h/q}(z, Q_0) R(Q, Q_0) \frac{e^{-p_\perp^2 / w_F^2}}{\pi w_F^2}$$

$$w_F^2 \equiv w_F^2(Q, Q_0) = \langle p_\perp^2 \rangle + 2z^2 g_2 \ln \frac{Q}{Q_0}$$

# TMD Evolution

TMD evolution  
Very rapidly  
broadens and  
dilutes the  
functions with  
growing  $Q$

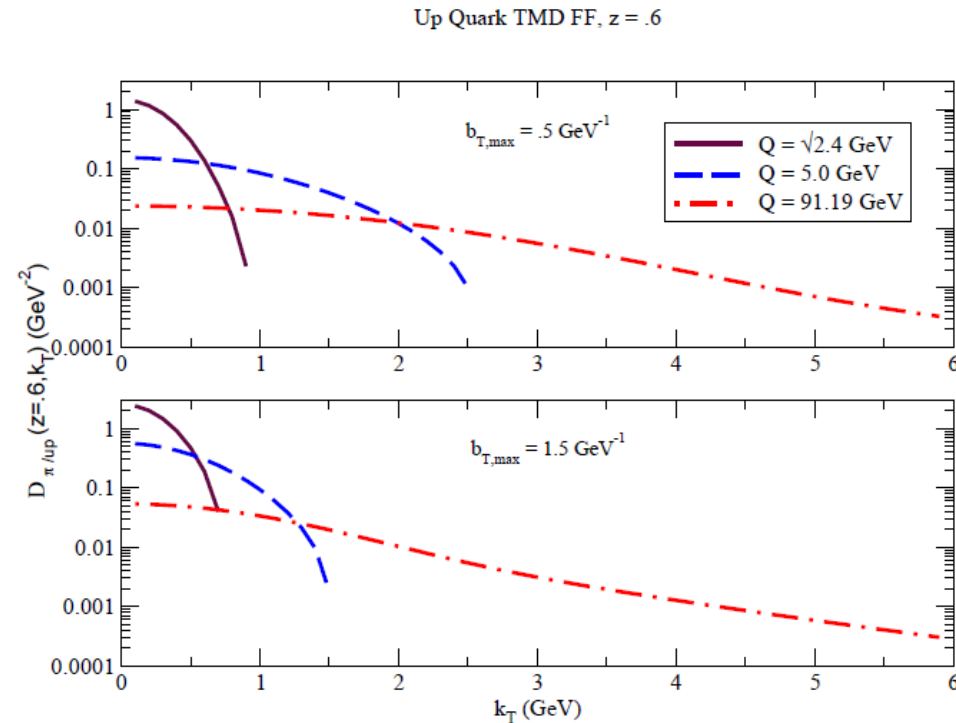
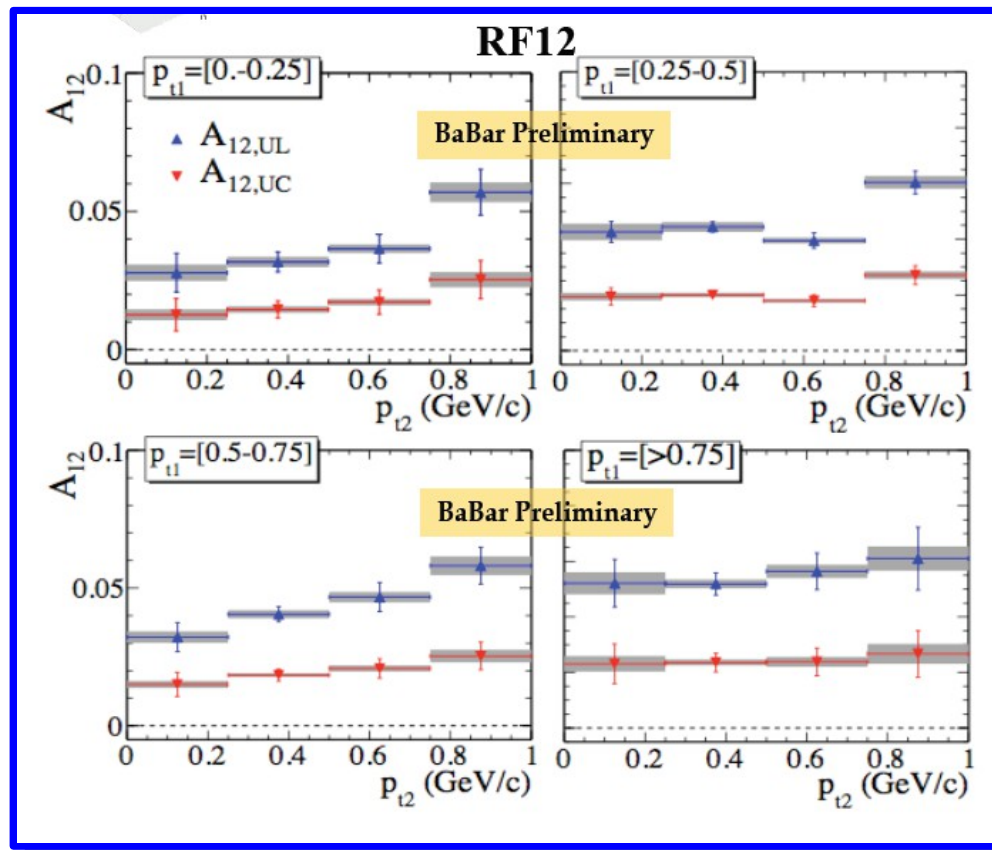


FIG. 4: TMD FF for charged pions from a hadronizing up-quark. The upper plot is for  $g_2 = .68 \text{ GeV}^2$  and the lower plot is for  $g_2 = .184 \text{ GeV}^2$ . In each case, TMD FF matches to the STM fit at  $Q = \sqrt{2.4} \text{ GeV}$ . (See online version for color.)

*S. Mert Aybat and Ted. C. Roger, Phys.Rev. D83 (2011) 114042*

# TMD Evolution

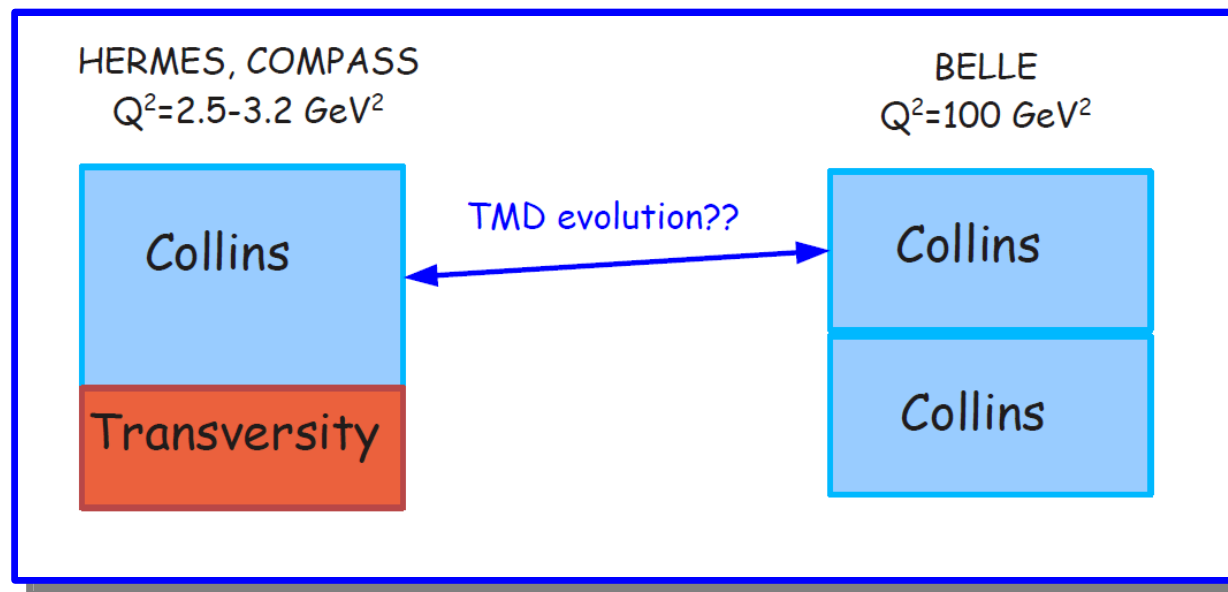
TMD evolution very rapidly broadens and dilutes the fragmentation functions (in pt) with growing  $Q^2 \rightarrow$  this might explain why BaBar ( $Q^2 = 100 \text{ GeV}^2$ ) measures a flat asymmetry



*Courtesy of Isabella Garcia*

# TMD Evolution

- TMD evolution tends to reduce the size of distribution and fragmentation functions as  $Q^2$  grows.
- The Collins TMD evolution is yet (phenomenologically) unknown: the perturbative part of the evolution equations is the same as that of the Sivers function, but the non perturbative input function is not: we need new modeling and new fits (Previous determinations, Nadolsky et al. 1999, 2001, are not appropriate, see talk by Z. Kang).
- Evolution tends to reduce the Collins function at larger  $Q^2$   
*D. Boer, Nucl. Phys. B603 (2001); Nucl. Phys. B803 (2009),*  
BUT the azimuthal moments involved in the Collins asymmetries are probably not smaller !  
Need a more detailed investigation ...



Courtesy of Stefano Melis  
M. Boglione

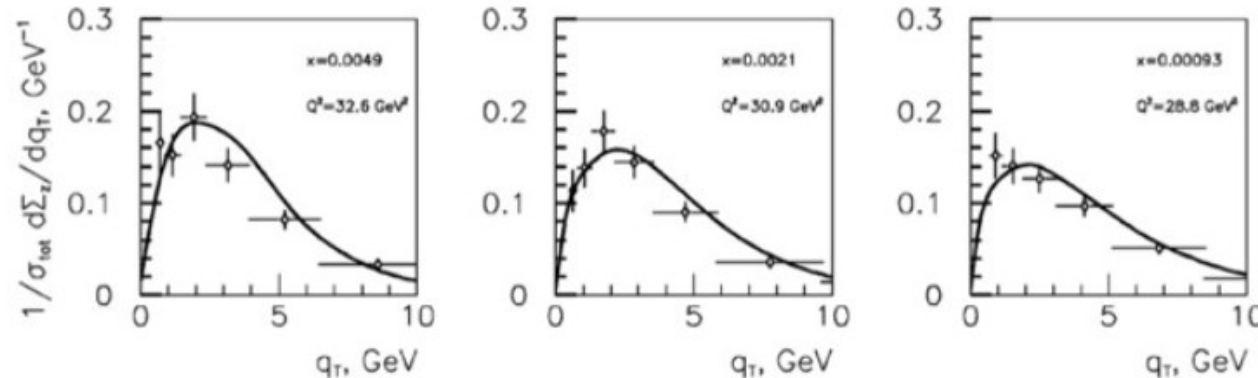


# TMD Evolution

## ■ z-flow distribution

$$\frac{d\Sigma_z}{dx dQ^2 dq_T^2} = \sum_B \int_{z_{\min}}^1 z \frac{d\sigma(e+A \rightarrow e+B+X)}{dx dz dQ^2 dq_T^2} dz.$$

Nadolsky, et.al., 1999, 2001



- The non-perturbative function fitted from HERA only works for small-x data, and do not apply for large x (Sivers data)
  - note this function in SIDIS is different from that in DY, as SIDIS non-perturbative function comes from PDF and FF, while DY only comes from PDF
  - In the current Sivers analysis, this functional form is not adopted. It will be a very good cross-check to see if the current form can describe HERA data!!!

Slide from Zhongbo Kang talk



# Conclusions

- First of all, we need a fresh start on the unpolarized TMDs → new extractions of TMDs from high precision data on multiplicities and cross sections from HERMES, COMPASS, BELLE, BaBar ...
- The global analysis to extract transversity and the Collins function is well on its way. We are eagerly waiting for BaBar data to be able to finalize it.
- Extraction of Kaon Collins functions needs more backup from experimental data (BELLE, BaBar)
- TMD evolution needs to be further examined and better understood. As this evolution is very fast, it has serious consequences on many processes (Drell Yan for example).
- We should also explore different evolution schemes, which are now becoming available [for example, Echevarria et al, JHEP 1207 (2012) 002]